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# Asymptotically Good Quantum Codes Exceeding the Ashikhmin-Litsyn-Tsfasman Bound

Hao Chen, San Ling, and Chaoping Xing

Abstract—It is known that quantum error correction can be achieved using classical binary codes or additive codes over  $\mathbf{F}_4$  (see [2], [3], [9]). In [1] and [4], asymptotically good quantum codes from algebraic-geometry codes were constructed and, in [1], a bound on  $(\delta, \mathbf{F})$  was computed from the Tsfasman–Vlăduț–Zink bound of the theory of classical algebraic-geometry codes. In this correspondence, by the use of a concatenation technique we construct a family of asymptotically good quantum codes exceeding the bound in [1] in a small interval.

Index Terms—Algebraic-geometry codes, concatenated codes, Calderbank—Shor—Steane (CSS) construction, quantum codes.

### I. INTRODUCTION

Since the pioneering works reported in [3], [8], [9], the theory of quantum error-correcting codes has been rapidly developing. A thorough discussion of the principles of quantum coding theory was given in [2], and many examples and tables on various bounds were given there. Many kinds of interesting good quantum codes were also constructed using classical binary codes, see, e.g., [5], [7], [10]. It is natural to consider using the theory of algebraic-geometry codes to construct good quantum codes. In [1] and [4], a family of asymptotically good quantum codes (i.e.,  $R = \lim_{i \to \infty} \frac{k_i}{n_i} > 0$  and  $\delta = \lim_{i \to \infty} \frac{d_i}{n_i} > 0$  for the family of quantum  $[[n_i, k_i, d_i]]$  codes) was constructed from the algebraic-geometry codes arising from the well-known asymptotically good family of curves over  $F_{2^{2t}}$  attaining the Drinfeld-Vlăduţ bound [6], [11]. The binary expansions of a pair of the asymptotically good algebraic-geometry codes were used to produce the asymptotically good quantum codes with  $R + \delta \ge \frac{1}{12}$  in [4] from the Calderbank-Shor-Steane (CSS) construction (see [2], [3], [9], or Theorem 1.4 at the end of this Introduction). In [1], the binary expansions of asymptotically good algebraic-geometry codes were inserted into Steane's enlargement of the CSS construction [10] to produce asymptotically good quantum codes as in the following theorem.

Theorem 1.1 (Ashikhmin, Litsyn, and Tsfasman [1], [12]): For any  $\delta \in (0, \frac{1}{18})$  and R lying on the broken line given by the piecewise-linear function

$$R(\delta) = 1 - \frac{1}{2^{m-1} - 1} - \frac{10}{3} m \delta, \quad \text{when } \delta \in [\delta_m, \ \delta_{m-1}]$$
 (1)

for  $m=3,\,4,\,5,\,\ldots$  and where  $\delta_2=\frac{1}{18},\,\delta_3=\frac{3}{56},$  and

$$\delta_m = \frac{3}{5} \frac{2^{m-2}}{(2^{m-1}-1)(2^m-1)}, \qquad \text{for } m = 4, \, 5, \, 6, \, \dots$$

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there exist polynomially constructible families of quantum codes with  $n \to \infty$  and asymptotic parameters greater than or equal to  $(\delta, R)$ .

The main result of the present correspondence is the following.

Theorem 1.2: Let

$$\delta_t = \frac{2}{3} \frac{2^t - 3}{(2t+1)(2^t - 1)}.$$

For  $t \geq 3$  and  $\delta \in (0, \delta_t)$ , there exist polynomially constructible families of quantum codes with  $n \to \infty$  and asymptotic parameters  $(\delta, R_1(\delta))$ , where

$$R_1(\delta) = 3t(\delta_t - \delta).$$

Corollary 1.3: Using t=3 and the equation  $R+9\delta=\frac{30}{49}$ , the bound in Theorem 1.2 is defined in  $(0,\frac{10}{147})$  (bigger than  $(0,\frac{1}{18})$  in the Ashikhmin–Litsyn–Tsfasman bound) and exceeds the Ashikhmin–Litsyn–Tsfasman bound in the interval  $(\frac{8}{147},\frac{1}{18})$ .

*Proof:* The first part of the statement is clear from Theorem 1.2. For the second part, it is clear that, in the interval (8/147, 1/18), the Ashikhmin–Litsyn–Tsfasman bound is defined by  $R(\delta) = 2/3 - 10\delta$  and the bound in Theorem 1.2 is given by  $R_1(\delta) = 30/49 - 9\delta$ . These equations define two straight lines and it is easy to check that  $R_1(1/18) > R(1/18)$  and  $R_1(8/147) = R(8/147)$ . Thus, the second part of the statement is proved.

The outline of our proof of Theorem 1.2 is as follows. We begin with an asymptotically good family of curves over  $\boldsymbol{F}_{2^{2t}}$  satisfying the Drinfeld-Vlăduţ bound. From each of these curves, we construct a pair of algebraic–geometry codes over  $\boldsymbol{F}_{2^{2t}}$ , which are then used to yield, via concatenation, a pair of binary codes  $\mathcal{C}_1$  and  $\mathcal{C}_2$  such that  $\mathcal{C}_1 \subset \mathcal{C}_2$ . The CSS construction (see Theorem 1.4 below) is then applied to these pairs of codes to yield the desired family of quantum codes with good asymptotic parameters.

We recall now the CSS construction (cf. [2], [3], or [9]). For a classical code C (C can be a nonlinear code), we denote by  $\operatorname{wt}(C)$  the minimal Hamming weight of all codewords of C.

Theorem 1.4 (Calderbank–Shor–Steane): Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be two binary codes with parameters  $[n, k_1]$  and  $[n, k_2]$ , respectively. Suppose that  $\mathcal{C}_1 \subset \mathcal{C}_2$ . Then a quantum  $[[n, k_2 - k_1, d]]$  code can be constructed, where

$$d = \min\{\operatorname{wt}(\mathcal{C}_2 \setminus \mathcal{C}_1), \operatorname{wt}(\mathcal{C}_1^{\perp} \setminus \mathcal{C}_2^{\perp})\}.$$

The correspondence is organized as follows. As concatenation is used to construct the codes desired in Theorem 1.2, we give in Section II the concatenation code and a description of its dual code. The description of the dual code is interesting in its own right. In Section III, we construct the asymptotically good quantum codes as claimed in our main result Theorem 1.2.

### II. THE DUAL CODE OF A CONCATENATED CODE

Let C be an [s, t, d] code over  $\mathbf{F}_{q^k}$  and, for  $i = 1, 2, \ldots, s$ , let  $\pi_i \colon \mathbf{F}_{q^k} \to \mathbf{F}_q^{n_i}$  be an  $\mathbf{F}_q$ -linear injective map whose image  $C_i = im(\pi_i)$  is an  $[n_i, k, d_i]$  code over  $\mathbf{F}_q$ . The image  $\pi(C)$  of the following  $\mathbf{F}_q$ -linear injective map:

$$\pi: C \longrightarrow \mathbf{F}_q^{n_1 + \dots + n_s}$$

$$(c_1, \dots, c_s) \longmapsto (\pi_1(c_1), \dots, \pi_s(c_s))$$
(2)

is an  $[n_1 + \cdots + n_s, tk]$  linear (concatenated) code over  $\mathbf{F}_q$ . The following observation can be easily verified.

Lemma 2.1: Suppose the images  $im(\pi_i)(1 \le i \le s)$  are identical and have parameters [n, k, w]. Then  $\pi(C)$  is an [ns, tk] linear code over  $\mathbf{F}_q$  with the minimum distance at least wd.

Next we describe the dual code of  $\pi(C)$ . We show in Theorem 2.3 that it is the direct sum  $D \oplus \pi'(C^{\perp})$ , where D and  $\pi'(C^{\perp})$  are two codes to be described.

Let  $C_i^\perp\subset \pmb{F}_q^{n_i}$  be the dual code of  $C_i$  and let D be the direct sum  $C_1^\perp\oplus\cdots\oplus C_s^\perp$ . It is clear that  $D\subset \pmb{F}_q^{n_1+\cdots+n_s}$  is an

$$[n_1 + \cdots + n_s, n_1 + \cdots + n_s - sk]$$

linear code over  $\mathbf{F}_q$ .

To describe  $\pi'(C^{\perp})$ , we need to first define  $\boldsymbol{F}_q$ -linear injective maps  $\pi'_i : \boldsymbol{F}_{q^k} \to \boldsymbol{F}_q^{n_i}$ , for  $1 \leq i \leq s$ .

Let  $\{e_1,\ldots,e_k\}$  be an  $F_q$ -basis of  $F_{qk}$ . A set  $\{e'_1,\ldots,e'_k\}$  of  $F_{qk}$  is called the dual basis of  $\{e_1,\ldots,e_k\}$  if we have  $\mathrm{Tr}_{F_{qk}/F_q}(e_ie'_j)=\delta_{ij}$  (Kronecker symbol). It is well known that the dual basis always exists. We say that a basis is self-dual if it is its own dual

Now we choose an  ${\pmb F}_q$ -basis  $\{e_1,\ldots,e_k\}$  for  ${\pmb F}_{q^k}$  and let  $\{e'_1,\ldots,e'_k\}$  be its dual basis. For each  $1\leq i\leq s$ , we define the  ${\pmb F}_q$ -linear injective map  $\pi'_i\colon {\pmb F}_{q^k}\to {\pmb F}_q^{n_i}$  by first defining the images  $\pi'_i(e'_j)$  for  $1\leq j\leq k$ , and then extending the map  ${\pmb F}_q$ -linearly. For each  $1\leq j\leq k$  and  $1\leq \ell\leq k$ , we want  $\pi'_i(e'_j)$  to satisfy

$$\pi_i(e_\ell) \cdot \pi_i'(e_j') = \delta_{\ell j} \tag{3}$$

where  $\delta_{\ell j}$  is the Kronecker symbol. As  $\ell$  runs through all values from 1 to k, (3) gives a linearly independent system of k equations in  $n_i$  variables. As  $k \leq n_i$ , the system admits a solution, which shall be defined as our  $\pi_i'(e_j')$ . In general, this choice of  $\pi_i'(e_j')$  is not unique, but is unique up to addition by a vector in  $C_i^{\perp}$ .

It is clear that  $\pi'_i$  is an  $\mathbf{F}_q$ -linear injective map whose image is an  $[n_i, k]$  linear code over  $\mathbf{F}_q$ .

Let  $C^{\perp} \subset \mathbf{F}_{qk}^s$  be the dual code of C. This is an [s, s-t] linear code over  $\mathbf{F}_{qk}$ . We define  $\pi'(C^{\perp})$  to be the concatenated code defined through  $C^{\perp}$  and  $\pi'_1, \ldots, \pi'_s$ , similar to the way  $\pi(C)$  was defined through C and  $\pi_1, \ldots, \pi_s$ . This is an  $[n_1 + \cdots + n_s, (s-t)k]$  linear code over  $\mathbf{F}_q$ .

Lemma 2.2: For each  $1 \le i \le s$ , we have  $C_i^{\perp} \cap im(\pi_i') = 0$ . Therefore,  $D \cap \pi'(C^{\perp}) = 0$ .

*Proof:* The second statement follows directly from the first. The first statement follows directly from (3).

Theorem 2.3: The dual code  $\pi(C)^{\perp}$  of  $\pi(C)$  is the direct sum  $D \oplus \pi'(C^{\perp})$ .

Proof: Since

$$\dim_{\mathbf{F}_{\mathbf{q}}}(D) + \dim_{\mathbf{F}_{\mathbf{q}}}(\pi'(C^{\perp})) = n_1 + \dots + n_s - tk$$

and it is clear that  $D \subset \pi(C)^{\perp}$ , we only need to prove  $\pi'(C^{\perp}) \subset \pi(C)^{\perp}$ .

Let

$$a = (a_1, \ldots, a_s) \in C$$

and

$$b = (b_1, \ldots, b_s) \in C^{\perp}$$

so that

$$\pi(a) = (\pi_1(a_1), \ldots, \pi_s(a_s)) \in \pi(C)$$

and

$$\pi'(b) = (\pi'_1(b_1), \ldots, \pi'_s(b_s)) \in \pi'(C^{\perp}).$$

Using (3) and the expansions

$$a_i = a_i^1 e_1 + \dots + a_i^k e_k$$

and

$$b_i = b_i^1 e_1' + \dots + b_i^k e_k'$$

where  $a_i^j$ ,  $b_i^j \in \mathbf{F}_q$  for all  $1 \le i \le s$  and  $1 \le j \le k$ , we have

$$\pi(a) \cdot \pi'(b) = \sum_{i=1}^{s} \pi_{i}(a_{i}) \cdot \pi'_{i}(b_{i})$$

$$= \sum_{i=1}^{s} \left( \sum_{\ell=1}^{k} a_{i}^{\ell} \pi_{i}(e_{\ell}) \right) \cdot \left( \sum_{j=1}^{k} b_{i}^{j} \pi'_{i}(e'_{j}) \right)$$

$$= \sum_{i=1}^{s} \sum_{j=1}^{k} a_{i}^{j} b_{i}^{j}.$$

$$(4)$$

On the other hand, since  $a \in C$  and  $b \in C^{\perp}$ , we have  $\sum_{i=1}^{s} a_i b_i = 0$ . Hence

$$0 = \sum_{i=1}^{s} (a_i^1 e_1 + \dots + a_i^k e_k) (b_i^1 e_1' + \dots + b_i^k e_k')$$

$$= \sum_{i=1}^{s} \sum_{\ell=i-1}^{k} a_i^{\ell} b_j^i e_{\ell} e_j'.$$
(5)

By taking the trace of (5) and using the property of the dual basis, we have

$$\sum_{i=1}^{s} \sum_{j=1}^{k} a_i^j b_i^j = 0.$$

Thus,  $\pi(a) \cdot \pi'(b) = 0$ , so  $\pi'(C^{\perp}) \subset \pi(C)^{\perp}$ .

Remark: Although the choice of the maps  $\pi_i'$  (and hence  $\pi'$ ) is not unique, we have noted that it is unique up to addition by a vector in  $C_i^{\perp}$ . Therefore, the direct sum  $D \oplus \pi'(C^{\perp})$  is uniquely defined.

Corollary 2.4: Suppose  $n_1 = \cdots = n_s = k$  and, for each  $1 \le i \le s$ ,  $\pi_i$  is the expansion with respect to some  $\mathbf{F}_q$ -basis T of  $\mathbf{F}_{qk}$ , so that  $\pi(C)$  is the expansion of C with respect to the basis T. Then the dual of  $\pi(C)$  is the expansion of  $C^{\perp}$  with respect to the dual basis  $T^{\perp}$ .

Corollary 2.4 follows directly from Theorem 2.3. The result of Kasami and Lin used in [1, Theorem 3] is a direct consequence of Corollary 2.4.

## III. Proof of Theorem 1.2

Let X be a smooth, projective, absolutely irreducible curve of genus g defined over  $\mathbf{F}_q$ , let D be a set of N  $\mathbf{F}_q$ -rational points of X and let G be an  $\mathbf{F}_q$ -rational divisor of X such that  $\mathrm{supp}(G)\cap D=\emptyset$  and  $2g-2<\deg(G)< N$ , where  $\mathrm{supp}(G)$  and  $\deg(G)$  denote the support and the degree of G, respectively. Then the functional algebraic-geometry code  $C_L(G,D)$  and the residue algebraic-geometry code  $C_\Omega(G,D)$  can be defined. It is well known that the dual of  $C_L(G,D)$  is  $C_\Omega(G,D)$  (e.g., see [11]). Their parameters are as follows.

Theorem 3.1 (cf. [11]): The functional code  $C_L(G, D)$  is an  $[N, \deg(G) - g + 1, N - \deg(G)]$  linear code over  $\mathbf{F}_q$  and the residue code  $C_{\Omega}(G, D)$  is an  $[N, N - \deg(G) + g - 1, \deg(G) - 2g + 2]$  linear code over  $\mathbf{F}_q$ .

We assume  $q = 2^{2t}$  from now on.

It is known [6], [11] that there exists a family of algebraic curves  $\{X_n\}$  over  $\boldsymbol{F}_q$  with  $g(n) \to \infty$  attaining the Drinfeld–Vlăduţ bound, i.e.,

$$\lim_{n \to \infty} \sup (N(X_n/\mathbf{F}_q)/g(n)) = \sqrt{q} - 1$$

where  $N(X_n/\mathbf{F}_q)$  and g(n) are the number of  $\mathbf{F}_q$ -rational points and the genus of  $X_n$ , respectively.

We choose a rational point  $P^{(n)}$  on each  $X_n$  and put

$$D_n = \sum_{P \in X_n(F_q) \setminus \{P^{(n)}\}} P,$$

where  $X_n(\mathbf{F}_q)$  is the set of  $\mathbf{F}_q$ -rational points of  $X_n$ . Then

$$N(n) := |\operatorname{supp}(D_n)| = N(X_n/\mathbf{F}_q) - 1$$

satisfies

$$\lim_{n\to\infty}\sup(N(n)/g(n))=\sqrt{q}-1.$$

For each n, let  $G_1(n)$ ,  $G_2(n)$  be two divisors of  $X_n$  supported at the point  $P^{(n)}$  and, for i=1, 2, let  $m_i(n)$  denote the degree of  $G_i(n)$ . Suppose that

$$2g(n) - 2 < m_2(n) < m_1(n) < N(n)$$
.

Consider the algebraic-geometry functional codes

$$T_i = C_L(G_i(n), D_n)(i = 1, 2).$$

Note that  $T_2 \subset T_1$  and that  $T_i$  is an

$$[N(n), m_i(n) - g(n) + 1, N(n) - m_i(n)]$$

linear code over  $\mathbf{F}_q$ . The dual of  $T_i$  is the algebraic-geometry residue code  $T_i^{\perp} = C_{\Omega}(G_i(n), D_n)$ , which is an

$$[N(n), N(n) - m_i(n) + g(n) - 1, m_i(n) - 2g(n) + 2]$$

linear code over  $\mathbf{F}_{q}$  (see Theorem 3.1).

Proof of Theorem 1.2: For any  $t \in \{3, 4, 5, \ldots\}$ , let  $\pi_*$  be an  $\mathbf{F}_2$ -linear injective map from  $\mathbf{F}_2$ 2t into  $\mathbf{F}_2^{2t+1}$  whose image  $C_*$  is the trivial binary maximum-distance separable (MDS) [2t+1, 2t, 2] code. Then, using the notation of Section II with  $\pi_1 = \cdots = \pi_{N(n)} = \pi_*$ , we have the concatenated codes  $\mathcal{C}_2 = \pi(T_2) \subset \mathcal{C}_1 = \pi(T_1)$  as described in Section II. From Lemma 2.1,  $\mathcal{C}_i(i=1, 2)$  is a binary

$$[(2t+1)N(n), 2t(m_i(n)-q(n)+1), 2(N(n)-m_i(n))]$$

code. The dual of  $C_i$  is  $C_i^{\perp} = D \oplus (\pi'(C_{\Omega}(G_i(n), D_n)))$  from Theorem 2.3

We claim that, for any vector  $\mathbf{x} \in (C_2^{\perp} \setminus C_1^{\perp})$ , the weight  $\operatorname{wt}(\mathbf{x})$  satisfies  $\operatorname{wt}(\mathbf{x}) \ge m_2(n) - 2g(n) + 2$ . Indeed, let

$$\mathbf{x} = \pi'(\mathbf{x}') + \mathbf{b} = (\pi'_*(x_1) + b_1, \dots, \pi'_*(x_{N(n)}) + b_{N(n)})$$

with

$$\mathbf{x}' = (x_1, \ldots, x_{N(n)}) \in T_2^{\perp}$$

and

$$\boldsymbol{b} = (b_1, \ldots, b_{N(n)}) \in D.$$

It is clear that x' is a nonzero vector since x is not in  $C_1^{\perp}$ , thus  $\operatorname{wt}(x') \geq m_2(n) - 2g(n) + 2$ . At each position j with  $x_j$  nonzero, we know that  $\pi'_*(x_j) + b_j$  is nonzero from the fact  $b_j \in C_*^{\perp}$  and  $C_*^{\perp} \cap \operatorname{im}(\pi'_*) = 0$ . Thus, the claim is proved.

Using the CSS construction (see Theorem 1.4 in Section I), we have, for each n, a

$$\begin{aligned} & [[(2t+1)N(n), \, 2t(m_1(n)-m_2(n)), \\ & > \min\{2(N(n)-m_1(n)), \, m_2(n)-2g(n)+2\}]] \end{aligned}$$

quantum code  $A_n$ . Let  $k(n) = m_1(n) - m_2(n)$ . It is clear that k(n) can take any integer in (0, N(n) - 2g(n)]. For any such k(n), taking

$$m_1(n) = \lfloor (2N(n) + 2g(n) + k(n) - 2)/3 \rfloor$$

where  $\lfloor u \rfloor$  means the greatest integer less than or equal to the real number u, we have

$$\begin{split} 2(N(n)-m_1(n)) &\geq m_2(n) - 2g(n) + 2 \\ &\geq \frac{2}{3}(N(n) - 2g(n) - k(n) + 1). \end{split}$$

Thus,  $A_n$  has parameters

$$[[(2t+1)N(n), 2tk(n), \ge \frac{2}{3}(N(n)-2g(n)-k(n)+1)]]$$
.

For a fixed  $\lambda \in (0, 1-2/(\sqrt{q}-1))$ , we let  $k(n)/N(n) \to \lambda$  as n tends to  $\infty$ . Put

$$R := \lim_{n \to \infty} \frac{2tk(n)}{(2t+1)N(n)} = \frac{2t}{2t+1}\lambda$$

and

$$\begin{split} \delta := \limsup_{n \to \infty} \, \frac{2(N(n) - 2g(n) - k(n) + 1)}{3(2t+1)N(n)} \\ = \frac{2}{3(2t+1)} \left(1 - \frac{2}{2^t - 1} - \lambda\right). \end{split}$$

Then  $(\delta, R)$  lies on the line defined by

$$R_1(\delta) = \frac{2t}{2t+1} \left( 1 - \frac{2}{2^t - 1} \right) - 3t\delta.$$

Hence, we have found the family of quantum codes with the desired asymptotic parameters. Moreover, since the algebraic-geometry codes used here and concatenation are polynomially computable (see, for example, [11]), our conclusion of Theorem 1.2 is proved.

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