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Self-assembly of Solubilized Human Hair Keratins

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ABSTRACT

Human hair keratins have proven to be a viable biomaterial for diverse regenerative applications. However, the most significant characteristic of this material has not been exploited, that is the ability to self-assemble into nanoscale intermediate filaments. Herein, we successfully demonstrated the induction of hair-extracted keratin self-assembly *in vitro* to form dense, homogenous and continuous nanofibrous networks. These networks remain hydrolytically stable *in vitro* for up to 5 days in complete cell culture media, and are compatible with primary human dermal fibroblasts and keratinocytes. These results enhance the versatility of human hair keratins for applications where structured assembly is of benefit.

Annual production of human hair bio-waste reaches 750 million kg, making this a readily available source of keratins that make up 80% of the total hair mass.¹ Due to the abundance, versatility and reduced risk of interspecies pathogen transfer, cysteine-rich human hair keratins have emerged as a viable biomaterial with various potential applications in biomedical sciences.²⁻⁶ Understanding the intrinsic behavior, interactions and self-assembly characteristics of soluble human hair keratins will be pivotal to harness the full potential of this material. Much of the current understanding of crosslinking and hierarchical self-assembly of keratin intermediate filaments (IFs) was gleaned from epithelial keratins, from which peptide domains involved in the assembly were identified.^{7, 8} However, little of the same is known about human hair keratins, including the expression profiles of the various subtypes and the interaction mechanisms between them,⁹⁻¹² with only limited work done on selected recombinant hair keratins.¹³ Herein, we report a method to induce the conformation change of hair keratin fibers by controlling the self-assembly process. This was achieved through a unique combination of pH and ionic control coupled with a step-down dialysis process. Keratins obtained *via* reductive extraction,¹⁴ without going through additional purification steps, were observed to self-assemble into nanofibrous networks. This is the first successful *in vitro* attempt to simulate the native assembly process of hair extracted keratin IFs from solubilized monomers.

A two-step reductive extraction method¹⁴ was adopted to first remove the keratin associated proteins (KAP), and subsequently dissociate and harvest total keratins from the “KAP-free hair”. Purity of the keratin extracts was verified by the SDS-PAGE result which showed dominant presence of monomeric keratins in the samples, via the clear bands at about 45 kDa and 55 kDa (**Figure S1**). Previous reports utilizing a standard self-assembly buffer (phosphate buffer pH 7.5) had documented the reassembly and elongation process of recombinant hair and epidermal keratin fibers being governed by their different keratin subtypes.^{7, 8, 13, 15} A recent

study also revealed the potential for extracted hair keratins to self-assemble into fibers, albeit these nanostructures were much thicker than regular keratin intermediate filaments and only a few microns long.¹⁶ In contrast, we observed that chemically extracted total human hair keratins, produced irregular mesh structures interspersed with globular aggregates ($d < 6$ nm) when phosphate buffer at pH 7.5 was introduced (**Figure 1A**, **Figure 1B: I-III**). Moreover, increased aggregation within the keratin network was observed with increasing buffer ionic strength (**Figure S2**). To produce uniform self-assembled keratin nanofibers, we employed a step-down dialysis process in acidic conditions (described in Supporting Information). Thereafter, a self-assembly (SA) KCl solution (2.5 or 20 mM) was added to the dialyzed keratin solution for 1 hour to further enhance the self-assembly capacity. Finally, nanofiber structures with average diameters of 6 – 10 nm could be observed in acidic conditions prepared in 0.7 – 50 mM citric acid supplemented with 1 mM DTT (**Figure 1B: VII – XV**). Such slow and progressive conformation changes only occurred in gradual reduction of denaturant strength, as sparse and irregular aggregates were observed when the keratin extracts were directly dialyzed against water (**Figure S3**). It is worth noting that the step-down dialysis in acidic conditions could directly induce the self-assembly process without the addition of SA solution (**Figure 1B: VII, X, XIII**), indicating that the protonation of keratins is crucial to achieve thermodynamically favorable conditions for the self-assembly process. In acidic conditions (without SA), the nanofiber diameters were reduced proportionally with the buffer pH, as evidenced from the change in the average fiber diameter from 9.1 ± 2.5 nm to 6.6 ± 1.9 nm ($p < 0.01$) when the pH was reduced from 3.3 to 2.5 (**Figure 1C**). In contrast, increasing the salt concentration in the SA solution yielded significantly thicker fibers in 0.7 mM citric acid condition (pH 3.3). This was evidenced from the change of fiber diameter from 9.1 ± 2.5 nm to 10.7 ± 3.6 nm ($p < 0.01$) when salt concentration was increased from 0 mM to 20 mM (**Figure 1B: VII, IX, Figure 1C**). Increasing ionic strength through the introduction of salts was

reported to induce lateral association of keratin filaments *in vitro*,^{17, 18} that is competed by the filament elongation process.¹⁸ Recently, salt-induced charge screening effect was also reported to enhance self-organization of wool keratin protofibrils.¹⁶ Employing our proposed protocol, the assembled and elongated hair keratin nanofibers were found to be comparable to self-assembled purified epidermal keratins and specific recombinant hair keratin subtypes.^{19, 20} In basic conditions, the “locking” of basic head domains in keratins was previously described to inhibit proper filament assembly.¹⁹ In contrast, the self-assembly propensity of our hair keratins was enhanced under protonated conditions in acidic environment.

Figure 1. (A) Schematic of morphological and conformation changes of human hair keratins fibers in scale to the different pH conditions. (B) Representative TEM images of SA-keratins at different pH values and concentrations of KCl. Buffers up to pH 5.5 were prepared with Citric acid, while pH 7.5 buffer was prepared with 0.7 mM phosphate buffer (scale bar: 50 nm). (C) Box plots of SA-keratin nanofiber diameters across various self-assembly conditions. The minimum and maximum boundary lines of each box indicate the 25th and 75th percentile values, respectively. The lines within the boxes mark the mean values. Whiskers (above and below each box) indicate 1.5 IQR. Inset: Quantitative data of mean diameters $\pm$ SD (n = 200). *p<0.05, two-way ANOVA, Tukey's HSD post hoc test.

In natural hair fibers, keratin IFs present in the cortex region could range between 350 nm to 400 nm.²¹⁻²³ In the current study, the maximum length of traceable keratin fibers formed in acidic condition was around 380 nm, which is consistent with that of natural keratin IFs, albeit the majority of the keratin fibers formed were 50 to 130 nm long (**Figure S4**). It is worth noting that the accuracy of the length measurements could be compromised due to the highly entangled and intertwined nature of the assembled filaments. On the contrary, short fibers (< 50 nm) with beaded ends and average diameter of 19.5 nm were observed when keratins were dialyzed at pH 5.5, possibly due to isoelectric point (keratin pI: 4.5-5.5) precipitation (Figure 1B: IV). Improved connectivity between the beads and bead elongation were observed under TEM upon the introduction of 2.5 mM KCl, resulting in fiber networks of smaller fiber diameters (Figure 1B: V). High ionic charge, however, have been demonstrated to perturb the charges of the protein molecules and trigger aggregation.^{24, 25} This effect was specifically apparent when the environment pH approached the protein pI values, during which the protein becomes more susceptible to precipitation due to the reduction of stabilizing electrostatic repulsion forces. Indeed, agglomeration was observed upon addition of keratin solutions (at pH 5.5) into high salt content SA solutions, albeit network structures were maintained (Figure 1B: VI).

To verify that such self-assembly event was mainly pH dependent, 1.25 mM hydrochloric acid (HCl) and 55.3 mM acetic acid were used, in replacement of citric acid, to adjust the dialysis

buffer to pH 2.9. Among the three acids tested, HCl showed the least buffering ability against high molarity urea, resulting in the precipitation of keratins during dialysis and the absence of any self-assembled fibers. Nonetheless, SA-keratin formed in 55.3 mM acetic acid, pH 2.9 condition showed comparable fiber diameters, which range from 8.1 to 8.9 nm, to the SA-keratin formed in 2.5 mM citric acid (**Figure S5**). Besides, across the acidic conditions tested, keratin solutions were found to precipitate during dialysis at pH 4.5, which fell within the range of the isoelectric points for hair keratins (**Table S1**). This underlines the crucial role of monitoring pH changes during the gradual urea removal dialysis steps in this self-assembly protocol. The correlation of average fiber diameters with pH, derived from representative transmission electron microscopy (TEM) images, can be found in **Figures S5 & S6**.

Among the acidic conditions tested in this study, the SA-keratin prepared in 2.5 mM citric acid (pH 2.9) showed the greatest homogeneity and consistency in term of fiber morphology and diameter. Hence, this condition was selected for the subsequent coating deposition and *in vitro* studies. Consistent with TEM observations, the SA-keratin nanofibers formed at this condition were observed as filamentous networks as well using atomic force microscopy (AFM) in enhanced color mode (**Figure 2A**). The Z-heights of the SA-keratin coatings were obtained using the AFM mapping analysis (from original AFM images depicted in **Figure S7**). To ensure good observation and quantification of fiber morphology and diameter, the images presented in Figures 1B and Figure 2A were intentionally captured at areas that were more sparsely coated with fibers. This led to a heterogeneous distribution of the coatings on silicon wafer, with measured thickness of the entire layer ranging between 30 – 200 nm (**Figure 2B**). Nevertheless, at higher magnification under the AFM, individual fibers with larger diameters of 15 – 25 nm, compared with TEM analysis (8 – 10 nm), could be identified (Figure 2B). The larger fiber diameters observed in the coating format were a result of the tip broadening effect

of AFM imaging.²⁶ Moreover, the SA-keratins were left for a relatively longer duration (1h) during the fiber deposition stage, which allowed more extensive self-assembly. This led to a larger cluster of the self-assembled nanofibers compared to examination under TEM, where samples were only loaded onto TEM grids for 30s. The thickness of the coating was further measured using a surface profilometer, which yielded comparable values to the AFM result (**Figure S8**). Further analysis with circular dichroism (CD) revealed that the SA-keratins were mainly composed of alpha-helix domains (**Figure S9**).

Figure 2. (A) Representative TEM and AFM images of SA-keratins prepared in 2.5 mM citric acid buffer (pH 2.9) following 1h self-assembly process. AFM images are presented in enhanced color mode. (B) Representative AFM images of individual SA-keratin fibers (pH 2.9) at higher magnification. (C) Z-height profile obtained from AFM line scans.

Subsequently, the adsorption kinetics of SA-keratin coatings (**Figure 3A**) was monitored *via* Indirect Nanoplasmonic Sensing (INPS).²⁷ SA-keratin fibers at pH 2.9 was observed to achieve pseudo-saturation^{28, 29} 22 min after the flow was paused (**Figure 3B**). The deposition of SA-keratin coating was noted by the shift of the peak signal, which is proportional to the change in refractive index contributed by the adsorbed proteins. Higher initial absorption rate was observed in SA-keratin formed at pH 2.9 in contrast to the keratin at pH 5.5, evident from the steeper gradient ($m = 0.499$ vs. $m = 0.036$, **Figure S10**) captured from the 10th min onward. This could be attributed to the stronger electrostatic interaction between the positively charged SA-keratin (pH 2.9) and the sensor chip surface, compared to the neutral keratin at pI condition (pH 5.5). Moreover, an increment of 0.8 nm peak shifted was observed at the saturated point and further declined to 0.3 nm following the rinsing steps, which removed loosely bound keratin molecules. Close to 40% of the coating remained on the sensor chip even after extensive flushing, suggesting strong adhesion of keratins on the sensor chip. In contrast, under the same INPS experimental conditions, keratin at pH 5.5 showed a smaller peak shift at the saturation point (0.3 nm) and minimal attachment (11%) on the sensor chip after urea rinse (**Figure 3C**). This could be attributed to the charge effect and bead-like morphology that led to weaker adhesion of keratin molecules on the sensor chip surface (complete INPS profiles can be found in **Figure S11**). These observations further support the conclusion that 2.5 mM citric acid at pH 2.9 produced the most optimal self-assembled keratin fiber networks.

Figure 3. (A) Schematic showing the procedure of SA-keratin coating onto tissue culture plates. Indirect Nanoplasmonic Sensing (INPS) analysis to evaluate the efficacy of coating deposition using SA-keratin solution at (B) pH 2.9 and (C) pH 5.5 in the absence of KCl. (D) Immunoperoxidase staining of SA-keratin (pH 2.9) coating in complete DMEM media over 15 days and (E) their corresponding quantitative intensities. Data presented are means $\pm$ SD ($n = 3$). * $p < 0.05$, compare to Day 0 of the corresponding sample group (one-way ANOVA, Tukey's HSD post hoc test).

Coating stability and biocompatibility are the basic evaluation parameters required to understand their potential for biomedical applications. As such, we analyzed the stability of SA-keratin coatings *in vitro* in complete DMEM cell culture media at physiological temperature (37 °C). The successful formation of this uniform and good coverage SA-keratin coating (detailed in **Figure 3A**) could be observed by immunoperoxidase staining of broad spectrum human hair keratins. The positive stainings were relatively constant (**Figure 3D**,

Figure S12) up to 5 days of incubation, suggesting stability of the coating over this timeframe. Significant reduction in staining intensity was noted at day 8 (Figure 3D, Figure S12), when close to 50 % reduction of the immunoperoxidase staining was registered (**Figure 3E**). Representative digital images revealed that coatings deposited at the center of the culture wells were effectively lost at day 15, confirmed by the significant reduction in immunoperoxidase staining based on absorbance mapping (Figure 3D & 3E).

In vitro biocompatibility of the SA-keratin coatings was tested with human dermal fibroblasts (HDF) and human epidermal keratinocytes (HEK), over the course of 5 days. The levels of metabolic activity of the cells grown on the coatings were comparable to controls cultured on untreated surfaces, as shown in **Figures S13A & S13C**. Keratin-derived biomaterials have been reported to facilitate wound healing and in more recent studies have been demonstrated to possess antioxidant properties.^{5, 30-34} In this study, a self-assembled 2D nanofibrous platform was fabricated using a low keratin concentration (0.5 mg/ml), demonstrating good adhesion and stability in physiological conditions. These preliminary results indicate that hair keratin coatings of self-assembled nanofibrous networks are easily produced and exhibit cell supporting capabilities. Moving forward, specific mechanistic analysis of the self-assembly process, cellular response to the SA-keratin coating and fabrication of bulk structures *via* the self-assembly process reported herein in comparison to other processing method^{6, 35-37} will be explored.

In summary, the first demonstration of the self-assembly potential of top down extracted human hair keratins into regular nanofibrous networks is presented. This was achieved in acidic conditions of $\text{pH} \leq 3.3$, even without ionic induction through salt addition. A gradual decrease in fiber diameters from approximate 10 nm to 6 nm can be observed when the pH further

reduced to pH 2.5. The SA-keratin at its optimal condition (2.5 mM citric acid, pH 2.9) was realized as biocompatible coatings by depositing the keratin solution in a static manner for 1h. This coating remains stable in physiological conditions for up to 5 days. Altogether, the findings presented herein provide a new perspective into the self-assembly of human hair keratins *in vitro*, and serve as further impetus for future fundamental studies and development of human hair keratin-based biomaterial platforms.

Experimental Section

Step Down Dialysis

The acidic buffer solution used for keratin self-assembly was prepared using citric acid (Sigma). A range of citric acid concentrations (0.7, 2.5 and 50 mM in deionized water) were prepared at pH 2.9, 3.3, 4.5 and 5.5. NaOH was used to adjust the pH of 0.7 mM citric acid to achieve values of 4.5 and 5.5 (**Table S1**). 0.7 mM sodium phosphate buffer (pH 7.5) was used as the standard self-assembly protocol. For comparison, 0.125 mM hydrochloric acid and 55.3 mM acetic acid (Sigma) adjusted to pH 2.9 were used. The extracted keratin solution was first dialyzed against the corresponding buffer solution consisting of 8 M urea and 1 mM DTT overnight. Thereafter, the keratin solution was further dialyzed against the corresponding dialysis buffer by lowering the urea concentration in a stepwise manner, from 4 M to 2 M Urea for 3 - 4 hours at each step. The keratin solution was left overnight in the final dialysis buffer (0 M Urea) for complete urea removal. All the above dialysis buffers contain 1 mM DTT.

Incorporation of Self-assembly Buffer

The concentration of keratins after step down dialysis was determined using the Bradford assay (Biorad). The final concentration of keratins after being added to self-assembly buffer was kept

at 0.5 mg/ml. Initiation of self-assembly was performed by adding an equal volume of keratins to either 5 or 40 mM KCl dissolved in the corresponding buffer solution (hereon referred to as SA solution), for a few minutes up to a few hours. Afterwards, the self-assembled keratin was added to an equal volume of fixing solution containing 0.2% glutaraldehyde and 2.5 or 20 mM KCl for 3 – 5 min, and thereon ready to be applied to the intended surface to deposit the self-assembled networks. For cell culture and stability test purpose, the fixation step was eliminated. For TEM characterization, this was done on glow discharged carbon coated grids and negatively stained with 2% uranyl acetate solution for before imaging (Detail protocol in Supporting Information). The final concentrations of KCl in the SA solution were either 2.5 or 20 mM dissolved in the corresponding citric acid buffer.

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Author Contributions

H.Y.L planned and executed the self-assembly experiments, did the TEM analysis, collated all data and wrote the manuscript. M.I.S helped with data presentation and analysis. A.R.F and N.J.C planned, executed and analyzed the INPS data. S.K.D and W.C planned and executed the AFM analysis. H.M.C helped with sample preparation and biocompatibility studies. K.W.N formulated the idea, provided the guidance and supervision, and helped analysed the data. All authors contributed to the writing and editing of the manuscript.

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SUPPORTING INFORMATION

Experimental procedures, SDS PAGE of the human hair keratin and KAP extracts, TEM, quantification of fibers' dimensions, AFM, Surface Profilometer, CD, INPS, and biocompatibility study.

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