

# Early life rearing of stressed animals in complex housing environment drives stress resilience in physiology, behavior and brain plasticity during adulthood

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DURING ADULTHOOD**

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SCHOOL OF BIOLOGICAL SCIENCES**

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**2018**

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## LIST OF ABBREVIATIONS

ACTH	Adrenocorticotrophic hormone
ANOVA	Analysis of variance
ARC	Activity-regulated cytoskeleton-associated protein
AUC	Area under the curve
BDNF	Brain derived neurotrophic factor
BLA	Basolateral Amygdala
CeA	Central Amygdala
CNS	Central Nervous System
DAPI	4',6-diamidino-2-phenylindole
DNA	Deoxyribonucleic Acid
EE	Environmental Enrichment/ Enriched Environment
EPM	Elevated plus maze
Erk	Extracellular signal-regulated kinases
FST	Forced swim task
GABA	$\gamma$ -aminobutyric acid
GAPDH	Glyceraldehyde 3-phosphate dehydrogenase
GAD	Glutamate Decarboxylase
GC	Glucocorticoids
GR	Glucocorticoid receptor
HPA	Hypothalamic-Pituitary-Adrenal
IL	Infralimbic Region
pMAPK	Phosphorylated Mitogen Activated Protein Kinase
MDD	Major Depressive Disorder
MeA	medial Amygdala
MFT	Mossy Fibre Terminals
mPFC	medial Prefrontal Cortex
MR	Mineralocorticoid Receptor
mRNA	Messenger Ribonucleic Acid
MRI	Magnetic Resonance Imaging



NAc	Nucleus Accumbens
NGF	Nerve growth factor
NMDA	N-methyl-D-aspartate
NMDAR	N-methyl-D-aspartate Receptor
OD	Optical density
OFT	Open field test
PBS	Phosphate buffered saline
PFC	Prefrontal cortex
PrL	Prelimbic Region
PTSD	Post-Traumatic Stress Disorder
PV	Parvalbumin
PVN	Paraventricular nucleus
ROI	Regions of interest
SC	Standard conditions
SDS	Sodium Dodecyl Sulphate
SEM	Standard error of the mean
SIT	Social interaction test
SNS	Sympathetic Nervous System
tPA	tissue Plasminogen activator
TrkB	Tyrosine kinase receptor B
vPrL	ventral Prelimbic Region
VTA	Ventral Tegmental Area

## 1    **ABSTRACT**

2    Early-life maternal separation leads to hyper-reactive stress-response in adulthood  
3    along with long-lasting anxiogenic and depressive-like behavior. Maternal separation  
4    occurring during the vulnerable postnatal period interferes with the normal  
5    development of brain and its neuronal morphology. Most studies on maternal  
6    separation during early life highlight the dysregulation in emotional behavior of an  
7    individual in various stages of life. Emotional learning and memory are processed in  
8    amygdala. Thus, exploring the amygdala in maternally separated rats is crucial in  
9    understanding the mechanisms that drives emotional dysregulation in early-life stress  
10    model. Interestingly, recent studies showed that short-term enriched environment can  
11    enhance prominent innate behaviours like avoidance of predator odour and  
12    attractiveness of adult male rats. Additionally, short-term enrichment during adulthood  
13    can reverse the negative impacts of repeated early life maternal separation on behavior  
14    and morphology of basolateral amygdala neurons. In this thesis, rat pups were exposed  
15    to concomitant maternal separation stress and enriched environment during early life  
16    (P2-P21) and their stress-response and behavior were yested during adulthood.  
17    Changes in neuronal morphology using Golgi staining was analyzed. Protein  
18    regulation of GR, BDNF and p-MAPK in the basolateral amygdala (BLA) using  
19    western blot and immunostaining was also examined. Through the above series of  
20    experiments, it was demonstrated that exposure to early-life enriched environment can  
21    ameliorate the effects of maternal separation stress by renormalizing the underlying  
22    neurobiological substrate (namely neuronal morphology and protein regulation) as  
23    well as physiology and behavior.

24

## 25    **Chapter 1**

### 26        **1. Background**

#### 28    *1.1 Over-riding influence of the early life stress*

29  
30    Individual animals show remarkable variance in their behavior depending on  
31    the incipient environment during the early period of their life. Behavioral and  
32    physiological phenotypes for the same genetic backgrounds are often  
33    changeable (plastic) in response to changes in the environment [1-3]. Pigliucci  
34    et al. (2006) defined *phenotypic plasticity* as ‘the ability of a single genotype to  
35    give rise to various phenotypes when exposed to differential environmental  
36    conditions’[4]. This involves the possibility to change the developmental  
37    pathway (*developmental plasticity*) of an individual in response to a particular  
38    environment cue and also the ability of an individual to alter their phenotypic  
39    activity (e.g., metabolism) in response to differential environmental conditions  
40    [5]. It is seen that, the earlier an organism’s development is disturbed, higher  
41    the amplitude of detrimental effects [6, 7]. Given the rate at which the  
42    environment is varying globally, studying the consequence of the changing  
43    environment and the relationship between the environment and the phenotypic  
44    plasticity is of enormous importance. Animals have evolved by adapting  
45    themselves to various dynamic environments through temporary or permanent  
46    changes in the physiology and behavior of an individual, depending on the  
47    environment that they were exposed to during the early stage of life. For  
48    example, aging was delayed, and lifespan was increased in rats that were  
49    exposed to a particular diet during adulthood [8] and It was hypothesized that  
50    animals have an adaptive response to famine wherein the resources are shifted

51 away from reproduction and focused on increased cellular maintenance to  
52 maintain a healthy physiology. This further increased the chances of survival  
53 during the famine and permits fertility to be preserved for the post-famine  
54 period [9, 10].

55 During the early life, the time window that is vulnerable to the changing  
56 environment is commonly referred to as the '*critical*' or '*sensitive*' period [11].

57 An organism process various stimuli of visual, olfactory and social cues from  
58 the surroundings that are required for its normal development during the  
59 sensitive period [12]. Observations show that during the sensitive period,  
60 development of an individual depends on the type of cue perceived from the  
61 environment [13]. The organism utilizes the best options from the available  
62 environment and this helps in development [14]. Some studies suggest that,  
63 sensitive period during early life is crucial for development due to the  
64 complication involved in reversing developmental mechanisms later in life [15].

65 If the phenotype obtained in early life is not compatible with the conditions  
66 later in life, developmental plasticity might have detrimental effects on the  
67 survival and reproductive performance of the individual in future. Prior studies  
68 have shown that developmental plasticity is beneficial to numerous species but  
69 can have a different impact during a degree of variation in the environment  
70 later in life [16-19]. At this juncture, it is very clear that environment during the  
71 *sensitive period* plays a crucial role for the normal development of an  
72 individual.

73 Among the numerous factors available in the environment, a fundamental  
74 essence during an individual's early life is, 'the mother'. In several bird species,  
75 the mother can change multiple components of her egg, like the size of the egg,

hormones, nutrients and many other factors including the sex of the embryo according to the changes in the environment [20-22]. In certain birds and mammals, depending on the availability of food and quality of their mating partners, their maternal effects can vary. This variation has a significant impact on the developmental phenotypic plasticity of their offspring that persist across various generations even if the conditions have changed later on [23, 24]. Mothers in numerous vertebrates have an enormous impact on growth and breeding performance of their offspring, depending on their own early life experience [25]. Mothers in mammals that have undergone food restriction in their early life, give birth to offspring that have low birth-weight [26]. This shows that the interaction between a mother and the offspring plays an important role in its development process.

## *1.2 Mother-infant interactions*

In embryonic life, the prenatal environment within the mother's womb also plays a crucial role in an offspring's survival and reproductive success. In humans, studies have shown that the brain morphology and physiology of the embryo depends on the nutritional circumstances of the mother [27, 28]. For example, a foetus develops in a specific pattern, in response to the environmental cues provided by the mother in the womb. This in turn prepares the baby for an environment that it is likely to be born into. If the 'prenatal conditions' and 'early-life conditions' of a baby are in contrast with each other, the consequences could be harmful [15]. It has been observed that babies with low birth weights (due to stressful prenatal period) that grew up in a favourable environments later on, developed multiple health problems including heart disease, diabetes and hypertension [27, 29, 30]. Additionally, if the birth weight

102 is high and the later life has a favourable environment, the risk of having health  
103 problems is very low [31]. Therefore, the cues an individual receives prenatally  
104 has a huge influence on the early development and health success [32]. A  
105 classic illustrator of this idea is the Dutch hunger during the Second World War.  
106 Pregnant women in the Dutch famine in 1944 underwent starvation and this  
107 caused adverse foetal environment due to lack of nutrition and energy  
108 supplements. These prenatal harsh conditions caused low body weight of the  
109 babies at birth and these individuals were susceptible to compromised cognitive  
110 functions, cardiovascular disease and obesity despite adequate food intake later  
111 in life. This was due to the food deprived environment that persisted during  
112 their foetal stage, which programmed their bodies to conserve nutrients  
113 throughout the course of their life [33]. The information above shows that early  
114 life focuses on preparing an offspring for a future environment based on the  
115 cues it receives during the crucial period of development even before the birth.  
116 Mother-infant relationship during postnatal life plays a cardinal role in  
117 phenotypic plasticity too. Bowlby stated that, a mother's love during early life  
118 is as crucial for 'mental health' as proteins and vitamins are for 'physical health'  
119 [34, 35]. Multiple studies have focused on the importance of mother-infant  
120 relationship for the behavioural and cognitive development of infants [34, 36,  
121 37]. Studies have shown that mothers with post-partum depression (PPD) have  
122 long-term issues that affect the positive interaction with their child. This can  
123 have damaging effects on the child's cognitive and emotional development [38-  
124 40]. Mothers who have undergone early life stress due to childhood abuse have  
125 problems in interacting with their children and this impacts negatively on the  
126 child's development [41, 42]. Therefore, mother-infant relationships have a

127 long-term effect on a child's future. It has also been reported that effective  
128 intervention at an earlier stage is attainable, if the problem is detected early on  
129 [43]. A positive mother-infant relationship (postnatal environment) is  
130 beneficial for development of the affective behaviour of the infant [44, 45].  
131 Therefore, the manner in which an infant establishes the relationship with the  
132 mother influences the manner in which it establishes relationships with every  
133 other facet in the environment throughout the rest of her/his life [46]. Based on  
134 all information available on mother-infant interaction, this relationship has  
135 been validated in rodents in lab conditions as well and is described below.

### 136 *1.3 Mother-infant relationship validated with rodents*

137

138 An interesting body of work by Meaney on rodents confirms that alteration in  
139 maternal care can have a major impact in development of the offspring's  
140 physiology. An individual's physiology is regulated by "Hypothalamic-  
141 Pituitary-Adrenal (HPA) axis" (Figure 1). The HPA axis (*detailed discussion of*  
142 *HPA axis is available in section 2*) releases glucocorticoids (stress hormones)  
143 that mediate the stress response that help the body to return to basic  
144 physiological balance after an encounter of stress episode [19]. Meaney's study  
145 on rats showed that offsprings that received 'high maternal care' (licking and  
146 grooming) from the mother during initial 10 days of development (postnatal  
147 day 10), displayed low corticosterone (glucocorticoid) responses to acute stress  
148 and expressed more glucocorticoid receptors messenger RNA (mRNA) when  
149 compared to their counterparts with 'low maternal care'. The amount of care in  
150 rats during that early stage was crucial in programming the Hypothalamus-  
151 Pituitary-Adrenal (HPA) axis response to stress in the individual [47].

152 Additionally, they showed that adverse conditions in early life could cause  
153 long-term behavioural deficits and epigenetic changes in glucocorticoid  
154 receptor in rat hippocampus [48]. Thus, the interaction between mother and  
155 pup is as compelling as merely the presence of the mother. RM Sullivan et al.,  
156 (2006) determined that, in the absence of the mother, rat pups displayed odour  
157 avoidance when exposed to odour-shock conditioning along with their  
158 amygdala activation. The same conditioning initiates a preference to the odour  
159 in the presence of mother without the amygdala activation. They also  
160 determined that this variation in behaviour due to mother's presence is  
161 regulated by the modulating the corticosterone which in turn helps in the  
162 moderation of the amygdala activity [49, 50]. These studies show that in the  
163 presence of mother, the pups do not have a fear/stress response. The proximate  
164 mechanism underlying this fear/stress response have been shown to involve the  
165 amygdala and the corticosterone [51]. The role of amygdala in modulating  
166 stress response is described in the next section.

#### 167 *1.4 Amygdala and emotion*

168

169 Stress is known to induce dendritic hypertrophy in the basolateral amygdala  
170 (BLA) [52, 53] and to enhance anxiety [53, 54]. Stress also causes increase in  
171 secretion of glucocorticoids (GC) that has harmful impact on an individual [55,  
172 56]. It was observed that a single acute dose of corticosterone was sufficient to  
173 produce dendritic hypertrophy in the BLA and anxiogenic behavioural output.  
174 Additionally, this pattern of dendritic hypertrophy after acute treatment was  
175 comparable to that caused by chronic treatment of corticosterone [57]. These  
176 studies affirm the crucial role of amygdala in modulating stress response  
177 making it an interesting region to explore in early life stress models. As



178 mentioned earlier, in RM Sullivan's study [49], fear response in rat pups due to  
179 mother's absence is regulated by the modulation the corticosterone and  
180 amygdala activity. The amygdala situated in the temporal lobe is crucial  
181 regulator of fear and emotions [58].

182 Unlike the hippocampus, very little is known about how early life stress affects  
183 the amygdala and the nature of its role in modulating stress response.  
184 Amygdala constitutes a group of nuclei that helps in modulation of various  
185 functions related to initiation, processing and consolidation of fear memory  
186 [59]. Each nucleus of amygdala comprises of different neuronal population  
187 ranging in structure and function. The function of amygdala has been  
188 extensively studied in context of Pavlovian fear conditioning [60, 61]. The fear  
189 stimulus reaches amygdala from the thalamus or the cortex. This information is  
190 further transmitted from the basolateral amygdala (BLA) to the central  
191 amygdala that is crucial for expression of fear induced by the stimuli [62-64].  
192 BLA being the primary nucleus to receive signal from the fear stimulus can be  
193 considered as an essential brain region for formation of fear induced  
194 behavioural response and this makes the BLA intriguing. BLA activation relays  
195 projections to switch on the HPA axis for generating a stress response [65]. The  
196 BLA comprises of seven specific types of cell populations [66] out of which  
197 the predominating pyramidal neurons, stellate neurons and GABAergic local  
198 interneurons are three major kind of cell populations. Pyramidal and stellate  
199 neurons are glutamatergic, which are excitatory in nature and the GABAergic  
200 local interneurons, are inhibitory in nature [67, 68]. Activation of BLA  
201 mediates the activation of the central amygdala (CeA) or the medial amygdala  
202 (MeA) via the excitatory neurons mentioned earlier [69]. This further causes

203 activation of the paraventricular nucleus (PVN) of the hypothalamus via the  
204 bed nucleus of stria terminalis (BNST), to switch on the HPA axis for  
205 generating a stress response [69, 70]. Additionally, studying the BLA in  
206 context of early life and stress is more important than studying other cortical  
207 regions because the development of amygdala takes place during the critical  
208 early life period, which is much earlier than cortical regions [71].

209 As mentioned earlier, BLA takes part in the consolidation of fear-induced  
210 memories by processing information from the environment. This helps them to  
211 use prior experience and related memories to engage in similar situations the  
212 may arise in the future [72]. This highlights an interesting concept of  
213 “behavioural engagement” that sheds light on crucial processes that regulates  
214 interactions between individuals and the environment. The decision of an  
215 animal to engage or disengage to the threatening environment is extremely  
216 crucial for an animal to decide on how to respond to various situations like  
217 foraging, unexpected encounter of predator and other similar interactions with  
218 the environment meaningfully [73]. Considering that amygdala’s structural  
219 plasticity occurs much earlier in life, the engaging and disengaging behaviour  
220 might also be formed, processed and organised earlier in life [73]. This makes  
221 it important to learn the impacts of varying environment during early life.

## 222 *1.5 Impact of harsh environment*

223

224 While the presence of the mother is crucial in early life development, a  
225 mother’s presence under ‘impoverished conditions’ has proven to be a  
226 counterproductive. It is observed that the dam and litter living in resource-  
227 deprived conditions are distressed. When children and infants are exposed to  
228 severe poverty, famine, war or drug-abusing mothers, the stress is typically

229 chronic in nature. In this situation, the mother is typically present, but her  
230 behavior is abnormal due to stressful environment [74, 75]. An animal model  
231 of chronic early-life stress, “Limited Nesting model” was developed to recreate  
232 these important elements of the human condition. Manipulation of the  
233 environment and the quality of care from the mother in this model causes  
234 chronic ‘early life stress’ [76]. The experimental paradigm, consisting of  
235 limited nesting material recapitulates this pattern in rodents by replacing the  
236 bedding and nesting material with wire mesh. Hence, it is established that an  
237 impoverished environment in the presence of mother during early life induces  
238 detrimental effects on rat pups due to early life stress. Though multiple models  
239 have been established to study short-term ‘early life stress’, no models have  
240 been established to study short-term ‘early life enrichment’. This study is the  
241 first study that attempts to understand the impact of early life enrichment on the  
242 animal’s behavior during adulthood.

243

#### 244 *1.6 The ‘best’ way out of the worst environment*

245

246 Despite the fact that a stressful developmental stage causes various abnormal  
247 phenotypes that is harmful for the offspring, a school of thought expresses that  
248 these abnormalities could be beneficial considering the environment is the  
249 cause of the abnormality. Certain compromised developmental experiences can  
250 heighten memory processes and learning under stressful situations [77]. In  
251 certain cases, it is observed that this kind of putative abnormal and irregular  
252 morphological, physiological alterations can stimulate defensive and  
253 reproductive system for survival [78, 79]. Hence, early life adaptive coping  
254 mechanism towards a stressful environment allows the individual to make “the

best use out of the worst situation” to alleviate the inescapable health consequences. Additionally, it is important to note that even if an individual has adapted a mechanism to cope with stress during development, it might not be the “best mechanism” as it may cause harmful effects later in life. Such mechanisms are definitely not the ideal conditions of health and survival [80, 81]. Furthermore, Belsky suggests that natural selection might have designed human development to adapt to adversity in a certain manner that is now considered to be a putatively abnormal, non-ideal developmental phenotype [82]. Although this perspective opens avenues to think about early life stress from another angle (help to cope up with harsh environment), it certainly does not mean that we have to discard our attempts to discover novel strategies to mitigate the costs involved in adaptive response to stress (could cause detrimental impacts on later life health status) [78, 83, 84]. In fact, we need to address all the facets of early life stress and find ways to alleviate the detrimental effects associated with early development. It is suggested that the efficacy of interventions against developmental stress abnormalities can be strengthened by considering the cost and benefits of the altered (naturally selected) phenotype instead of concluding it as a putative physiological, morphological or mental health complication [82, 85, 86]. It is important to note that, though many studies suggested that an adaptive response in adulthood following early-life stress is difficult, studies are also trying to establish otherwise. A recent study shows that a short-term implementation of the enriching environment in adult rats can reverse the detrimental effects of early life stress [87]. The effects of reduced maternal care on cognitive function are reversed with peripubertal environmental enrichment [88]. This ensures that

280 the detrimental effects caused due to early life stress can be reversed in  
281 adulthood by manipulating the environment for a short-term. Stress, resilience  
282 and associated topics will be discussed in detail below in the next section.

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## Chapter 2

### 2. Introduction

#### 2.1 Homeostasis & Allostasis

**Homeostasis** originated from the Greek words, “same” and “steady” which means maintaining a steady and stable state of vital physiological processes that are important for an individual’s survival. Walter Cannon coined this term in 1930 and described it as various ways a body maintains steady states of various vital functions namely; pH, temperature, glucose levels, oxygen levels, etc., in response to varying environment [89]. A process that maintains homeostasis is called allostasis. **Allostasis** refers to the active processes that the body undergoes to re-establish homeostasis when exposed to a stressor. This could involve production of hormones or various mediators (e.g., adrenaline, noradrenaline, glucocorticoids, cytokine, etc.) that help an organism to adapt to different situations [90-92]. If we consider heart rate as an example, homeostasis refers to maintaining the heart rate at a stable “set point” for survival and allostasis is elevating the heart rate with the help of mediators (adrenaline) to adapt to a challenging situation (stress). Allostatic state promotes adaptation for a short-term but when this occurs chronically, it leads to an **allostatic load** that generates disease like hypertension due to chronic levels of elevated heart rate and constricted blood vessels. Therefore, chronic stress leads to detrimental problems in an individual due to allostatic load [92, 93].

## 320 *2.2 Stress & Resilience: Two sides of a coin*

321

322 Stress is defined as a condition that disturbs physiological or psychological  
323 homeostasis of an individual. Cannon [94] and Selye [95] proposed early  
324 conception of stress and its consequence on humans. In their theories, stress  
325 was described as a behavioural and physiological response due to interaction  
326 with the environment for the first time in 1930s.

327 Excessive degree of stress leads to negative social, psychological, and  
328 biological outcomes. For example, stress-related disorders including, anxiety  
329 disorders, post-traumatic stress disorder (PTSD), major depressive disorders  
330 (MDD) [96, 97]. Chronic exposure to stressful events causes surge in secretion  
331 of stress hormones (Glucocorticoids) (Figure 1) leading to negative impact on  
332 brain, cognition and behavior, whether it occurs during early life, adolescence,  
333 adulthood or old age [52, 98-101]. The magnitude of effect of stress in an  
334 individual varies with the age and extent of the stress exposure.  
335 Glucocorticoids (GC) are one of the primary mediators of allostasis and are  
336 crucial to initiate stress response to cope up with a stressful situation.

337 Though adverse stress leads to different disorders, there are individuals who  
338 can cope better without facing negative consequences. The variation in this  
339 coping strategy (active coping strategies) that helps the organisms deal well  
340 with the environment is called “resilience”. In this context, resilience refers to  
341 the capacity of an individual to counter successfully, the harmful effects of  
342 stress, successfully.

343 Resilience is the ability of an individual to sustain homeostasis when exposed  
344 to an extraordinary level of stress and trauma [102]. In a previously reported  
345 study, when mice were exposed to inescapable foot-shocks to induce learned

346 helplessness, majority of them were stressed but a subset ( $\approx 30\%$ ) exhibited  
347 resilient (*active coping*) feature wherein their escape latencies (successful  
348 escape) were comparable to non-stressed counterparts [103]. A study on  
349 consequences of premature children in poverty revealed that, around 10% of  
350 the affected kids displayed resilience in cognition, growth and overall health  
351 [104]. In another study, around 40% of maltreated children displayed resilience  
352 in spite of their stressful childhood [105]. Thus, it is intriguing to focus on  
353 previously mentioned long-term resilience [102]. Though resilience exists in  
354 certain individuals innately, it is present in minute percentage. This makes it  
355 interesting to explore various ways and methods to induce resilience in  
356 vulnerable individuals, that can help them to cope up with stress, better.

### 357 *Why study stress & resilience?*

358 Suicide is the second leading cause for premature death worldwide and  
359 violence is also one of the leading causes of death that accounts for about 14%  
360 of deaths in males and 7% of deaths in females [106, 107]. Since stress is one  
361 of the major causes for suicide [108] and violence [109], there is a need to  
362 target this problem and understand the basis of resilience as a counter-strategy.  
363 Early life is the critical period of life characterized by rapid growth,  
364 development and maturation of organs and systems. Variation in the quality of  
365 nurture and nature of environment can exert permanent and powerful effects  
366 upon later stages of life [110].

367 In the preceding paragraphs, we understand that both stress-vulnerable and  
368 stress-resilient are two contrasting outcomes that can emerge from the same  
369 stressful experience of an individual. As mentioned earlier, resilience is  
370 obtained in a *small percentage* naturally in response to a stressful situation.



371 Hence, it is necessary and important to study the underlying neurobiological  
372 mechanisms of resilience to counter the devastating effects of stress. In this  
373 study, resilient characteristics will be referred to as the active coping features.  
374 To determine the methods to counter stress, it is essential to understand how  
375 stress affects the internal system of an individual. We will now look into the  
376 various parameters that plays a crucial role in response to stress.

377

### 378 *2.3 The internal system: HPA axis*

379

380 Activation of Hypothalamic-Pituitary-Adrenal (HPA) axis in response to stress  
381 is initiated by corticotropin-releasing factor (CRF), which is synthesized and  
382 secreted by hypophysiotropic neurons localized in paraventricular nucleus of  
383 the hypothalamus. CRF, the principle regulator of the axis, activates CRF  
384 receptors in anterior pituitary causing the release of Adrenocorticotrophic  
385 (ACTH) hormone into the systemic circulation. ACTH then activates the  
386 receptor on adrenal cortex to stimulate the Glucocorticoid (GC) synthesis and  
387 further causes the release of Glucocorticoids from zona fasciculata into the  
388 bloodstream, which regulates the physiological and behavioral changes in  
389 response to stress and brings it back to baseline, when the stressor is gone.  
390 Incomplete or exaggerated activation of the HPA axis leads to emergence of  
391 pathological conditions [111, 112]. “Hypothalamic-Pituitary-Adrenal (HPA)  
392 axis” (Fig.1) releases glucocorticoids (stress hormones) to induce a flight or  
393 fright response and helps the body to return to homeostatic equilibrium after  
394 the stress episode is over [113].

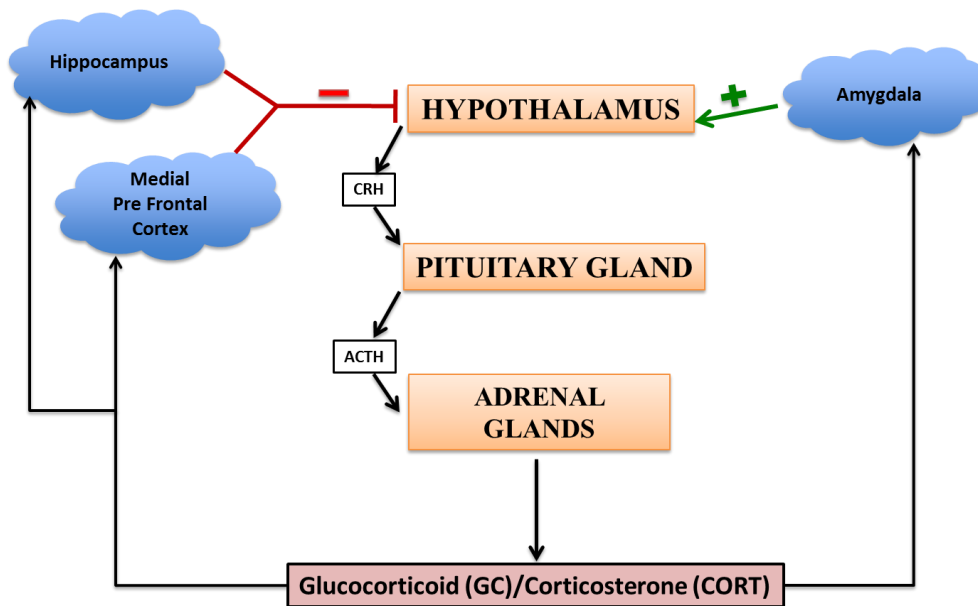


Figure 1: Hypothalamic-Pituitary-Adrenal axis

In addition to the neuroendocrine HPA axis as mentioned above, another captivating “Brain-HPA” is, **H**ippocampus, **P**refrontal cortex and **A**mygdala. These are the brain structures that contribute in the regulation of HPA axis. They have a significant effect on the release of GC and behavioral response to a stressful event [114, 115].

Hippocampus is located in the medial temporal lobe of the brain and is mainly responsible for spatial, episodic and contextual memory formation [116]. As shown in the figure, hippocampus is the primary brain region that provides negative feedback to the HPA axis. Hippocampectomy also results in increase level of CRF mRNA [117, 118]. Hippocampus was one of the most extensively explored brain regions in most of the primitive brain-related studies due to its wide involvement in cognitive processes [119, 120]. Glucocorticoid (GC) cascade hypothesis proposes that increase in glucocorticoids causes hippocampal neuronal loss, which in turn causes decrease in glucocorticoid receptor (GR) loss [121]. The structural plasticity of this region is highly dependent on the number and impact of stressful episodes experienced by an

individual (level of glucocorticoids in the systemic circulation) [122, 123]. Additionally, stress induced impairment of learning and memory is closely associated with alteration in hippocampal neurons [124, 125]. Early life stress induces alterations in CA3 region of hippocampal neurons [126, 127].

Another brain structure shown in the figure is amygdala, which is present deep in the temporal lobes and is most commonly called the “almond-shaped amygdala”. Bilateral radiofrequency lesions in amygdala showed low levels of corticosterone following adrenalectomy which was expected result (positive control) and interestingly, these animals also had reduced ACTH secretion [128, 129]. Therefore, disruption of amygdala influenced impaired expression of ACTH. This showed the involvement of amygdala in providing a positive feedback to the HPA axis with respect to the amount of GC present in the circulation. There are other studies that support this idea wherein lesions of the central amygdala inhibited the response of the HPA axis to stressful stimuli. Additionally, the electrical stimulation of the amygdala impaired the glucocorticoid negative feedback following neural stressful stimuli [130].

Amygdala is the emotional center of the brain and it helps in formation, processing and consolidation of fear memory [131]. Amygdala mediates fear and anxiety and this in turn drives the HPA axis to release stress hormones [59, 65, 132, 133]. Fear and emotion related memories are known to be consolidated in this region which in turn helps in long-term adaptability [134]. The amygdala is activated by corticosterone released during a stress response and this causes dendritic morphological changes and these changes have been correlated with anxiety and stress in prior studies [52, 53, 57, 135]. Neuronal morphology is associated with anxiety-like behavior and general stress

438 response.[52, 54, 57]. Acute and/ or chronic stress, as well as exogenous  
439 supplementation corticosterone results in neuronal hypertrophy in basolateral  
440 nucleus of amygdala (BLA) [136]. Additionally, rats subjected to chronic  
441 immobilization stress in both early life and adulthood has altered spine  
442 population in BLA [7, 17, 21].

443 Apart from hippocampus and amygdala, another brain region that plays a  
444 critical role in regulation of HPA axis is medial pre-frontal cortex (mPFC). It  
445 has an inhibitory role on the axis and the involvement of this region depends  
446 upon the magnitude of stressor. The evidence for involvement of mPFC in  
447 regulation of HPA axis was shown in studies based on lesions in mPFC that  
448 regulates ACTH and corticosterone in response to stress [137]. The mPFC  
449 indirectly provides a negative feedback to the HPA axis [138-140] and  
450 modulates fear responses [117, 139, 140]. Prelimbic (PrL) and Infralimbic (IL)  
451 regions are the two main sub regions that form mPFC. PrL is shown to play a  
452 role in fear-conditioning and learning while fear extinction is associated with  
453 IL [141]. mPFC region is sensitive to GC, which regulates stress response in a  
454 biphasic manner. This GR:MR ratio in mPFC is high which reflects the high  
455 traffic of stress hormones in this region [142]. Hence, the primary brain  
456 regions regulating HPA axis include the hippocampus, the amygdala and the  
457 medial prefrontal cortex (Brain-HPA).

458 Therefore, the interaction of the brain **Hippocampus-PFC-Amygdala** axes and  
459 **Hypothalamus-Pituitary-Adrenal** axes influences the behavioral read out of an  
460 individual. As seen earlier, stress has major impacts on the *structure of brain*,  
461 *neuroendocrine system* and *behavioral outcome*, which are the interacting triad  
462 in response to stress. In light of this, my study will focus on environment-

463 induced resilience associated with early life stressful experiences. The  
464 upcoming paragraphs will highlight the influences of differential early life  
465 environment on the interacting triad discussed above.

#### 466 *2.4 Influences of differential early-life environment*

467

468 The environment plays a crucial role in molding BLA, hippocampus and  
469 mPFC; the regions of the brain that are involved in regulating HPA axis as  
470 mentioned above. Social attachment is an integral environmental component  
471 that influences an individual's behaviour. The 'social brain hypothesis'  
472 explains the large brain size of primates. It states that a primate's social group  
473 size has a positive correlation with the volume of its prefrontal cortex [143].  
474 This correlation between PFC volume and social network size was found in  
475 humans as well, which is reflected in their social cognitive abilities [144]. This  
476 shows that the environment plays a major role in modulating brain regions. Of  
477 all the stages in life, early life is the most crucial one because this is the  
478 developmental stage wherein the environment plays a crucial role in driving  
479 behaviour and health for rest of the life. Exposure to chronic or severely  
480 stressful events in early life is a potential threat to physiological and  
481 psychological well-being. An individual's early life contributes to shaping the  
482 physical and emotional states in adulthood. Adverse experiences during this  
483 period result in manifestation of emotional disorders [145, 146]. A growing  
484 body of evidence shows that a disturbed relationship between parent and child,  
485 childhood negligence, abuse, and any such adverse childhood experience  
486 causes physical and behavioural dysfunctionalities that reflects on adulthood  
487 [147-149]. Adverse childhood experiences are seen to increase the risk of  
488 committing suicide [150-152]. Apart from physical and emotional damage,

489 neurological damage involving brain structures are also observed. For example,  
490 chronic stress causes reduced size of corpus callosum, disruption in  
491 development of regions that play an important role in modulating stress  
492 response namely neocortex, amygdala, and hippocampus [153, 154].

493 The influence of environment doesn't just commence from birth; it starts  
494 affecting the individual right from the foetal stage. A classic illustrator of this  
495 idea is the Dutch hunger during Second World War. In the Dutch famine of  
496 1944, pregnant women underwent starvation, resulting in an adverse foetal  
497 environment inside the womb due to lack of nutrition and energy supplements.  
498 These harsh prenatal conditions resulted in babies with low body weights at  
499 birth, who were susceptible to decline of cognitive functions, cardiovascular  
500 diseases, and obesity despite adequate food intake in the later stages of life.  
501 This was due to the food-deprived environment that persisted during their  
502 foetal stage, which programmed their bodies for forty or more years, to be  
503 unable to get over the early period of malnutrition. [155].

504 As mentioned earlier in the background, a crucial need of an individual during  
505 early life is, maternal care as it helps in the sound regulation of the HPA axis,  
506 which imparts emotional stability in the long run [156, 157]. Orphans growing  
507 up in institutions, deprived of social attachment during their early life display  
508 physical, psychological, and sexual aggression [158]. Impacts of mother and  
509 infant attachment were studied in the 1950s in a famous experiment on Rhesus  
510 Monkeys by a psychologist, Harry Harlow. He showed that the mother-infant  
511 relationship was more psychological than biological. The study suggested that  
512 mothers were important not just for supplementing food but also crucial for

513 touch-sensitive attachment with the infant that helped the long-term emotional  
514 and mental stability of the child [159].

515 In contrast, positive influences in early life show a healthy pattern of  
516 development in an individual. The impact of strong parental bonding,  
517 characterized by high affection towards children was linked to a lower risk of  
518 PTSD in adulthood, suggesting that emotional enrichment protects against  
519 PTSD in adulthood [160]. Contact behavior is particularly crucial for mother-  
520 infant interaction. Besides parental touch, face-to-face interaction is vital for  
521 infants to be an active participant in social encounters during their growing  
522 years [161]. Another good example of early life environment promoting  
523 learning and social interactions is speech. New evidence reveals that starting  
524 from the first months of life, listening to speech is a reliable tool to support the  
525 attainment of fundamental psychological processes like pattern learning,  
526 categorizing the formation of objects, identifying partners for communication,  
527 improving social interactions and developing social cognition [162].

## 528 *2.5 Intervention*

529

530 We need to address the important point of developing interventions against  
531 concerns associated with early life stress. Individuals vary in reacting to an  
532 adverse situation wherein not all individuals react in a similar manner towards  
533 adversity. When adults or children are exposed to a stressful environment, most  
534 of them are maladaptive while few of the individuals respond in a well adaptive  
535 manner and are resilient [163]. Therefore, processes and mechanisms driving  
536 resilience needs to be studied thoroughly so that these mechanisms can be  
537 utilised as an intervention to all the detrimental effects caused by early life  
538 stress [164]. The adults who are resilient (well adaptive) towards a stressful

539 episode might have been exposed to a stimulatory and enriched environment  
540 during early life or a mixture of stress and enriched environment during early  
541 life. This is a question that has not been addressed so far. There is a gap we  
542 need to fill in order to understand the connection between early life experiences  
543 and its impact on adulthood behaviours. My efforts would be to gain  
544 information on how early life exposure to different environments impact the  
545 adulthood of an individual.

546 To define and understand neurobiology of resilience, we need to focus on the  
547 other side of the coin, “stress”, from where different coping  
548 strategies/resilience starts. Maternal separation is a well-established early life  
549 stress model [165] and Enriched environment (EE) is a paradigm that induces  
550 pro-resilient behavioural and physiological features [166, 167] as well as  
551 adaptive behavioural and neural features in animals [168, 169]. So far, only  
552 two studies have determined that enriched environment can rescue the  
553 damaging effects of early life stress. One reported that environmental  
554 enrichment during the peri-pubertal period rescues the harmful effects of  
555 maternal separation on HPA and behavioural response to stressors [167].  
556 Another study reported that, short term enrichment reverses the anxiety and  
557 hypertrophy of BLA neurons in adulthood [87]. Since there is such limited  
558 information about how enriched environment can be applied in early life to  
559 counter stress and promote resilience, it makes it a fascinating area to explore.

560 *The hypothesis of this study: Maternally separated rats housed concurrently*  
561 *in enriched environment in early life (P2 to P21) shows active-coping*  
562 *behavior in adulthood and ameliorate the harmful impacts of maternal*



563 *separation on physiological response, neuronal structure, mRNA abundance*  
564 *and protein levels during adulthood.*

565 Animal models are invaluable for an understanding of the mechanisms  
566 involved in sequelae of early life environment. An interesting early-life study  
567 in rodents showed that natural fear of predator odor develops after postnatal  
568 day (PND) 10 and this is correlated with the function of amygdala and  
569 regulation of corticosterone (CORT) [170]. As mentioned in the background,  
570 the study highlighted that maternal presence acts as an environmental switch  
571 during infancy for stabilizing fear responses [171]. At this point we know that  
572 harmful effects of MS can be reversed via short-term enrichment in adulthood  
573 [87] and my effort is to find out if short-term EE given in early life  
574 concomitant to maternal separation (MS) will alleviate the physiological,  
575 behavioral, neuronal morphological and molecular changes insults. The  
576 following paragraphs will discuss about the background of the two important  
577 paradigms that was used in this study, MS and EE.

## 578 *2.6 Maternal Separation (MS)* 579

580 Various studies have shown that any form of alteration in maternal care can  
581 have a lasting effect on the stress response, behavior, and emotion in rats, as it  
582 is one of the most crucial influences during development [172]. Manipulations  
583 in early environment cause acute and long-term effects on endocrinology and  
584 behavior, which have been studied in various models including rats, mice,  
585 primates, etc. Bonnet infant monkeys show a compromised behavioral and  
586 physiological response to maternal separation. Similarly, pig-tailed and rhesus  
587 infant monkeys exhibit a maladaptive response to maternal separation wherein  
588 the mother and the conspecifics are removed [173-176].

589 In rats, one of the most well-studied environmental manipulations that have  
590 been reported is a brief daily separation of the pups from the mother (dam)  
591 before and after weaning. Levine et al. introduced a protocol where they  
592 separated the rat pups from dams for only 15 minutes, which was typically  
593 referred to as “early handling” (EH) but still considered a short maternal  
594 deprivation [177]. In the mid-1970’s a different but related procedure was  
595 introduced, namely that of prolonged maternal separation (MS). Maternal  
596 separation is a collective term used to describe various experimental methods  
597 that involve separation of a pup from the dam for different time periods. This  
598 study will be following separation for 180 min/day from PND 2 -14 as it is one  
599 of the most well-studied chronic stress paradigm in early life [165]. Hence, MS  
600 (used in this study) is undoubtedly a promising model to study early life stress.

## 601 *2.7 Effects of MS on Behavior and GC Levels* 602

603 A large body of evidence shows that MS during postnatal weeks causes a  
604 permanent increase in anxiety-related disorders [178]. Lifelong hyperactivity of  
605 HPA (hypothalamus-pituitary-adrenal) axis is another consequence of this  
606 postnatal separation [179]. Maternal care involving licking/grooming (LG) and  
607 arched-back nursing (ABN) of their pups (LG-ABN) is a strong influence on  
608 early life development, as the maternal care is the critical environment that  
609 moulds the pup’s long lasting coping with stress. Such maternal behaviors play  
610 a positive role in regulating the HPA axis of the pup [180] and these high LG  
611 offsprings exhibit similar maternal behaviour later on [181].

612 It has been found that when compared to control rats, MS rats show depressive-  
613 like behavior in the forced swimming test in adulthood [182] and anxiety-like  
614 behavior in the elevated plus maze [183]. MS rats also have increased HPA

axis responsiveness to stressors and elevated CRF mRNA in the PVN even in later stages of life [184]. As stated earlier, hippocampal GR causes glucocorticoid negative feedback, and hippocampal GR loss causes elevated GC levels. Therefore, there is a decrease in inhibitory signaling to the PVN-CRF neurons due to downregulation of hippocampal GR [121]. Apart from anxiety, MS affects cognitive and social behaviors too [185, 186]. A study on the behaviour of MS rats exhibited a significant cognitive impairment in two different tests, the Morris water maze task and the novel object recognition test [179, 187]. Therefore, neonatal MS in rats can be considered as a suitable animal model for stress-vulnerable organisms during earlylife that resulted in depression-like syndrome and enhanced stress responsiveness [188].

## *2.8 Effects of MS on neuronal plasticity and molecules in the brain*

Early-life stress leads to reductions in hippocampal neurogenesis as well as retraction of dendrites and spine density of the hippocampus, medial prefrontal cortex (mPFC) and nucleus accumbens [126]. A single episode of MS for 24 h at postnatal day 3 does not affect dendritic complexity in the basolateral amygdala (BLA) [127]. 3 hours of MS from P2-P14 caused hypertrophy of BLA neurons which was observed both in dendritic length and branch points [87]. These are the only two studies that gives us information about the BLA neuronal morphology with respect to MS paraidgm. This limited information available about MS and BLA makes it intriguing to explore further.

Various research using rodent models provides evidence for the role of early life stress on the epigenome. Poor maternal care in rats alters DNA methylation at a particular sequence of the glucocorticoid receptor gene (Nr3c1) in the

hippocampus of the pup, causing epigenetic and behavioral changes in adulthood. MS caused downregulation of hippocampal GR [189]. However, unaffected epigenetic changes have also been reported in MS rats where DNA methylation in the same region of Nr3c1 of the hippocampus does not occur [190]. MS rats are seen to have long-term adverse effects like increased level of plasma ACTH. Effects of maternal deprivation were not only limited to the HPA axis, but elevated CRH mRNA expression was also seen in the amygdala, which most certainly plays a role in the stress response [191]. This shows the influence of molecules in amygdala mediating stress response in MS rats. MS rats exposed to acute restraint stress were reported to have elevated levels of Fos positive cells (an estimate of neuronal activation) in the central nucleus of the amygdala, PVN and bed nucleus of the stria terminalis and each of these brain regions play a critical role in organizing the behavioral response to stress [192-194]. Though very little is known about the CRF receptors, few studies have shown that after MS for 24 hours CRF receptor two is down-regulated in ventromedial hypothalamus and biomedical amygdala [195]. Prior studies show that global effect of early life experience on the hippocampal transcriptome alters more than 900 genes in response to maternal care that in turn causes anxiety mediated behavior. Few of these changes seen in gene expression is reversed using histone deacetylase inhibitor and methyl donor. Such epigenetic changes due to MS have been widely studied in the hippocampus [190, 196, 197].

## 2.9 Enriched Environment (EE)

Though the positive effects of environmental stimulation and its enrichment have been studied for many years, it was Hebb in the late 1940's who proposed

666 “enriched environment” as an experimental concept. He reported that his pet  
667 rats displayed behavioral improvements as compared to his laboratory rats  
668 [198]. So to test this in an experimental setup, an “enriched” environment about  
669 a standard cage was designed, where the cages were much larger with more  
670 complex social interaction, and it also consisted of the exploratory environment  
671 with tunnels, toys, nesting material and running wheels in some cases [199-  
672 201]. This study has no voluntary wheel as the focus of the study was on the  
673 effects of housing condition and not exercise [166, 202, 203].

#### 674 *2.10 Effects of EE on behavior and GC levels*

675

676 The environment affects the shape and organization of animals which are more  
677 adaptable to it and are selected naturally to be propagated to further  
678 generations. These traits might be associated with behaviour of animals as well;  
679 particularly those, which are sensitive to environmental change. Mice exposed  
680 to the enriched environment had enhanced memory function in various learning  
681 tasks. These mice performed better in a spatial memory task (water maze task)  
682 than controls in standard housing cage [204]. Rodents exposed to an enriched  
683 environment, both before and after a cerebral insult show improved cognitive  
684 performance [205]. Recent studies have demonstrated that EE can influence  
685 prominent innate behaviors like avoidance of predator odor and attractiveness  
686 of males [206]. Clinical evidence showing reduced risk of dementia in  
687 individuals who have Parkinson's and Alzheimer's disease indicates that a  
688 stimulating environment has positive effects on cerebral health [207]. To see  
689 the benefits of EE, the same strain of mice was divided into two groups based  
690 on their exploratory behavior in open field task namely, high exploratory (HE)  
691 and low exploratory (LE). These animals were exposed to EE in adulthood for

692 two months to test if it modifies the existing behavior. The behaviors were  
693 examined on an open field to test exploratory behavior, novel object  
694 recognition to quantify the memory and the inhibitory avoidance task to test  
695 learning/memory. LE animals without enrichment showed low exploratory  
696 behavior and less memory performance in other tests, but enrichment provided  
697 to these LE animals enhanced the exploratory behavior in the open field and  
698 also increased the memory and learning performance in novel object  
699 recognition and inhibitory avoidance task [208]. Enrichment is also seen to  
700 reverse the effects of maternal separation on HPA axis where the MS rats were  
701 subjected to EE during the peripubertal stage. When the plasma corticosterone  
702 levels were compared between the MS and MS-EE rats, the latter showed much  
703 lower corticosterone levels than the MS rats [209].

704 In 1942, Robert Tyron raised two groups of “bright” and “dull” mice by  
705 repeatedly breeding a “bright” male (few mistakes made in the Hebb-William  
706 maze test) with a “bright” female and “dull” male (multiple mistakes made in  
707 the Hebb-William maze test) with a “dull” female. He took seven generations  
708 to achieve a state where the resultant progenies of both the lines were all  
709 “bright” and “dull” respectively [210]. Following the same line of research,  
710 Cooper and Zubek (1958) exposed a group of “bright” and “dull” to an  
711 enriched environment (spacious and stimulatory living conditions) and another  
712 group to the standard housing to compare the effects of housing on the rat’s  
713 behavior. This led to an interesting result where EE elevated the learning  
714 capacity in “dull” rats while EE did not affect “bright” rats. The standard  
715 conditions alleviated the learning ability in “bright” mice and did not influence  
716 “dull” rats. The ability of a simple environment to change the phenotype in one

717 generation that took seven generations of inbreeding previously is an  
718 outstanding observation [211]. Research on benefits of EE is being applied in  
719 zoos and farms where the animals are seen to thrive better in a more enriched  
720 environment [212].

### 721 *2.11 Effects of EE on neuronal plasticity and molecules in the brain* 722

723 Prior studies showed that genes were the sole reason responsible for driving  
724 individual behaviors and structure of the brain. Eventually, many investigators  
725 found that the environment also played a significant role in modulating an  
726 individual's behavior and brain's structure. The cerebral cortex is the area that  
727 takes part in higher cognitive processing, and this region is more receptive than  
728 other areas of the brain to environmental enrichment. A study was carried out  
729 to check the differences in the cortex region of a rat in EE and rats in  
730 impoverished conditions. Results showed that the cortex from the enriched  
731 group had increased in thickness compared with the standard group while the  
732 brains from the impoverished group decreased compared to the latter [213].  
733 These changes were thought to be due to alterations in the dendritic branching.  
734 More detailed studies revealed that the increase in cortical thickness was due to  
735 several factors including increased nerve cell size, number and length of  
736 dendrites, dendritic spines, and length of postsynaptic thickening as measured  
737 on electron microscopic pictures of synapses [214, 215].

738 Environmental enrichment and voluntary exercise exhibit similar effects in the  
739 brain. Studies showed that this change in environment and playing on wheels  
740 affected neurogenesis. Running enhanced the survival of the newborn neurons  
741 in the dentate gyrus [216, 217]. In addition to its effects on neurogenesis,  
742 studies have shown that enriched environment reduces spontaneous apoptotic

743 cell death in a rodent's hippocampus by 45% [205]. Moreover, these  
744 environmental conditions have a protective effect against kainate-induced  
745 seizures and excitotoxic injury.

746 The first molecular player to focus concerning HPA axis is glucocorticoid  
747 receptor (GR), GC activated transcription factors that maintain the regulation  
748 of HPA axis in response to various stressors [142, 218, 219]. The density of  
749 GR population across amygdala, hippocampus, and mPFC differ and has a link  
750 in providing feedback regulation via HPA axis [219]. The recruitment of  
751 activated GR in the nucleus as a transcription factor is a crucial step that  
752 initiates a relay of downstream molecular pathways in various brain regions to  
753 mediate stress response [112, 220]. Prior studies show that activation of GR  
754 through GC causes phosphorylation of Erk1/2<sup>MAPK</sup>. This activated Erk1/2<sup>MAPK</sup>  
755 causes relay of downstream molecular pathways that are crucial in mediating  
756 behavioral effects of GC [221, 222]. Another important molecule that interacts  
757 with GC-GR and Erk1/2<sup>MAPK</sup> is BDNF that mediates molecular cascade to  
758 enhance fear related memories. The enrichment also induces expression of  
759 glial-derived neurotrophic factor (GDNF) and brain-derived neurotrophic  
760 factor (BDNF) [205, 223]. It also increases phosphorylation of the transcription  
761 factor cyclic-AMP response element binding protein, indicating that the  
762 influence of the enriched environment on spontaneous apoptosis may be  
763 mediated through transcription factor activation [207].

764 In a study, stroke-induced a decrease in nerve growth factor-induced gene A  
765 (NGFI-A) mRNA expression in cortical areas and the CA1 subregion of the  
766 hippocampus two to three days after ischemia. However, when these rats were  
767 housed in an enriched environment, NGFI-A expression increased compared to



768 standard housing and the stroke-induced reduction of the hippocampal  
769 glucocorticoid receptor (GR) mRNA was reverted. By altering these molecules  
770 after the stroke, an improved functional outcome was attained by exposure to  
771 long-term EE [224-226]. A lot of focus in research is directed towards the  
772 response of EE in the hippocampus in a broad range of biological variables.  
773 The neurotrophic factors like nerve growth factor (NGF), brain-derived  
774 neurotrophic factor (BDNF) and neurotrophin-3 (NT-3) are some of the major  
775 factors to regulate the dendritic growth and the arborization of the pyramidal  
776 neurons in the hippocampus. NGF regulates structural plasticity in neurons and  
777 is upregulated under an enriched environment in the hippocampus to give rise  
778 to increased dendritic arborization and spine density [227]. NT-3 mRNA is also  
779 upregulated in the hippocampus of enriched rats. BDNF has a crucial role in  
780 modulating apical dendritic spine density and number of spines. This  
781 neurotrophic factor also has a high expression in hippocampus in response to  
782 EE [228].

783 Overall, EE induces the hippocampal changes in gene/protein expression of  
784 neurotrophins, glucocorticoid receptors, immediate early gene, serotonin  
785 receptors, etc., to cause the various changes in an individual[229]. These  
786 studies prove that EE has numerous beneficial effects and it can be a promising  
787 treatment as a counter-strategy to stress response.

788 Though numerous studies have been conducted using EE in adulthood as  
789 shown earlier, very few studies have been conducted using EE in early life,  
790 wherein long-term EE; lead to a conspicuous acceleration of visual system  
791 development appreciable at behavioral, electrophysiological and molecular  
792 level [230], slowed the rate of loss of one of the first identifiable neurochemical

793 deficits of Huntington's disease [231], prevented the prenatal stress-induced  
794 cognitive disorders [232] and overcame the effects of early life stress due to  
795 limited nesting on young adult rats [233]. None of the studies conducted so far  
796 have exposed the pups to short-term EE from P2-P21 to determine the  
797 beneficial effects of EE during development. This is an important gap in  
798 knowledge because most of the early life stress model have been established in  
799 this critical window (P2-P21) of development. The study focussed in this thesis  
800 is the first ever study to examine the effects of EE from P2-P21.

## 801 *2.12 Rationale behind this study*

802

803 From the above information, it was apparent that differential environment plays  
804 a crucial role in shaping an individual's health. Therefore, the rats were  
805 exposed to positive (EE) and stressful (MS) environment in early life and  
806 determined if EE can alleviate the detrimental effects of MS. Since, most of the  
807 behavioural pathologies in various species like rodents, primates and humans  
808 are associated with stressful/traumatic events in early life, we studied about the  
809 individuals under stressful condition with concurrent EE as one of the  
810 paradigms to promote resilience.

811 The study focused on the three primary nodes of a single triad that regularly  
812 interacts with each other: neuroendocrine output, morphology, and behavior  
813 under the influence of environment. Here, each node offered a vantage point to  
814 understand its critical role in shaping stress-coping strategies using enriched  
815 environment. Another crucial vantage point was the regulation of genes as  
816 mentioned earlier.

817 The study was broadly divided into the following specific aims, elaborating the  
818 objectives of this study:

819 **Specific aim 1 (S.A.1):** *To analyze the effect of early life MS, EE and MSEE*  
820 *on behavior and physiological readouts in adult male rats.*

821 **Specific aim 2 (S.A.2):** *To determine the effect of early life MS, EE and*  
822 *MSEE on morphological changes in Basolateral amygdala, hippocampal and*  
823 *mPFC neurons in adult male rats.*

824 **Specific aim 3 (S.A.3):** *To identify the role of candidate genes in driving*  
825 *behavioral changes in S.A.1 and morphological changes in S.A.2 (e.g., GR,*  
826 *BDNF, CRF, etc.,) in adult male rats.*

827 **Specific aim 4:** *To delineate underlying possible epigenetic changes that*  
828 *drives S.A.1, S.A.2 and S.A.3 in adult male rats.*

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## 841    **Chapter 3**

### 842        **3. Materials and Methods**

#### 843    *3.1 Animals*

844    Male and female Wistar rats (7 weeks old and housed 2/cage) were procured  
845    from Charles River, Kingston, North America. Breeding was initiated between  
846    a pair of rats after at least two weeks of habituation in Nanyang Technological  
847    University vivarium. Animals were maintained in a 12h light-dark cycle (0700-  
848    1900 h light on, 1900-0700 h light off) with *ad libitum* food and water. The  
849    institutional animal care and use committee (IACUC) of the NTU approved all  
850    experimental procedures.

#### 851    *Breeding protocol*

852    Naïve female breeders were procured at 7-8 weeks of age. Females were  
853    allowed to acclimatize for at least five days before breeding was set up. All  
854    breeders were housed in the corner of room away from the door and high traffic  
855    areas. Bedding was changed once a week, but with gentle handling of the  
856    females, in case of pregnancy. A handful of bedding from the old cage was  
857    added to the new cage to reduce novelty stress in females. Ten days after the  
858    breeding pairs were set up, females were checked once or twice a week for  
859    signs of pregnancy (swollen abdomen). Once pregnancy was confirmed  
860    (approx. 2 weeks), males were removed. 19 days after breeding pairs were set  
861    up (or if females were visually heavily pregnant); cages were checked daily for  
862    the presence of litters.

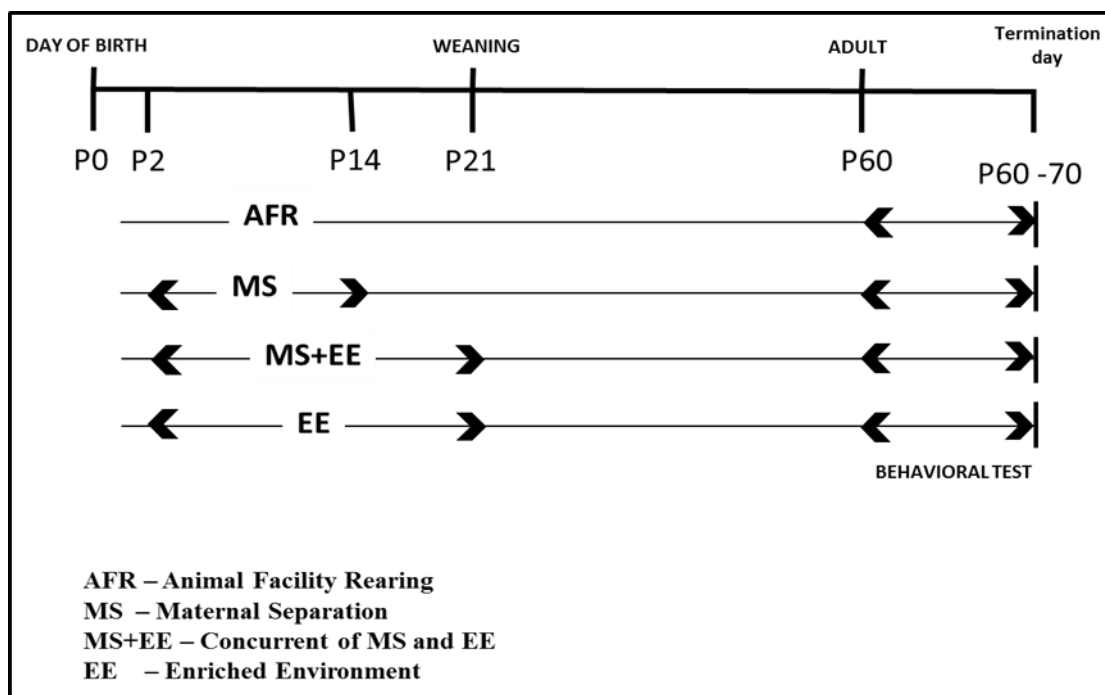
863 Day of birth was assigned as P0. Following birth, cages were left undisturbed  
864 by (no cage cleaning). Feeding and watering were handled by me until  
865 weaning.

866 On P2, pups were sexed. If more than 12 pups were born to a single female,  
867 female pups were culled to obtain a litter size less than or equal to 12. Cages  
868 were cleaned by me on P2, P9, P14 and P18 (if required). On P21, pups were  
869 weaned (separated from dam/mother). After weaning the animals, the males  
870 were housed two animals per cage and habituated for a week in NTU Animal  
871 facility. The animals were fed and watered ad-libitum and were maintained in a  
872 light-dark cycle of 12 h (0700-1900 h light on, 1900-0700 h light off). The  
873 animals not subjected to any treatment were considered as animal facility  
874 rearing (AFR) group/control group. For AFR controls, litters were undisturbed,  
875 except for cage changing on P2, P9 and P14. After P14, litters were handled the  
876 same way as all other group's litters.

877 For every experiment conducted in this study, multiple litters were used. The  
878 litters were taken from different dams for each group and each dam was bred  
879 only once. Pups from different dams were chosen for each experiment to avoid  
880 bias of one particular maternal grooming in experiment as licking pattern can  
881 vary for each dam [181]. Since there were different cohorts used for all the  
882 experiments, the numbers of pups vary for each experiment. The number of  
883 animals used for each experiment is indicated in each figure legend.

884

## 885 Experimental paradigms



886

887

888 **Figure M.1: Timeline of experiments showing the duration of early life**

889 **treatments as well as the timeline of behavioral tests.** Termination day varies

890 depending on the endpoint of the study. Please refer to the respective schedule

891 for each experiment.

### 892 3.2 Maternal separation (MS)

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894 On P2-P14 (daily), using a gloved hand, the mother was placed in a clean cage

895 with fresh bedding and returned to the shelf. Pups were then moved one-by-one

896 into a clean cage as a group, brought to another room, kept on a heat pad and

897 monitored for three hours. After separation, pups were returned to the home

898 cage, placed in the nest and sprinkled with dirty bedding. The mother was then

899 returned to the home cage.

900 On P2, P9, and P14, following separation, the animals were placed into a clean  
901 cage and sprinkled with a handful of bedding and nesting material from the old  
902 cage. This was repeated on P18 if due to litter size the cage was visibly dirty.  
903 On P21, the pups were weaned.



904  
905 **Figure M.2: Maternal separation model.** Dam is kept in a clean cage in a  
906 room and pups were kept in a clean cage as a group in another room and  
907 monitored for three hours.

908

### 909 *3.3 Environmental enrichment (EE)*

910

911 The EE groups were housed in Enrichment (EE) cages on P2-P21 (1 dam and  
912 her litter). The EE cages (72 x 51 x 110 cm<sup>3</sup>) were more spacious than standard  
913 cages. They were equipped with plastic pipes for burrowing, plastic and  
914 wooden toys, jingles, platforms, ladder for climbing, baskets, and nesting  
915 material. EE cages were also wire meshed for rats to climb. The arrangement of  
916 the various stimulatory objects within the EE cage were changed every 4th day,  
917 and some fruits loops and sunflower seeds were also dispersed every 4<sup>th</sup> day.



918

919 **Figure M.3: Environmental enrichment cage.** EE cages (72 x 51 x 110 cm<sup>3</sup>)  
 920 were equipped with plastic pipes for burrowing, plastic toys, wooden toys,  
 921 jingles, platforms, ladder for climbing, baskets, and nesting material.

#### 922 *3.4 Maternal separation + Enriched Environment (MSEE)*

923

924 The MSEE group underwent maternal separation just like MS group, but was  
 925 housed in EE cage instead of the standard cage from P2-P21. The EE cages  
 926 used for this group were similar to EE group.

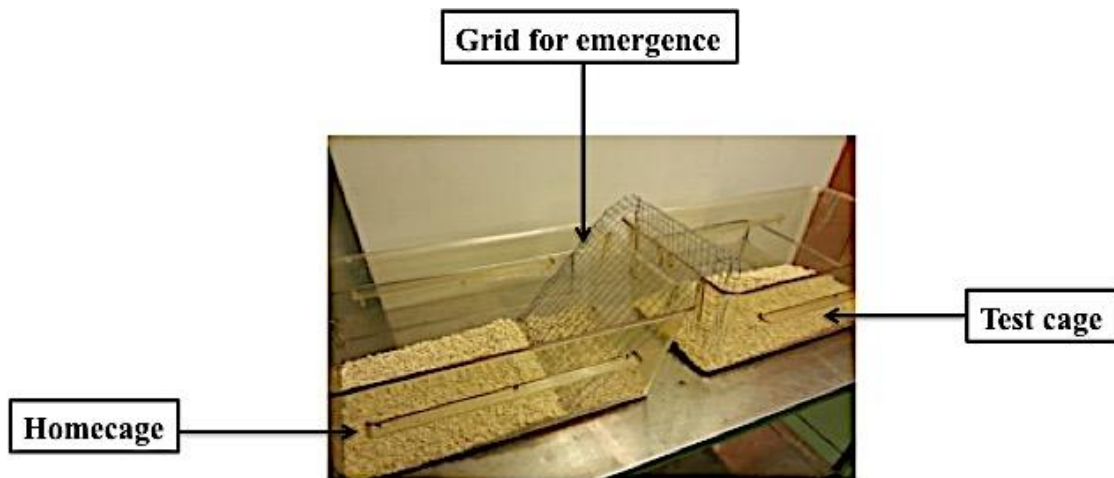
#### 927 *3.5 Home cage emergence test*

928

929 The home cage emergence test was adapted and modified from Prickaerts, J. et  
 930 al., (1996) [234]. A rat in its home cage was moved from the holding room to  
 931 the test room and habituated for 5 minutes. The whole area of the test was well  
 932 lit. The home cage was left open, and the rat was offered the possibility of  
 933 emerging from its home cage via a grid. This was observed for 5 minutes. The  
 934 latency to emerge from the home cage (i.e., the time until the rat was on the  
 935 grid outside its home cage with all four legs) was scored. The acquisition and  
 936 the test trials were video recorded and scored blinded manually afterward.



937 Apparatus was cleaned with 70% ethanol after each animal's emergence and  
938 allowed to dry before the next test.



939

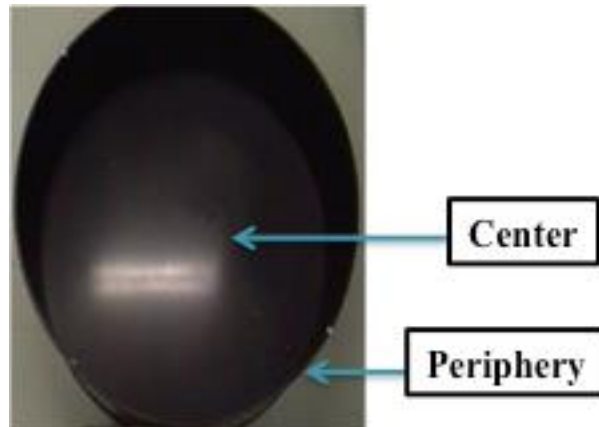
940 **Figure M.4: Home cage emergence setup.** The home cage was left open, and  
941 the rat was offered the possibility of emerging from its home cage via a grid to  
942 move to the test cage.

### 943 *3.6 Open field test*

944

945 Open field test was conducted in a circular arena. Animals were placed in an  
946 open arena (radius=120 cm, trial duration=300 s, diffused dim light conditions).  
947 Time spent in the center of the field was quantified as the reciprocal proxy of  
948 the anxiety (center defined as a concentric circle to the arena with 0.33 m  
949 radius). All tests were conducted between 09.00AM and 12.00PM (duration =  
950 300s). Apparatus was cleaned with 70% ethanol after each animal and allowed  
951 to dry before the next test.

952



953

954 **Figure M.5: Open field test setup.** Open field test was conducted in a circular  
 955 arena. Animals were placed in an open arena (radius=120 cm, trial  
 956 duration=300 s, diffused dim light conditions).

### 957 *3.7 Light dark box test*

958

959 The light dark exploration test was conducted as previously described [235].  
 960 The apparatus consisted of a polypropylene cage (separated into two  
 961 compartments by a partition, which had a small opening (10 X 8 cm<sup>2</sup>) at floor  
 962 level. The light compartment (28 cm long) was open-topped, and very brightly  
 963 illuminated by a desk lamp (500 lx). The dark compartment (24 cm long) was  
 964 also open-topped and painted black without light. Rats were individually  
 965 placed in the center of the black compartment, facing away from the partition,  
 966 and allowed to explore the apparatus for 5 minutes freely. The total time spent  
 967 in the light and dark compartment were video recorded and scored blinded  
 968 manually afterward. Apparatus was cleaned with 70% ethanol after each  
 969 animal and allowed to dry before the next test.



970

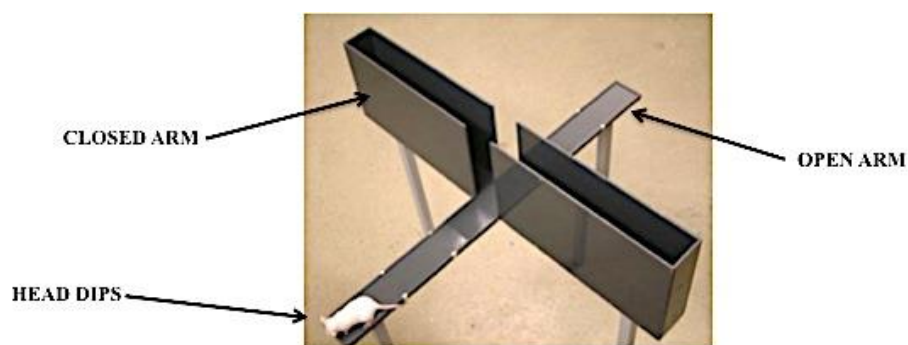
971 **Figure M.6: Light dark box setup.** The apparatus consisted of a  
 972 polypropylene cage, separated into two compartments by a partition, which had  
 973 a small opening at floor level. The white compartment was open-topped and  
 974 very brightly illuminated. The dark compartment was also open-topped and  
 975 painted black without light.

### 976 *3.8 Elevated plus maze*

977

978 Anxiety was measured using an elevated plus-maze (EPM, [236]). The EPM  
 979 consisted of a plus-shaped arena with two open (75 x 11cm, 1cm wall, 3-4 lux  
 980 illumination) and two enclosed arms (75 x 11 cm, 26 cm wall, 0 lux  
 981 illumination). The arena was elevated to 60 cm above the ground. The animal  
 982 was placed at the center at the start of trial. Exploration in the open and  
 983 enclosed arms was quantified for the duration of 5 minutes. Apparatus was  
 984 cleaned with 70% ethanol after each animal and allowed to dry before the next  
 985 test. Open arm exploration (entries and occupancy time) relative to sum of  
 986 open and enclosed arm exploration was used as an index for anxiety [236].  
 987 Entry in an arm was defined as the presence of the whole body including head,  
 988 four paws and at least base of the tail inside the open arm. Studies using factor  
 989 analysis have shown that the number of closed-arm entries corresponds to the  
 990 general locomotion of rats [237]. Therefore, the number of closed-arm entries

991 was also measured as an internal control. Also, the number of head dips was  
992 quantified. Head dip is defined as downward movement of the head toward the  
993 floor, extending completely out of the open arm [236]. It has been defined as a  
994 measure of exploratory, and goal-directed behavior. Its absence indicates  
995 passive behavior [238-240]. Such an exploratory trait representing active  
996 coping serves as a potential marker for stress-resilience. Trials were videotaped  
997 and coded before offline analysis.



998  
999 **Figure M.7: Elevated plus maze setup.** The EPM consisted of a plus-shaped  
1000 arena with two open and two enclosed arms. The arena was elevated to 60 cm  
1001 above the ground.

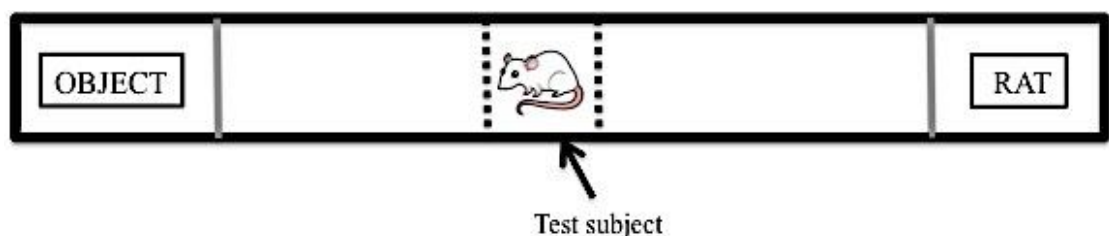
### 1002 *3.9 Social interaction test*

1003

1004 The social preference test was adapted from the protocol described by Vandana  
1005 and collaborators to investigate social affiliation in male rats [241]. The test  
1006 was carried out in a rectangular, three-chambered box (a center  $20 \times 35 \times 35$   
1007  $\text{cm}^3$ ; a left and a right compartment  $30 \times 35 \times 35 \text{ cm}^3$ ). Dividing walls had  
1008 retractable doorways allowing access to each chamber. Left and the right  
1009 compartment were separated from the central compartment with the help of  
1010 transparent Plexiglas with small holes, where either a social (unfamiliar rat of  
1011 similar weight) or a non-social stimulus (yellow plastic box) was placed. The  
1012 Plexiglas permits visual, tactile, auditory and olfactory communication. The

1013 juvenile rats were first habituated to the three-chambered apparatus by placing  
1014 them individually in the central compartment for 10 min during the three  
1015 consecutive days preceding the social test. The doorways into the two side  
1016 chambers were closed during this habituation phase.

1017 On the testing day, the unfamiliar juvenile was placed in one of the side  
1018 chambers and the object on the other side. The experimental rat was first placed  
1019 in the middle chamber and allowed to explore for 5 min. The location of the  
1020 juvenile and the object in the left vs. right side chamber was counter-balanced.  
1021 The session was video-recorded and the time spent sniffing each cylinder  
1022 containing the object or unfamiliar juvenile was scored offline to evaluate the  
1023 level of preference for the unfamiliar juvenile as compared to the object. The  
1024 rats were considered to have explored the object and the juvenile when their  
1025 behaviour complied with the following criteria: (1) when they were  
1026 approaching the respective compartment with their nose at a distance less than  
1027 approximately 2 cm (2) when their nose was oriented toward the contents of  
1028 the compartment (i.e., juvenile rat or object). These criteria of proximity and  
1029 orientation were followed and quantified as sniffing behavior. The entire  
1030 apparatus was cleaned with 70% ethanol solution and dried properly between  
1031 each test.



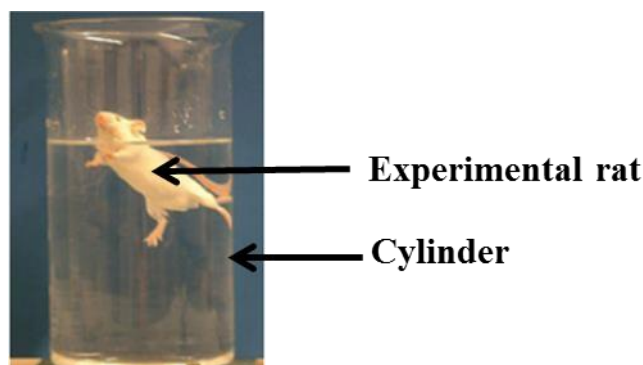
1032

1033 **Figure M.8: Schematic of the three-chambered box used to perform social**  
1034 **interaction test.** The test was carried out in a rectangular, three-chambered  
1035 box. Left and the right compartment were separated from the central  
1036 compartment with the help of transparent Plexiglas with small holes, where  
1037 either a social (unfamiliar rat of similar weight) or a non-social stimulus  
1038 (yellow plastic box) was placed.

1039

### 1040 *3.10 Porsolt's forced swim test*

1041 The animals were tested for depressive-like symptoms (despair) using Porsolt's  
1042 forced swim task [242] . On the first day (T1) of the test, each animal was put  
1043 in a water container (diameter = 20 cm, height = 50 cm) for 15 minutes for  
1044 habituation. The temperature of the water was maintained at 21-23°C and was  
1045 filled up to 33 cm of the container. On the second day (T2) of the test, each  
1046 animal was tested for forced swim and videotaped for 10 minutes (conducted  
1047 between 09.00AM-12.00PM). After each test, the animal was returned to its  
1048 home cage, and the container was cleaned thoroughly with water. I was blind to  
1049 treatments during offline analysis. Parameters such as swimming and  
1050 immobility (an indication of despair or depressive-like behavior) were timed  
1051 and compared across groups.

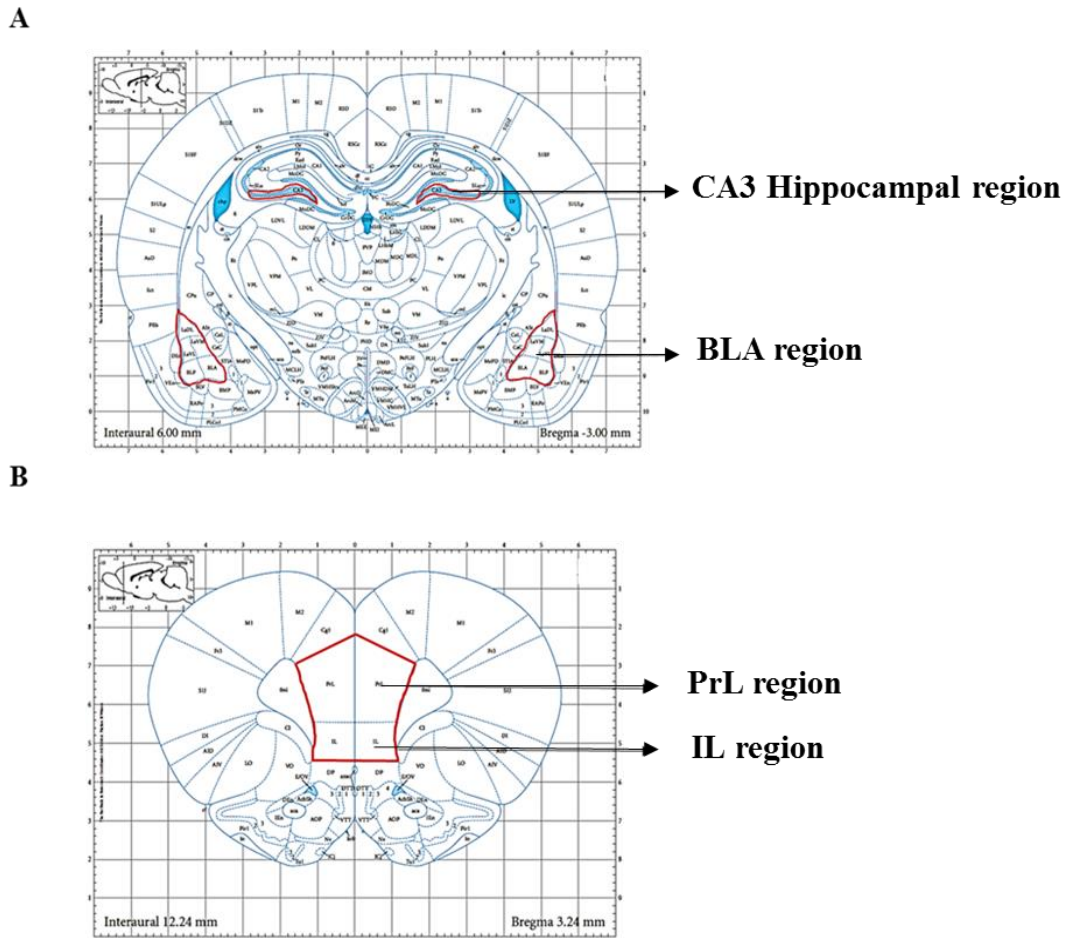


1052

1053 **Figure M.9: Forced swim test setup.** The animals were tested for depressive-  
1054 like symptoms (despair) using Porsolt's forced swim task. The temperature of  
1055 the water was maintained at 21-23°C and was filled up to 33 cm of the  
1056 container.

### 1057 *3.11 Measurement of dendritic arborization* 1058

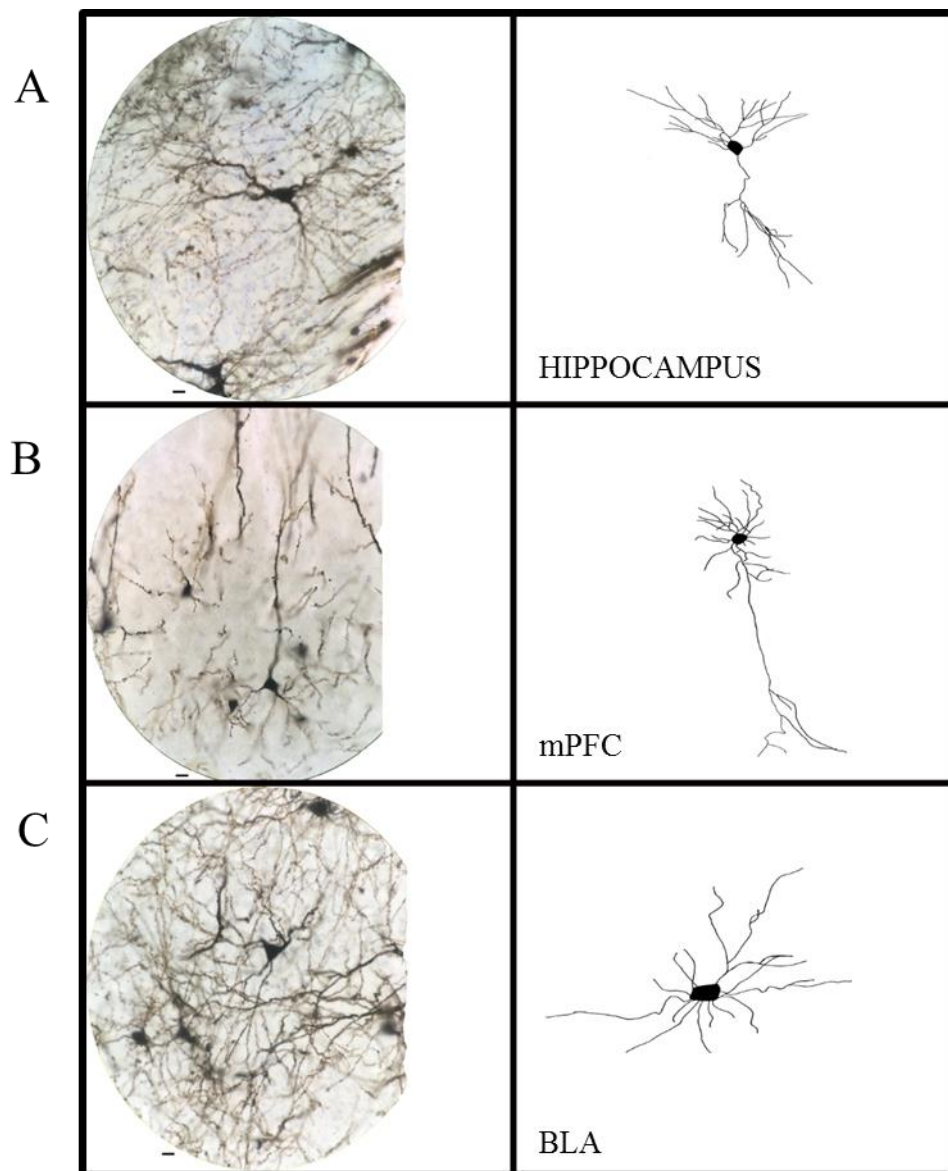
1059 Freshly harvested brains were obtained by sacrifice through decapitation.  
1060 Blocks of brain tissue were processed for Golgi-Cox stain using a commercial  
1061 kit (FD Neurotechnologies, Columbia, USA). The golgi solution (solution A  
1062 and B, mixed in equal amount) was prepared 24 hours before tissue extraction.  
1063 The freshly harvested tissue was immersed in Golgi solution soon after the  
1064 extraction and these brains were transferred to fresh golgi solution after 24  
1065 hours. The brains were kept in the golgi solution for 2 weeks and then frozen  
1066 using dry ice. The tissue was then cryo-sectioned (100-µm thick) and further  
1067 processed using cresyl violet staining. The stained 100-µm thick coronal  
1068 sections were mounted on glass slides. One batch of animals was used to obtain  
1069 sections containing both BLA and hippocampus (Bregma -2.04 to -3.36, Figure  
1070 M.10A [243]) and another one for sections containing mPFC (Bregma 3.72 to  
1071 3.00, Figure M.10B, The Rat Brain, Paxinos and Watson [243]). For mPFC,  
1072 neurons from the prelimbic and infralimbic regions were analyzed separately.  
1073 Therefore all sections in the second batch contained these regions. The slides  
1074 were counter-stained with cresyl violet, dehydrated using an ascending series  
1075 of alcohol and xylene, and coverslipped in non-aqueous medium (Permount,  
1076 Thermofisher Scientific, USA).



1077

1078 **Figure M.10: Coronal brain section showing sampled BLA, mPFC and**  
 1079 **hippocampal region.** A) Representative coronal brain section used for  
 1080 sampling neurons from the basolateral amygdala (BLA) and the hippocampus.  
 1081 B) Representative coronal brain section used for sampling neurons from the  
 1082 Prelimbic (PrL) and the Infralimbic (IL) regions. Image source: The Rat Brain,  
 1083 Paxinos and Watson [243].



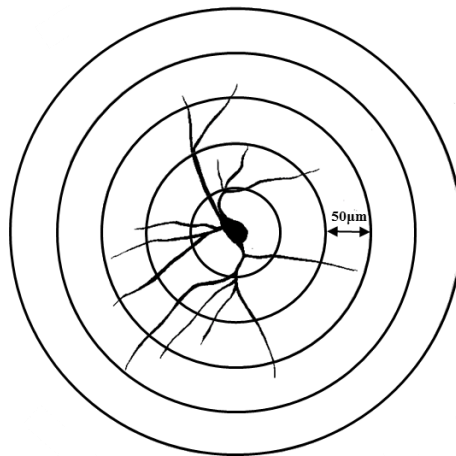


1084

1085 **Figure M.11: Representative images of neurons from the hippocampus,**

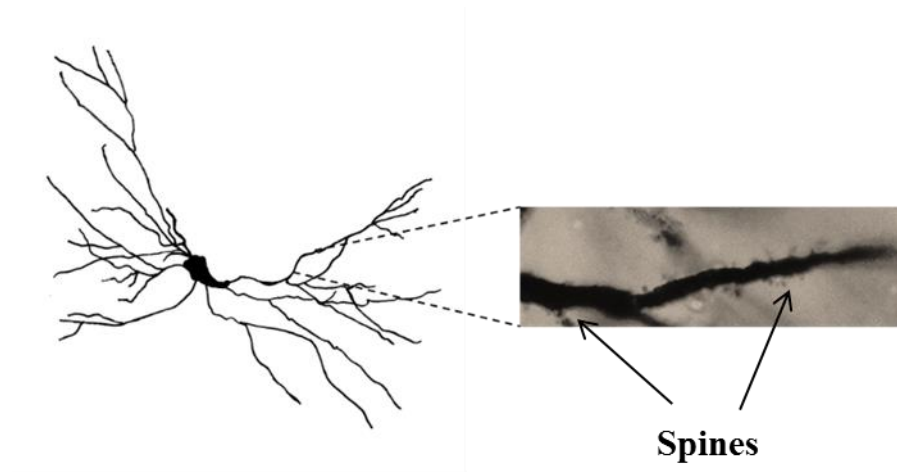
1086 **mPFC, and BLA. Scale bar is 20  $\mu$ m and represents all images.**

Two-dimensional traces of neurons (10 neurons per animal) from three regions: BLA, hippocampus, and medial prefrontal cortex were obtained at 400X magnification using a camera lucida attachment on the optical microscope (Olympus BX43, Japan). Randomly chosen neurons from each region were analyzed for neuronal complexity and spine analysis (Table M.11). Traces were scanned (300 dpi, 8-bit greyscale tiff) along with a calibrated scale for subsequent computerized estimation of dendritic arbors using custom-designed routine embedded in ImageJ (<http://rsb.info.nih.gov/ij/>). Dendritic length and number of branch points were quantified as a function of radial distance from the cell soma (Sholl analysis, Figure M.12) [244, 245]. This is represented as both dendritic length and total branch points in results.



**Figure M.12: Sholl analysis of a BLA neuron sample.** Sholl analysis includes quantification of dendritic length and number of branch points in each segment of the overlying concentric circles (50μm radius) starting from the soma till the distal ends of the neuron.

1105 Dendritic spines were counted using the 1000X oil-immersion magnification  
1106 using Olympus BX43 microscope. Dendrites directly originating from cell  
1107 soma were classified as primary dendrites, and those arising from primary  
1108 dendrites were classified as secondary dendrites [246]. Starting from the origin  
1109 of the branch, and continuing away from cell soma, spines were counted along  
1110 a 60-80 $\mu$ m stretch of dendrite depending upon the type of neurons (Table M.1).  
1111 One dendrite of each kind (primary/secondary) per cell and 4-10 cells per  
1112 animals for dendritic spines were analyzed (Figure M.13). Since morphology  
1113 and spine analysis were done independently, but from the same slides, there is  
1114 a good chance of an overlap among the cells that underwent analysis for both  
1115 morphology and spine analysis.



1116  
1117 **Figure M.13: Illustrative representation of neuronal spine analysis.**  
1118 Highlighted region indicates a stretch of primary dendrite (at 1000X  
1119 magnification) selected from a sample pyramidal neuron for spine analysis.

1120

1121

1122 Table M.1: Details of neuronal types in each brain region selected for morphological  
1123 analysis.

Brain Region	Type of neurons	Bregma	Length of dendrite analyzed for spines
Basolateral Amygdala	Pyramidal and stellate neurons	-2.04 to -3.36	60 $\mu\text{m}$
Hippocampus	CA3 Pyramidal neurons	-2.04 to -3.36	60 $\mu\text{m}$
Prelimbic region (mPFC)	Pyramidal neurons	3.72 to 3.00	80 $\mu\text{m}$
Infralimbic region (mPFC)	Pyramidal neurons	3.72 to 3.00	60 $\mu\text{m}$

1124

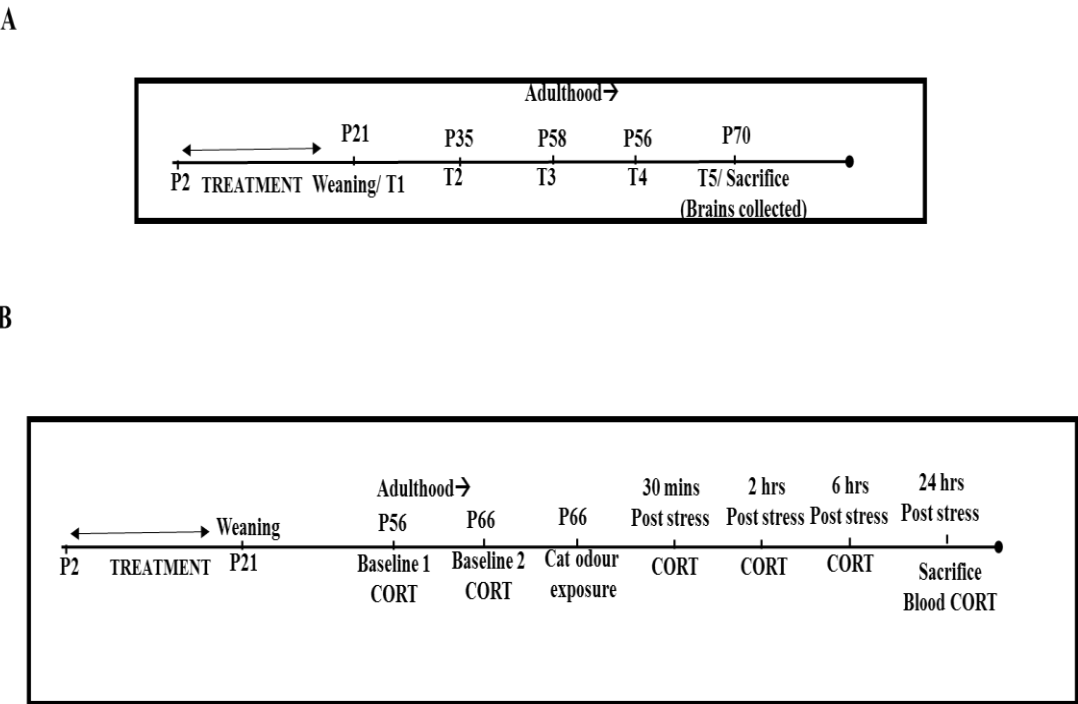
1125 *3.12 Measurement of corticosterone, weight of adrenal gland, and*  
1126 *body weight.*

1127

1128 The various time points for blood collection are summarised in the figure  
1129 below (Figure M.14B). Certain time points were taken post-stress exposure.

1130 The stress exposure was 2 mL of cat urine (predator odor) added to a cotton  
1131 pad and placed in the home cage for 5 mins. Tail vein blood samples were  
1132 collected from animals for corticosterone measurement. Animals were lightly  
1133 restrained in a soft cotton towel, and 50-200  $\mu\text{l}$  blood was drawn from tail nick  
1134 at lateral vessels. This method is known to result in minimal stress [247]. Blood

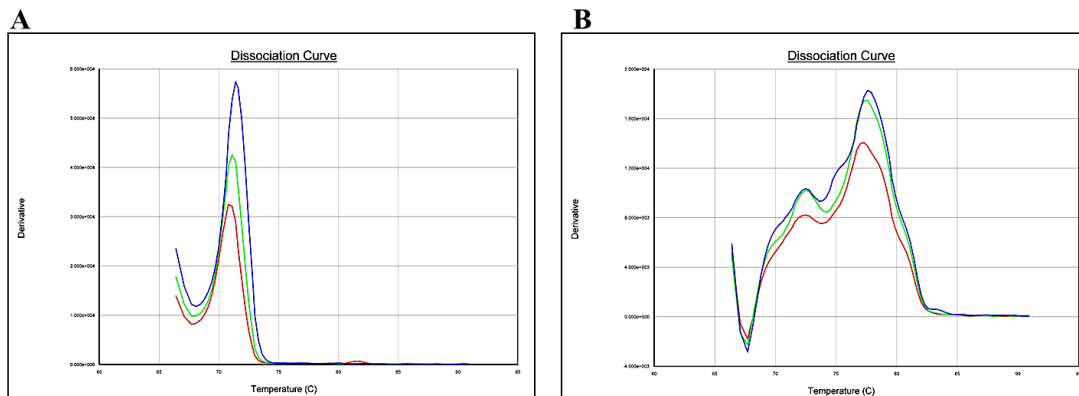
1135 was centrifuged (4700 rpm, 15 minutes; Thermo Scientific Sorvall Legend  
 1136 XTR, Germany) and separated serum was stored at -80°C until further analysis.  
 1137 The concentration of serum corticosterone was quantified using an enzyme-  
 1138 linked immunoassay based commercial kit (Enzo life sciences, NY, USA).  
 1139 Also, adrenal glands were collected bilaterally using forceps (wiped dry and  
 1140 clean) during sacrifice and dry weight was taken. Body weights of all animals  
 1141 were recorded at various time points throughout the experiment to assess  
 1142 weight gain. The different time points for weighing are summarised in the  
 1143 figure below (Figure M.14A). Area under the curve (AUC) for-weight gain was  
 1144 compared across groups.



1145  
 1146 **Figure M.14: Experimental timelines for Physiological endpoints.** A)  
 1147 Experimental timeline for weight measurements. B) Experimental timeline for  
 1148 blood collection to determine plasma corticosterone levels.

1149 *3.13 Quantification of mRNA abundance in the basolateral*  
1150 *amygdala*  
1151

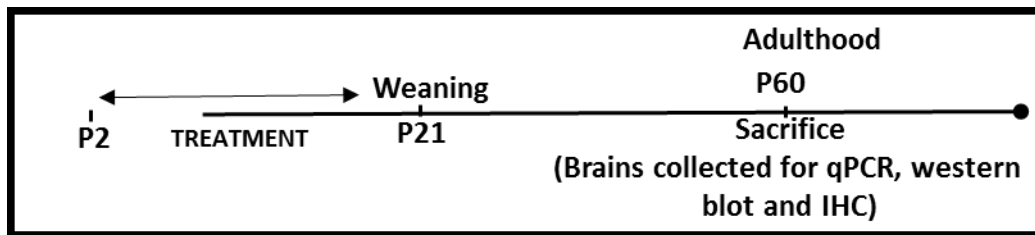
1152 Brain tissue was harvested post-decapitation and flash-frozen in liquid nitrogen.  
1153 The tissue was stored at -80°C until further analysis. Subsequently, mRNA  
1154 abundance was quantified for GR, BDNF, Trk B, Arc, Erk-1 and MR. A  
1155 standard method based on SYBR green dye was used as described before [248,  
1156 249]. PCR cycles needed to reach a predetermined fluorescence threshold at the  
1157 early linear phase of the amplification were determined (Ct, threshold cycle  
1158 number). Greater mRNA abundance in this assay results in lower Ct values. Ct  
1159 values for all molecules were normalized by subtracting corresponding Ct  
1160 values of 2 housekeeping genes (HPRT and GAPDH). Three technical  
1161 replicates were used for each determination. Median coefficient of variation  
1162 between technical replicates was  $\leq 15\%$ . ‘No-template control’ and ‘no-RT  
1163 control’ were maintained in every plate used in the experiment. Multiple  
1164 primers were designed before choosing the final primer. The primer efficiency  
1165 of the final primer that was considered in this experiment was  $>90\%$ . The  
1166 baseline threshold was automatically set using CFX Maestro™ Software,  
1167 which was reliable and unbiased between the groups and this was confirmed  
1168 after each run. The dissociation curve was performed for every single primer to  
1169 avoid primer-dimers. For clarity, an example of acceptable and unacceptable  
1170 dissociation curve is given in Figure. M.15. Refer to figure M.16 for the  
1171 schedule of the experiment.



**Figure M.15: Dissociation curve for qPCR.** The dissociation curves displayed above are examples of acceptable (A) and unacceptable (B) dissociation curves used for selecting a suitable primer for each gene of interest.

**Table M.2: List of Primers used for qPCR and their sequences**

Primer Name	Sequence 5' to 3'
CRF_F	ATCAAGGTGTCTGAGGGCACCTAC
CRF_R	TGGCCTAAATCTGCTTGGCGAAC
Nr3c2_F	AGGCTTCTGGGTGTCACATGG
Nr3c2_R	ACACAGATAGTTGTGTTGCCCTTC
BDNF_F	GAGAAGAGTGATGACCATCCT
BDNF_R	TCACGTGCTCAAAAGTGTCAG
Arc_F	ACAGAGGATGAGACTGAGGCAC
Arc_R	TATTCAGGCTGGGTCCTGTCAC
GAPDH_F	TCACCACCATGGAGAAGGC
GAPDH_R	GCTAAGCAGTTGGTGGTGCA
HPRT_F	AGGCCAGACTTTGTTGGATT
HPRT_R	GCTTTTCCACTTTCGCTGAT
ERK_F	TCCCAAACGCTGACTCCAAAGC
ERK_R	GCCAGAGCCTGTTCAACTTCAATC
TrKB_F	AGCTGACGAGTTTGTCCAGGAG
TrKB_R	ACGGATTACCCGTCAGGATCAG
GR_F	AGGCTGGAATGAACCTTGAAGCTC
GR_R	TCCTGCAGTGGCTTGCTGAATC



**Figure M.16: Schedule of experiment for qPCR, western blot and immunohistochemistry (IHC) endpoints.**

### *3.14 Protein expression studies in the basolateral amygdala*

#### *Tissue preparation for immunostaining*

Rats were sacrificed through transcardial perfusion of phosphate-buffered saline (PBS) followed by 4% paraformaldehyde dissolved in buffered saline. Harvested brains were post-fixed and equilibrated with 30% sucrose in PBS. These brains were cryosectioned in coronal planes for 40µm thickness and collected in 24-well plate with anti-freeze media at -20°C. Representative sections from Bregma -2.76 to -2.92 were used for each animal and subjected to free-floating staining (The Rat Brain, Paxinos, and Watson) for the following:

Study of colocalized expression of BDNF and pMAPK: Brain-derived neurotrophic factor with phosphor-mitogen activated phosphate kinase.

Study of activated glucocorticoid receptor (GR) expression: Colocalization of GR with DAPI for nuclear GR expression



1203 *Immunofluorescence staining for BDNF and pMAPK*

1204

1205 For all staining, sections (with BLA) in series of 6 were selected for each  
1206 animal and placed in a 12-well plate. The sections were rinsed three times (10  
1207 mins/wash) in 2.5mL phosphate buffer saline (PBS). 2.5 mL per well of  
1208 blocking solution (1XPBS + 5% BSA) was added to the sections and incubated  
1209 for one hour at room temperature. 2.5 mL of primary antibody with 2 primary  
1210 antibodies simultaneously—Phospho-p44/42 MAPK (Erk1/2) (Thr202/Tyr204)  
1211 (D13.14.4E) XP® Rabbit mAb (1:200, Cell Signalling Technology, MA, USA)  
1212 and mouse anti-BDNF antibody (Developmental Studies Hybridoma Bank,  
1213 University of Iowa, Iowa) diluted in PBT (PBS + 0.1%Tx100 + 3% BSA was  
1214 added, and the plate was incubated for 48 hours at 4°C on a shaker at low  
1215 speed. Sections were washed twice with 2.5 mL (10 mins/ wash) 1X PBS at  
1216 room temperature. The secondary antibodies were DyLight®549 goat anti-  
1217 rabbit IgG (1:1000, Vector laboratories, CA, USA) and DyLight®488 horse  
1218 anti-mouse IgG (1:1000, Abcam, CA, USA) diluted in (PBS+5% BSA) was  
1219 added to the wells and incubated for 2 hours in the dark (2.5mL/well). Sections  
1220 were washed three times with 2.5 mL (10 mins/ wash) 1X PBS at room  
1221 temperature and high-speed. For co-labeling with 4', 6-diamidino-2-  
1222 phenylindole (DAPI, a nuclear counterstain for blue-fluorescence, Sigma-  
1223 Aldrich, USA), the sections were incubated with 2.5 mL/well DAPI solution  
1224 for 1 minute in the dark. Sections were washed four times with 2.5 mL (10  
1225 mins/ wash) 1X PBS at room temperature and high-speed. Sections were  
1226 mounted on Superfrost slides dried and fixed in a nonaqueous medium (PVA-  
1227 DABCO, Sigma-Aldrich, USA) on each section and coverslip. Slides were  
1228 stored at -20°C until imaging. The images were analyzed using Image J, (NIH)

1229 to quantify average percentage area of BDNF and pMAPK signals. Refer to  
1230 figure M.16 for the schedule of the experiment.

### 1231 *Immunofluorescence staining for nuclear GR*

1232

1233 For all staining, sections (with BLA) in series of 6 were selected for each  
1234 animal and placed in a 12-well plate. The sections were rinsed three times (10  
1235 mins/wash) in 2.5mL phosphate buffer saline (PBS). 2.5 mL per well of  
1236 blocking solution (1XPBS + 5% BSA) was added to the sections and incubated  
1237 for one hour at room temperature. 2.5 mL of primary antibody, rabbit anti-GR  
1238 antibody (1:500, Santa Cruz, CA, USA) diluted in PBT (PBS + 0.1%Tx100 +  
1239 3% BSA was added, and the plate was incubated for 48 hours at 4°C on a  
1240 shaker at low speed. Sections were washed twice with 2.5 mL (10 mins/ wash)  
1241 1X PBS at room temperature. The secondary antibody, DyLight®549 goat anti-  
1242 rabbit IgG (1:1000, Vector laboratories, CA, USA) diluted in (PBS+5% BSA)  
1243 was added to the wells and incubated for 2 hours in the dark (2.5mL/well).  
1244 Sections were washed three times with 2.5 mL (10 mins/ wash) 1X PBS at  
1245 room temperature. For co-labeling with 4', 6-diamidino-2-phenylindole (DAPI,  
1246 a nuclear counterstain for blue-fluorescence, Sigma-Aldrich, USA), the  
1247 sections were incubated with 2.5 mL/well DAPI solution for 1 minute in the  
1248 dark. Sections were washed four times with 2.5 mL (10 mins/ wash) 1X PBS at  
1249 room temperature. Sections were mounted on Superfrost slides dried and fixed  
1250 in a nonaqueous medium (PVA-DABCO, Sigma-Aldrich, USA) on each  
1251 section and coverslip. Slides were stored at -20°C until imaging.

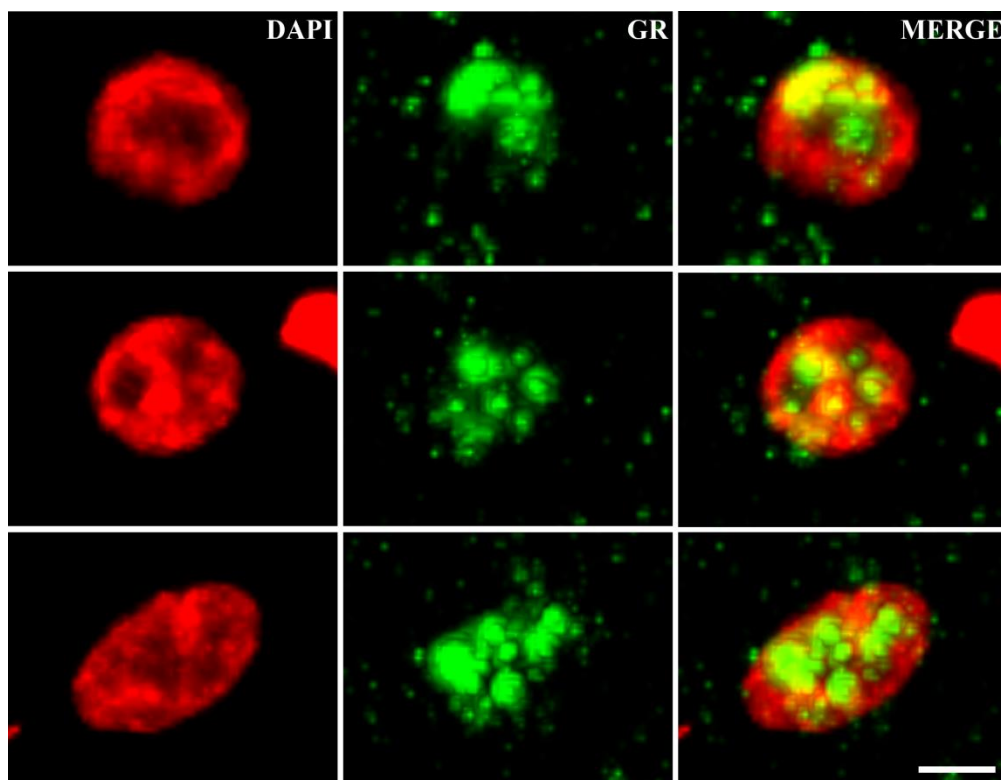
### 1252 *Confocal Imaging*

1253

1254 Four randomly selected regions of interest (ROI) for each animal was imaged  
1255 at 400X magnification using the confocal laser scanning microscope LSM 710  
1256 (Carl Zeiss, Oberkochen, Germany). Immunofluorescent images were obtained  
1257 for a 40µm thick cross-section set as the ROI (zoomed to 2X) which was  
1258 imaged into a z-stack of 35-40 slices (interval: 1µm). Each z-stack was imaged  
1259 at a fixed area which was identical across all the ROIs in all sections in this  
1260 experiment using Image J, (NIH) to quantify average percentage area of GR.  
1261 The open-source Vaa3D (Allen Institute for Brain Sciences, Washington, USA  
1262 software [250] was used to quantify HD-GR. Refer to figure M.16 for the  
1263 schedule of the experiment.

1264  
1265 The same images were used to quantify “percentage high density GR cells”.  
1266 The cells that were quantified as *high density* manually based on the amount of  
1267 signal present in the nucleus and these cells are represented in figure M.17.  
1268 VAA3D was used to visualize the DAPI-stained nucleus with high density GR  
1269 (HD-GR). These HD-GR cells were counted manually.

1270



1271

1272 **Figure M.17: Panel representing high-density GR stained cells.**

1273 Immunofluorescence staining of the nucleus with DAPI (red) and GR (green).

1274 These are the representative images of the cells that contained high-density GR

1275 and were considered for high-density GR analysis. The image was

1276 pseudocolored with red and green for clarity. Scale bar represents 5  $\mu$ m.

#### 1277 *Tissue preparation for Western Blotting*

1278

1279 Freshly harvested brains were obtained by sacrifice through decapitation,

1280 which was snap-frozen using liquid nitrogen. Harvested brains were

1281 cryosectioned in coronal planes at 100  $\mu$ m thickness, and the BLA was

1282 collected by bilateral punches using biopsy micro punch and Pasteur pipette

1283 tubes in sections from Bregma -2.04 to -3.36 (The Rat Brain, Paxinos, and

1284 Watson). Lysis buffer (1ml TPER + 10 $\mu$ L of 100x EDTA + 10 $\mu$ L of a protease

1285 inhibitor; 80 $\mu$ L per sample) was added to each tube at room temperature. After

1286 ten minutes, tissue was homogenized and centrifuged at 14,000 RPM for 20

1287 mins at 4°C. The aliquot supernatant containing the protein was stored as  
1288 samples at -80°C.

### 1289 *Western Blotting*

1290

1291 Expression of GR, TrkB, BDNF, pro-BDNF and Arc were determined by  
1292 Western blotting.

1293 Bovine serum albumin (BSA) standards were prepared and used to generate a  
1294 standard curve for the estimation of sample protein concentration. MilliQ water  
1295 was used to make a 1:10 dilution of the sample and 10 µL of diluted sample  
1296 was added to a 96 well plate in duplicates. Protein assay dye (200 µL) was  
1297 added to each of the above wells and incubated for 15 minutes. Absorbance  
1298 was measured at 595 nm in the Tecon 200 machine with the help of i-software.  
1299 The following sequence was followed: choose plate type → select well reading  
1300 → 15 seconds shaking → Reading 3x3 for each well → 595nm. Samples were  
1301 then loaded into pre-cast gels and run for 45 mins at 145V. The Transfer turbo  
1302 cassette (Bio-rad, Singapore) was used to transfer the gel for 7mins. Blot was  
1303 cut based on required size and blocked in 5% BSA (made in TBST 1X) for 1  
1304 hour. Blot was incubated with primary antibody for ~14 hours (diluted with  
1305 three %BSA made in TBST 1X). Blot was washed three times with 1X TBST  
1306 (10 min/ well). Blot with incubated with secondary antibody for 1 hour (diluted  
1307 with 3 %BSA made in TBST 1X). Blot was washed three times with 1X TBST  
1308 (10 min/ well). The substrate was added to blot (A+B; 1:1) and developed. Blot  
1309 was saved by placing in 10X TBS. Blots were first probed with specific  
1310 primary antibody for all the proteins and beta actin (internal control). The  
1311 bands were visualized using ECL select (G.E. Healthcare, UK). Blots were

1312 developed using LAS4000 image reader version 2.02 (Fujifilm, Japan). The  
 1313 relative intensity of the protein bands was quantified using NIH ImageJ  
 1314 (version 1.50b; NIH, USA). Refer to figure M.17 for the schedule of the  
 1315 experiment.

1316

1317 **Table M.3: Details of primary and secondary antibodies used in Western**  
 1318 **Blotting**

1319

Protein of interest	Molecular weight (kDa)	Primary antibody (Dilution, Manufacturer)	Secondary antibody (Dilution, Manufacturer)
Glucocorticoid receptor (GR)	90-95	Rabbit (1:500, Santa cruz)	Goat anti-rabbit (1:2000, Santa cruz)
Tyrosine kinase receptor B (TrkB)	145	Mouse (1:500, BD Biosciences)	Donkey anti-mouse (1:1000, Santa Cruz)
pro-Brain derived neurotrophic factor (pro-BDNF)	32	Goat (1:1000, Santa Cruz)	Donkey anti-goat (1:2000, Santa Cruz)
Brain derived neurotrophic factor (BDNF)	14-15kDa	Mouse (1:300, University of Iowa)	Donkey anti-mouse (1:2000, Santa Cruz)
Beta-actin	37	Mouse (1:1000, Santa Cruz)	Donkey anti-mouse (1:2000, Santa Cruz)

1320

1321

### 1322 *3.15 Determination of methylation status for GR and BDNF*

1323

1324 On Day 60, rats were taken from the housing room, weighed and immediately  
 1325 decapitated. The rat brains were extracted from the skull, and the basolateral  
 1326 amygdala was dissected on ice and frozen at  $-80^{\circ}\text{C}$ . DNA extraction was done  
 1327 for each sample, and the DNA was shipped to QIAGEN GmbH, Hilden,

Germany where it was assayed by a scientist who was blind to the treatment groups

DNA was extracted from the brain tissue using an AllPrep DNA/RNA kit (Qiagen). Methylation status was assessed via direct bisulfite DNA sequencing PCR (BSP) on bisulfite-modified DNA (Qiagen). Bisulfite-treated samples were amplified by primers that targeted a CpG-rich region within Bdnf exon IV (NW\_047673.1: 251864–251926) and GR (Nr3c1; See Figure M.18). PCR products were purified using a gel extraction kit (Qiagen) and sequenced using the reverse primer at the Qiagen, Singapore facility. The percent methylation of each CpG site within the region amplified was determined by the ratio between peaks values of G and A ( $G/[G+A]$ ), and these levels on the electropherogram were determined using Chromas software. To confirm that direct bisulfite sequencing was adequately sensitive to detect methylation, universally unmethylated and methylated standards (EpigenDx) were run in parallel with samples and analysed. Analysis of data generated from the standards indicated that the ratio of cytosine methylation increased proportionately with expected methylation rates ( $R^2=0.947$ ) and that there was small sample deviation (slope=0.963) [251].

#### A

251864- GGCAGAGGAGGTATCATATGACAGCTCA<sup>1</sup>CGTCA  
AGGCAG<sup>2</sup>CGTGGAGCCCTCT<sup>3</sup>CGTGGACTCCC -251926

#### B

GTTCTCTG<sup>1</sup>CGGCA<sup>2</sup>CGCCCACTTCTAGCAGATAAGGC<sup>3</sup>CGGG<sup>4</sup>CGGGC  
GA

**Figure M.18: Sequence of A) Bdnf exon IV amplicon and its first 3 CG dinucleotides and B) GR amplicon and its first 3 CG dinucleotides**

1349

1350

### 1351 *3.16 Statistical analysis*

1352 All experimental data were analyzed using two-way analysis of variance (inter-  
1353 subject source of variance = stress and EE). More importantly, since the aim of  
1354 the experiment is to compare the non-stressed individuals to their stressed  
1355 counterparts in the differential housing environments, planned comparisons  
1356 were conducted for effects of stress in absence and presence of the EE (two  
1357 orthogonal comparisons, independent sample t-test). The reason for including  
1358 two-way ANOVA results is to show the main effects of EE-housing, which is  
1359 relevant due to the use of two mutually exclusive treatments in this 2x2 design.  
1360 This helps in understanding how EE-housing is overall affecting the non-  
1361 stressed and stressed subjects. Non-orthogonal comparisons were not used in  
1362 order to avoid spurious effects of multiple testing. The level of significance was  
1363 set at  $p < 0.05$  for all the statistical tests performed in this study. Figures  
1364 represent mean and SEM (standard error of the mean). N is stated within the  
1365 figure legends.

### 1366 **Methods (For supplementary chapters only)**

1367

#### 1368 *Novel Object Recognition Test*

1369

1370 The test was adapted from previously described protocol by Redrobe et al.,  
1371 Briefly, rats were habituated to the test room for 24 h before testing. The test  
1372 was performed in a dimly lit open field arena of the size 1.2m in diameter. On  
1373 day one, the rats were habituated to the arena for 20mins individually. 24 hours



1374 later, on the second day, acquisition and test trials were performed. Rats were  
1375 placed in the Open field alone for acquisition trial and allowed to investigate  
1376 two similar objects for 3 min before being returned to their home cage. After 90  
1377 min break, (inter-trial interval) the rats were placed in the open field again for  
1378 the test trial, and one of the familiar object was replaced by a novel object. Rats  
1379 were allowed to investigate the familiar object and the novel object for another  
1380 3 min. The objects were glass/ceramic material placed opposite to each other  
1381 20cm away from the wall of the arena. The objects were cleaned with 70%  
1382 ethanol between each trial. After each trial, the faeces and urine were removed  
1383 with a wet paper towel and the arena was wiped with 70% ethanol. The  
1384 acquisition and the test trials were video recorded. The videos were scored  
1385 manually afterwards. I was blinded throughout the experiment. Exploration was  
1386 defined as sniffing, licking and touching the object while facing it. Acquisition  
1387 requirements were a minimum of 15 seconds (s) exploring the objects, with a  
1388 minimum of 1 s spent on a single object. In the test trial the difference between  
1389 time spent investigating the novel and the familiar object divided by the total  
1390 time spent investigating the objects was calculated as a measure of memory  
1391 performance (Discrimination index, DI). A high score indicated good memory,  
1392 whereas a low score indicated poor memory formation.

1393

#### 1394 *Sucrose Intake Test*

1395

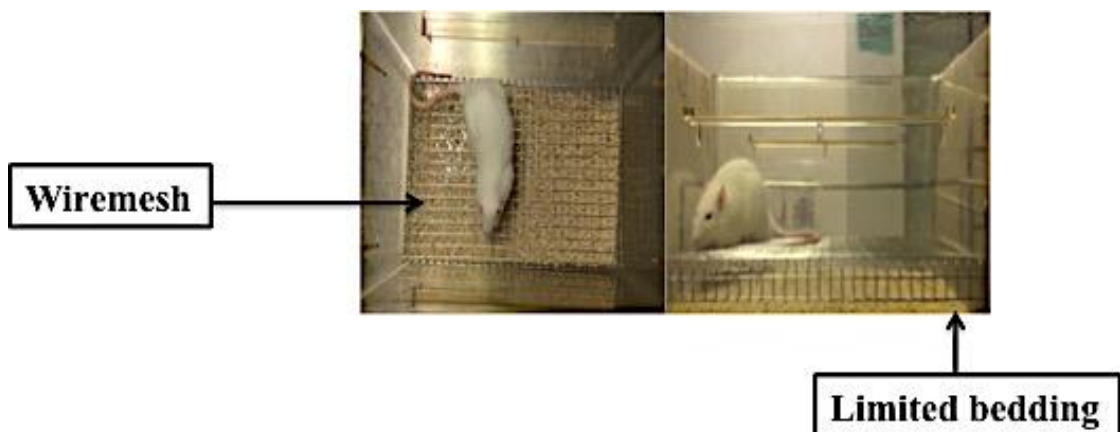
1396 On the day 1 of the experiment, the rats were tested for depression-like by  
1397 measuring their sucrose intake. The experiment was performed in standard  
1398 laboratory cages (1 animal/cage). One day before the test, the animals were  
1399 habituated for sucrose intake (1% sucrose) as they were exposed to sucrose

1400 solution for few hours without any access to food or plain water for 1 hour. The  
1401 animals were fasted overnight (1900 -09.00AM h; 14 hours). On the day 2 of  
1402 the experiment, the test was performed for 1 hour (09.00AM-1000 hr) in which  
1403 each animal was provided sucrose ad-libitum without any access to food or  
1404 water. Weights of the animals and the sucrose bottles was recorded pre- and  
1405 post-test in order to calculate percentage change in sucrose per body weight.  
1406 After the test, the animals were returned back to their respective home cages.

#### 1407 *Limited nesting (LN)*

1408

1409 The experimental dams with their pups were placed in the cage containing a  
1410 limited amount of nesting material (One dam with the litter/cage). This nesting  
1411 material was placed on a fine-gauge aluminum mesh platform (dimension:  
1412 36.06x20.52x2 cm<sup>3</sup>), layered approximately 2.5 cm above the cage floor. The  
1413 cage floor was covered with a small amount of corn husk bedding (~500 g).  
1414 This setup permitted rat droppings to fall below the platform without trapping  
1415 the pups [252]



1416

1417 **Figure M.19: Limited nesting model.** The rats were placed on an aluminium  
1418 wire mesh with limited nesting material and the cage floor was covered with a  
1419 small amount of bedding.

1420

1421

1422

1423

1424

1425

## 1426 Chapter 4

### 1427 4. Results

1428

1429 The results section is broadly classified into the following sections; Physiological  
1430 measurements, behavioral assays, qPCR, protein analysis: western blot &  
1431 immunohistochemistry, epigenetics: methylation in promoter sites and dendritic  
1432 morphology.

#### 1433 4.1. Physiological readouts

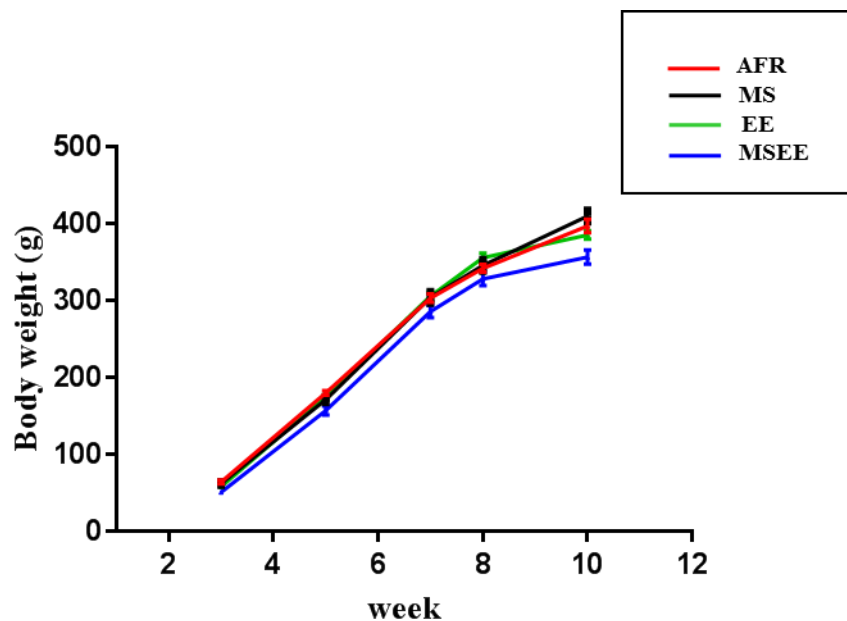
1434

1435 In order to investigate the influence of EE and stress on physiological parameters  
1436 pertinent to activation of the stress-HPA axis, we measured **plasma corticosterone**  
1437 **(CORT) concentration in blood of all animals; the weight of adrenal glands in**  
1438 **adulthood (P56) and body weight** throughout the experiment. The results of these  
1439 physiological measurements are presented as a comparison between different  
1440 experimental groups (AFR, MS, EE, and MSEE). The various physiological  
1441 outputs assessed in this study, will be highlighted in this section.

1442 Please note that, AFR refers to animal facility rearing without maternal separation  
1443 stress or enriched environment (EE). MS refers to animal facility rearing with  
1444 maternal separation stress but without enriched environment. EE refers to the  
1445 enriched environment without maternal separation stress, and MSEE refers to  
1446 enriched environment along with maternal separation stress.

1447 *Body weight: Stress and Enrichment had a significant effect on body*  
1448 *weight*

1449 Chronic stress is known to lead to an increase in body weight [253]. To investigate  
1450 the body weight profile in our stress paradigm, body weight was quantified during  
1451 the course of the experiment. Total body weight (animal weight) was monitored  
1452 every fortnight from weaning till the day of sacrifice in adulthood (Figure R.1).  
1453 Repeated measures ANOVA was conducted with body weight as the within  
1454 subject factor and treatment group as the between subject effect. Both stress ( $F_{(1,28)} = 4.69, p = 0.039$ ) and EE ( $F_{(1,28)} = 7.74, p = 0.010$ ) had a significant main effect  
1455 on body weight. The interaction between stress and EE ( $F_{(1,28)} = 6.05, p = 0.020$ )  
1456 also had a significant effect on body weight.



1458  
1459 **Figure R.1: Mean body weights of male animals.** Repeated measures ANOVA  
1460 revealed a significant effect of stress, EE, and interaction between stress and EE on the  
1461 weights of animals. Y-axis represents the mean weight in grams (g) of animals. Graph

1462 represents mean values for each group during experiment. N = 8 for AFR, 8 for Stress, 8  
1463 for EE and 8 for MSEE.

1464 Moreover, a two-way ANOVA was conducted for body weight ‘area under curve’  
1465 (AUC) with stress and EE as two between subject sources of variance. This was  
1466 done to determine the impact of 2 different treatments on the body weight  
1467 throughout the experiment. Both stress ( $F_{(1,28)} = 5.355$ ,  $p = 0.03$ ) and EE ( $F_{(1,28)} =$   
1468  $4.786$ ,  $p = 0.04$ ) had significant main effects on AUC of body weight. However,  
1469 the interaction between stress and EE had a marginal significance ( $F_{(1,28)} = 8.983$ ,  
1470  $p = 0.06$ ).

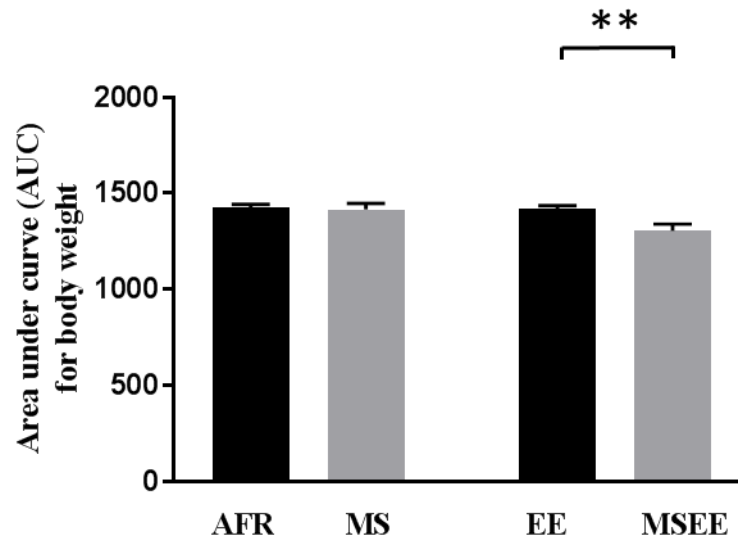
1471 To analyze the effects of stress in absence or presence of the EE, orthogonal  
1472 planned comparisons were conducted (independent samples t-tests). In absence of  
1473 EE, the effect of stress on body weight did not reach statistical significance ( $t_{14} =$   
1474  $0.234$ ,  $p = 0.82$ ; Cohen’s  $d = 0.117$ ). In contrast, stressed animals displayed  
1475 significant lower body weight in presence of EE ( $t_{14} = 2.941$ ,  $p = 0.01$ ; Cohen’s  $d$   
1476  $= 1.47$ ; Figure R.2).

1477

1478

1479

1480



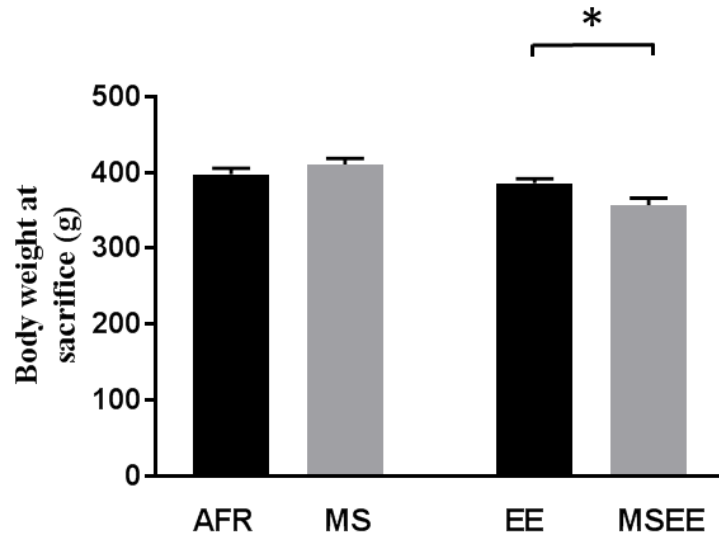
1481

1482 **Figure R.2: Effect of early life stress and EE on body weight:** MSEE animals  
 1483 displayed lower body weight. Graphs represent Mean ± SEM. N = 8 for AFR, 8 for  
 1484 Stress, 8 for EE and 8 for MSEE; \*\* $p \leq 0.01$ .

1485 Additionally, we analyzed the body weight just before sacrifice to assess the  
 1486 impact of the early life paradigm specifically at the end of the experiment. A two-  
 1487 way ANOVA was conducted for body weight at sacrifice with stress and EE as  
 1488 two between subject sources of variance. The effect of stress ( $F_{(1,28)} = 1.055$ ,  $p =$   
 1489  $0.31$ ) on body weight at sacrifice did not reach statistical significance. Both EE ( $F_{(1,28)} = 17.207$ ,  $p < 0.00$ ) and the interaction between stress and EE ( $F_{(1,28)} = 7.114$ ,  
 1490  $p = 0.01$ ) had significant main effects on AUC of body weight.

1492 To analyze the effects of stress in absence or presence of the EE, orthogonal  
 1493 planned comparisons were conducted (independent samples t-tests). In absence of  
 1494 EE, the effect of stress on body weight at sacrifice did not reach statistical  
 1495 significance ( $t_{14} = -1.155$ ,  $p = 0.27$ ; Cohen's  $d =$ ). In contrast, in the presence of

1496 EE, stressed animals displayed significant lower body weight at sacrifice ( $t_{14} =$   
 1497 2.624,  $p = 0.02$ ; Cohen's  $d = 7$ ; Figure R.2b).



1498

1499 **Figure R.2b: Effect of early life stress and EE on body weight at sacrifice:**

1500 MSEE animals displayed lower body weight. Graphs represent Mean  $\pm$  SEM. N =  
 1501 8 for AFR, 8 for Stress, 8 for EE and 8 for MSEE;  $*p \leq 0.05$ .

1502 In our paradigm, enriched environment housing reduced body weight along the  
 1503 experiment unlike previously reported stress-induced weight gain by stressed rats  
 1504 during the course of the experiment. Rats exposed to prenatal stress and then  
 1505 housed in environmental enrichment conditions showed a similar decrease in body  
 1506 weight [254]. Therefore, stress paradigm in this experiment did not have a  
 1507 significant impact on body weight unlike previous studies. This could be due to the  
 1508 increase in locomotor activity (climbing, exploring, etc) involved in EE cages  
 1509 [255].



1510 *Weight of adrenal glands: Stress significantly increased adrenal gland*  
1511 *weights*

1512 Early life stress affects various physiological parameters, particularly those which  
1513 are involved in the hypothalamus-pituitary-adrenal (HPA) axis. Adrenal glands are  
1514 the terminal arm of the HPA axis that initiates stress response [167]. Adrenal  
1515 glands are vital organs that produce corticosterone in response to stressful stimuli  
1516 and undergo hypertrophy in the process [256, 257]. So, it was crucial for us to test  
1517 if MS in our experiment induced any prolonged hypertrophy to the adrenals  
1518 glands. The weights of the adrenal glands were tested before sacrifice of the  
1519 animals.

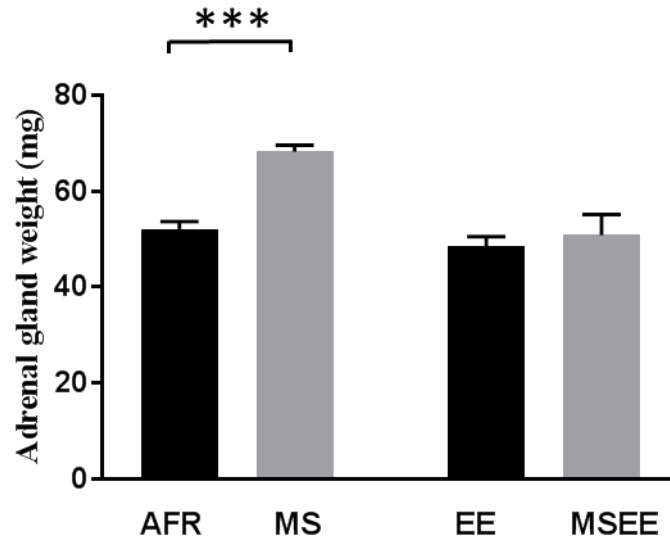
1520 A two-way ANOVA was conducted for adrenal gland weight with stress and EE as  
1521 two between subject sources of variance. Both stress ( $F_{(1,32)} = 17.05$ ,  $p < 0.00$ ) and  
1522 EE ( $F_{(1,32)} = 21.59$ ,  $p < 0.00$ ) had significant main effects on the adrenal gland  
1523 weights. Similarly, the interaction between stress and EE had a significant effect ( $F_{(1,32)} = 9.42$ ,  $p < 0.00$ ) on adrenal gland weights.

1525 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
1526 absence of EE, stress significantly increased the adrenal gland weights ( $t_{19} = -$   
1527  $7.104$ ,  $p < 0.00$ ; Cohen's  $d = 3.218$ ). However, in the presence of EE, the effect of  
1528 stress on adrenal gland weights failed to reach statistical significance ( $t_{13} = -0.555$ ,  
1529  $p = 0.59$ ; Cohen's  $d = 0.275$ ; Figure R.3).

1530 MS caused adrenal gland hypertrophy in male rats living in standard animal  
1531 facility housing when compared to AFR male rats which is in line with previous

1532 studies [256, 258]. Interestingly, early life stress in enriched housing (interaction)  
 1533 ameliorated the increase in the adrenal weights of animals (Figure R.3).

1534



1535

1536 **Figure R3: Effect of early life stress and EE on adrenal weight:** Stress induced  
 1537 a significant increase in adrenal weights in the absence of EE. Graphs represent  
 1538 Mean  $\pm$  SEM. N = 12 for AFR, 7 for Stress, 9 for EE and 5 for MSEE; \*\*\* $p \leq$   
 1539 0.001.

1540

1541 *Stress significantly increased baseline plasma corticosterone levels*  
 1542

1543 As mentioned earlier, “Hypothalamic-Pituitary-Adrenal (HPA) axis” (Fig.1) that  
 1544 releases glucocorticoids (stress hormones) mediates allostasis that help the body to  
 1545 return to homeostatic equilibrium after an encounter of stress episode [113]. Along  
 1546 with adrenal glands, it is cardinal to check the profile of the stress hormone in a  
 1547 study involving stress paradigm. To investigate the effects of stress and EE on this

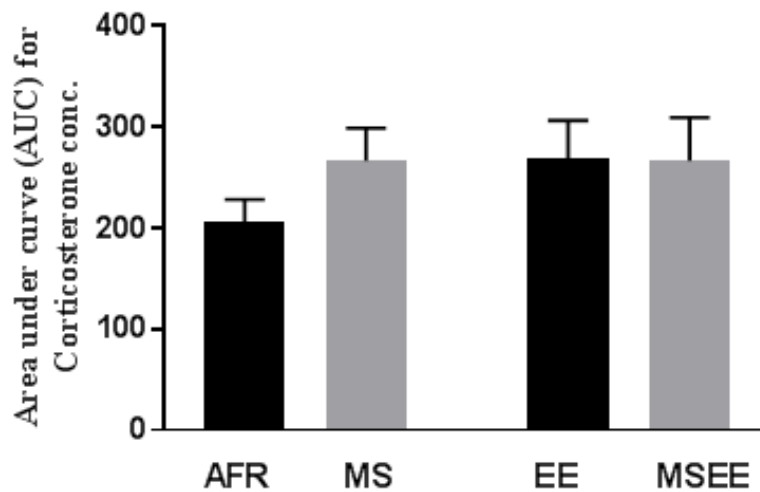
1548 crucial parameter, plasma corticosterone was measured at various time points  
1549 (Figure R.4) during the course of the study, namely: baseline1 = baseline plasma  
1550 corticosterone (effect of early life treatment) in adulthood; baseline 2 = basal  
1551 corticosterone levels 24 hours prior to predator odour exposure; post-30 minutes,  
1552 post-2 hours, post-6 hours after exposure to predator odour and at sacrifice (24  
1553 hours post stress exposure). All these different time points were used to plot the  
1554 'area under curve' (AUC). This signifies the profile of stress hormone post stress  
1555 indicating recovery efficiency. The result with statistics is displayed below.

1556

1557 A two-way ANOVA was conducted for corticosterone with stress and EE as two  
1558 between subject sources of variance. This shows the effects of the treatments  
1559 throughout the course of study that gives us a holistic picture of the corticosterone  
1560 profile. The effects of stress ( $F_{(1,22)} = 0.614$ ,  $p = 0.44$ ) and EE ( $F_{(1,22)} = 0.723$ ,  $p =$   
1561  $0.40$ ) on the AUC of corticosterone failed to reach statistical significance.  
1562 Similarly, the effect of the interaction between stress and EE ( $F_{(1,22)} = 0.725$ ,  $p =$   
1563  $0.40$ ) on the AUC of corticosterone failed to reach statistical significance.

1564 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
1565 absence ( $t_9 = -1.591$ ,  $p = 0.146$ ; Cohen's  $d = 0.951$ ) of EE, the effect of stress on  
1566 the AUC of corticosterone failed to reach statistical significance. Similarly, in the  
1567 presence ( $t_{13} = 0.044$ ,  $p = 0.97$ ; Cohen's  $d = 0.023$ ; Figure R.4) of EE, the effect of  
1568 stress on the AUC of corticosterone failed to reach statistical significance.  
1569 Additionally, two baseline time-points of the corticosterone concentration are  
1570 highlighted below.

1571



1572

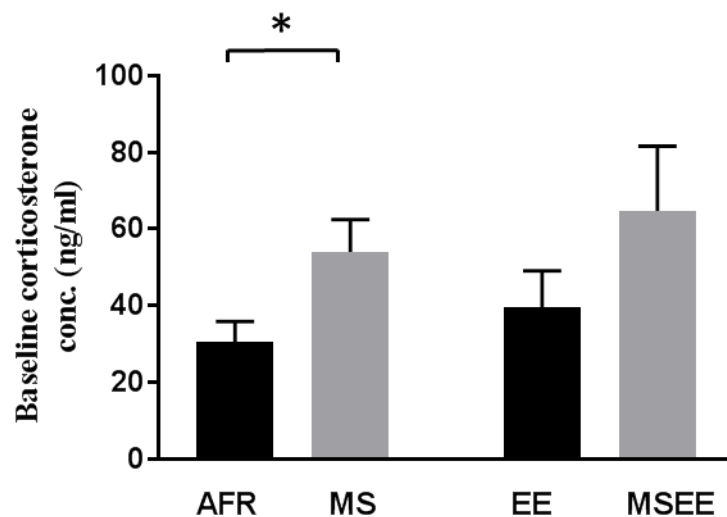
1573 **Figure R4: Area under the curve for plasma corticosterone concentration for**  
1574 **pre-and post-predator odor exposure in animals exposed to stress and/ or**  
1575 **enrichment in early life:** EE and stress had no significant effect on AUC of  
1576 corticosterone. Graphs represent Mean  $\pm$  SEM. N = 6 for AFR, 5 for Stress, 9 for  
1577 EE and 6 for MSEE.

1578 The first baseline plasma corticosterone concentration highlights the corticosterone  
1579 concentration levels in animals during adulthood, which are exposed to the early  
1580 life treatment. So, this time point captures the prolonged effect of early  
1581 environment on the levels of corticosterone in individuals

1582 A two-way ANOVA was conducted for corticosterone with stress and EE as two  
1583 between subject sources of variance. Stress ( $F_{(1,22)} = 4.666$ ,  $p = 0.04$ ) had a  
1584 significant main effect on the baseline corticosterone levels. However, the effect of  
1585 EE ( $F_{(1,22)} = 0.769$ ,  $p = 0.39$ ) and the interaction between stress and EE ( $F_{(1,22)} =$

1586 0.007,  $p = 0.94$ ) on baseline corticosterone levels failed to reach statistical  
1587 significance.

1588 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
1589 absence of EE, stress significantly increased baseline corticosterone levels at  
1590 adulthood (P56) ( $t_9 = -2.432$ ,  $p = 0.04$ ; Cohen's  $d = 1.443$ ) However, in the  
1591 presence of EE, the effect of stress on baseline corticosterone levels failed to reach  
1592 statistical significance ( $t_{13} = -1.408$ ,  $p = 0.18$ ; Cohen's  $d = 0.712$ ; Figure R.5).



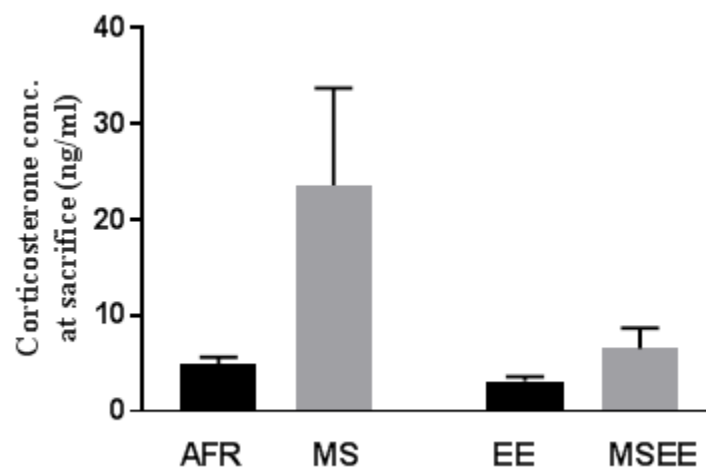
1593

1594 **Figure R.5: Baseline plasma corticosterone concentration during adulthood in**  
1595 **animals exposed to stress and/ or enrichment in early life:** Stress significantly  
1596 increased baseline corticosterone levels in the absence of EE. Graphs represent  
1597 Mean  $\pm$  SEM.  $N = 6$  for AFR, 5 for Stress, 9 for EE and 6 for MSEE;  $*p \leq 0.05$ .

1598 Additionally, the second baseline plasma corticosterone concentration shows the  
1599 levels of stress hormone after being exposed to numerous behavioral experiments  
1600 (at sacrifice). This emphasizes on the baseline corticosterone level of early life

1601 treatments that is obtained after multiple behavioral exposure. A two-way ANOVA  
1602 was conducted for corticosterone levels 24 hours after exposure to predator stress,  
1603 with stress and EE as two between subject sources of variance. Stress ( $F_{(1,19)} =$   
1604  $5.876$ ,  $p = 0.03$ ) and EE ( $F_{(1,19)} = 4.349$ ,  $p = 0.05$ ) had a significant main effect on  
1605 corticosterone levels at sacrifice showing a strong indication of pro-resilient  
1606 feature in animals reared in EE. However, the effect of the interaction between  
1607 stress and EE ( $F_{(1,19)} = 2.808$ ,  $p = 0.11$ ) on corticosterone levels at sacrifice failed  
1608 to reach statistical significance.

1609 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
1610 absence of EE ( $t_8 = -1.836$ ,  $p = 0.10$ ; Cohen's  $d = 1.180$ ) the effect of stress on  
1611 corticosterone levels 24 hours after predator stress, failed to reach statistical  
1612 significance. Similarly, in the presence of EE ( $t_{11} = -1.641$ ,  $p = 0.13$ ; Cohen's  $d =$   
1613  $0.875$ ; Figure R.6), the effect of stress on corticosterone levels at sacrifice, failed to  
1614 reach statistical significance



1615

1616 **Figure R.6: Plasma corticosterone concentration at sacrifice in animals**  
1617 **exposed to stress and/ or enrichment in early life:** Stress had no significant  
1618 effect on post-sacrifice corticosterone levels. Graphs represent Mean  $\pm$  SEM. N =  
1619 5 for AFR, 5 for Stress, 7 for EE and 6 for MSEE.

1620 Though there was no significant difference between groups for AUC during the  
1621 course of the study, results for maternal separation stress alone displays enhanced  
1622 corticosterone concentration in baseline blood (Figure R5.). This is similar to what  
1623 has previously been observed in rats exposed to chronic stress having elevated  
1624 baseline plasma corticosterone [257, 259] when compared to control animals. This  
1625 effect of maternal stress was not observed in rats that were placed in the enriched  
1626 environment simultaneously (interaction effect, Figure R5). This observation is  
1627 indicative of the modulatory effect of environmental enrichment on the HPA axis.

1628 Furthermore, Roy and colleagues (2001) showed that male mice assigned to the  
1629 environmental enrichment treatment had significantly less plasma corticosterone  
1630 compared to animals in standard animal house housing after exposure to stress  
1631 [260] which is in line with our study.

1632 All in all, our physiological measurements show that MS alone has detrimental  
1633 effects but concurrent exposure of MS and EE displays reduced damage. This  
1634 highlights the impact of concurrent exposure of stress and EE.

## 1635 4.2. Behavioral assays

1636

1637 The effect of early-life stress and enriched environment on behavior was tested in  
1638 adult animals. Responses to stress results in a spectrum of outcome from  
1639 detrimental immediate and long-term effects to resilience and active coping  
1640 behavior in response to future stressors. Stress can be assessed by behavioral tests  
1641 [261]. In this section, we investigated various behavioral outputs in response to  
1642 stress and EE. For testing anxiety-like behavior, the home cage emergence test  
1643 (HCE), the open field test (OFT) and the elevated plus maze (EPM) were  
1644 employed. For testing social behavior, the social interaction test (SIT) was  
1645 employed. To test depressive-like behavior, the forced swim test (FST) was used.  
1646 The results of these behavioral endpoints are presented as a comparison between  
1647 different experimental groups (control, stress, EE, and MSEE).

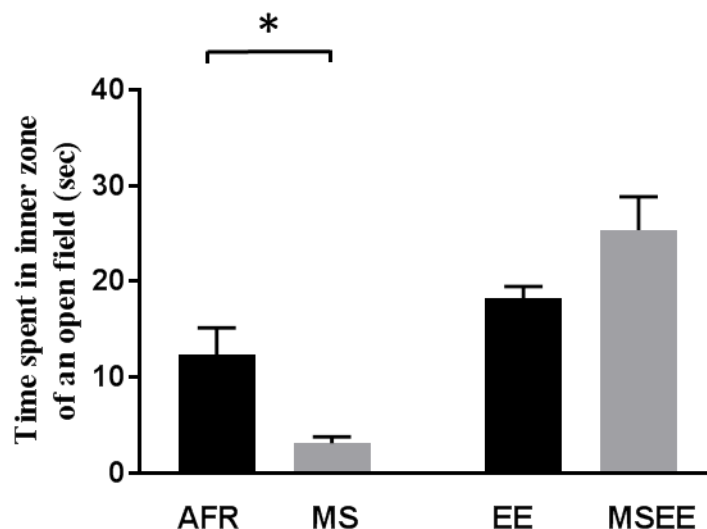
### 1648 *Stress significantly reduced the inner zone exploration in open field* 1649 *test*

1650 To analyze the effect of early life stress and EE on anxiety-like behavior, animals  
1651 were subjected to various anxiety tests in adulthood (P56). Open field test will be  
1652 the first test discussed here wherein the time spent in inner zone of an open arena  
1653 was assessed. The open field is a well-established behavioral test of anxiety-like  
1654 behavior. It has been validated with anxiolytic drugs [262]. In this test, lower  
1655 exploration of inner zone indicated higher anxiety. The following statistics  
1656 highlights the anxiety-like behavior of animals in our experiment.



1657 A two-way ANOVA was conducted for inner zone exploration in the open field  
1658 test with stress and EE as two between subject sources of variance. The effect of  
1659 stress ( $F_{(1,28)} = 0.157$ ,  $p = 0.69$ ) on time spent exploring the inner zone in the open  
1660 field test failed to reach statistical significance. However, the effect of both EE ( $F_{(1,28)} = 27.09$ ,  $p < 0.00$ ) and the interaction between stress and EE ( $F_{(1,28)} = 9.018$ ,  $p = 0.01$ ) had significant effect on the time spent exploring the inner zone in open  
1662 field test.

1664 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
1665 absence of EE, stress significantly decreased time spent exploring the inner zone of  
1666 the open field test ( $t_{17} = 2.403$ ,  $p = 0.03$ ; Cohen's  $d = 1.305$ ). In contrast, the effect  
1667 of stress on time spent exploring the inner zone of the open field test in the  
1668 presence of EE ( $t_{11} = -2.029$ ,  $p = 0.07$ ; Cohen's  $d = 1.088$ ; Figure R.9) failed to  
1669 reach statistical significance.



1670

1671 **Figure R.8: Effect of early life stress and EE on time spent in the inner zone of**  
1672 **OFT:** Stress significantly decreased the time spent exploring the inner zone in  
1673 open field test in the absence of EE. Graphs represent Mean  $\pm$  SEM. N = 12 for  
1674 AFR, 7 for Stress, 7 for EE and 6 for MSEE; \* $p \leq 0.05$ .

1675 My results showed that early life maternal separation induced anxiogenic  
1676 avoidance of the inner zone of the open field. This represents the anxiogenic effect  
1677 of animals exposed to MS alone in standard housing in early life. This result is in  
1678 line with previous reports that showed MS to result in anxiogenic behavior in  
1679 adulthood [263, 264]. However, this effect was not seen in animals exposed to MS  
1680 in the enriched environment (Figure R.8). This emphasizes the impact of EE along  
1681 with MS on alleviating anxiogenic behavior.

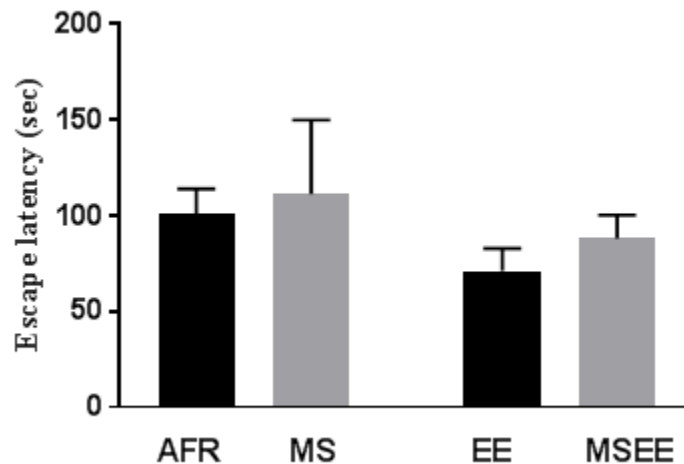
1682 *Stress or EE had no significant effect on escape latency in home cage*  
1683 *emergence test*

1684 The second test used to assess anxiety-like behavior was, home cage emergence  
1685 wherein the latency to escape from home cage was analyzed. Home cage  
1686 emergence was used to quantify exploratory activity and anxiety in rodents [265,  
1687 266]. In this test, higher escape latency denoted higher anxiety. A two-way  
1688 ANOVA was conducted for escape latency in the home cage emergency test with  
1689 stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,28)} = 0.291$ ,  $p = 0.59$ ) and EE ( $F_{(1,28)} = 1.102$ ,  $p = 0.30$ ) on escape latency in  
1690 home cage emergence test failed to reach statistical significance. Similarly, the  
1691

1692 interaction between stress and EE ( $F_{(1,28)} = 0.017$ ,  $p = 0.90$ ) on escape latency in  
1693 home cage emergence test failed to reach statistical significance.

1694 Orthogonal planned comparisons (independent samples t-tests) showed that in  
1695 absence ( $t_{17} = -0.264$ ,  $p = 0.80$ ; Cohen's  $d = 0.119$ ) of EE, the effect of stress on  
1696 escape latency in the home cage emergence test, failed to reach statistical  
1697 significance. In the presence ( $t_{11} = -0.953$ ,  $p = 0.36$ ; Cohen's  $d = 0.557$ ; Figure R.8)  
1698 of EE, the effect of stress on escape latency in the home cage emergence test,  
1699 failed to reach statistical significance.

1700 The results revealed that the latency to escape from the home cage emergence test  
1701 was not significantly affected by MS, EE or their interaction.



1702

1703 **Figure R.9: Effect of early life stress and EE on escape latency in HCE in**  
1704 **adulthood:** Stress (in presence and absence of EE) had no significant effect on  
1705 escape latency in home cage emergence test. Graphs represent Mean  $\pm$  SEM. N =  
1706 10 for AFR, 8 for Stress, 9 for EE and 5 for MSEE.

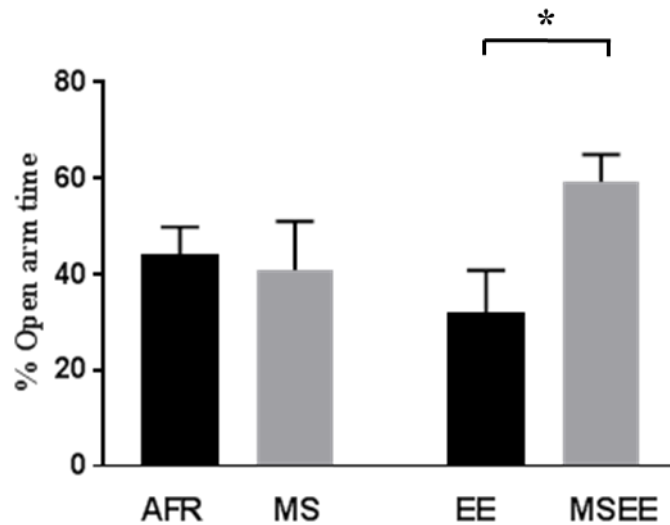
1707 *Stress along with EE significantly increased percentage open arm time*  
1708 *in the EPM*

1709 Another test to study the anxiety like behavior was the elevated plus maze (EPM).  
1710 Open arm exploration (entries and occupancy time) relative to sum of open and  
1711 enclosed arm exploration was used as index for the anxiety [267]. In EPM, % open  
1712 arm entries and % open arm time were assessed, wherein lower percentage of time  
1713 and entries in open arm indicate greater anxiety.

1714 A two-way ANOVA was conducted for percentage open arm time in the elevated  
1715 plus maze with stress and EE as two between subject sources of variance. The  
1716 effect of stress ( $F_{(1,32)} = 2.123$ ,  $p = 0.15$ ) and EE ( $F_{(1,32)} = 0.149$ ,  $p = 0.70$ ) on the  
1717 percentage time spent exploring the open arm of the elevated plus maze failed to  
1718 reach statistical significance. Similarly, the interaction between stress and EE ( $F_{(1,32)} = 3.393$ ,  $p = 0.07$ ) on the percentage time spent exploring the open arm of the  
1719 elevated plus maze failed to reach statistical significance.

1721 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
1722 absence of EE, the effect of stress on the percentage time spent exploring the open  
1723 arm of the elevated plus maze ( $t_{19} = 0.287$ ,  $p = 0.78$ ; Cohen's  $d = 0.1223$ ) did not  
1724 reach statistical significance. In contrast, stress significantly increased the  
1725 percentage time spent exploring the open arm of the elevated plus maze in the  
1726 presence of EE ( $t_{13} = -2.310$ ,  $p = 0.04$ ; Cohen's  $d = 1.294$ ; Figure R.10).

1727



1728

1729 **Figure R.10: Effect of early life stress and EE on percentage open arm time in**  
 1730 **EPM in adulthood:** stress along with EE significantly increased the percentage  
 1731 time spent exploring the open arm of the elevated plus maze. Graphs represent  
 1732 Mean ± SEM. N = 12 for AFR, 9 for Stress, 9 for EE and 6 for MSEE.

1733 *Stress along with/in the presence of EE significantly increased*  
1734 *percentage open arm entries in the EPM*

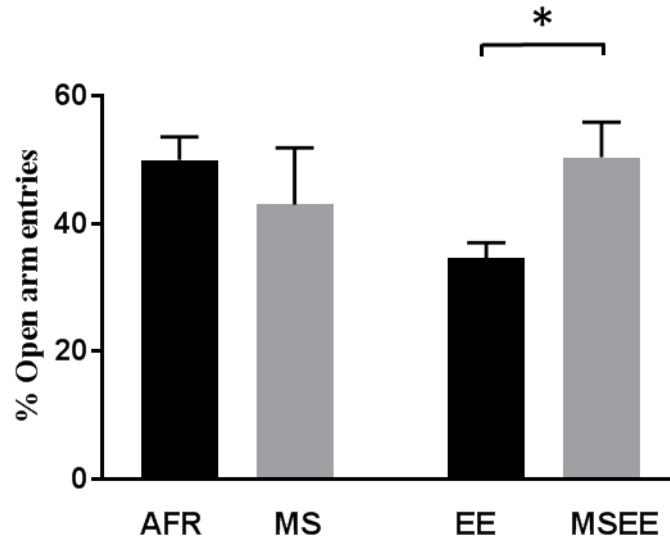
1735 A two-way ANOVA was conducted for percentage open arm entries in the  
1736 elevated plus maze with stress and EE as two between subject sources of variance.  
1737 The effect of stress ( $F_{(1,28)} = 0.491$ ,  $p = 0.49$ ) and EE ( $F_{(1,28)} = 0.407$ ,  $p = 0.53$ ) on  
1738 the number of entries into the open arm of the elevated plus maze failed to reach  
1739 statistical significance. Similarly, the interaction between stress and EE ( $F_{(1,28)} =$   
1740  $3.276$ ,  $p = 0.08$ ) on the number of entries into the open arm of the elevated plus  
1741 maze failed to reach statistical significance.

1742 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
1743 absence of EE, stress had no significant effect on the number of entries into the  
1744 open arm of the elevated plus maze ( $t_{18} = 0.777$ ,  $p = 0.45$ ; Cohen's  $d = 0.349$ ). In  
1745 contrast, stress significantly increased the number of entries into the open arm of  
1746 the elevated plus maze in the presence of EE ( $t_{10} = -2.615$ ,  $p = 0.03$ ; Cohen's  $d =$   
1747  $1.510$ ; Figure R.11).

1748 The results show that MS and EE alone did not affect the anxiety-like behavior in  
1749 EPM but MSEE group displayed anxiolytic behavior. Rats subjected to both MS  
1750 and EE spent more time exploring (% time and % entries) the open arm of the  
1751 EPM compared to rats exposed only to environmental enrichment (figure R.10 and  
1752 R.11). Thus, it appears that the presence of both MS and EE has a cumulative  
1753 effect on the increase in anxiolytic behavior. This is corroborated by reports show

1754 that both short-term [87] and long-term [167] enrichment in adulthood after early  
1755 life maternal separation increases anxiolytic behavior.

1756



1757

1758 **Figure R.11: Effect of early life stress and EE on percentage open-arm entries**  
1759 **in EPM:** Stress along with EE significantly increased the number of entries into  
1760 the open arm of the elevated plus maze. Graphs represent Mean  $\pm$  SEM. N = 11 for  
1761 AFR, 9 for Stress, 6 for EE and 6 for MSEE;  $*p \leq 0.05$

1762 *Stress or EE did not alter number of head dips in the elevated plus*  
1763 *maze*

1764 A resilient individual highlights certain behaviors in the form of active coping  
1765 strategy that involves assessment of risks and threats in the surrounding [268].  
1766 Risk assessment behavior in this study was measured using number of head-dips

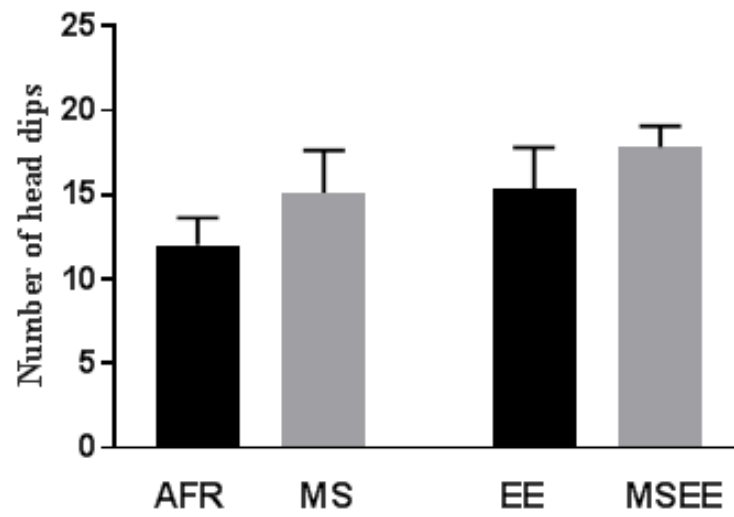
1767 performed by each subject during the test. In this test, higher number of head dips  
1768 indicates elevated risk assessment behavior.

1769 A two-way ANOVA was conducted for the number of head dips in the elevated  
1770 plus maze with stress and EE as two between subject sources of variance. The  
1771 effect of stress ( $F_{(1,32)} = 1.652$ ,  $p = 0.21$ ) and EE ( $F_{(1,32)} = 1.924$ ,  $p = 0.18$ ) on the  
1772 number head dips performed in the elevated plus maze failed to reach statistical  
1773 significance. Similarly, the interaction between stress and EE ( $F_{(1,32)} = 0.020$ ,  $p =$   
1774  $0.89$ ) had no significant effect on the number head dips performed in the elevated  
1775 plus maze.

1776 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
1777 absence of EE ( $t_{19} = -1.081$ ,  $p = 0.29$ ; Cohen's  $d = 0.46$ ), the effect of stress on the  
1778 number head dips performed in the elevated plus maze failed to reach significance.  
1779 Similarly, in the presence of EE ( $t_{13} = -0.778$ ,  $p = 0.45$ ; Cohen's  $d = 1.328$ ; Figure  
1780 R.12) the effect of stress on the number of head dips performed in the elevated  
1781 plus maze failed to reach significance.

1782 The results of head dips in EPM test revealed that MS, either in absence or  
1783 presence of EE did not significantly affect risk assessment behavior.





1784

1785 **Figure R.12: Effect of stress and EE on a number of head dips in EPM: Stress**  
1786 had no significant effect on the number head dips performed in the elevated plus  
1787 maze in presence and absence of EE. Graphs represent Mean  $\pm$  SEM. N = 12 for  
1788 AFR, 9 for Stress, 9 for EE and 6 for MSEE.

1789 *Stress or EE did not alter closed arm entries in the elevated plus maze*

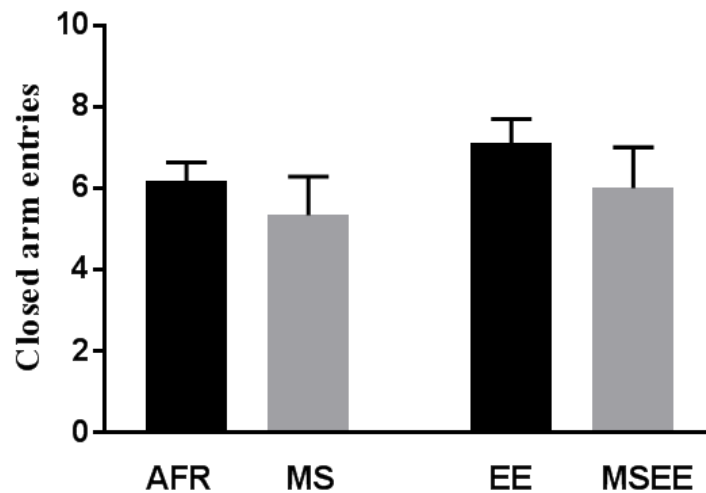
1790 Number of closed arm entries indicates the influence of locomotion of rats in the  
1791 maze as indicated by factor analysis studies on EPM [269]. To assess the influence  
1792 of locomotion on the results of EPM, number of closed arm entries was analyzed.

1793 A two-way ANOVA was conducted for percentage closed arm time in the elevated  
1794 plus maze with stress and EE as two between subject sources of variance. The  
1795 effect of stress ( $F_{(1,32)} = 1.702$ ,  $p = 0.20$ ) and EE ( $F_{(1,32)} = 1.168$ ,  $p = 0.29$ ) on the  
1796 number of entries into the closed arm of the elevated plus maze failed to reach  
1797 statistical significance. Similarly, the effect of the interaction between stress and  
1798 EE ( $F_{(1,32)} = 0.035$ ,  $p = 0.85$ ) on the number of entries into the closed arm of the  
1799 elevated plus maze failed to reach statistical significance.

1800 Orthogonal planned comparisons (Independent samples t-tests) showed that in the  
1801 absence of EE ( $t_{19} = 0.842$ ,  $p = 0.41$ ; Cohen's  $d = 0.359$ ) the effect of stress on the  
1802 number of closed arm entries in the elevated plus maze failed to reach significance.  
1803 Similarly, in the presence of EE ( $t_{13} = 1.026$ ,  $p = 0.32$ ; Cohen's  $d = 0.520$ ; Figure  
1804 R.13) the effect of stress on the number of closed arm entries in the elevated plus  
1805 maze failed to reach significance.

1806 The result indicates the absence of any influence of general locomotion on the  
1807 results of the EPM test as a result of different early life housing conditions.

1808



1809

1810 **Figure R.13: Effect of early life stress on number of closed arm entries in**  
 1811 **EPM in presence and absence of EE:** Stress had no significant effect on the  
 1812 number of entries into the closed arm of the elevated plus maze in presence and  
 1813 absence of EE. Graphs represent Mean  $\pm$  SEM. N = 12 for AFR, 9 for Stress, 9 for  
 1814 EE and 6 for MSEE.

1815 *Stress along with EE significantly increased total percentage*  
 1816 *exploration in the SIT*

1817 Social interaction test was performed in this study to assess the social motivation  
 1818 in rats of varying early life environment. Previous study has shown that stress  
 1819 causes decline in social exploration in rats where they are motivated to explore  
 1820 objects in comparison to unfamiliar conspecifics [241].

1821 A two-way ANOVA was conducted for total percentage exploration in the social  
 1822 interaction test with stress and EE as two between subject sources of variance. The  
 1823 effect of EE ( $F_{(1,30)} = 2.347$ ,  $p = 0.14$ ) on percentage total exploration in the social

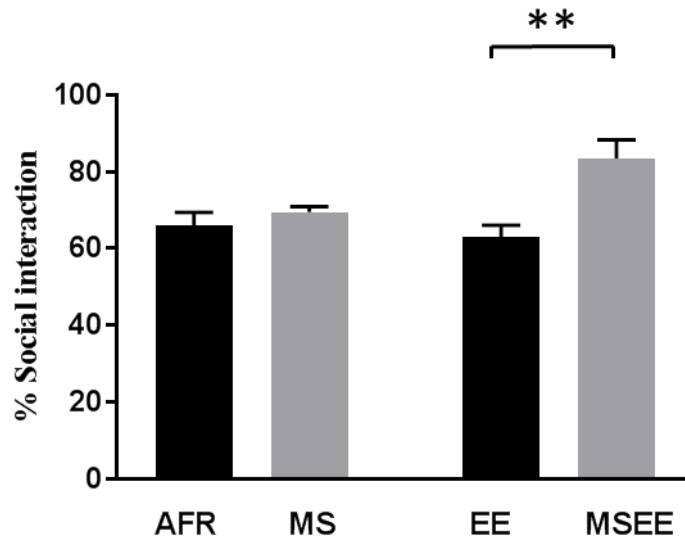
1824 interaction test failed to reach statistical significance. However, both stress ( $F_{(1,30)}$   
1825  $= 11.39$ ,  $p < 0.00$ ) and the interaction between stress and EE ( $F_{(1,30)} = 5.656$ ,  $p =$   
1826  $0.02$ ) had significant effect on the time spent interacting with the stimulus animal  
1827 in the social interaction test.

1828 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
1829 absence of EE ( $t_{18} = -0.796$ ,  $p = 0.44$ ; Cohen's  $d = 0.403$ ), the effect of stress on  
1830 percentage total exploration in the social interaction test failed to reach statistical  
1831 significance. However, in the presence of EE ( $t_{12} = -3.661$ ,  $p < 0.00$ ; Cohen's  $d =$   
1832  $2.000$ ; Figure R.14) stress significantly increased the time spent interacting with  
1833 the stimulus animal in the social interaction test.

1834 Rats subjected to both MS and EE spent significantly more time exploring  
1835 unfamiliar conspecifics in comparison to wooden objects. This shows that presence  
1836 of both environments has a combined effect on elevating the social interaction  
1837 behaviour. Though stress did not show a significant decline in social interaction  
1838 like previous studies, MS in presence of/along with EE has a pro-social behaviour.  
1839 This could be because enriched environment provided a complex environment  
1840 including exploratory, social, emotional and motor stimulation to the animals early  
1841 in life and also helped induce well adaptive behaviours [169].

1842

1843



1844

1845 **Figure R.14: Effect of early life stress and EE on social exploration in social**  
 1846 **interaction test:** Stress along with EE significantly increased the time spent  
 1847 interacting with the stimulus animal in the social interaction test. Graphs represent  
 1848 Mean ± SEM. N = 12 for AFR, 8 for Stress, 9 for EE and 5 for MSEE;  $**p \leq 0.01$ .

1849 *Stress decreased T1 immobility but not swimming in the forced swim*  
 1850 *test (FST)*

1851 Chronically administered corticosterone reduced immobility time in the FST [270].  
 1852 As an index for learned helplessness (depressive-like symptoms), immobility (time  
 1853 in seconds) in Porsolt's forced swim task (FST) was measured in our study. MS  
 1854 induced depression-like behaviour was associated with decreased swimming  
 1855 behaviour in the FST [271-273]. This positive stress-coping behavior such as  
 1856 swimming (time in seconds) was also measured in our study shown below.  
 1857 Immobility and swimming were measured at two time points, T1 and T2. T1 was  
 1858 the first day where the animals were habituated to the test and T2 was the time

1859 point for the actual test day. In FST, the initial exposure to the swim tank on day 1  
1860 (T1) is known to induce a state of “behavioral despair,” such that these rats have  
1861 *altered emotional reactivity* in response to a new emergency represented by  
1862 swimming. So, day 2 (T2) is considered as the test day where the depression-like  
1863 behavior can be well captured, after T1 habituation [274]. In this study, we  
1864 quantified T1 behavioral response to capture the initial response to novelty stress  
1865 and T2 behavioral response to capture the stabilized emotional response to forced  
1866 swim test.

1867 A two-way ANOVA was conducted for T1 (Day-1) immobility and swimming in  
1868 the forced swim test with stress and EE as two between subject sources of  
1869 variance. The effect of both EE ( $F_{(1,30)} = 2.797$ ,  $p = 0.11$ ) and stress ( $F_{(1,30)} = 2.075$ ,  
1870  $p = 0.16$ ) on immobility in the forced swim test failed to reach statistical  
1871 significance. However, the interaction between stress and EE ( $F_{(1,30)} = 3.966$ ,  $p =$   
1872  $0.06$ ) had a marginal significant effect on the T1 immobility in the forced swim  
1873 test.

1874 For swimming, the effect of EE ( $F_{(1,32)} = 0.334$ ,  $p = 0.57$ ) and the interaction  
1875 between EE and stress ( $F_{(1,32)} = 0.420$ ,  $p = 0.52$ ) did not reach statistical  
1876 significance. However, stress ( $F_{(1,32)} = 3.923$ ,  $p = 0.06$ ) had a marginal significant  
1877 effect on the T1 swimming in the forced swim test.

1878 Orthogonal planned comparisons (independent samples t-tests) for T1 immobility  
1879 showed that, in the absence of EE ( $t_{18} = 2.138$ ,  $p = 0.05$ ; Cohen’s  $d = 1.061$ ), stress  
1880 significantly decreased immobility in the forced swim test. However, in the  
1881 presence of EE ( $t_{12} = -0.849$ ,  $p = 0.42$ ; Cohen’s  $d = 0.528$ ; Figure R.15A) the effect

1882 of stress on T1 immobility in the forced swim test failed to reach statistical  
1883 significance.

1884 Orthogonal planned comparisons (independent samples t-tests) for T1 swimming  
1885 showed that, in the absence of EE ( $t_{19} = 1.759$ ,  $p = 0.10$ ; Cohen's  $d = 0.736$ ), the  
1886 effect of stress on T1 swimming in the forced swim test failed to reach statistical  
1887 significance. Similarly, in the presence of EE ( $t_{13} = 1.254$ ,  $p = 0.23$ ; Cohen's  $d =$   
1888  $0.649$ ; Figure R.15B) the effect of stress on swimming in the forced swim test  
1889 failed to reach statistical significance.

1890 The results revealed that MS significantly decreased immobility in T1 when  
1891 compared to controls. The response to the first period of immobility is proposed as  
1892 an indicator of the length of the first effort to escape from the stressful situation  
1893 that the forced swim test represents. The *altered emotional reactivity* when  
1894 confronted with an emergency (swim test) for the first time of could be the reason  
1895 why the MS rats displayed less immobility on day 1 (T1). The T1 result observed  
1896 in this study has already been reported before [275, 276]. However, there are  
1897 studies that show that stress increases immobility time [277, 278] but reduced  
1898 immobility is not always associated with a compromised behavior due to chronic  
1899 stress as shown in earlier studies. MS in this study did not alter T1 swimming time  
1900 in FST.

1901 *Stress decreased T2 swimming but not immobility in the forced swim*  
1902 *test (FST)*

1903 As mentioned earlier, T2 was considered as the test day wherein the rats were  
1904 habituated already. Immobility on day 2 (T2) is considered as an indicator that the  
1905 animal lacks motivation for solving a problem [279]. A two-way ANOVA was  
1906 conducted for T2 (day 2) immobility and swimming in the forced swim test with  
1907 stress and EE as two between subject sources of variance. The effect of EE ( $F_{(1,26)}$   
1908  $= 2.167$ ,  $p = 0.15$ ), stress ( $F_{(1,26)} = 1.050$ ,  $p = 0.32$ ) and the interaction between  
1909 stress and EE ( $F_{(1,26)} = 3.348$ ,  $p = 0.08$ ) on T2 immobility in the forced swim test  
1910 failed to reach statistical significance.

1911 For swimming, the effect of EE ( $F_{(1,26)} = 27.829$ ,  $p < 0.00$ ) and stress ( $F_{(1,26)} =$   
1912  $4.929$ ,  $p = 0.04$ ) had a significant effect on T2 swimming in the forced swim test.  
1913 Similarly, the interaction between EE and stress ( $F_{(1,26)} = 13.334$ ,  $p < 0.00$ ) had a  
1914 significant effect on the T2 swimming in the forced swim test.

1915 Orthogonal planned comparisons (independent samples t-tests) for T2 immobility  
1916 showed that, in the absence of EE ( $t_{13} = 1.583$ ,  $p = 0.14$ ; Cohen's  $d = 1.108$ ), the  
1917 effect of stress on T2 immobility in the forced swim test failed to reach statistical  
1918 significance. Similarly, in the presence of EE ( $t_{13} = -0.830$ ,  $p = 0.42$ ; Cohen's  $d =$   
1919  $0.435$ ; Figure R.16A) the effect of stress on T2 immobility in the forced swim test  
1920 failed to reach statistical significance. Orthogonal planned comparisons  
1921 (independent samples t-tests) for T2 swimming showed that, in the absence of EE  
1922 ( $t_{13} = 3.350$ ,  $p = 0.01$ ; Cohen's  $d = 2.110$ ), stress significantly decreased T2  
1923 swimming in the forced swim test. However, in the presence of EE ( $t_{13} = -1.372$ ,  $p$   
1924  $= 0.19$ ; Cohen's  $d = 0.769$ ; Figure R.16B) the effect of stress on swimming in the  
1925 forced swim test failed to reach statistical significance.



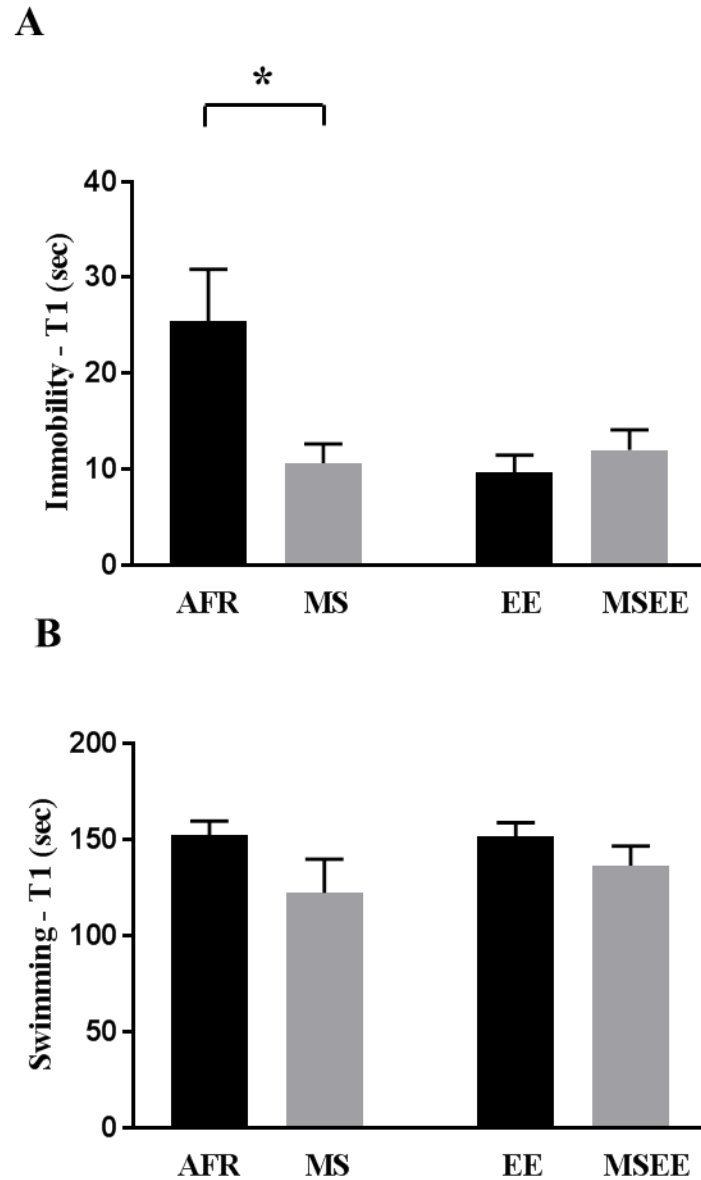
1926 In T2 experiments, MS significantly decreased swimming time in the FST. MS  
1927 induced depression-like behaviour is associated with decreased swimming  
1928 behaviour in the FST [271-273]. Thus, MS induced depression-like behaviour in  
1929 the FST, which is in agreement with existing literature. MS in this experiment did  
1930 not alter T2 immobility time in FST.

1931

1932

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1935

1936 **Figure R.15: Stress significantly decreased T1 immobility but not swimming**

1937 **in the forced swim test. A)** Stress significantly decreases immobility time in the

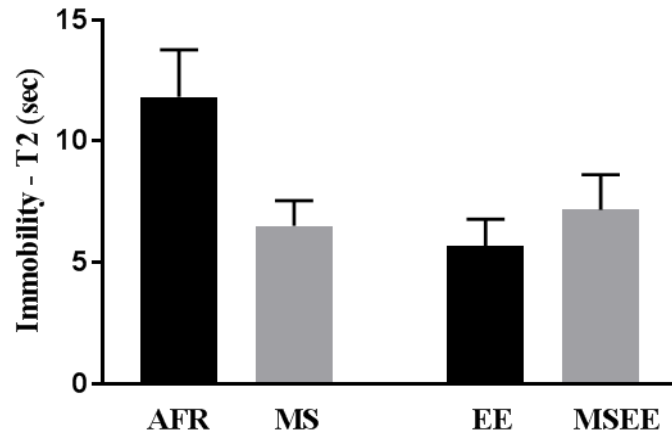
1938 forced swim test. Graphs represent Mean  $\pm$  SEM. N = 12 for AFR, 8 for Stress, 8

1939 for EE and 6 for MSEE;  $*p \leq 0.05$ . **B)** Stress had no significant effect on

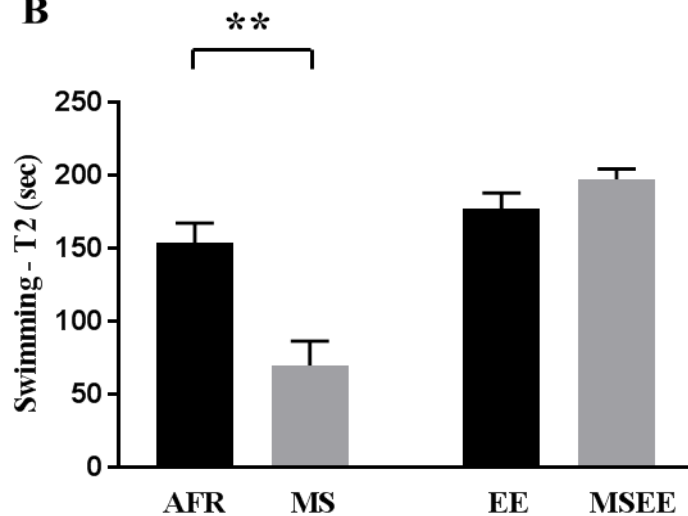
1940 swimming time in the forced swim test. Graphs represent Mean  $\pm$  SEM. N = 12 for

1941 AFR, 8 for Stress, 8 for EE and 6 for MSEE.

**A**



**B**



1942

1943 **Figure R.16: Stress significantly decreased T2 swimming but not immobility**

1944 **in the forced swim test. A)** Stress had no significant effect on immobility time in

1945 the forced swim test. Graphs represent Mean  $\pm$  SEM. N = 12 for AFR, 9 for Stress,

1946 9 for EE and 6 for MSEE. **B)** Stress significantly decreased swimming time in the

1947 forced swim test. Graphs represent Mean  $\pm$  SEM. N = 12 for AFR, 9 for Stress, 9

1948 for EE and 6 for MSEE;  $**p \leq 0.01$ .

#### 1949 4.3. *quantitative PCR*

1950

1951 GC activated glucocorticoid receptor (GR) has a central role in maintaining the  
1952 regulation of HPA axis in response to various stressors [142, 218, 219]. The  
1953 density of GR population across amygdala, hippocampus, and mPFC differ and is  
1954 shown to have a link in providing feedback regulation to HPA axis [219]. The  
1955 recruitment of activated GR in the nucleus as a transcription factor is a crucial step  
1956 that initiates a relay of downstream molecular pathways to mediate stress response  
1957 [112, 220]. Preliminary evidence suggests that in the basolateral amygdala (unlike  
1958 in the hippocampus), GR activation has lasting excitatory rather than suppressive  
1959 and normalizing effects on cell firing [280]. This makes it interesting to look at the  
1960 GR expression in the BLA with respect to stress. Various proteins have been  
1961 shown to be involved in amygdala-dependent fear and learning. Acquisition of fear  
1962 conditioning is known to involve the interaction of neural inputs from the  
1963 conditioned stimulus (CS) and unconditioned stimulus (US) pathways onto Lateral  
1964 Amygdala (LA) cells during fear conditioning [281-283]. In the LA, ERK/MAPK  
1965 gets activated by phosphorylation that is initiated within an hour after conditioning  
1966 [284]. BDNF activation via the tyrosine kinase TrkB receptor is important in the  
1967 acquisition fear conditioning in the LA [285]. The mRNA abundance levels of  
1968 glucocorticoid receptor (GR) and brain derived neurotrophic factor (BDNF) were  
1969 measured using quantitative polymerase chain reaction (qPCR). GC activated  
1970 glucocorticoid receptor (GR) were focused in this study because of its central role  
1971 in maintaining the regulation of HPA axis in response to various stressors [142,  
1972 218, 219]. Another important molecule that interacts with glucocorticoid and its

1973 receptor (GC-GR) is BDNF, that activates molecular cascade to enhance fear  
1974 related memories [286].

1975 Thus we looked into the most prominent molecules that might be playing role in  
1976 regulating emotional response to stress. GR being the primary driver of plasticity  
1977 within BLA, we studied expression, regulation and nuclear activation of GR that  
1978 has been shown to be crucial for BLA-dependent response to stress. Additionally  
1979 we looked into the expression of BDNF and MAPK, which has been previously  
1980 shown to modulate emotional response to stress. Since these molecules are  
1981 important mediators of the stress response, a candidate-based approach was used to  
1982 select these molecules for analysis of my animal models (MS, EE and MSEE).

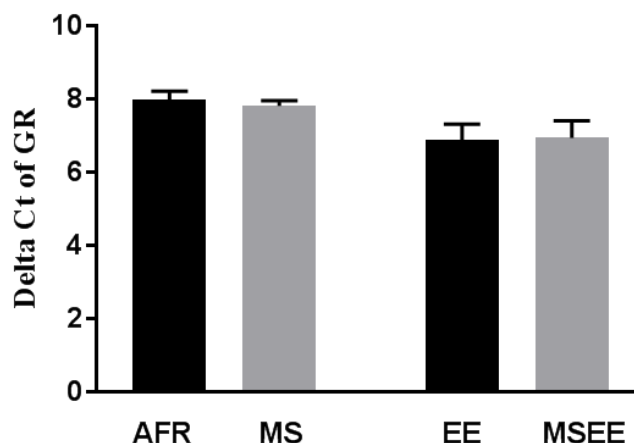
1983 Our first aim was to determine the effect of early-life stress and enriched  
1984 environment on the transcription levels of these molecules. This gave us  
1985 information about how early life environment plays an important role in altering  
1986 the transcriptional regulation of the animals during adulthood and the translational  
1987 regulation will be discussed in the next section. The Ct values obtained for GR and  
1988 BDNF were normalized using the geometric mean of the Ct value of two reference  
1989 genes. The qPCR results are presented as delta Ct values (Ct value of gene of  
1990 interest – geometric mean of reference genes Ct values) for each experimental  
1991 group. The delta Ct values of the target genes with the statistical analysis are given  
1992 below.

1993 Based on previous literature, the most likely mediator candidates TrkB, Arc, Erk,  
1994 and MR of stress response were also analyzed were. Please refer to appendix for p  
1995 values of TrkB, Arc, Erk and MR.  
1996

1997 *Stress or EE did not alter total GR mRNA levels in BLA*

1998 A two-way ANOVA was conducted for total GR mRNA abundance in the  
 1999 BLA with stress and EE as two between subject sources of variance. EE ( $F_{(1,19)} = 7.521$ ,  $p = 0.01$ ) had a significant main effect on mRNA transcript level of  
 2000 GR in the basolateral amygdala. However, the effect of both stress ( $F_{(1,19)} = 0.021$ ,  $p = 0.89$ ) and the interaction between stress and EE ( $F_{(1,19)} = 0.111$ ,  $p = 0.74$ ), on the mRNA transcript level of GR in the basolateral amygdala failed to  
 2003 reach statistical significance.  
 2004

2005 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
 2006 the absence ( $t_9 = 0.599$ ,  $p = 0.56$ ; Cohen's  $d = 0.368$ ) of EE, the effect of stress  
 2007 on the mRNA transcript level of GR in the basolateral amygdala failed to reach  
 2008 statistical significance. Similarly, in the presence of EE ( $t_{10} = -0.107$ ,  $p = 0.92$ ;  
 2009 Cohen's  $d = 0.064$ ; Figure R.17) the effect of stress on the mRNA transcript  
 2010 level of GR in the basolateral amygdala failed to reach statistical significance.



2011

2012 **Figure R.17: Effect of early life stress and EE on GR mRNA expression:**

2013 EE had a significant effect on mRNA transcript level of GR in the basolateral

2014 amygdala in presence and absence of EE. Graphs represent Mean  $\pm$  SEM. N =  
2015 6 for AFR, 5 for Stress, 6 for EE and 6 for MSEE.

2016 *Stress or EE did not alter total BDNF mRNA levels in BLA*

2017 A two-way ANOVA was conducted for total BDNF mRNA abundance in the  
2018 BLA with stress and EE as two between subject sources of variance. The effect  
2019 of stress ( $F_{(1,18)} = 0.153$ ,  $p = 0.70$ ) and EE ( $F_{(1,18)} = 1.609$ ,  $p = 0.22$ ) on the  
2020 mRNA transcript levels of BDNF in the basolateral amygdala did not reach  
2021 statistical significance. However, the interaction between stress and EE ( $F_{(1,18)}$   
2022  $= 4.036$ ,  $p = 0.06$ ) had a marginally significant effect on the mRNA transcript  
2023 levels of BDNF in the basolateral amygdala.

2024 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
2025 the absence of EE ( $t_9 = 1.455$ ,  $p = 0.18$ ; Cohen's  $d = 0.842$ ), the effect of stress  
2026 on the mRNA transcript levels of BDNF in the basolateral amygdala did not  
2027 reach statistical significance. Similarly, in the presence of EE ( $t_9 = -1.444$ ,  $p =$   
2028  $0.18$ ; Cohen's  $d = 0.912$ ; Figure R.18), the effect of stress on the mRNA  
2029 transcript levels of BDNF in the basolateral amygdala did not reach statistical  
2030 significance.

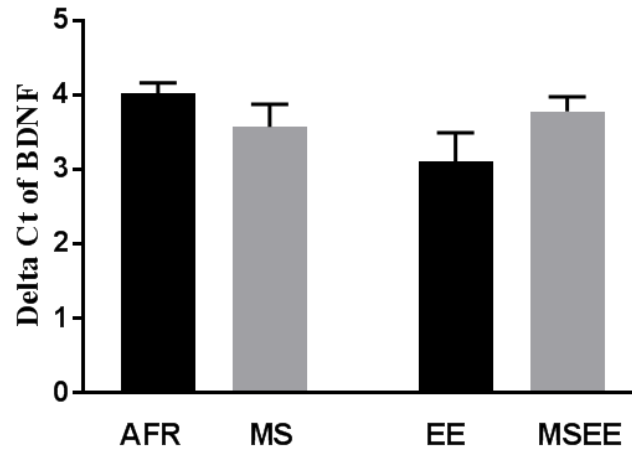
2031 MS did not alter the mRNA expression of GR and BDNF either in presence or  
2032 absence of EE. It was interesting to note that EE had a marginal significant  
2033 effect ( $p = 0.06$ ) on the mRNA levels of BDNF.

2034

2035

2036





2037

2038 **Figure R.18: Effect of early life stress and EE on BDNF mRNA expression:**

2039 EE had a marginal significant ( $p = 0.06$ ) effect on mRNA transcript level of  
 2040 BDNF in the basolateral amygdala in presence and absence of EE. Graphs  
 2041 represent Mean  $\pm$  SEM. N = 6 for AFR, 5 for Stress, 6 for EE and 5 for MSEE.

2042 *Geometric mean of reference genes qPCR*

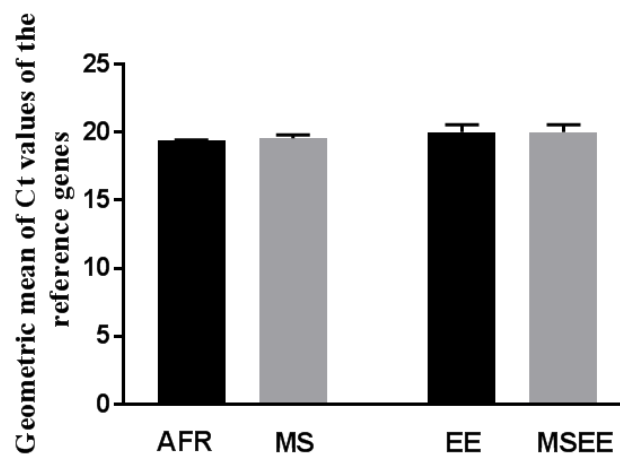
2043 Two housekeeping genes were used to alleviate the errors caused due to usage  
 2044 of one reference gene [287]. Therefore, the geometric mean of two reference  
 2045 genes was used for normalization in this experiment. To assess the influence of  
 2046 the geometric mean on the results of qPCR, two-way ANOVA and orthogonal  
 2047 planned comparison was performed for these values.

2048 A two-way ANOVA was conducted for geometric means of reference genes in  
 2049 the BLA with stress and EE as two between subject sources of variance. The  
 2050 effect of stress ( $F_{(1,19)} = 0.033$ ,  $p = 0.86$ ) and EE ( $F_{(1,19)} = 1.722$ ,  $p = 0.21$ ) on  
 2051 the geometric mean of Ct values of the reference genes used to normalize  
 2052 qPCR Ct values did not reach statistical significance. Similarly, the effect of  
 2053 the interaction between stress and EE ( $F_{(1,19)} = 0.038$ ,  $p = 0.85$ ) on the

2054 geometric mean of Ct values of the reference genes used to normalize qPCR Ct  
2055 values did not reach statistical significance.

2056 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
2057 the absence of EE ( $t_9 = -0.539$ ,  $p = 0.60$ ; Cohen's  $d = 0.349$ ), the effect of stress  
2058 on the geometric mean of Ct values of the reference genes used to normalize  
2059 qPCR Ct values did not reach statistical significance. Similarly, in the presence  
2060 of EE ( $t_{10} = 0.008$ ,  $p = 0.99$ ; Cohen's  $d = 0.008$ ; Figure R.19) the effect of  
2061 stress on the geometric mean of Ct values of the reference genes used to  
2062 normalize qPCR Ct values did not reach statistical significance.

2063 The results revealed the absence of any influence of the geometric mean itself  
2064 on the results of qPCR as there was no significant difference between the  
2065 reference genes between groups. Hence, we were confident of using  
2066 housekeeping genes as a reference for comparison of candidate genes in our  
2067 study.



2068

2069 **Figure R.19: Effect of early life stress on the geometric mean of Ct values**  
2070 **of the reference genes:** Stress had no significant effect on the geometric mean

2071 of Ct value of the reference genes used to normalize qPCR Ct values. Graphs  
2072 represent Mean  $\pm$  SEM. N = 5 for AFR, 6 for Stress, 6 for EE and 6 for MSEE.

2073

#### 2074 *4.4. Protein analysis: Western Blot and Immunohistochemistry* 2075

2076 As mentioned in the previous section, the translational regulation of above-  
2077 mentioned genes was investigated in this section. Candidate-based approach  
2078 was applied to determine the protein expression levels of glucocorticoid  
2079 receptor (GR), pro-brain derived neurotrophic factor (pro-BDNF) and brain  
2080 derived neurotrophic factor (BDNF) in the basolateral amygdala (BLA) using  
2081 western blot. Immunohistochemistry was used to further visualize the  
2082 expression pattern of GR, Pro-BDNF, BDNF and phosphorylated mitogen-  
2083 activated protein kinase (pMAPK) in neurons of the BLA. There is very limited  
2084 information of the expression of these proteins in BLA when compared to  
2085 hippocampus. Our study focuses on exploring the effect of early life  
2086 environment on the expression of candidate- based proteins specifically in  
2087 BLA, as it remains understudied in spite of BLA's crucial role in mediating  
2088 stress response and emotional behavior.

2089 Once the effect of early-life stress and enriched environment on mRNA  
2090 transcription was determined, it was important to understand whether the  
2091 results of qPCR had an impact on protein levels in the different group of  
2092 animals. Protein expression levels of these molecules were determined in the  
2093 BLA of animals assigned to different experimental groups and compared,  
2094 statistically for difference between them.

2095 *Stress or EE did not alter total GR protein levels in BLA*

2096 A two-way ANOVA was conducted to measure relative intensity of GR in the  
2097 BLA with stress and EE as two between subject sources of variance. The effect of  
2098 stress ( $F_{(1,19)} = 1.399$ ,  $p = 0.25$ ) and EE ( $F_{(1,19)} = 0.053$ ,  $p = 0.82$ ) on the relative  
2099 intensity of GR in the basolateral amygdala did not reach statistical significance.  
2100 Similarly, the effect of the interaction between stress and EE ( $F_{(1,19)} = 0.400$ ,  $p =$   
2101  $0.53$ ) on the relative intensity of GR in the basolateral amygdala did not reach  
2102 statistical significance.

2103 Orthogonal planned comparisons (independent samples t-tests) showed that in  
2104 absence of EE ( $t_9 = 1.232$ ,  $p = 0.25$ ; Cohen's  $d = 0.782$ ) the effect of stress on the  
2105 relative intensity of GR in the basolateral amygdala did not reach statistical  
2106 significance. Similarly, in the presence of EE ( $t_{10} = 0.405$ ,  $p = 0.69$ ; Cohen's  $d =$   
2107  $0.226$ ; Figure R.20) stress had no significant effect on protein level of GR in the  
2108 basolateral amygdala.

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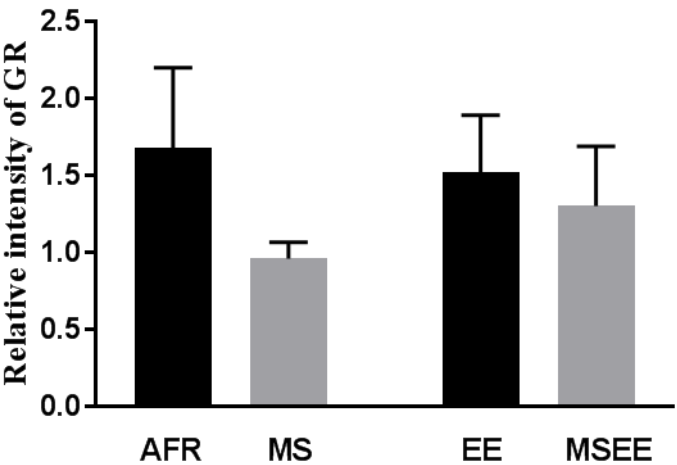
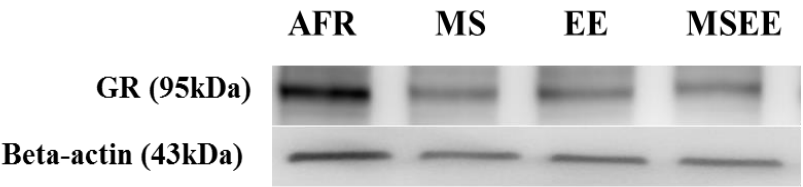
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2121 **Figure R.20: Effect of stress on the relative intensity of GR in presence and absence**  
2122 **of EE:** Stress or EE had no significant effect on protein level of GR in the  
2123 basolateral amygdala in presence and absence of EE. Graphs represent Mean  $\pm$   
2124 SEM. N = 6 for AFR, 5 for Stress, 6 for EE and 6 for MSEE.

2125 *Stress or EE did not affect Pro-BDNF protein levels in BLA*

2126 A two-way ANOVA was conducted for relative intensity of Pro-BDNF in the BLA  
2127 with stress and EE as two between subject sources of variance. EE ( $F_{(1,19)} = 5.007$ ,  
2128  $p = 0.04$ ) had a significant main effect on the relative intensity of Pro-BDNF in the  
2129 basolateral amygdala. However, the effect of both stress ( $F_{(1,19)} = 0.359$ ,  $p = 0.56$ )  
2130 and the interaction between stress and EE ( $F_{(1,19)} = 0.230$ ,  $p = 0.64$ ) on the relative  
2131 intensity of Pro-BDNF in the basolateral amygdala did not reach statistical  
2132 significance.

2133 Orthogonal planned comparisons (independent sample t-tests) showed that in the  
2134 absence of EE ( $t_{10} = 0.067$ ,  $p = 0.95$ ; Cohen's  $d = 0.040$ ) the effect of stress on the  
2135 relative intensity of Pro-BDNF in the basolateral amygdala did not reach statistical  
2136 significance. Similarly, in the presence of EE ( $t_9 = 1.506$ ,  $p = 0.17$  Cohen's  $d =$   
2137  $0.890$ ; Figure R.21) the effect of stress on the relative intensity of Pro-BDNF in the  
2138 basolateral amygdala did not reach statistical significance.

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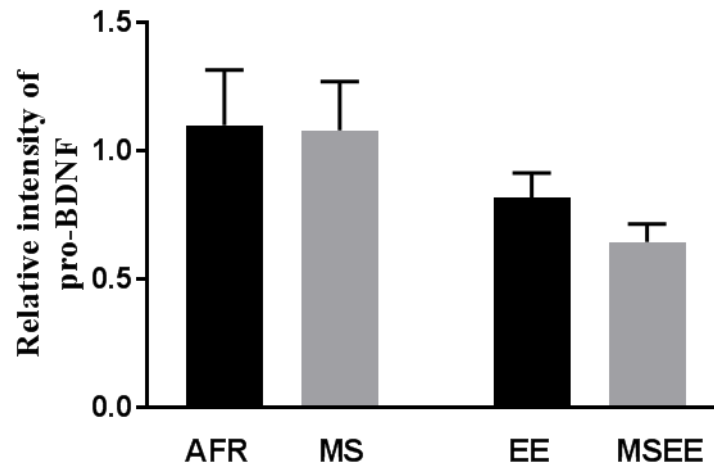
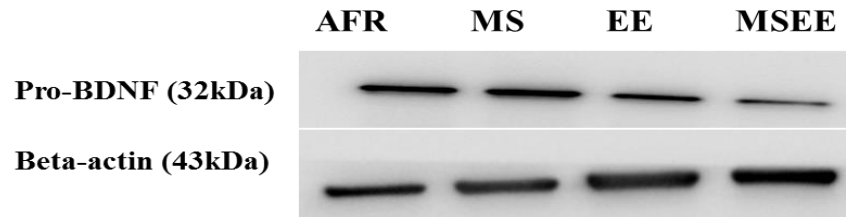
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2149 **Figure R.21: Effect of early life stress and EE on the relative intensity of pro-**  
 2150 **BDNF:** Stress or EE had no significant effect on protein level of pro-BDNF in the  
 2151 basolateral amygdala in presence and absence of EE. Graphs represent Mean  $\pm$   
 2152 SEM. N = 6 for AFR, 6 for Stress, 5 for EE and 6 for MSEE.

2153 *Stress alone did not affect BDNF protein levels in BLA, but interaction*  
 2154 *between stress & EE had significant influence on BDNF.*

2155 A two-way ANOVA was conducted for BDNF relative intensity in the BLA with  
 2156 stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,20)} = 1.676$ ,  $p = 0.21$ ) and EE ( $F_{(1,20)} = 0.060$ ,  $p = 0.81$ ) on the relative intensity  
 2157 of BDNF in the basolateral amygdala did not reach statistical significance.

2159 However, the interaction between stress and EE ( $F_{(1,20)} = 7.187$ ,  $p = 0.01$ ) had a  
2160 significant effect on the protein expression level of BDNF in the basolateral  
2161 amygdala.

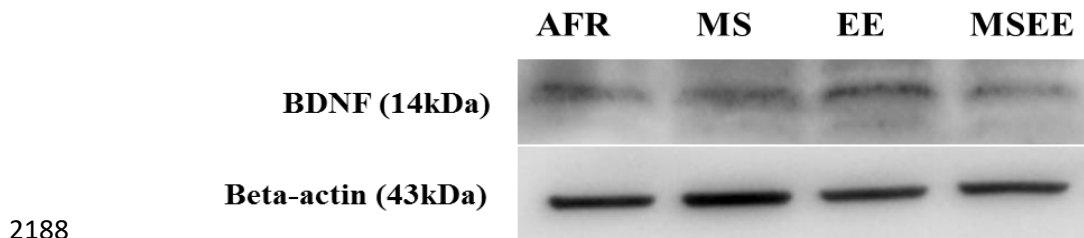
2162 Orthogonal planned comparisons (independent samples t-tests) showed that in  
2163 absence of EE ( $t_{10} = -0.871$ ,  $p = 0.40$ ; Cohen's  $d = 0.498$ ) the effect of stress on the  
2164 relative intensity of BDNF in the basolateral amygdala failed to reach statistical  
2165 significance. However, in the presence of EE ( $t_{10} = 3.282$ ,  $p = 0.01$  Cohen's  $d =$   
2166  $1.880$ ; Figure R.22) stress significantly decreased the protein level of BDNF in the  
2167 basolateral amygdala.

2168 The results revealed that MS did not alter the above mentioned protein levels in  
2169 BLA. Expression of pro-BDNF, the precursor product of mature BDNF, was  
2170 significantly decreased in rats placed in environmental enrichment. Previous work  
2171 has associated increased expression of pro-BDNF in the hippocampus to  
2172 depression in humans [288]. The reduced pro-BDNF levels in my study could have  
2173 anti-depressive like effect driven by BLA because hippocampus and BLA are  
2174 known to display opposite effects to each other in response to stress [53].  
2175 Similarly, the hippocampus and BLA might have displayed contrasting effects in  
2176 response to EE. Environmental enrichment was not observed to affect the  
2177 expression of BDNF protein in the BLA. Prior reports have shown that BDNF  
2178 levels are elevated in response to enriched environment conditions. Only one  
2179 report demonstrated that short-term enriched environment during stress rescues the  
2180 stress-induced elevated BDNF mRNA expression in BLA [166]. Finally,  
2181 concurrent exposure to both short-term environmental enrichment and early life

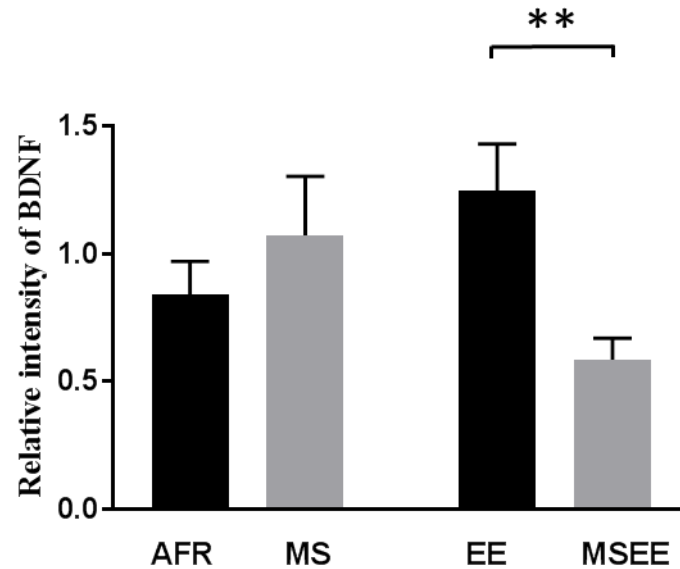


maternal stress resulted in lower BDNF protein expression in the BLA. This is an important interaction effect that is not observed when rats are subjected to only maternal separation. Chronic immobilization stress (CIS) in adulthood increases mRNA abundance of BDNF in BLA. However, the presence of both CIS and EE rescues the stress induced increase in BDNF mRNA levels [166].

2187



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2189

**Figure R.22: Effect of stress on the relative intensity of BDNF in presence and absence of EE:** Stress + EE significantly decreased the protein level of BDNF in the basolateral amygdala. Graphs represent Mean  $\pm$  SEM. N = 6 for AFR, 6 for Stress, 6 for EE and 6 for MSEE;  $**p \leq 0.01$ .

2194 *Stress increased the percentage of intra-nuclear GR in BLA neurons*  
2195 *(Immunohistochemistry)*

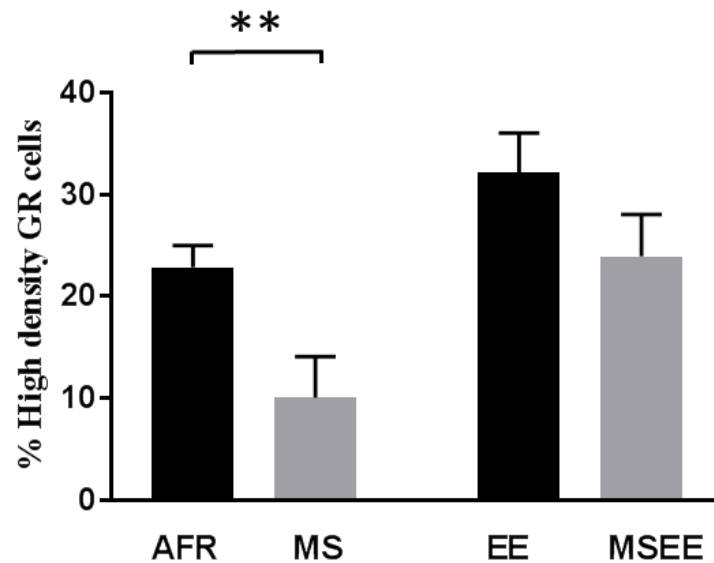
2196 As mentioned earlier, immunohistochemistry was used to further visualize the  
2197 expression pattern of GR, Pro-BDNF, BDNF and phosphorylated mitogen-  
2198 activated protein kinase (pMAPK) in neurons of the BLA. The results are  
2199 displayed below.

2200 While analyzing total GR protein, there was an interesting observation wherein the  
2201 density of GR signals was varying between samples. Hence, we decided to  
2202 manually count the high-density GR signal cells to infer if the pattern of this  
2203 peculiar (different density) signal was varying between groups.

2204 Percentage of intra-nuclear GR was quantified by manually counting the high-  
2205 density GR signals colocalized with DAPI stained cells. A two-way ANOVA was  
2206 conducted for intra-nuclear GR in BLA neurons with stress and EE as two between  
2207 subject sources of variance. Stress ( $F_{(1,19)} = 7.870$ ,  $p = 0.01$ ) and EE ( $F_{(1,19)} =$   
2208  $9.537$ ,  $p = 0.01$ ) had a significant main effect on the percentage of intra-nuclear  
2209 GR in basolateral amygdala neurons. However, the effect of the interaction  
2210 between stress and EE ( $F_{(1,19)} = 0.356$ ,  $p = 0.56$ ) on the percentage of intra-nuclear  
2211 GR in basolateral amygdala neurons did not reach statistical significance.

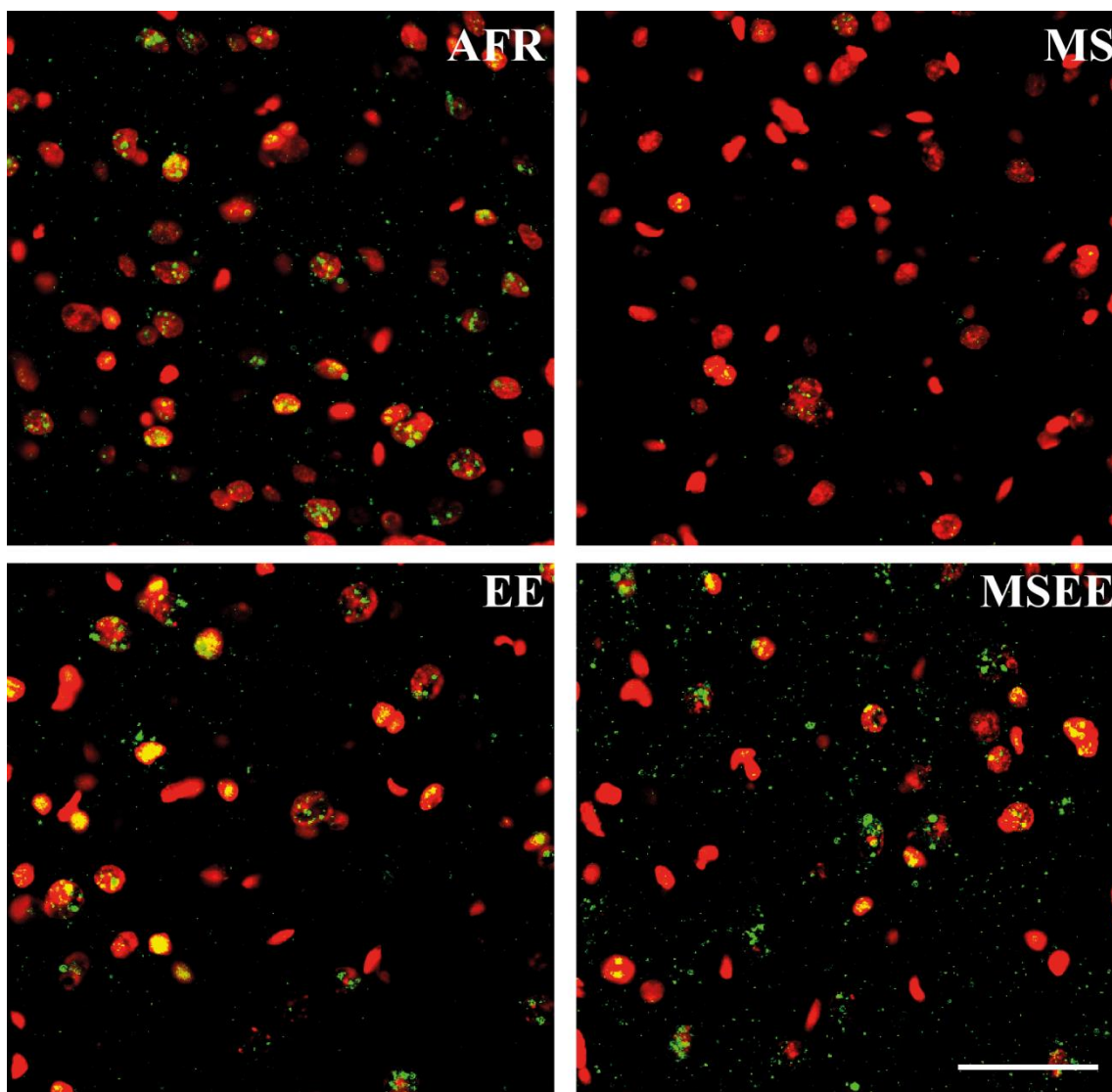
2212 Orthogonal planned comparisons (independent samples t-tests) showed that, in  
2213 absence of EE ( $t_9 = 2.641$ ,  $p = 0.03$ ; Cohen's  $d = 1.653$ ) stress significantly  
2214 decreased the percentage of intra-nuclear GR in basolateral amygdala neurons.  
2215 However, in the presence of EE ( $t_{10} = 1.469$ ,  $p = 0.17$ ; Cohen's  $d = 0.848$ ; Figure

2216 R.23) the effect of stress on the percentage of intra-nuclear GR in basolateral  
 2217 amygdala failed to reach statistical significance.



2218

2219 **Figure R.23: Effect of early life stress and EE on the percentage of intra-**  
 2220 **nuclear GR/high-density cells:** Stress significantly decreased the percentage of  
 2221 high-density GR cells in the basolateral amygdala in the absence of EE. Graphs  
 2222 represent Mean ± SEM. N = 5 for AFR, 6 for Stress, 6 for EE and 6 for MSEE;  
 2223  $**p \leq 0.01$ .



2224

2225

2226 **Figure R.24A: Representative images of GR stained cells in the BLA for all the four**  
 2227 **groups.** Immunofluorescence staining of the nucleus with DAPI (red) and GR  
 2228 (green). These are the representative images of the cells that contained GR and  
 2229 were considered for GR analysis. The image was pseudocolored with red and  
 2230 green for clarity. Scale bar represents 50 μm.

2231

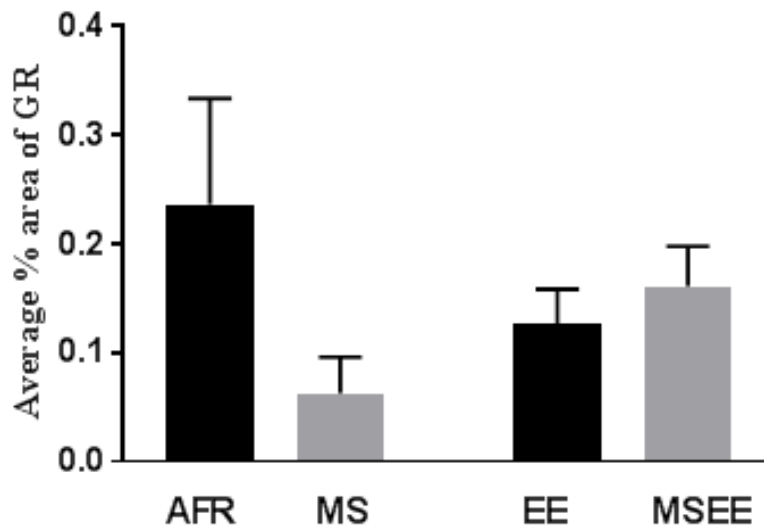
2232 *Stress or EE did not alter the total GR signal in BLA*  
2233 *(Immunohistochemistry)*

2234 Total GR signal was quantified by estimating the average percentage area of GR  
2235 signals using ImageJ software. It was crucial to determine the total GR signal  
2236 because we compared this with information about high density GR (in the previous  
2237 section). This helped us infer if MS increased total GR or only activated GR (intra-  
2238 nuclear) in BLA. A two-way ANOVA was conducted for total GR signal in BLA  
2239 neurons with stress and EE as two between subject sources of variance. The effect  
2240 of stress ( $F_{(1,17)} = 1.600$ ,  $p = 0.22$ ) and EE ( $F_{(1,17)} = 0.010$ ,  $p = 0.92$ ) on the total  
2241 GR signal in the basolateral amygdala did not reach statistical significance.  
2242 Similarly, the effect of the interaction between stress and EE ( $F_{(1,17)} = 3.543$ ,  $p =$   
2243  $0.08$ ) on the total GR signal in the basolateral amygdala did not reach statistical  
2244 significance.

2245 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2246 absence of EE ( $t_8 = 1.690$ ,  $p = 0.13$ ; Cohen's  $d = 1.103$ ) the effect of stress on the  
2247 total GR signal in the basolateral amygdala did not reach statistical significance.  
2248 Similarly, in the presence of EE ( $t_9 = -0.696$ ,  $p = 0.50$ ; Cohen's  $d = 0.372$ ; Figure  
2249 R.24) the effect of stress on the total GR signal in the basolateral amygdala did not  
2250 reach statistical significance.

2251

2252



2253

2254 **Figure R.24B: Effect of early life stress and EE on the total GR signal in the**

2255 **BLA:** Stress or EE had no significant effect on the average percentage area of GR

2256 signals in the basolateral amygdala in presence and absence of EE. Graphs

2257 represent Mean ± SEM. N = 5 for AFR, 6 for Stress, 6 for EE and 6 for MSEE.

2258 *Stress or EE did not alter the total BDNF signal in BLA*

2259 *(Immunohistochemistry)*

2260 Total BDNF signal was quantified by estimating the average percentage area of

2261 BDNF signals using ImageJ software. This information further helps us visualize

2262 the expression of BDNF and validate the results shown in western blot. A two-way

2263 ANOVA was conducted for total BDNF signal in BLA neurons with stress and EE

2264 as between subject sources of variance. The effect of stress ( $F_{(1,19)} = 0.861$ ,  $p =$

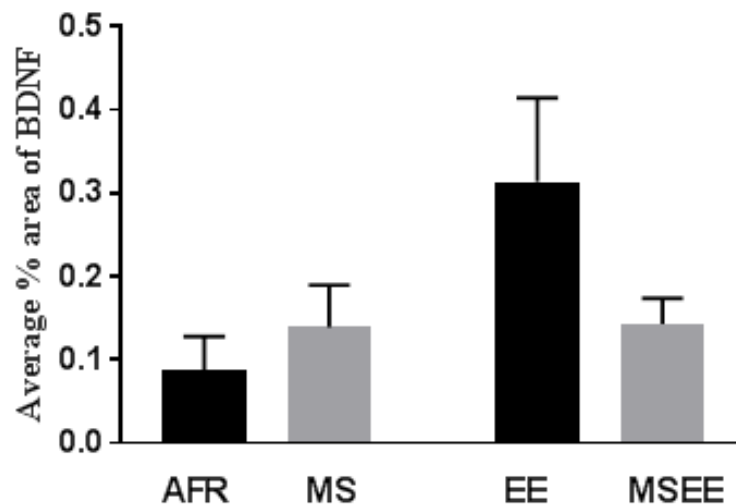
2265  $0.37$ ) and EE ( $F_{(1,19)} = 3.261$ ,  $p = 0.09$ ) on the total BDNF signal in the basolateral

2266 amygdala did not reach statistical significance. Similarly, the effect of the

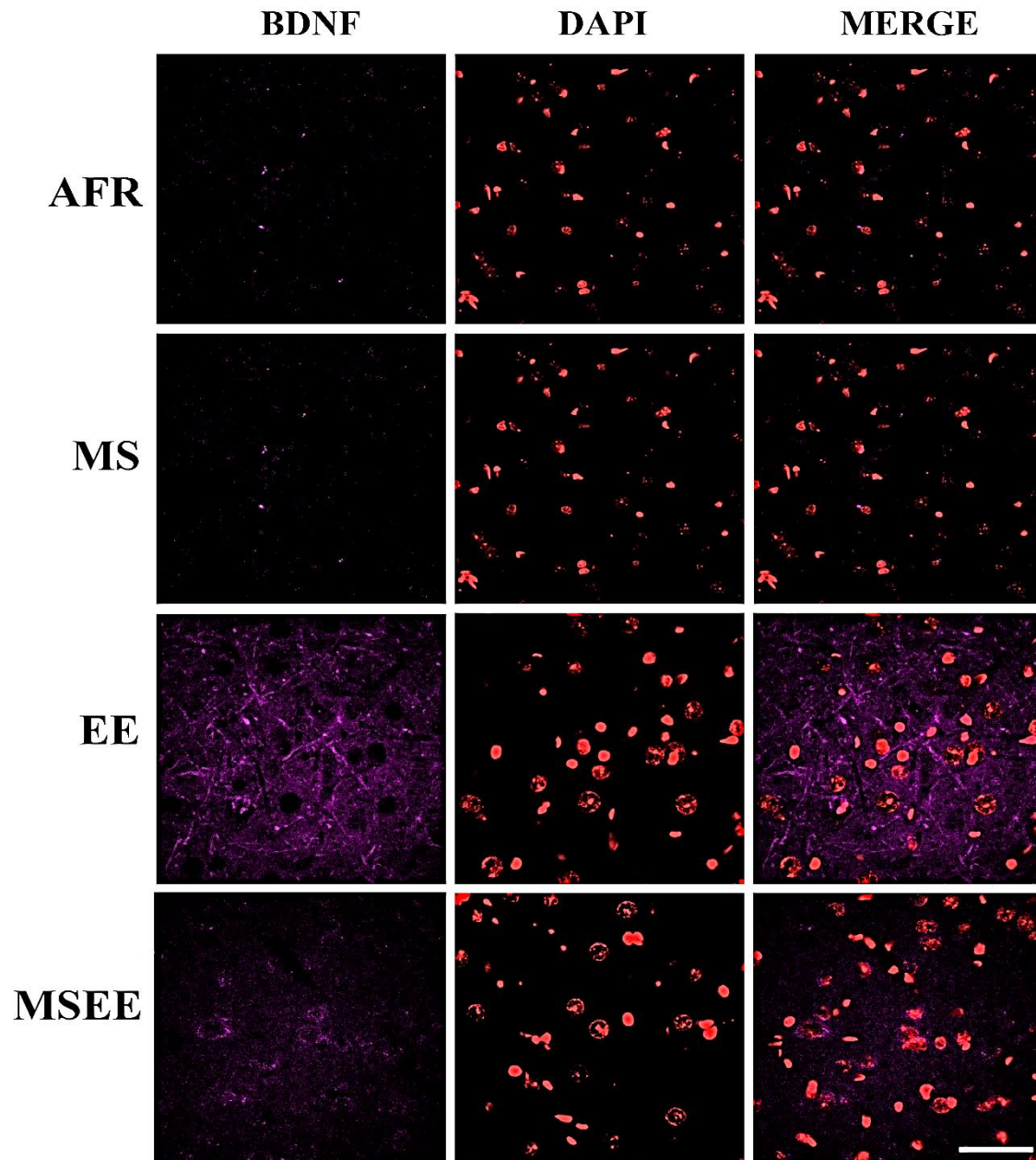
2267 interaction between stress and EE ( $F_{(1,19)} = 3.079$ ,  $p = 0.10$ ) on the total BDNF  
2268 signal in the basolateral amygdala did not reach statistical significance.

2269 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2270 absence of EE ( $t_9 = -0.793$ ,  $p = 0.45$ ; Cohen's  $d = 0.464$ ) the effect of stress on the  
2271 total BDNF signal in the basolateral amygdala did not reach statistical significance.  
2272 Similarly, in the presence of EE ( $t_{10} = 1.628$ ,  $p = 0.14$ ; Cohen's  $d = 0.921$ ; Figure  
2273 R.25) the effect of stress on the total BDNF signal in the basolateral amygdala did  
2274 not reach statistical significance.

2275 Stress or EE had no significant effect on the average percentage area of BDNF  
2276 signals in the basolateral amygdala.



2277  
2278 **Figure R.25B: Effect of early life stress and EE on the total BDNF signal in**  
2279 **the BLA:** Stress or EE had no significant effect on the average percentage area of  
2280 BDNF signals in the basolateral amygdala. Graphs represent Mean  $\pm$  SEM. N = 5  
2281 for AFR, 6 for Stress, 6 for EE and 6 for MSEE



2282

2283 **Figure R.25A: Representative images of BDNF stained cells in the BLA for all**  
 2284 **the four groups.** Immunofluorescence staining of the nucleus with DAPI (red) and  
 2285 BDNF (magenta). These are the representative images of the cells that contained  
 2286 BDNF and were considered for BDNF analysis. The image was pseudocolored  
 2287 with red and magenta for clarity. Scale bar represents 50  $\mu$ m.

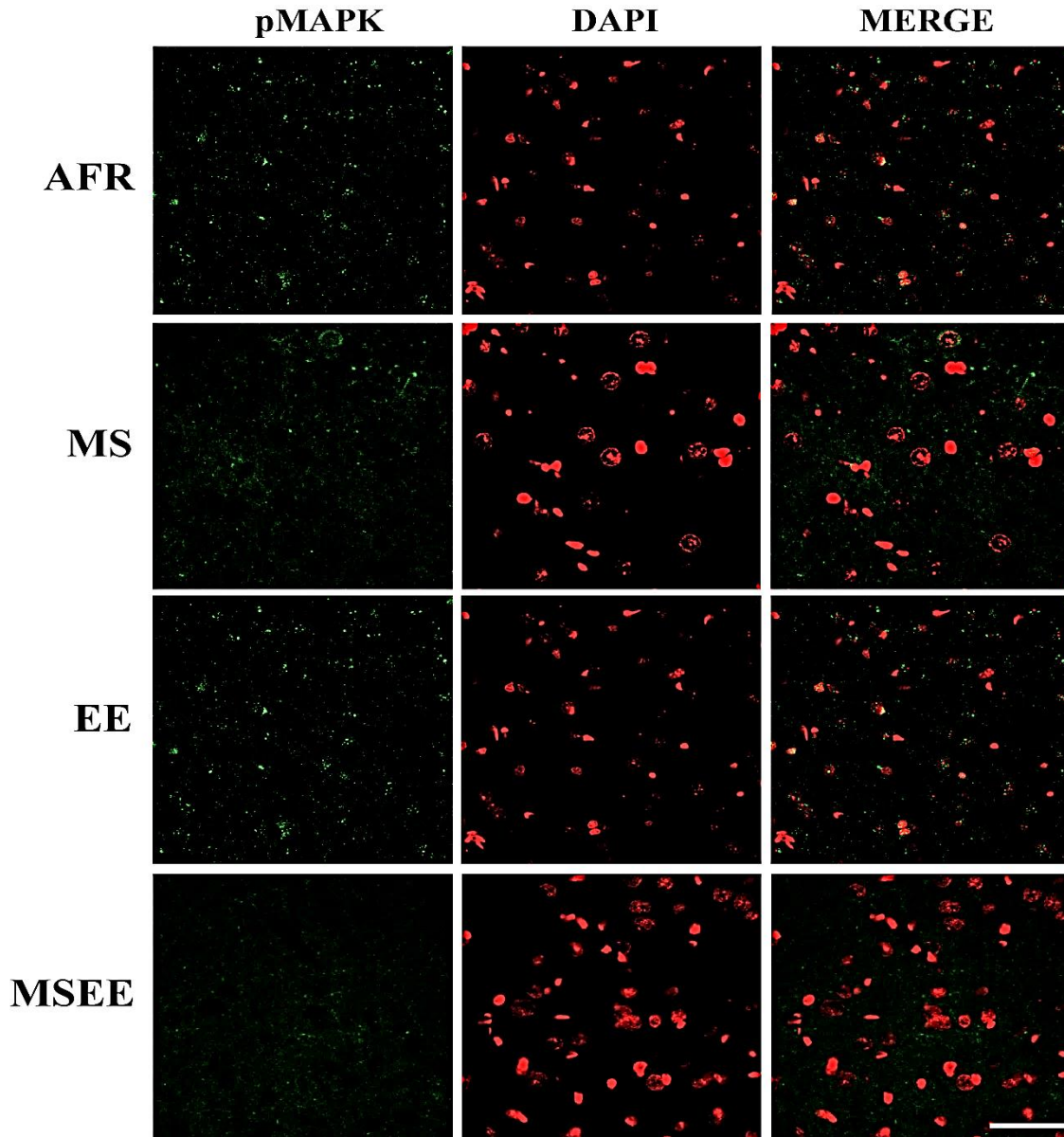


2288 *Stress or EE did not alter the total pMAPK signal in the BLA*  
2289 *(Immunohistochemistry)*

2290 The total pMAPK signal was quantified by estimating the average percentage area  
2291 of pMAPK signals using ImageJ software. This information further helps us  
2292 visualize the expression of pMAPK to understand the translational regulation of  
2293 pMAPK in various treatment groups. A two-way ANOVA was conducted for total  
2294 pMAPK signal in BLA neurons with stress and EE as between subject sources of  
2295 variance. The effect of stress ( $F_{(1,18)} = 2.654$ ,  $p = 0.12$ ) and EE ( $F_{(1,18)} = 0.126$ ,  $p$   
2296  $= 0.73$ ) on the total pMAPK signal in the basolateral amygdala did not reach  
2297 statistical significance. Similarly, the effect of the interaction between stress and  
2298 EE ( $F_{(1,18)} = 0.647$ ,  $p = 0.43$ ) on the total pMAPK signal in the basolateral  
2299 amygdala did not reach statistical significance.

2300 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2301 absence of EE ( $t_8 = 1.407$ ,  $p = 0.20$ ; Cohen's  $d = 0.890$ ) the effect of stress on the  
2302 total pMAPK signal in the basolateral amygdala did not reach statistical  
2303 significance. Similarly, in the presence of EE ( $t_{10} = 0.730$ ,  $p = 0.48$ ; Cohen's  $d =$   
2304  $0.485$ ; Figure R.26) the effect of stress on the total pMAPK signal in the  
2305 basolateral amygdala did not reach statistical significance.

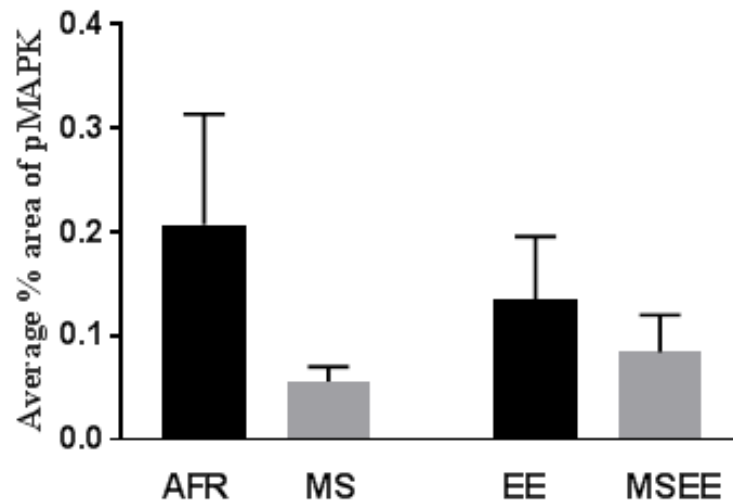
2306 Stress or EE had no significant effect on the average percentage area of BDNF  
2307 signals in the basolateral amygdala.



2308

2309 **Figure R.26A: Representative images of pMAPK stained cells in the BLA for**  
 2310 **all the four groups.** Immunofluorescence staining of the nucleus with DAPI (red)  
 2311 and pMAPK (green). These are the representative images of the cells that  
 2312 contained pMAPK and were considered for pMAPK analysis. The image was  
 2313 pseudocolored with red and green for clarity. Scale bar represents 50  $\mu$ m.

2314



2315

2316 **Figure R.26B: Effect of early life stress and EE on the total area of pMAPK signals**  
2317 **in the BLA:** Stress or EE had no significant effect on the average percentage area  
2318 of BDNF signals in the basolateral amygdala. Graphs represent Mean  $\pm$  SEM. N =  
2319 5 for AFR, 6 for Stress, 6 for EE and 6 for MSEE

2320 The immunohistochemistry results indicate that the early life stress and EE did not  
2321 alter the levels of the above-mentioned protein expression in BLA. Though total  
2322 protein levels for GR, BDNF and pMAPK did not vary, the density of GR signals  
2323 in the nucleus was significantly different in MS animals housed in standard cage.  
2324 The recruitment of activated GR in the nucleus as a transcription factor is a crucial  
2325 step that initiates a relay of downstream molecular pathways to mediate stress  
2326 response [112, 220]. Prior studies show that activation of GR through GC causes  
2327 phosphorylation of Erk1/2<sup>MAPK</sup>. This activated Erk1/2<sup>MAPK</sup> causes relay of  
2328 downstream molecular pathways that are crucial in mediating behavioral effects of

GC [221, 222]. The stress response cascade of these molecules have been widely examined in the hippocampus [221] Unlike hippocampus, BLA remains understudied in spite of its crucial role in mediating stress response and emotional behavior. GR being the primary driver of plasticity within BLA, we studied expression, regulation and nuclear activation of GR, which has been shown to be crucial for BLA-dependent response to stress. In AFR housing conditions, the percentage of cells containing high-density (intra nuclear) GR in the basolateral amygdala was significantly greater in MS rats compared to controls. This effect of MS was not observed in rats exposed to the enriched environment, as shown above. High-density GR refers to activated-GR, which translocate to the nucleus to activate downstream transcription. Thus, although MS did not affect total GR, it significantly decreased the amount of activated GR found in the nucleus. These results clearly highlighted the varying regulation of activated-GR in the BLA. The amount of **activated-GR** could be a crucial mediator in driving stress-induced BLA structural and molecular plasticity under the influence of differential early-life housing environments.

#### 2351 4.5. Epigenetics: Methylation in promoter sites

2352

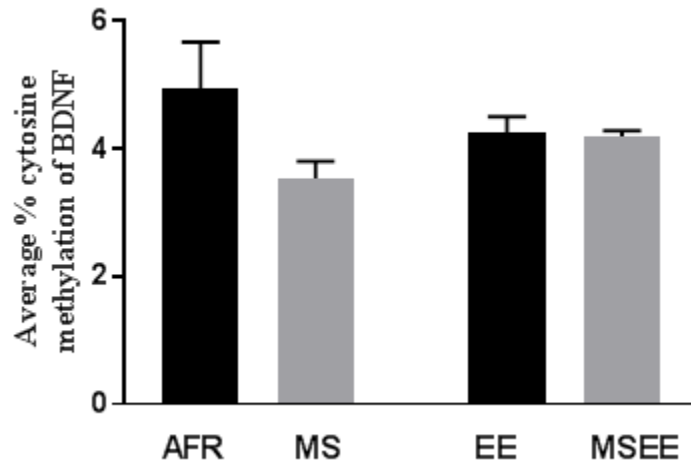
2353 In the previous section of the results, our data showed a treatment-dependent  
2354 change in protein expression levels of the candidate molecules, specifically GR  
2355 and BDNF. Thus, we sought to determine if the observed change in protein levels  
2356 were a result of underlying epigenetic modifications, specifically DNA  
2357 methylation of the promoter region of the GR and BDNF gene in the basolateral  
2358 amygdala. The results are represented as average percentage of cytosine  
2359 methylation of the candidate with well-characterized CpG sites in rats.

#### 2360 *Stress or EE did not alter average percentage cytosine methylation of* 2361 *BDNF promoter in BLA*

2362 Overall DNA methylation of a gene was calculated as the average % DNA  
2363 methylation of all CpGs within the gene in this study. A two-way ANOVA was  
2364 conducted for average percentage cytosine methylation of BDNF promoter in BLA  
2365 neurons with stress and EE as between subject sources of variance. The effect of  
2366 stress ( $F_{(1,15)} = 2.784$ ,  $p = 0.12$ ) and EE ( $F_{(1,15)} = 0.0004$ ,  $p = 0.99$ ) on the average  
2367 percentage cytosine methylation at the promoter region of BDNF in the basolateral  
2368 amygdala did not reach statistical significance. Similarly, the effect of the  
2369 interaction between stress and EE ( $F_{(1,15)} = 2.413$ ,  $p = 0.14$ ) on the average  
2370 percentage cytosine methylation at the promoter region of BDNF in the basolateral  
2371 amygdala did not reach statistical significance.

2372 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2373 absence of EE ( $t_8 = 1.775$ ,  $p = 0.11$ ; Cohen's  $d = 1.120$ ) the effect of stress on the  
2374 average percentage cytosine methylation at the promoter region of BDNF in the

2375 basolateral amygdala did not reach statistical significance. Similarly, in the  
2376 presence of EE ( $t_7 = 0.210$ ,  $p = 0.84$ ; Cohen's  $d = 0.133$ ; Figure R.27) the effect of  
2377 stress on the average percentage cytosine methylation at the promoter region of  
2378 BDNF in the basolateral amygdala did not reach statistical significance.



2379 .

2380 **Figure R.27: Effect of early life stress and EE on average percentage cytosine**  
2381 **methylation at the promoter region of BDNF in the BLA:** stress or EE had no  
2382 significant effect on the average percentage cytosine methylation at the promoter  
2383 region of BDNF in the basolateral amygdala in presence and absence of EE.  
2384 Graphs represent Mean  $\pm$  SEM. N = 5 for AFR, 5 for Stress, 4 for EE and 5 for  
2385 MSEE

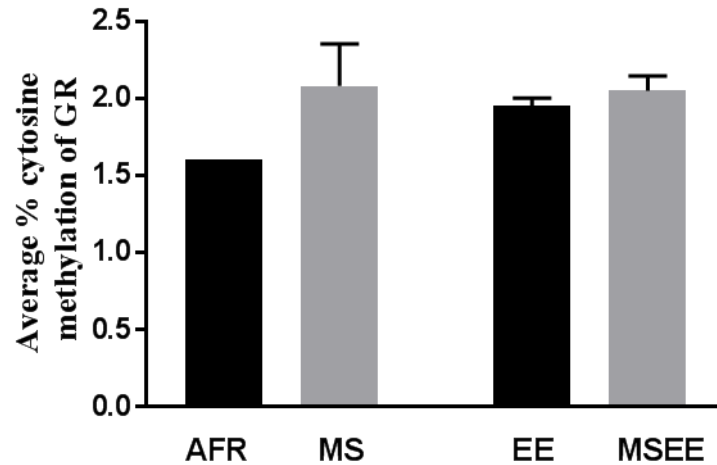
2386 *Stress or EE did not alter average percentage cytosine methylation of*  
2387 *GR promoter in BLA*

2388 A two-way ANOVA was conducted for average percentage cytosine methylation  
2389 of GR promoter in BLA neurons with stress and EE as between subject sources of  
2390 variance. The effect of stress ( $F_{(1,12)} = 2.400$ ,  $p = 0.15$ ) and EE ( $F_{(1,12)} = 0.730$ ,  $p =$   
2391  $0.41$ ) on the average percentage cytosine methylation at the promoter region of GR  
2392 in the basolateral amygdala did not reach statistical significance. Similarly, the  
2393 effect of the interaction between stress and EE ( $F_{(1,12)} = 1.030$ ,  $p = 0.33$ ) on the  
2394 average percentage cytosine methylation at the promoter region of GR in the  
2395 basolateral amygdala did not reach statistical significance.

2396 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2397 absence of EE ( $t_6 = -1.320$ ,  $p = 0.24$ ; Cohen's  $d = 0.133$ ) the effect of stress on the  
2398 average percentage cytosine methylation at the promoter region of GR in the  
2399 basolateral amygdala did not reach statistical significance. Similarly, in the  
2400 presence of EE ( $t_6 = -0.926$ ,  $p = 0.39$ ; Cohen's  $d = 0.659$ ; Figure R.28) the effect of  
2401 stress on the average percentage cytosine methylation at the promoter region of  
2402 BDNF in the basolateral amygdala did not reach statistical significance.

2403 Epigenetic changes in GR promoter gene are well reported in the hippocampus for  
2404 MS models. In particular, methylation studies are widely explored in these models  
2405 [251]. The results revealed that the methylation status of the CpG sites used in this  
2406 study did not alter due to stress in the presence and absence of EE. This  
2407 observation shows that these specific CpG sites are not the major driving force

2408 behind the long-term effect on variation in protein expression of GR and BDNF in  
2409 BLA.



2410

2411 **Figure R.28: Effect of early life stress and EE on average percentage cytosine**  
2412 **methylation at the promoter region of GR in the BLA:** Stress or EE had no  
2413 significant effect on the average percentage cytosine methylation at the promoter  
2414 region of GR in the basolateral amygdala in presence and absence of EE. Graphs  
2415 represent Mean  $\pm$  SEM. N = 3 for AFR, 5 for Stress, 4 for EE and 4 for MSEE.



#### 2416 4.6. Dendritic Morphology

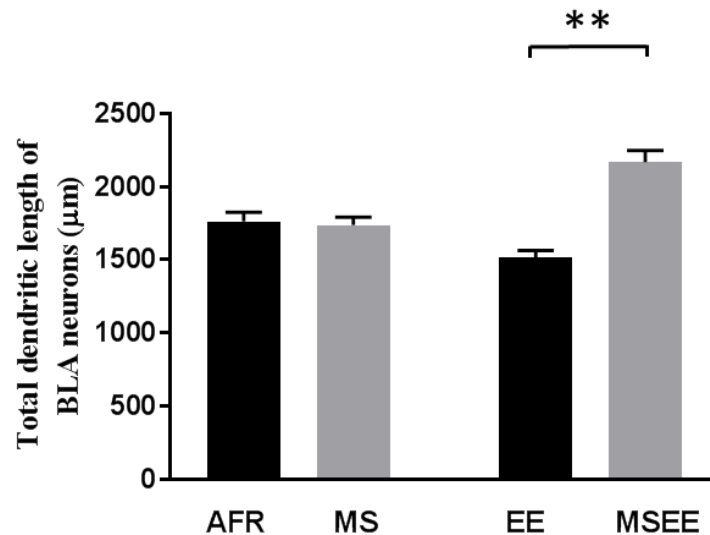
2417  
2418 As mentioned earlier, in addition to the neuroendocrine HPA axis, another  
2419 captivating *Brain-HPA* is; **H**ippocampus, **P**refrontal cortex and **A**mygdala. These  
2420 are the structures that contribute in the regulation of HPA axis. They have a  
2421 significant role on the release of GC and behavioral response to a stressful event  
2422 [114, 115]. Stress is known to alter the dendritic morphology of neurons in these  
2423 brain regions. Stress is known to induce dendritic hypertrophy in the basolateral  
2424 amygdala (BLA) [52, 53] and to enhance anxiety [53, 54]. Amygdala is activated  
2425 by, corticosterone released during a stress response and this results in dendritic  
2426 hypertrophy as well as elevated spine density [65]. These morphological changes  
2427 have been correlated with anxiety and stress in prior studies [52, 53, 57, 135].  
2428 These changes in neuronal morphology are known to be associated with anxiety-  
2429 like behavior and general stress response.[52, 54, 57]. In spite of being a crucial  
2430 role player in stress response, amygdala is the least explored region in early-life  
2431 stress studies. This makes it important to explore amygdala in this study. To assess  
2432 the effects of early life stress and EE on morphological changes in BLA, we  
2433 determined the dendritic length and branch points using Golgi staining. We also  
2434 observed the spine density in primary and secondary dendrites of neurons in BLA.  
2435 The results with statistics are displayed below.

#### 2436 *Stress along with EE increased dendritic length of BLA neurons*

2437 A two-way ANOVA was conducted for a dendritic length of BLA neurons with  
2438 stress and EE as between subject sources of variance. The effect of stress ( $F_{(1,275)} =$   
2439 24.383,  $p < 0.00$ ) and the interaction between stress and EE ( $F_{(1,275)} = 28.404$ ,  $p <$

0.00) had a significant effect on the total dendritic length of neurons in the basolateral amygdala. However, the effect of EE ( $F_{(1,275)} = 1.992$ ,  $p = 0.16$ ) on the total dendritic length of neurons in the basolateral amygdala did not reach statistical significance.

Orthogonal planned comparisons (Independent samples t-tests) showed that, in the absence of EE ( $t_{159} = 0.296$ ,  $p = 0.77$ ; Cohen's  $d = 0.047$ ), the effect of stress on the total dendritic length of neurons in the basolateral amygdala did not reach statistical significance. However, in the presence of EE ( $t_{116} = -6.926$ ,  $p < 0.00$ ; Cohen's  $d = 1.275$ ; Figure R.29) stress significantly increased the total dendritic length of neurons in the basolateral amygdala.



2450

**Figure R.29: Effect of early life stress and EE on total dendritic length in the BLA neurons:** Stress along with/in the presence of EE significantly increased the total dendritic length of neurons in the basolateral amygdala. Graphs represent

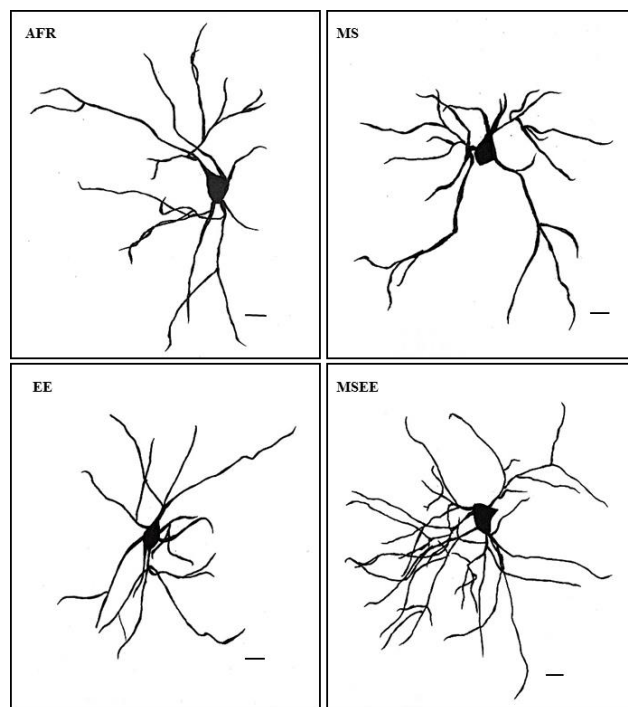
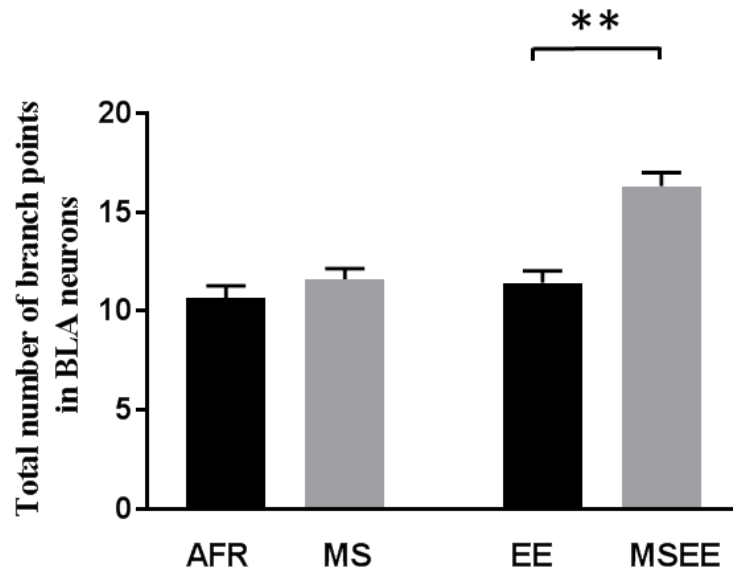
2454 Mean  $\pm$  SEM. N (number of neurons) = 79 for AFR, 82 for Stress, 59 for EE and  
2455 59 for MSEE, 8-10 neurons/animal; \*\* $p \leq 0.01$ .

2456

2457 *Stress along with EE induced increase in branch points in BLA*  
2458 *neurons*

2459 A two-way ANOVA was conducted for number of branch points in BLA neurons  
2460 with stress and EE as two between subject sources of variance. The effect of stress  
2461 ( $F_{(1,270)} = 22.494$ ,  $p < 0.00$ ), EE ( $F_{(1,270)} = 19.641$ ,  $p < 0.00$ ) and the interaction  
2462 between stress and EE ( $F_{(1,275)} = 10.350$ ,  $p < 0.00$ ) had a significant effect on the  
2463 total number of branch points of neurons in the basolateral amygdala.

2464 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
2465 absence of EE ( $t_{156} = -1.161$ ,  $p = 0.25$ ; Cohen's  $d = 0.185$ ), the effect of stress on  
2466 the total dendritic length of neurons in the basolateral amygdala did not reach  
2467 statistical significance. However, in the presence of EE ( $t_{114} = -5.316$ ,  $p < 0.00$ ;  
2468 Cohen's  $d = 0.985$ ; Figure R.30) stress significantly increased the total number of  
2469 branch points of neurons in the basolateral amygdala.



2470

2471

2472

2473 **Figure R.30: Effect of early life stress and EE on total number of branch**  
 2474 **points in BLA neurons:** Stress along with EE significantly increased the total  
 2475 number of branch points of neurons in the basolateral amygdala. Graphs represent

2476 Mean  $\pm$  SEM. N (number of neurons) = 79 for AFR, 79 for Stress, 60 for EE and  
2477 56 for MSEE, 8-10 neurons/animal  $^{***}p \leq 0.01$ . Inset (bottom): Representative images  
2478 of sample Golgi-stained neurons in the BLA for the 4 groups from optical microscope at  
2479 400X magnification. Scale bar represents 20  $\mu$ m.

2480 Rats exposed to both maternal separation and environmental enrichment show  
2481 dendritic length hypertrophy, increase in branch points in the BLA compared to  
2482 rats exposed to only environmental enrichment. Earlier studies have shown that the  
2483 dendritic complexity of principal neurons in the BLA correlates with high anxiety in rats  
2484 [135]. In contrast to the previous studies, the neuronal hypertrophy induced by MSEE  
2485 group in this study does not correspond to anxiety-like behavior in adults. It is  
2486 possible that early life could have very different on BLA morphology, as there is  
2487 are no previous early life studies correlating hypertrophy and anxiety. Hence,  
2488 hypertrophy in this case may denote active-coping behavior.

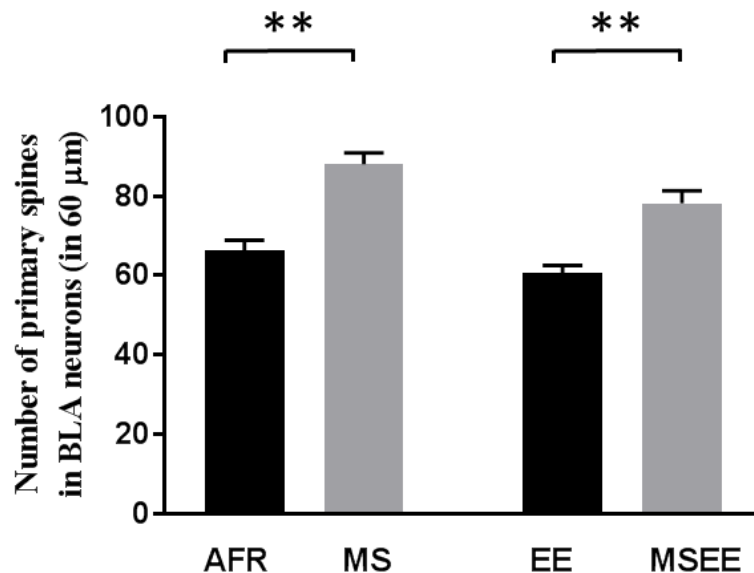
2489 *Stress induced increase in the number of spines on primary dendrites*  
2490 *in BLA neurons in presence and absence of EE*

2491 Stress exposure (acute or chronic) as well as exogenous corticosterone  
2492 administration leads to increase in the dendritic arbors and spine density of the  
2493 principal neurons of BLA and corresponding manifestation of anxiety [52]. To  
2494 investigate the impact of early life stress and enriched environment on dendritic  
2495 spines in the BLA, we quantified the number of primary and secondary spines in  
2496 BLA using Golgi staining.

2497 A two-way ANOVA was conducted for number of spines on primary dendrites in  
2498 BLA neurons with stress and EE as between subject sources of variance. The

2499 effect of stress ( $F_{(1,234)} = 53.186$ ,  $p < 0.00$ ) and EE ( $F_{(1,234)} = 8.610$ ,  $p < 0.00$ ) had a  
 2500 significant main effect on the number of spines found on primary dendrites in the  
 2501 basolateral amygdala. However, the effect of the interaction between stress and EE  
 2502 ( $F_{(1,234)} = 0.536$ ,  $p = 0.47$ ) on the number of spines found on primary dendrites in  
 2503 the basolateral amygdala did not reach statistical significance.

2504 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
 2505 absence of EE ( $t_{117} = -5.654$ ,  $p < 0.00$ ; Cohen's  $d = 1.037$ ) the effect of stress on  
 2506 the number of spines found on primary dendrites in the basolateral amygdala did  
 2507 not reach statistical significance. Similarly, in the presence of EE ( $t_{117} = -4.656$ ,  $p$   
 2508  $< 0.00$ ; Cohen's  $d = 0.855$ ; Figure R.31) the effect of stress on the number of  
 2509 spines found on primary dendrites in the basolateral amygdala did not reach  
 2510 statistical significance.



2511

2512 **Figure R.31: Effect of early life stress and EE on the number of primary**  
 2513 **spines in BLA neurons:** Stress significantly increased the number of spines found

2514 on primary dendrites in the basolateral amygdala in presence and absence of EE.  
2515 Graphs represent Mean  $\pm$  SEM. N (number of neurons) = 79 for AFR, 79 for  
2516 Stress, 60 for EE and 56 for MSEE, 10 neurons/animal; \*\* $p \leq 0.01$ .

2517 *Stress induced increase in the number of spines on secondary*  
2518 *dendrites in BLA neurons in the absence of EE*

2519 A two-way ANOVA was conducted for number of spines on secondary dendrites  
2520 in BLA neurons with stress and EE as two between subject sources of variance.  
2521 The effect of stress ( $F_{(1,234)} = 5.194$ ,  $p = 0.02$ ) and the interaction between stress  
2522 and EE ( $F_{(1,234)} = 18.240$ ,  $p < 0.00$ ) had a significant effect on the number of  
2523 spines found on secondary dendrites in the basolateral amygdala. However, the  
2524 effect of EE ( $F_{(1,234)} = 0.893$ ,  $p = 0.35$ ) had no significant effect on the number of  
2525 spines found on secondary dendrites in the basolateral amygdala.

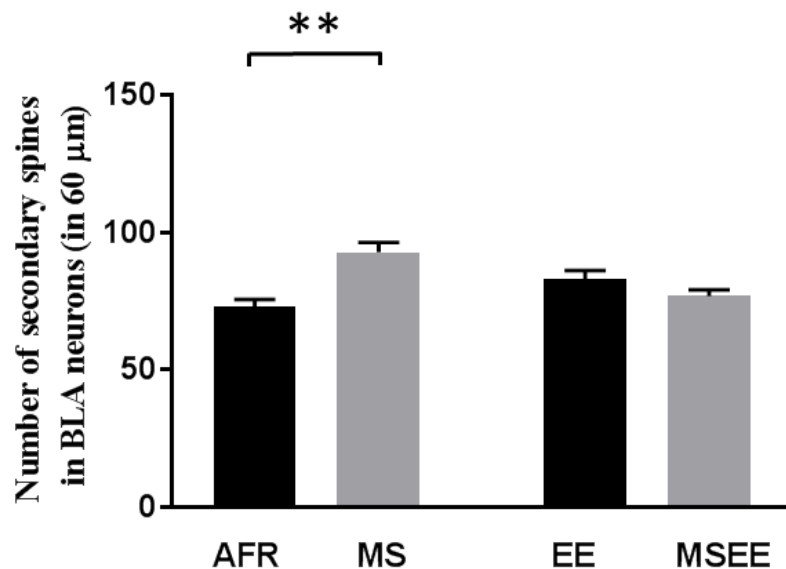
2526 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2527 absence of EE ( $t_{117} = -4.313$ ,  $p < 0.00$ ; Cohen's  $d = 0.790$ ), stress significantly  
2528 increased the number of spines found on secondary dendrites in the basolateral  
2529 amygdala. However, in the presence of EE ( $t_{117} = 1.531$ ,  $p = 0.13$ ; Cohen's  $d =$   
2530  $0.294$ ; Figure R.32) the effect of stress on the number of spines found on  
2531 secondary dendrites in the basolateral amygdala did not reach statistical  
2532 significance.

2533 Acute and/ or chronic stress, as well as exogenous supplementation of  
2534 corticosterone results in neuronal hypertrophy and an increase in spine density of  
2535 BLA principal cells [136]. Rats subjected to chronic immobilization stress in early  
2536 life and adulthood increases neuronal spine density in the amygdala [7, 17, 21].

2537 Similarly, the results presented above showed significant increase in neuronal  
2538 spine density in animal's subjected maternal separation compared to control rats in  
2539 the normal housing. Although the spine density was increased, there was no  
2540 increase in dendritic length and branch points in normal housing rats that were  
2541 subjected to maternal separation. Environmental enrichment, irrespective of  
2542 presence or absence of maternal separation treatment resulted in a general decrease  
2543 in secondary spine density in the BLA neurons. Rats exposed to both maternal  
2544 separation and environmental enrichment show dendritic hypertrophy and increase  
2545 in primary neuronal spine density in the BLA compared to rats exposed to only  
2546 environmental enrichment. As this treatment is a novel one, such an interesting  
2547 pattern of neuronal morphology is worth exploring.

2548

2549



2550



2551 **Figure R.32: Effect of early life stress and EE on the number of secondary**  
2552 **spines in BLA neurons:** Stress significantly increased the number of spines found  
2553 on secondary dendrites in the basolateral amygdala in the absence of EE. Graphs  
2554 represent Mean  $\pm$  SEM. N (number of neurons) = 60 for AFR, 59 for Stress, 60 for  
2555 EE and 59 for MSEE, 10 neurons/ animal;  $**p \leq 0.01$ .

2556 *Stress did not alter the total dendritic length of hippocampal neurons*  
2557 *in presence and absence of EE*

2558 Secondly, we look at the neuronal morphology of hippocampus as its involvement  
2559 is widely reported in stress and cognitive processes [119, 120] It is known to be  
2560 one of the most plastic regions of the brain and the structural plasticity is highly  
2561 dependent on the number and impact of stressful episodes experienced by an  
2562 individual (level of glucocorticoids in the systemic circulation) [122, 123]. To  
2563 analyze the effects of early life stress and EE on the neuronal morphology of  
2564 hippocampus, we determine the dendritic length, branch points and spine density  
2565 of primary and secondary neurons of hippocampus. The results with statistics are  
2566 given below.

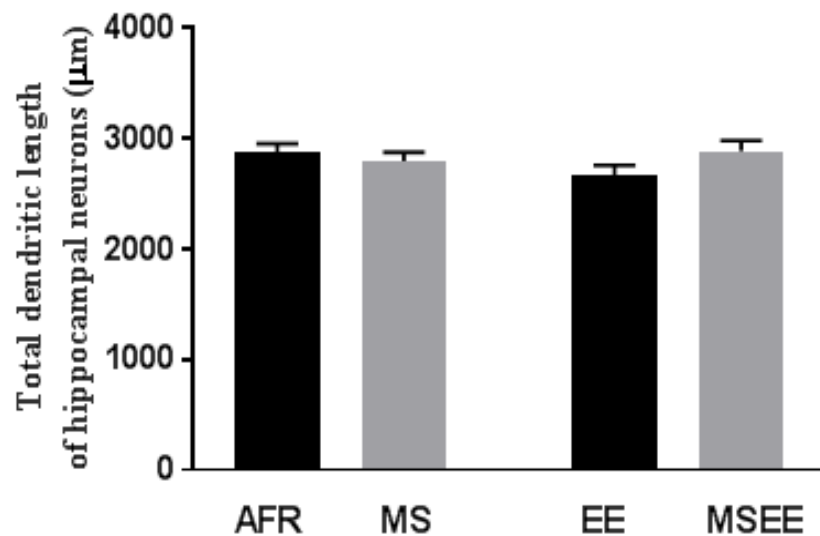
2567 A two-way ANOVA was conducted for a dendritic length of hippocampal neurons  
2568 with stress and EE as between subject sources of variance. The effect of stress ( $F_{(1,255)} = 0.666$ ,  $p = 0.42$ ) and EE ( $F_{(1,255)} = 0.427$ ,  $p = 0.51$ ) on the total dendritic  
2569 length of neurons in the hippocampus did not reach statistical significance.  
2570 Similarly, the effect of the interaction between stress and EE ( $F_{(1,255)} = 2.828$ ,  $p =$   
2571  $0.09$ ) on the total dendritic length of neurons in the hippocampus did not reach  
2572 statistical significance.  
2573

2574 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2575 absence of EE ( $t_{140} = 0.652$ ,  $p = 0.52$ ; Cohen's  $d = 0.113$ ) the effect of stress on the  
2576 total dendritic length of neurons in the hippocampus did not reach statistical  
2577 significance. Similarly, in the presence of EE ( $t_{115} = -1.657$ ,  $p = 0.10$ ; Cohen's  $d =$

2578 0.306; Figure R.33) the effect of stress on the total dendritic length of neurons in  
2579 the hippocampus did not reach statistical significance.

2580

2581



2582

2583 **Figure R.33: Effect of early life stress and EE on total dendritic length of**  
2584 **hippocampal neurons:** Stress had no significant effect on the total dendritic  
2585 length of neurons in the hippocampus in the presence and absence of EE. Graphs  
2586 represent Mean  $\pm$  SEM. N (number of neurons) = 58 for AFR, 84 for Stress, 59 for  
2587 EE and 58 for MSEE, 8-10 neurons/ animal.

2588

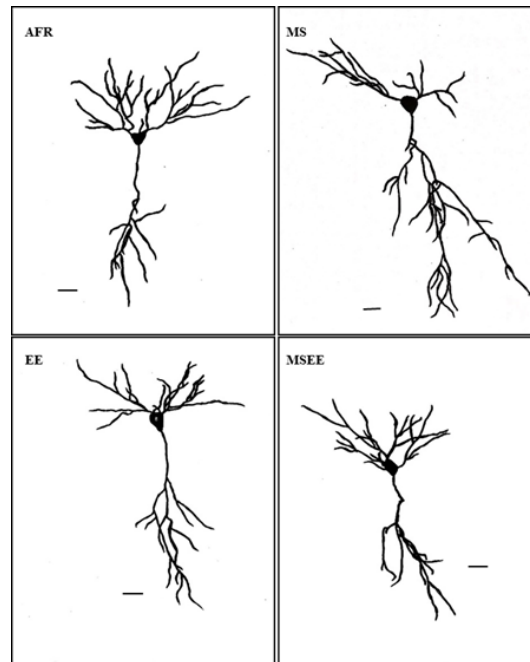
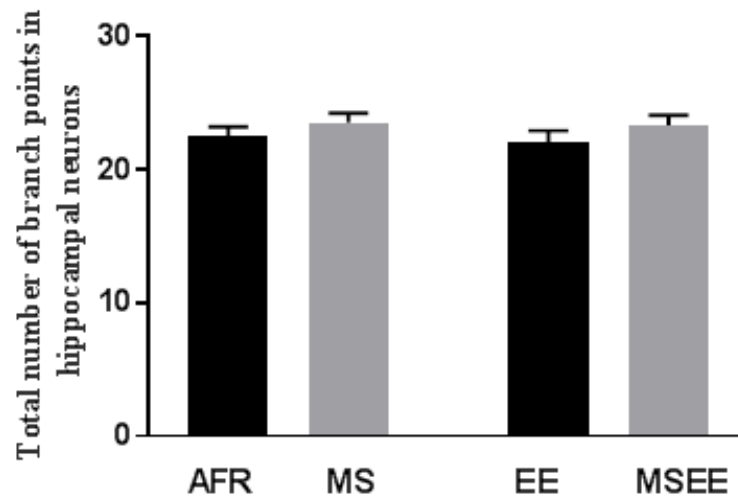
2589 *Stress did not alter the number of branch points of hippocampal*  
2590 *neurons in presence and absence of EE*

2591 A two-way ANOVA was conducted for number of branch points in hippocampal  
2592 neurons with stress and EE as two between subject sources of variance. The effect  
2593 of stress ( $F_{(1,258)} = 2.232$ ,  $p = 0.14$ ) and EE ( $F_{(1,258)} = 0.168$ ,  $p = 0.68$ ) on the total  
2594 branch points of neurons in the hippocampus did not reach statistical significance.  
2595 Similarly, the effect of the interaction between stress and EE ( $F_{(1,258)} = 0.027$ ,  $p =$   
2596  $0.87$ ) on the total branch points of neurons in the hippocampus did not reach  
2597 statistical significance.

2598 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2599 absence of EE ( $t_{142} = -0.982$ ,  $p = 0.33$ ; Cohen's  $d = 0.168$ ) the effect of stress on  
2600 the total branch points of neurons in the hippocampus did not reach statistical  
2601 significance. Similarly, in the presence of EE ( $t_{116} = -1.126$ ,  $p = 0.26$ ; Cohen's  $d =$   
2602  $0.207$ ; Figure R.34) the effect of stress on the total branch points of neurons in the  
2603 hippocampus did not reach statistical significance.

2604

2605 .



**Figure R.34: Effect of early life stress and EE on total number of branch points in hippocampal neurons:** Stress did not alter the total number of branch points of neurons in the hippocampus in presence and absence of EE. Graphs represent Mean  $\pm$  SEM. N (number of neurons) = 59 for AFR, 85 for Stress, 59 for EE and 59 for MSEE, 8-10 neurons/ animal. Inset (bottom): Representative images

2613 of sample Golgi-stained neurons in the hippocampus for the 4 groups from optical  
2614 microscope at 400X magnification. Scale bar represents 20  $\mu$ m.

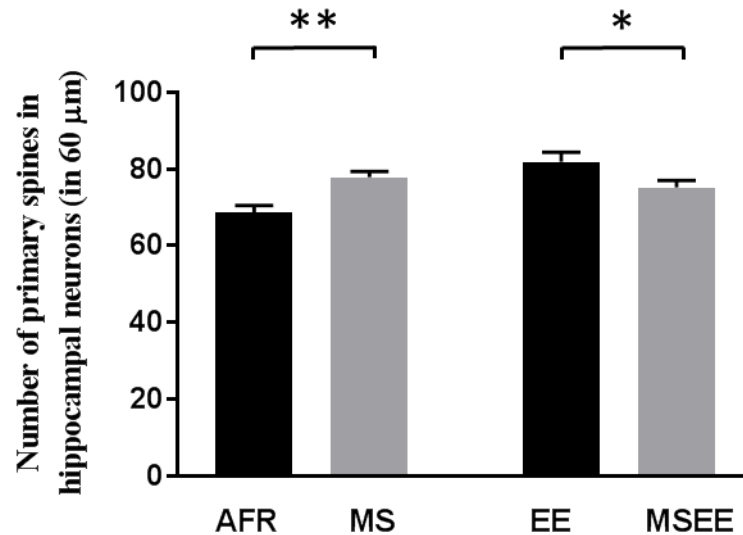
2615 *Stress had differential effects on the number of spines on primary*  
2616 *dendrites in hippocampal neurons in presence and absence of EE*

2617 A two-way ANOVA was conducted for total number of primary spines of  
2618 hippocampal neurons with stress and EE as two between subject sources of  
2619 variance. The effect of stress ( $F_{(1,275)} = 0.405$ ,  $p = 0.53$ ) on the number of primary  
2620 spines in the hippocampus did not reach statistical significance. However, EE ( $F_{(1,275)} = 7.186$ ,  $p = 0.01$ ) and the interaction between stress and EE ( $F_{(1,275)} = 16.573$ ,  $p < 0.00$ ) had a significant effect on the number of spines found on  
2623 primary dendrites in the hippocampus.

2624 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2625 absence of EE ( $t_{147} = -3.853$ ,  $p < 0.00$ ; Cohen's  $d = 0.646$ ), stress significantly  
2626 increased the number of spines found on primary dendrites in the hippocampus.  
2627 Similarly, in the presence of EE ( $t_{128} = 2.123$ ,  $p = 0.04$ ; Cohen's  $d = 0.370$ ; Figure  
2628 R.35) stress significantly decreased the number of spines found on primary  
2629 dendrites in the hippocampus.

2630

2631



2632

2633 **Figure R.35: Effect of early life stress and EE on primary spines in**  
 2634 **hippocampal neurons:** Stress significantly increased the number of spines found  
 2635 on primary dendrites in the hippocampus in the absence of EE and stress  
 2636 significantly decreased the number of spines found on primary dendrites in the  
 2637 hippocampus in the absence of EE. Graphs represent Mean  $\pm$  SEM. N (number of  
 2638 neurons) = 60 for AFR, 89 for Stress, 60 for EE and 70 for MSEE, 10  
 2639 neurons/animal; \* $p \leq 0.05$ , \*\* $p \leq 0.01$ .

2640 *Stress significantly increased the number of spines on secondary*  
 2641 *dendrites in hippocampal neurons in the absence of EE*

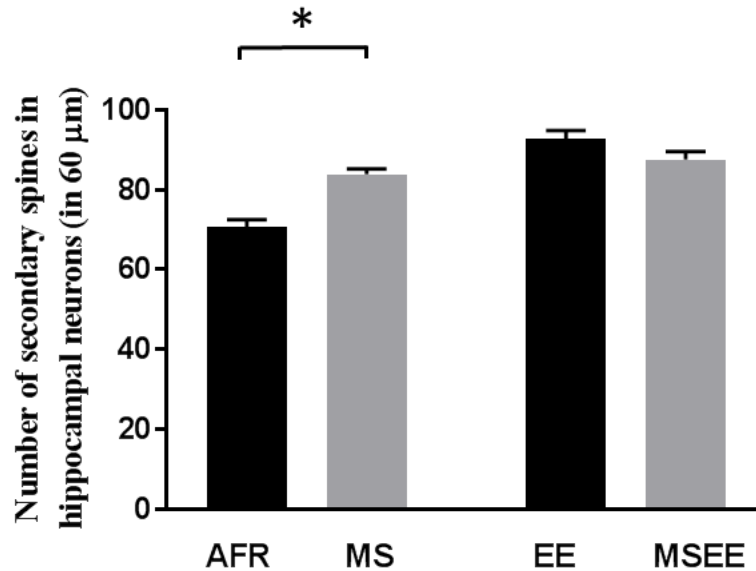
2642 A two-way ANOVA was conducted for a total number of secondary spines of  
 2643 hippocampal neurons with stress and EE as between subject sources of variance.  
 2644 The effect of stress ( $F_{(1,275)} = 5.108$ ,  $p = 0.03$ ), EE ( $F_{(1,275)} = 49.604$ ,  $p < 0.00$ ) and  
 2645 the interaction between stress and EE ( $F_{(1,275)} = 25.397$ ,  $p < 0.00$ ) had a significant  
 2646 effect on the number of spines found on secondary dendrites in the hippocampus.

2647 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2648 absence of EE ( $t_{148} = -5.932$ ,  $p < 0.00$ ; Cohen's  $d = 0.970$ ), stress significantly  
2649 increased the number of spines found on secondary dendrites in the hippocampus.  
2650 However, in the presence of EE ( $t_{127} = 1.724$ ,  $p = 0.09$ ; Cohen's  $d = 0.304$ ; Figure  
2651 R.36) the effect of stress on the number of secondary spines in the hippocampus  
2652 did not reach statistical significance.

2653 Under normal housing conditions, maternal stress reduces the complexity of  
2654 hippocampal neurons. Specifically, dendritic length and cell proliferation are  
2655 known to decrease in MS rats compared to control [126, 127]. While studies report  
2656 atrophy of CA3 neurons in the hippocampus, Sunanda *et al.*, (1995) observed  
2657 increased spine density in rats subjected to chronic stress in adult life and has  
2658 argued that this observed increase in spine density may be a mechanism to counter  
2659 neuronal atrophy by increasing the postsynaptic membrane area [289]. This  
2660 observation was corroborated in the above results, where rats subjected to MS  
2661 showed an increase in spine density of both primary and secondary branches of the  
2662 neuron. Moreover, MS did not alter neuronal length or number of branch points in  
2663 normally housed rats. This is congruent with the observation that although atrophy  
2664 of hippocampal neurons can be observed up until four days post-MS treatment,  
2665 atrophy is no longer present after ten days post stress treatment because of the  
2666 plastic recovering nature of hippocampal neurons [290, 291]. Also, MSEE group  
2667 showed a decrease in both primary and secondary neuronal spine density compared  
2668 to rats exposed only to the enriched environment. EE paradigm is widely studied  
2669 on hippocampal neurons and its plasticity, where the neurons are shown to have



2670 elevated neuronal arborization and increased neurogenesis due to the influence of  
 2671 EE [A- 243, 248, 301, 319-324] as seen in our study.



2672

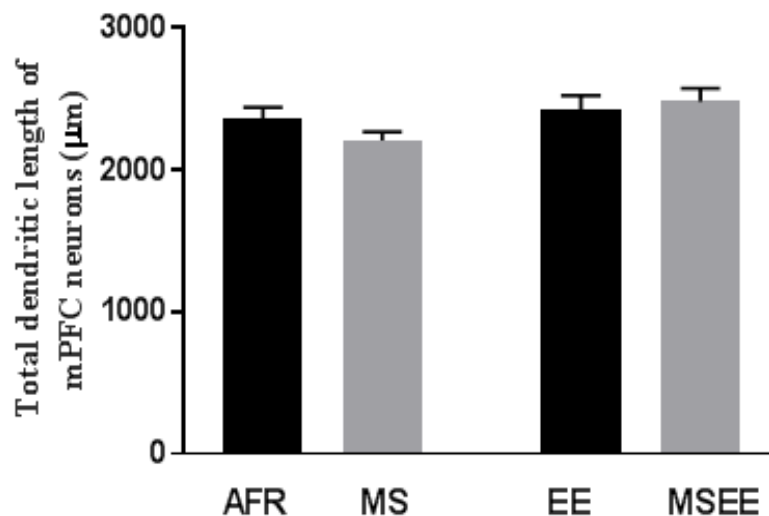
2673 **Figure R.36: Effect of early life stress and EE on secondary spines in**  
 2674 **hippocampal neurons:** Stress significantly increased the number of spines found  
 2675 on secondary dendrites in the hippocampus in the absence of EE. Graphs represent  
 2676 Mean  $\pm$  SEM. N (number of neurons) = 60 for AFR, 90 for Stress, 60 for EE and  
 2677 69 for MSEE, 10 neurons/animal; \* $p \leq 0.05$ .

2678 *Stress did not alter dendritic length in mPFC neurons in the presence*  
2679 *and absence of EE*

2680 Together with the BLA and hippocampus, the mPFC modulates fear responses  
2681 [115, 140, 141]. Prelimbic (PrL) and Infralimbic (IL) regions are the two most  
2682 important sub-regions that form mPFC [142]. The effect of MS on the neuronal  
2683 morphology of the prelimbic (PrL) region of medial prefrontal cortex was focused  
2684 in particular due to their strong projections to the BLA [292]. So, we will focus on  
2685 the final region of the brain HPA axis, the mPFC to study the effect of early life  
2686 environment on dendritic length, branch points and spine density of primary and  
2687 secondary neurons of this region. The results along with the statistics are given  
2688 below.

2689 A two-way ANOVA was conducted for dendritic length of mPFC neurons with  
2690 stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,259)} = 0.439$ ,  $p = 0.51$ ) and the interaction between stress and EE ( $F_{(1,259)} = 0.715$ ,  
2691  $p = 0.40$ ) on the total dendritic length of neurons in the mPFC did not reach  
2692 statistical significance. However, EE ( $F_{(1,259)} = 5.023$ ,  $p = 0.03$ ) had a significant  
2693 main effect on the increase in total dendritic length of neurons in the mPFC.  
2694

2695 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
2696 absence of EE ( $t_{133} = 1.255$ ,  $p = 0.21$ ; Cohen's  $d = 0.213$ ) the effect of stress on the  
2697 total dendritic length of neurons in the mPFC did not reach significance. Similarly,  
2698 in the presence of EE ( $t_{126} = -0.113$ ,  $p = 0.91$ ; Cohen's  $d = 0.020$ ; Figure R.37) the  
2699 effect of stress on the total dendritic length of neurons in the mPFC did not reach  
2700 significance.



**Figure R.37: Effect of early life stress and EE on the total dendritic length of**

**mPFC neurons:** Stress had no significant effect on the total dendritic length of neurons in the mPFC in presence and absence of EE. Graphs represent Mean  $\pm$  SEM. N (number of neurons) = 59 for AFR, 76 for Stress, 64 for EE and 64 for MSEE, 8-10 neurons/animal

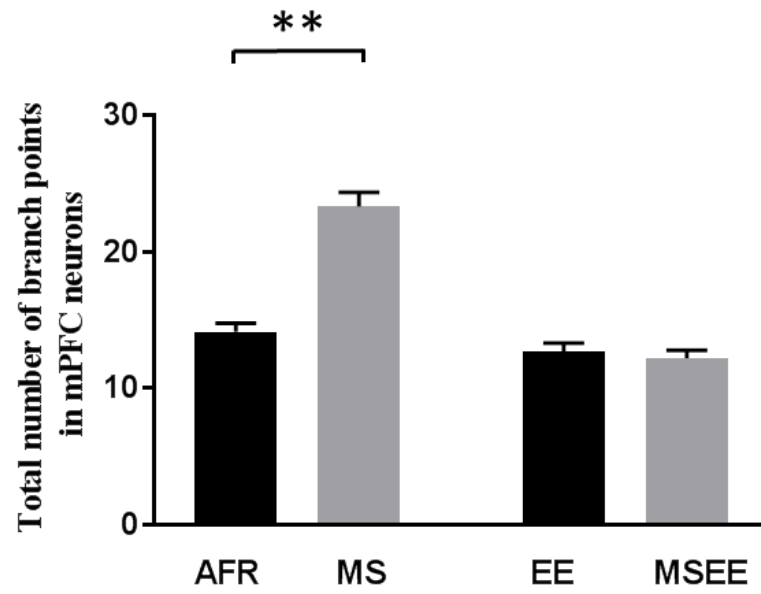
*Stress increased the number of branch points of mPFC neurons in the absence of EE*

A two-way ANOVA was conducted for number of branch points of mPFC neurons with stress and EE as between subject sources of variance. The effect of stress ( $F_{(1,260)} = 31.185, p < 0.00$ ), EE ( $F_{(1,260)} = 64.945, p < 0.00$ ) and the interaction

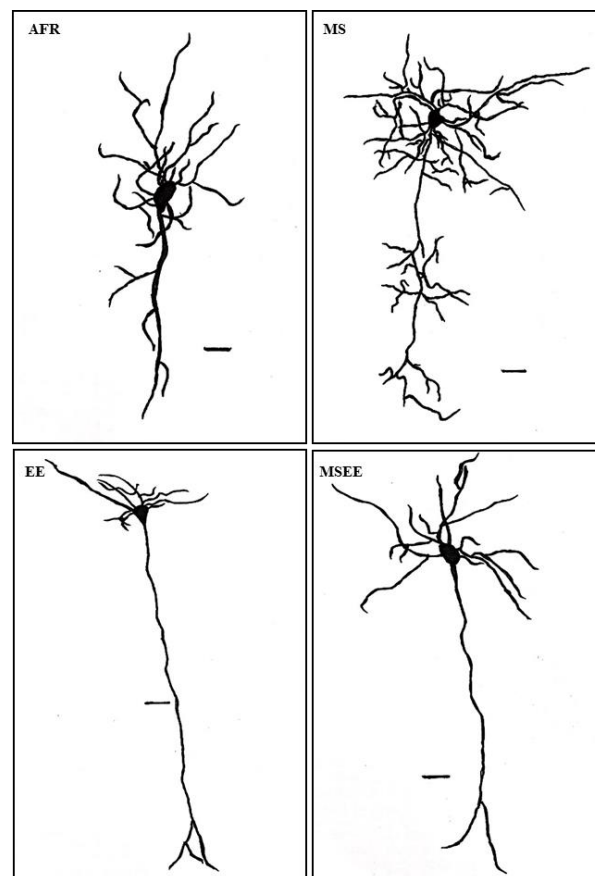
2715 between stress and EE ( $F_{(1,260)} = 38.427$ ,  $p < 0.00$ ) had a significant effect on the  
2716 total number of branch points of neurons in the mPFC.

2717 Orthogonal planned comparisons (independent samples t-tests) showed that in the  
2718 absence of EE ( $t_{135} = -7.215$ ,  $p < 0.00$ ; Cohen's  $d = 1.283$ ), stress significantly  
2719 increased the total number of branch points of neurons in the mPFC. However, in  
2720 the presence of EE ( $t_{125} = -0.555$ ,  $p < 0.58$ ; Cohen's  $d = 0.099$ ; Figure R.38) the  
2721 effect of stress on the total number of branch points of neurons in the mPFC did  
2722 not reach statistical significance.

2723 The PrL region suffers from dendritic length retraction and loss of spines post-  
2724 chronic stress or exogenous CORT administration in adult rats. Similar to  
2725 hippocampal neurons, these cortical neurons belonging to young adult rats also  
2726 possess the capacity to recover the dendritic length and branching during post-  
2727 stress recovery phase [293, 294]. In this study, MS rats showed increased number  
2728 of branch points. This contrasting reversing effect of neuronal morphology has  
2729 been reported before [293]. Additionally, my observation is in line with a previous  
2730 study that shows an increase in neuronal spine density after MS [295].



2731



2732

2733

2734 **Figure R.38: Effect of early life stress and EE on total number of branch**  
2735 **points in mPFC neurons:** Stress significantly increased the total number of  
2736 branch points of neurons in the mPFC in the absence of EE. Graphs represent  
2737 Mean  $\pm$  SEM. N (number of neurons) = 60 for AFR, 77 for Stress, 63 for EE and  
2738 64 for MSEE, 8-10 neurons/animal;  $**p \leq 0.01$ . Inset (bottom): Representative  
2739 images of sample Golgi-stained neurons in the mPFC for the 4 groups from optical  
2740 microscope at 400X magnification. Scale bar represents 20  $\mu$ m.

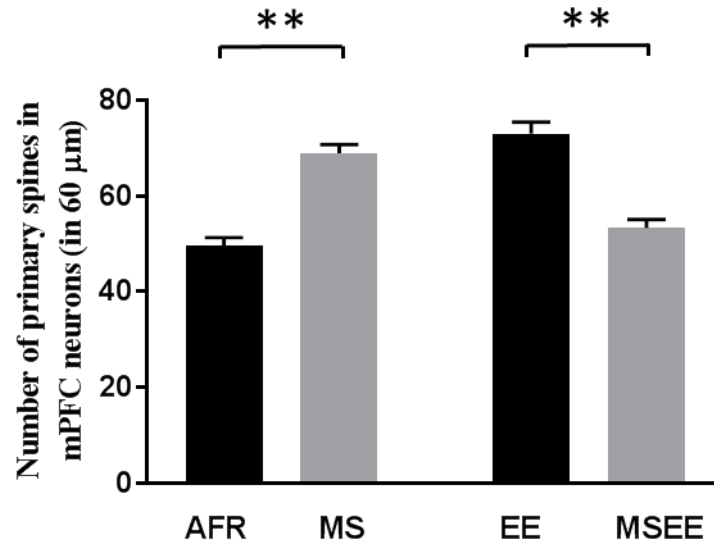
2741

2742 *Stress had differential effects on the number of spines of primary*  
2743 *dendrites of mPFC neurons in presence and absence of EE*

2744 A two-way ANOVA was conducted for number of primary spines in mPFC  
2745 neurons with stress and EE as between subject sources of variance. The effect of  
2746 stress ( $F_{(1,260)} = 0.003$ ,  $p = 0.96$ ) on the number of primary spines in mPFC did not  
2747 reach statistical significance. However, EE ( $F_{(1,260)} = 4.122$ ,  $p = 0.04$ ) and the  
2748 interaction between stress and EE ( $F_{(1,260)} = 98.948$ ,  $p < 0.00$ ) had a significant  
2749 effect on the number of spines found on primary dendrites in the mPFC.

2750 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
2751 absence of EE ( $t_{136} = -7.461$ ,  $p < 0.00$ ; Cohen's  $d = 1.298$ ), stress significantly  
2752 increased the number of spines found on primary dendrites in the mPFC. However,  
2753 in the presence of EE ( $t_{124} = 6.635$ ,  $p < 0.00$ ; Cohen's  $d = 1.179$ ; Figure R.39)  
2754 stress significantly decreased the number of primary spines in mPFC neurons.

2755



2756

2757 **Figure R.39: Effect of early life stress on primary spines in mPFC neurons:**

2758 Stress significantly increased the number of spines found on primary dendrites in  
 2759 the mPFC in the absence of EE and stress significantly decreased the number of  
 2760 spines found on primary dendrites in the mPFC in the presence of EE. Graphs  
 2761 represent Mean  $\pm$  SEM. N (number of neurons) = 59 for AFR, 79 for Stress, 62 for  
 2762 EE and 64 for MSEE, 10 neurons/animal;  $**p \leq 0.01$ .

2763 *Stress significantly increased the number of spines on secondary*  
 2764 *dendrites in mPFC neurons in the absence of EE*

2765 A two-way ANOVA was conducted for number of secondary spines in mPFC  
 2766 neurons with stress and EE as between subject sources of variance. The effect of  
 2767 stress ( $F_{(1,260)} = 1.268$ ,  $p = 0.26$ ) and EE ( $F_{(1,260)} = 0.520$ ,  $p = 0.47$ ) on the number  
 2768 of secondary spines in the mPFC did not reach statistical significance. However,  
 2769 the interaction between stress and EE ( $F_{(1,260)} = 50.531$ ,  $p < 0.00$ ) had a significant  
 2770 effect on the number of spines found on secondary dendrites in the mPFC.

2771 Orthogonal planned comparisons (independent samples t-tests) showed that, in the  
2772 absence of EE ( $t_{137} = -5.567$ ,  $p < 0.00$ ; Cohen's  $d = 0.973$ ), stress significantly  
2773 increased the number of spines found on secondary dendrites in the mPFC.  
2774 However, in the presence of EE ( $t_{123} = 4.519$ ,  $p < 0.00$ ; Cohen's  $d = 0.813$ ; Figure  
2775 R.40) stress significantly decreased the number of secondary spines found in the  
2776 mPFC.

2777 MS rats showed elevated number of branch points and increased neuronal spine  
2778 density. It is possible that the observed effects of MS are a result of compensation  
2779 through reorganization of dendrites leading to hypertrophy. On the other hand, it is  
2780 possible that the observed increase in dendritic arborization is a product of the  
2781 extended period of recovery and additional compensation (approximately 36 days)  
2782 given after MS treatment. This reversing effect of neuronal morphology is in  
2783 consensus with previous reports [293]. Additionally, my observation is in line with  
2784 a previous study that shows an increase in neuronal spine density after MS [295].

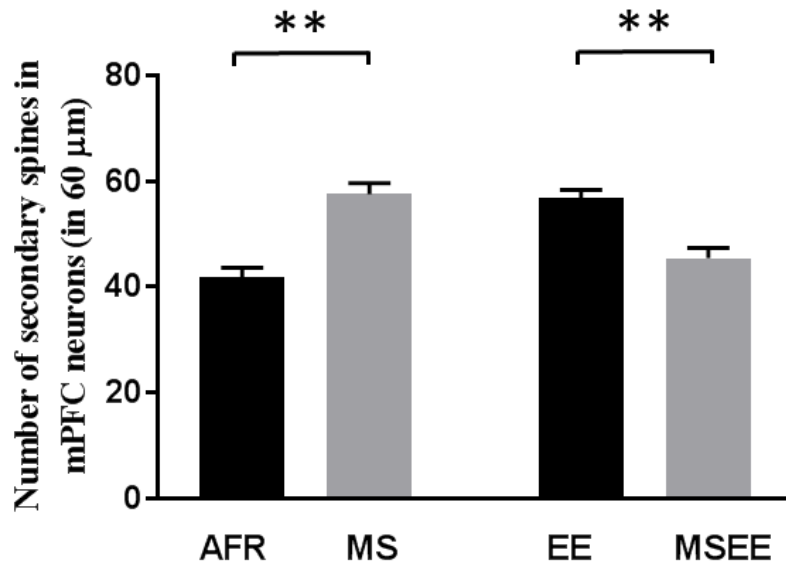
2785 Prior literature shows that the enriched environment enhances the responsiveness  
2786 of cortical neurons to stress [296, 297]. This is in line with my observation  
2787 mentioned above where EE increases dendritic length, not branch points. I propose  
2788 that decrease in branch points may also be a compensatory mechanism to temper  
2789 the effect of dendritic length hypertrophy. The impact of EE on dendritic  
2790 morphology is comparable to the pro-resilient features observed in previous work  
2791 [255]. A decrease in number of branch points as well as primary and secondary  
2792 neuronal spine density in the mPFC was observed in rats subjected to both MS and  
2793 EE. This effect was not seen in rats exposed to only environmental enrichment.



2794 Interestingly, there was an unique effect of enriched environment in neuronal  
 2795 morphology in all the three brain regions considered in this study. Further  
 2796 experiments are necessary to understand these interesting results that aid  
 2797 individuals to appropriately respond to their early life environment.

2798

2799



2800

2801 **Figure R.40: Effect of early life stress and EE on secondary spines in mPFC**  
 2802 **neurons:** Stress significantly increased the number of spines found on secondary  
 2803 dendrites in the mPFC in the absence of EE. Graphs represent Mean  $\pm$  SEM. N  
 2804 (number of neurons) = 60 for AFR, 79 for Stress, 60 for EE and 65 for MSEE,10  
 2805 neurons/animal;  $**p \leq 0.01$ .

## 2806 **Chapter 5**

### 2807 **5. Discussion**

2808

2809 Early-life is a critical developmental period for the physical and emotional  
2810 development of an individual (P0-P21 in rodents) as this is the time-window,  
2811 which is vulnerable to the varying environment during which certain environment  
2812 can bias the development of neural systems mediating emotion towards pathology  
2813 later in life. [13]. Specifically, early life environment programs crucial aspects of  
2814 neurobiological development including behavioral, emotional, cognitive and  
2815 physiological development. Thus, exposure to stress during this period has  
2816 important implications for stress sensitivity and behavioral response throughout the  
2817 life of an individual [6, 7, 99]. In rats, one of the most well-established models of  
2818 chronic early life stress is maternal separation [264]. Different interventions were  
2819 used to counter the detrimental effects of maternal stress. Of all the techniques  
2820 used to reduce the compromising effects of MS, enriched environment has been  
2821 the most intriguing one as this is not an invasive method. A mere change in the  
2822 environment for a short period of time can alleviate the harmful effects of MS  
2823 significantly. Previous studies on the effect of enriched environment on maternal  
2824 separation were done using long-term enrichment protocols [167, 298]. However,  
2825 providing short-term enrichment in adulthood is known to reverse the detrimental  
2826 effects of chronic immobilization stress [166] and maternal separation[87]. In my  
2827 study, the focus was to investigate the effect of enriched environment provided in  
2828 early life, concomitant to the period of maternal separation. The effects of early

2829 environment on physiology, behavior, structural plasticity of neuronal dendrites  
2830 and molecular changes were evaluated in brain during adulthood.

2831 Three distinct themes emerge from my results. These are,

2832 i) MS is stressful (pages 152 to 160).

2833 ii) EE is beneficial (pages 161 to 166).

2834 iii) MS and EE have interesting combinatorial effect (pages 167 to 173).

## 2835 5.1 Effects of Maternal Separation

2836

### 2837 *5.1.1 Impact of MS on physiology (S.A.1)*

2838 Early life stress affects various physiological parameters, particularly those about  
2839 the hypothalamus-pituitary-adrenal (HPA) axis [167] and the  
2840 sympathoadrenomedullary axis [299]. The adrenal glands are common to both  
2841 these systems and are responsible for the production of corticosterone in response  
2842 to stressful stimuli. Adverse conditions in early life could cause long-term  
2843 behavioural deficits and epigenetic changes in glucocorticoid receptor in rat  
2844 hippocampus [48]. Manipulation of the environment and the quality of care from  
2845 the mother in this model causes chronic ‘early life stress’ which has long-term  
2846 behavioral defects [76]. A large body of evidence shows that MS during postnatal  
2847 weeks causes a permanent increase in anxiety related disorders [178]. Lifelong  
2848 hyperactivity of HPA (hypothalamus-pituitary-adrenal) axis is another  
2849 consequence of this postnatal separation [179]. My results showed that MS caused  
2850 adrenal gland hypertrophy in male rats living in standard animal facility housing

2851 when compared to AFR rats. Interestingly, early life stress in enriched housing  
2852 reduced the increase the adrenal weights of animals (Figure R.3). Similarly,  
2853 baseline plasma corticosterone levels were significantly increased in adult rats  
2854 subjected to MS in early life under standard animal housing conditions. However,  
2855 animals exposed to MS along with enriched environment did not show a  
2856 significant difference in baseline plasma corticosterone levels (Figure R.5). Our  
2857 results of MS alone causing enhanced corticosterone and adrenal gland  
2858 hypertrophy is similar to what has previously been observed in rats. It has been  
2859 reported that rats exposed to chronic stress with both baseline plasma  
2860 corticosterone [257, 259] and adrenal weights [256, 258] increased compared to  
2861 control animals.

#### 2862 *5.1.2 Impact of MS on behavior (S.A.1)*

2863 Increase in CORT and adrenal hypertrophy indicates high anxiety in MS [99].  
2864 Therefore, we tested the impact of early life stress and EE on various behavioral  
2865 readouts during adulthood. To determine the effect of MS in the absence and  
2866 presence of enriched environment on anxiety-like behavior, animals were  
2867 subjected to various anxiety tests in adulthood (P56). This included exposure of  
2868 experimental animals to open field test (OFT), elevated plus maze (EPM) and  
2869 home cage emergence test (HCE). Along with anxiety like behavior, depressive  
2870 like behavior is another common behavioral defect observed in MS rats [300, 301].  
2871 To determine the impact of early life stress and EE on depressive-like behavior,  
2872 the animals were subjected to the forced swim test (FST).

2873 My results showed that early life maternal separation induced anxiogenic  
2874 avoidance of inner zone in open field test. This represented the high anxiety in  
2875 animals exposed to MS alone in standard housing during early life. However, this  
2876 effect was not seen in animals exposed to MS in the enriched environment (Figure  
2877 R.9). This result is in line with previous reports that show that MS leads to  
2878 anxiogenic behavior in adulthood [263, 264]. MS had no significant effect on  
2879 latency to escape in the home cage emergence test irrespective of EE (Figure R.8).  
2880 Similarly, MS did not alter the percentage of open arm time/entries and risk  
2881 assessment behavior (measured using the number of head dips) as tested on EPM  
2882 (Figure R.10, R.11, and R.12). The number of closed arm entries was same across  
2883 all groups showing no influence of locomotor function (an internal control of  
2884 EPM) (Figure R.13), on anxiety.

2885 Immobility and swimming were measured at two time points, T1 and T2. T1 was  
2886 the first day where the animals were habituated to the test and T2 was the time  
2887 point for the actual test day. In FST, the initial exposure to the swim tank on day 1  
2888 (T1) is known to induce a state of “behavioral despair,” such that these rats have  
2889 *altered emotional reactivity* in response to a new emergency represented by  
2890 swimming. So, day 2 (T2) is considered as the test day where the depression-like  
2891 behavior can be well captured, after T1 habituation [274]. In this study, we  
2892 quantified T1 behavioral response to capture the initial response to novelty stress  
2893 and T2 behavioral response to capture the stabilized emotional response to forced  
2894 swim test. I observed that MS significantly decreased immobility in T1 (FST)  
2895 when compared to controls. This effect was not observed in T2 (FST). The T1

immobility result observed in my study has already been reported before [275, 276]. Additionally, chronically administered corticosterone reduced immobility time in the FST [270]. However, this is in contrast to previous studies that showed a stress-induced increase in immobility [277, 278]. This shows that reduced immobility is not always associated with a compromised behaviour due to chronic stress. MS in this study did not alter T1 swimming time in FST (Figure R.15). In T2 experiments, MS significantly decreased swimming time in the FST (Figure R.16). MS induced depression-like behaviour was associated with decreased swimming behaviour in the FST [271-273]. Thus, MS induced depression-like behaviour in the FST, is in agreement with existing literature.

### *5.1.3 Impact of MS on dendritic morphology (S.A.2)*

The BLA is a critical brain region for processing fear and anxiety [60, 61]. This in turn drives the HPA axis to release stress hormones [59, 65, 132, 133]. Fear and emotion related memories are known to be consolidated in this region which in turn helps in long-term adaptability [134]. The amygdala is activated by corticosterone released during a stress response and this results in dendritic hypertrophy as well as elevated spine density [65]. These morphological changes have been correlated with anxiety and stress in prior studies [52, 53, 57, 135]. These changes in neuronal morphology are known to be associated with anxiety-like behavior and general stress response [52, 54, 57]. Acute and/ or chronic stress, as well as exogenous supplementation of corticosterone results in neuronal hypertrophy and an increase in spine density of BLA principal cells [136]. Rats subjected to chronic immobilization stress in early life and adulthood increases

2919 neuronal spine density in the amygdala [7, 17, 21]. Similarly, in my paradigm, a  
2920 significant increase in neuronal spine density was observed in rats subjected  
2921 maternal separation compared to control rats in the normal housing (Figure R.31  
2922 and R.32). Although the spine density was increased, an increase in dendritic  
2923 length and branch points was not observed in normal housing rats that were  
2924 subjected to maternal separation (Figure R.29 and R.30).

2925 In addition to BLA, hippocampus was examined due to its involvement in widely  
2926 reported stress and cognitive processes [119, 120] It is known to be one of the  
2927 most plastic regions of the brain and the structural plasticity is highly dependent on  
2928 the number and impact of stressful episodes experienced by an individual (level of  
2929 glucocorticoids in the systemic circulation) [122, 123]. Additionally, stress  
2930 induced impairment in learning and performance in short-term memory tasks is  
2931 closely associated with atrophy of CA3 dendrites in the hippocampus [124, 125].  
2932 Under normal housing conditions, maternal stress reduces the complexity of  
2933 hippocampal neurons. Specifically, dendritic length and cell proliferation are  
2934 known to decrease in MS rats compared to control [126, 127]. While studies report  
2935 atrophy of CA3 neurons in the hippocampus, Sunanda *et al.*, (1995) observed  
2936 increased spine density in rats subjected to chronic stress in adult life and has  
2937 argued that this observed increase in spine density may be a mechanism to counter  
2938 neuronal atrophy by increasing the postsynaptic membrane area [289]. This  
2939 observation was corroborated in our paradigm, where rats subjected to MS showed  
2940 an increase in spine density of both primary and secondary branches of the neuron  
2941 in BLA (Figure R.35 and R.36). Moreover, in our paradigm, MS did not alter

2942 neuronal length or number of branch points in normally housed rats (Figure R.33  
2943 and R.34). This is congruent with the observation that although atrophy of  
2944 hippocampal neurons can be observed up until four days post-MS treatment,  
2945 atrophy is no longer present after ten days post stress treatment because of the  
2946 plastic recovering nature of hippocampal neurons [290, 291].

2947 mPFC is one of the many GC-sensitive brain regions that regulate stress response  
2948 by the activity of glucocorticoids. Two types of corticosteroid receptors in the  
2949 brain are, glucocorticoid receptors (GR) and mineralocorticoid receptors (MR).  
2950 The MR binds GCs with a 10-fold higher affinity than the GR [302]. The MR  
2951 activation is considered as neuroprotective to memory performance. In contrast,  
2952 GR activation is known to damage neurons and impair memory performance  
2953 especially when prolonged and elevated GR occupancy is observed. These  
2954 observations suggest that GR/MR ratio is an important parameter in to understand  
2955 CORT effects in different brain regions [303]. mPFC has a high ratio of GR/MR  
2956 ratio that indicates the high involvement of stress hormones [142]. The mPFC is  
2957 reported to play a role in processing cognitive and goal-oriented behavior [304].  
2958 Importantly, the mPFC indirectly provides a negative feedback to the HPA axis  
2959 [138-140]. Together with the BLA and hippocampus, the mPFC modulates fear  
2960 responses [117, 139, 140]. Prelimbic (PrL) and Infralimbic (IL) regions are the two  
2961 most important sub-regions that form mPFC. PrL is shown to play a role in fear-  
2962 conditioning and learning while fear extinction is associated with IL [141]. In my  
2963 study, the effect of MS on the neuronal morphology of the prelimbic (PrL) medial  
2964 prefrontal cortex was studied due to their strong projections to the BLA [292]. MS



2965 rats showed increased number of branch points and increased neuronal spine  
2966 density (Figure R.38, R.39, and R.40). It is possible that the observed effects of  
2967 MS are a result of compensation through reorganization of dendrites leading to  
2968 hypertrophy. On the other hand, it is possible that the observed increase in  
2969 dendritic arborization is a product of the extended period of recovery and  
2970 additional compensation (approximately 36 days) given after MS treatment. This  
2971 reversing effect of neuronal morphology is in consensus with previous reports  
2972 [293]. Additionally, my observation is in line with a previous study that shows an  
2973 increase in neuronal spine density after MS [295].

#### 2974 *5.1.4 Impact of MS at the molecular level (S.A.3/4)*

2975 As discussed in earlier paragraphs, the maladaptive behavioral output of early life  
2976 stress was demonstrated in MS. MS led to adrenal hypertrophy, elevated  
2977 corticosterone levels, anxiety-like behavior and high spine density in BLA. GC  
2978 activated glucocorticoid receptor (GR) was analyzed because of its central role in  
2979 maintaining the regulation of HPA axis in response to various stressors [142, 218,  
2980 219]. The density of GR population across amygdala, hippocampus, and mPFC  
2981 differ and is shown to have a link in providing feedback regulation to HPA axis  
2982 [219]. The recruitment of activated GR in the nucleus as a transcription factor is a  
2983 crucial step that initiates a relay of downstream molecular pathways to mediate  
2984 stress response [112, 220]. Prior studies show that activation of GR through GC  
2985 causes phosphorylation of Erk1/2<sup>MAPK</sup>. This activated Erk1/2<sup>MAPK</sup> causes relay of  
2986 downstream molecular pathways that are crucial in mediating behavioral effects of  
2987 GC [221, 222]. Another important molecule that interacts with GC-GR and

Erk1/2<sup>MAPK</sup> is BDNF that activates molecular cascade to enhance fear related memories [286]. All these molecular studies have been widely examined in the hippocampus [221] concerning stress. On the other hand, the basolateral amygdala (BLA) remains understudied in spite of BLA's crucial role in mediating stress response and emotional behavior. It is vital to study the regulation of these molecules. The protein expression level of the glucocorticoid receptor (GR), brain-derived neurotrophic factor (BDNF), and phosphorylated mitogen-activated protein kinase (pMAPK) was determined in the BLA in different group of animals to determine the impact of early life MS in presence and absence of EE. There was no observed effect of maternal separation on total GR protein expression either using western blot or immunohistochemistry (Figure R.20 and R.24). Conversely, in normal animal housing conditions, the percentage of cells containing high-density GR in the basolateral amygdala was significantly greater in MS rats compared to controls. This effect of MS was not observed in rats assigned to the enriched environment (Figure R.23). High-density GR refers to 'activated' GR, which translocate to the nucleus to activate downstream transcription. Thus, although MS did not affect total GR, it significantly decreased the amount of activated GR found in the nucleus. This indicated that GR alone might be the primary driver of BLA function in MS. Interestingly, protein expression of BDNF (Figure R.25) and pMAPK (Figure R.26) in the basolateral amygdala were not significantly altered by exposure to early life maternal stress. Based on these results, it is clear that the effect of GR in the BLA plays a crucial role in driving stress-induced BLA structural and molecular plasticity in different

housing environments. To investigate the proximate mechanism involved in driving changes of protein expressions, the possible role of epigenetic regulation that might be associated with GR and BDNF expression was determined in BLA. Epigenetic changes in GR promoter gene are well examined in the hippocampus for MS models. In particular, methylation studies are widely explored in these models [251]. The average percentage of cytosine methylation of few critical CpG sites of GR and BDNF was assessed. Interestingly, the methylation status of the CpG sites used in this study did not alter due to stress in the presence and absence of EE. This observation shows that these specific CpG sites are not the major driving force behind the long-term effect on variation in protein expression of GR and BDNF in BLA. This opens up more avenues to explore other epigenetic modifications like histone acetylation, histone methylation, and other modifications. An interesting pathway of molecular activation in response to stress is suppression of GABAergic neuronal activity and elevated hyper-excitability of a smaller subset of BLA neurons leading to elevated memory consolidation [305-307]. GABAergic interneurons constitute 20-30% of the neuronal cell types in the BLA and stress affects these interneurons to induce compromising physiological readout [308-310]. Inhibition of the activity of these interneurons caused by hyperexcitability of the BLA neurons leads to anxiety like behavior and MDD [311-313]. Glutamate decarboxylase (GAD), an enzyme that causes synthesis of GABA from L-glutamic acid, is expressed in the GABAergic interneurons in the BLA [314, 315]. It is a pre synaptic protein marker that causes inhibitory function in GABAergic interneurons to relay information within the BLA. Prior work shows that decrease in GAD67

3034 protein expression in rats is linked to enhanced depressive-like behavior and can  
3035 be initiated by repeated corticosterone administration in the amygdala [316].  
3036 Hence, GAD67 in the inhibitory neurons of the BLA can be regulated by stress,  
3037 which can lead to aberrant emotional behavior.

3038 Until now, the impact of MS on physiology, behavior, neuronal morphology and  
3039 the molecular players of the stress response were discussed. The mal-adaptive  
3040 outcomes induced by the stress paradigm were also indicated. In the next section,  
3041 the main effect of enriched environment on all the experimental endpoints will be  
3042 discussed.

3043

## 3044 5.2 Effects of Enriched Environment (EE)

3045

3046 Exposure to short-term environmental enrichment in adulthood is known to  
3047 enhance defensive behavior and attractiveness in male rats [206]. Additionally,  
3048 exposure to short-term environmental enrichment in adulthood is also known to  
3049 alleviate behavioral compromise induced by MS [87]. Mice exposed to the  
3050 enriched environment had enhanced memory function in various learning tasks  
3051 [204]. Research on benefits of EE is being applied in zoos and farms where the  
3052 animals are seen to thrive better in a more enriched environment [212]. Numerous  
3053 studies on EE similar to those mentioned above, inspired me to apply this  
3054 treatment for this study, to investigate the impact of early life EE (P0-P21). My  
3055 paradigm is the first study to investigate the impact of short-term exposure to the  
3056 enriched environment in early life. EE effects were considered as the main effect  
3057 (i.e. presence & absence of EE on stress) of treatment across all experimental  
3058 groups.

### 3059 *5.2.1 Impact of EE on physiology level (S.A.1)*

3060 The presence of EE significantly decreased the body weight-area under the curve  
3061 (AUC) (Figure R.2) of experimental animals. The area under curve depicts the  
3062 overall change in body weight across the course of development (early life till  
3063 adulthood). Conversely, maternal separation did not affect the body weight AUC  
3064 of test animals assigned to the standard cages. Chronic stress is known to lead to  
3065 an increase in body weight [253]. In our paradigm, enriched environment housing  
3066 reduced body weight gain (throughout the experiment) instead. Interestingly, rats

3067 exposed to prenatal stress and then housed in environmental enrichment conditions  
3068 showed a similar decrease in body weight [254]. The decrease in body weight gain  
3069 could be due to the continuous activity exploring various objects and interacting  
3070 with fellow cage mates.

3071 Adrenal glands are vital organs that produce corticosterone in response to stressful  
3072 stimuli and undergo hypertrophy in the process [256, 257]. A two-way ANOVA  
3073 revealed a significant main effect of enriched environment on adrenal gland  
3074 weight. Specifically, animals assigned to the enriched environment housing  
3075 condition had significantly lower adrenal weights compared to animals assigned to  
3076 animal facility rearing conditions, irrespective of presence or absence of maternal  
3077 separation (Figure R.3). Similarly, a two-way ANOVA showed a main effect for  
3078 enriched environment on plasma corticosterone levels at the point of sacrifice  
3079 during adulthood (Figure R.7). This is in good agreement with another study by  
3080 Roy and colleagues (2001) who showed that male mice assigned to the  
3081 environmental enrichment treatment had significantly less plasma corticosterone  
3082 compared to animals in standard animal house housing after exposure to stress  
3083 [260]. This emphasized on the active coping output (low CORT) induced by EE.

3084 In other words, animals in the enriched environment had significantly lower  
3085 plasma corticosterone at the end of the experiment, compared to animals in the  
3086 normal animal housing conditions, irrespective of absence or presence of early-life  
3087 maternal stress treatment. In rats assigned to standard cages, maternal stress  
3088 significantly increased adrenal weights. This effect of maternal stress was not  
3089 observed in rats that were placed in the enriched environment (MSEE). This

3090 observation is indicative of the modulatory effect of environmental enrichment on  
3091 the adrenal glands and corticosterone, the crucial arms of HPA axis. On the whole,  
3092 the study highlighted that EE modulated the HPA axis to regulate the baseline  
3093 corticosterone levels in the animals to actively cope with stress. Another  
3094 interesting point is the combinatorial effect of MS and EE wherein the interaction  
3095 between the two treatments alleviates the harmful effects of MS on certain  
3096 physiological parameters (as mentioned above).

#### 3097 *5.2.2 Impact of EE on behavior (S.A.1)*

3098 In general, animals assigned to the enriched environment displayed a more  
3099 anxiolytic behavior compared to animals in the normal animal house treatment. A  
3100 two-way ANOVA revealed significant main effects of enriched environment on  
3101 time spent exploring the inner zone in the open field test (Figure R.9). This  
3102 observation is congruent with previous studies that reported significantly increased  
3103 anxiolytic behavior in animals placed in enriched environment housing  
3104 conditions[317]

#### 3105 *5.2.3 Impact of EE on dendritic morphology (S.A.2)*

3106 Stress-induced growth of dendrites and synapses in BLA neurons can be rescued  
3107 with environmental enrichment in adult male rats [166]. So far, only two studies  
3108 have determined that enriched environment can rescue the damaging effects of  
3109 early life stress. One reported that environmental enrichment during the peri-  
3110 pubertal period rescues the harmful effects of maternal separation on HPA and  
3111 behavioural response to stressors [167]. Another study reported that, short term

3112 enrichment reverses the anxiety and hypertrophy of BLA neurons in adulthood  
3113 [87]. As mentioned earlier, there is limited information about how enriched  
3114 environment during early life (P0-P21) can influence dendritic morphological  
3115 changes. Interestingly, short-term environmental enrichment had main effect on  
3116 secondary neuronal spine density of BLA and hippocampal neurons (Figure R.32).  
3117 Environmental enrichment, irrespective of presence or absence of maternal  
3118 separation treatment resulted in a general decrease in secondary spine density in  
3119 BLA neurons. Conversely, enriched environment significantly increased secondary  
3120 neuronal spine density in the hippocampus. This observed increase in the neuronal  
3121 spine density is in direct agreement with reports on increased spine density as a  
3122 result of environmental enrichment, found in the literature [318-322]. This is result  
3123 is opposite to the stress-induced hypertrophy seen in BLA [87].

3124 In my paradigm, an increase in dendritic length but a decrease in branch points of  
3125 mPFC neurons was observed. Previous literature showed that the enriched  
3126 environment enhanced the responsiveness of cortical neurons to stress [296, 297].  
3127 Similarly, this study revealed that environmental enrichment increases dendritic  
3128 length but not the branch points. I propose that decrease in branch points may be a  
3129 compensatory mechanism to dampen the effect of dendritic length hypertrophy.  
3130 Hypertrophy of mPFC neurons was observed in animals exposed to long-term  
3131 enriched environment, which displayed active coping behavior [255]. This  
3132 observation is comparable to the impact of EE on dendritic morphology in this  
3133 study, which reinstates the role of mPFC in regulating HPA in response to varying  
3134 environment during early life (P2-P21).



#### 3135    *5.2.4 Impact of EE at molecular level (S.A.3/4)*

3136    The density of GR concentration across brain regions such as hippocampus,  
3137    amygdala, and mPFC varies and is linked with its ability to provide feedback  
3138    regulation [142]. GR is an important regulator stress response [167]. The activation  
3139    of GR (by corticosterone occupancy) and its localization into the nucleus for its  
3140    recruitment as transcription factor constitutes one of the key molecular pathways  
3141    mediating stress response in relevant brain regions [112, 220]. GR receptors in the  
3142    amygdala induced by stereotactic administration of corticosterone lead to  
3143    hyperactivation of HPA and anxiety [323]. These compromising behavioral  
3144    features are reversed by experimentally blocking corticosterone binding to GR in  
3145    the BLA [324]. These studies indicate the association of enhanced GR activation  
3146    with potentiation of anxiety and BLA hypertrophy. Hence, in the basolateral  
3147    amygdala, the effect of environmental enrichment on GR expression is critical. In  
3148    this study, there was a significant main effect of environmental enrichment on GR  
3149    mRNA abundance in the basolateral amygdala (Figure R.17). This is congruent to  
3150    my observation that environmental enrichment increased the percentage of number  
3151    of cells containing activated GR when compared to animals in normal housing  
3152    conditions (Figure R.23). As mentioned earlier, the basolateral amygdala is one of  
3153    the brain regions that provide feedback for regulating corticosterone secretion via  
3154    HPA axis. Activated GR and its subsequent translocation into the nucleus is a  
3155    major step in mediating stress as this step initiates the feedback loop in response to  
3156    stress episodes [112, 220]. Previously, the effect of enriched environment housing  
3157    on GR expression has been studied mainly in the hippocampus wherein the GR

3158 expression is observed to be upregulated in the presence of environmental  
3159 enrichment [325, 326]. GR expression in rat pups is reported to vary depending on  
3160 the mother's care they receive in the form of licking and grooming in  
3161 hippocampus. In pups that experience high licking and grooming, GR expression is  
3162 upregulated compared to pups that experience low licking and grooming and it  
3163 highlighted that increase in GR is beneficial for HPA-GC regulation [181]. This  
3164 study showed that environmental enrichment significantly increased activation of  
3165 nuclear GR in the BLA, which plays a significant role in downstream protein  
3166 regulation, which in turn drives neural plasticity as well as BLA feedback to the  
3167 HPA axis and by extension, corticosterone secretion.

3168 Brain-derived neurotrophic factor (BDNF) is an important growth factor for  
3169 regulating survival in a variety of cells. It also plays important role in modulating  
3170 dendritic growth in various regions of the brain. BDNF mediates intracellular  
3171 pathways that modulate the synaptic plasticity of neurons [327-329]. Expression of  
3172 pro-BDNF, the precursor product of mature BDNF, was significantly decreased in  
3173 rats placed in environmental enrichment (Figure R.21). Previous work has  
3174 associated increased expression of pro-BDNF in the hippocampus to depression in  
3175 humans. This study used formalin-fixed paraffin-embedded hippocampal sections  
3176 from subjects with schizophrenia, major depressive disorder (MDD), bipolar  
3177 disorder (BPD) and non-psychiatric controls from the Stanley Foundation  
3178 Neuropathology Consortium to analyze the expression of Pro-BDNF [288]. In  
3179 contrast, the reduced pro-BDNF levels in my study could have anti-depressive like  
3180 effect driven by BLA. However, environmental enrichment was not observed to

3181 affect the expression of BDNF protein in the BLA (Figure R.22 and R.25). Prior  
3182 reports have shown that BDNF levels are elevated in response to enriched  
3183 environment conditions. Only one report demonstrated that short-term enriched  
3184 environment during stress rescues the stress-induced elevated BDNF mRNA  
3185 expression in BLA [166]. This highlights the differential role of BDNF regulation  
3186 in response to EE during early life and adulthood (S.A.3).

3187 My data supports the notion that MS is indeed a stressful paradigm and short-term  
3188 EE in early life induces several resilient features in physiological, behavioral,  
3189 dendritic morphological and molecular parameters. In the next section, the  
3190 interaction effect between MS and EE on all the experimental endpoints will be  
3191 discussed.

### 3192 5.3 Interaction between Maternal Separation and Environmental 3193 Enrichment 3194

3195 The previous sections have concentrated on understanding the individual effects of  
3196 stress and environmental enrichment on various endpoints of physiology, behavior  
3197 and brain plasticity. In this section, the effect of concurrent effect of environmental  
3198 enrichment and early life maternal stress on different experimental endpoints will  
3199 be discussed. Previous studies have shown that when stress and EE are combined,  
3200 they rescue the maladaptive behaviors observed in stress rats [87, 166]. This gives  
3201 crucial information about the combinatorial impact of two different environments  
3202 that act in a synergistic way to bring about an active-coping behavior in animals.  
3203 Hence, MSEE paradigm was used in this study to explore the chances of inducing  
3204 resilient (active-coping) characteristics in animals exposed to concurrent MS and  
3205 EE.

#### 3206 *5.3.1 Impact of both MS and EE on physiology (S.A.1)*

3207 A two-way ANOVA revealed that there was a significant effect of the interaction  
3208 of stress and environmental enrichment on body weight. Rats subjected to both  
3209 early life MS and enriched environment had significantly decreased body weights  
3210 in adulthood (Figure R.2). Previously, early-life maternal stress in normal animal  
3211 house conditions led to a significant increase in body weight compared to animals  
3212 that did not undergo MS [253]. This suggests that presence of environmental  
3213 enrichment along with MS in my study resisted the increase in body weight as  
3214 observed in rats subjected to only MS. This could be due to the increase in  
3215 locomotor activity (climbing, exploring, etc) involved in EE cages [255]. An

3216 interesting point to note here is that even a short-term exposure to EE in early life  
3217 can induce a long-term impact on other physiological parameters like adrenal  
3218 weight and corticosterone secretion of an individual.

3219 MS without EE housing displayed adrenal hypertrophy and a two-way ANOVA  
3220 revealed a significant interaction effect of stress and environmental enrichment on  
3221 adrenal weights with no hypertrophy (Figure R.3). Previous reports showed that  
3222 early life stress and chronic stress resulted in adrenal hypertrophy [256, 258].  
3223 However, there are no current studies specifically linking MS to adrenal  
3224 hypertrophy. The results in this study showed that in an enriched environment, MS  
3225 resulted in significant hypotrophy of adrenal glands along with MS main effect.

3226 Environmental enrichment significantly decreased plasma corticosterone levels  
3227 post-exposure to predator odor (Figure R.6). Interestingly, in environmental  
3228 enrichment conditions, rats subjected to MS had significantly lower plasma  
3229 corticosterone concentration compared to rats subjected only to environmental  
3230 enrichment, when exposed to predator odor. This suggests that in addition to the  
3231 observed general decrease in plasma corticosterone levels in EE animals, exposure  
3232 to early life maternal stress served to decrease plasma corticosterone in EE animals  
3233 further. Thus, the concurrent presence of both stress and environmental enrichment  
3234 appears to have a potent inhibitory effect on the HPA axis [167]. Parallel maternal  
3235 stress and environmental enrichment decreased plasma corticosterone and lead to  
3236 adrenal hypotrophy suggesting that this dual reduces the adrenal glands, the two  
3237 important elements (GC and adrenal glands) of the HPA axis.

3238

### 3239 *5.3.2 Impact of both MS and EE on behavior (S.A.1)*

3240 Environmental enrichment is reported to have a significant anxiolytic effect [166,  
3241 167]. This is corroborated by our observation that rats in environmental  
3242 enrichment spent significantly more time exploring the inner zone of the OFT  
3243 compared to rats in normal housing conditions. A two-way ANOVA of OFT data  
3244 further revealed that concurrent exposure to MS and environmental enrichment  
3245 resulted in increased anxiolytic behavior (figure R.9). In other words, rats  
3246 subjected to both MS and environment enrichment spent significantly more time  
3247 exploring the inner zone of the OFT. This increase in anxiolytic behavior was also  
3248 observed in EPM. Rats subjected to both maternal separation and environmental  
3249 enrichment spent more time exploring the open arm of the EPM compared to rats  
3250 exposed only to environmental enrichment (figure R.10 and R.11). Thus, it is  
3251 observed that the presence of both maternal separation and environmental  
3252 enrichment has a cumulative effect on the increase in anxiolytic behavior (active  
3253 coping). This is corroborated by reports that show both short-term [87] and long-  
3254 term [167] enrichment in adulthood after early life maternal separation increases  
3255 anxiolytic behavior.

### 3256 *5.3.3 Impact of both MS and EE on morphology (S.A.2)*

3257 In previous sections, it was reported that the concurrent exposure to both maternal  
3258 separation and environmental enrichment resulted in robust benefit both on  
3259 physiology and behavior. Surprisingly, analysis of dendritic morphology in the

3260 BLA showed results similar (increased dendritic spines) to what has been reported  
3261 in the prior literature to occur in rats subjected to maternal separation in the  
3262 absence of environmental enrichment. Rats exposed to both maternal separation  
3263 and environmental enrichment showed dendritic length hypertrophy, increase in  
3264 branch points and increase in primary neuronal spine density in the BLA compared  
3265 to rats exposed to only environmental enrichment (figure R.29, R.30, and R.31).  
3266 The increase in BLA dendritic arborization was not expected in this study. As  
3267 mentioned earlier, increase in dendritic length and branch points are associated  
3268 with anxiety-like behavior but this was not the case in this study. In other words, in  
3269 response to early life stress, individuals employ adaptation strategies that would  
3270 allow them to make “the best out of a bad situation” so to speak [78, 79]. This may  
3271 be responsible for the increase in dendritic arborization observed in this study. This  
3272 could also be due to overlap of timeline of this study and amygdala development.

3273 However, extant literature argues that certain negative experiences in the critical  
3274 developmental phase may improve memory processes and learning under stressful  
3275 situation [77]. Thus, it is important to note that, stressful experiences are not  
3276 always associated with maladaptive behavior as shown in previous reports. It is  
3277 possible that early life experiences could have varying impact on BLA morphology  
3278 wherein hypertrophy does not always mean impaired response to stress. Hence,  
3279 hypertrophy in this study may not have highlighted maladaptive output.

3280 Interestingly, secondary neuronal spine density decreased in rats exposed to both  
3281 maternal separation and environmental enrichment compared to those subjected to  
3282 only environmental separation (figure R.32). This may be due to the differential

3283 influence of synaptic activity at the distal end of neurons as well as overall  
3284 compensation for dendritic length for synaptic activity. The formation of dendritic  
3285 arborization and spine density of the BLA neurons in this study could be  
3286 characterized by the electrical activity of long cables of neurons harboring  
3287 decreased synaptic contacts. This could be a compensatory mechanism of the distal  
3288 neurons to lower the excitability of the neurons under the influence of combined  
3289 effect of MS and EE. This cable theory of neuronal activity for hypo-  
3290 responsiveness of BLA neuron was observed in a study which had similar  
3291 combined effect of stress and EE in adulthood [166]. There results emphasized  
3292 that; the dendritic arborization in BLA can vary according to different environment  
3293 provided in different age of the individual (S.A.2). These results shed some light  
3294 on the limited knowledge in the existing literature about BLA activity and early  
3295 life stress and EE.

3296 As seen earlier, the dendritic arborization in hippocampus was not affected in this  
3297 study but spine density showed significant changes in response to MSEE. The  
3298 hippocampus of rats exposed to both maternal separation and environmental  
3299 enrichment showed a decrease in both primary and secondary neuronal spine  
3300 density compared to rats exposed only to the enriched environment (figure R.35  
3301 and R.36). Beneficial effects of EE are widely studied on hippocampal neurons  
3302 and its plasticity. The hippocampal neurons are shown to have elevated neuronal  
3303 arborization and increased neurogenesis due to the influence of positive effects of  
3304 EE [A- 243, 248, 301, 319-324]. So, in this study EE had an adaptive main effect



3305 similar to earlier studies but this impact of EE on hippocampal neurons  
3306 disappeared when MS and EE treatments were combined.

3307 A decrease in number of branch points as well as primary and secondary neuronal  
3308 spine density was observed in the mPFC neurons (figure R.38, R.39, and R.40) of  
3309 rats subjected to both maternal separation and environmental enrichment. This  
3310 effect was not seen in rats exposed to only environmental enrichment. Multiple  
3311 studies have shown the impact of EE in increasing cortical neuronal  
3312 responsiveness to stress [296, 297, 330, 331] Interestingly, there was a unique  
3313 effect of enriched environment in neuronal morphology in all three brain regions  
3314 considered in this study in spite of having a positive influence of enriched  
3315 environment on physiological and behavioral outputs. As previously mentioned  
3316 this may simply be a result of the attempt to “make the best of a bad situation”  
3317 particularly because of the crucial period in which stress was given [80, 83]. This  
3318 reinstates the point that early life experience influences the dendritic arborization  
3319 in a different way when compared to adulthood experiences. The maladaptive  
3320 readouts obtained in response to stress in adulthood might not be the same in early  
3321 life (P2 –P21).

#### 3322 *5.3.4 Impact of both MS and EE at molecular level (S.A.3/4)*

3323 Brain-derived neurotrophic factor (BDNF) is an important growth factor for  
3324 regulating survival, and dendritic growth in a variety of cells and its pathway  
3325 modulates synaptic plasticity [327-329] as discussed in earlier section. A two-way  
3326 ANOVA showed that concurrent exposure to both short-term environmental

3327 enrichment and early life maternal stress resulted in marginal ( $p=0.06$ ) lower  
3328 BDNF mRNA expression in the BLA (Figure R.18). This result is further  
3329 corroborated by another result wherein the expression of BDNF protein in the  
3330 BLA was significantly decreased when both early life maternal stress and  
3331 environmental enrichment were provided together (Figure R.22). This is an  
3332 important interaction effect that is not observed when rats are subjected to only  
3333 maternal separation or EE. A prior study showed that chronic immobilization  
3334 stress (CIS) that caused anxiety like behavior in adulthood increases mRNA  
3335 abundance of BDNF in BLA. However, the presence of both CIS and EE rescues  
3336 the stress induced increase in BDNF mRNA levels [166] which revealed that lower  
3337 levels of BDNF expression is an adaptive readout associated to anxiolytic  
3338 behavior. Similarly, MSEE group in this study displayed lower levels of BDNF  
3339 expression that highlighted the adaptive behavior shown earlier [166]. As shown  
3340 earlier, MS did not affect total GR but it significantly decreased the amount of  
3341 ‘activated GR’ found in the nucleus. This indicated that ‘activated GR’ alone  
3342 might be the primary driver of BLA function in MS. Interestingly, when MS was  
3343 combined with EE, the significant decrease in ‘activated GR’ disappeared. This  
3344 result supported the idea that combinatorial effect of MS and EE renormalized the  
3345 decrease in activated GR in BLA. Therefore, no significant change in total GR is  
3346 valuable information, which highlighted that the activity of GR is modulated by  
3347 translocation to nucleus and not by changing the degree of expression. The protein  
3348 levels of pMAPK did not vary under the influence of all the treatments. This  
3349 indicated that pMAPK does not play a direct role in modulating the physiology and

3350 behavioral read out in response to stress and EE. Overall data suggested that the  
3351 combinatorial effect helped in ameliorating various underlying neurobiological  
3352 substrates of neural plasticity and behavior (S.A.3). The results in this section also  
3353 contributed to the limited knowledge present in the existing literature, as there are  
3354 very few studies of combinatorial effects of stress and EE paradigm.

## 3355 **5.4 Conclusion**

3356

3357 Mother-infant relationship is one of the crucial (both physical and psychological)  
3358 processes in brain development during early life [34, 35] when compared to other  
3359 components of environment. A positive mother-infant relationship (postnatal  
3360 environment) is beneficial for development of the affective behaviour of the infant  
3361 [44, 45]. Harry Harlow showed that mother-infant relationship was more  
3362 psychological than biological. The study suggested that mothers were important  
3363 not just for supplementing food but also crucial for touch-sensitive attachment with  
3364 the infant that helped the long-term emotional and mental stability of the child  
3365 [159]. Disruption in the mother-infant relationship can cause stress in offsprings.  
3366 Maternal separation is known to be one of the most potent forms of early life  
3367 stress. Maternal stress causes detrimental effects on the neurobiological,  
3368 behavioral, emotional and physiological development as mentioned earlier. In our  
3369 model, maternal stress under AFR conditions showed compromised physiological  
3370 effects including increase in adrenal and body weight as well as elevated baseline  
3371 plasma corticosterone levels when tested in adulthood. However, provision of an  
3372 enriched environment (EE) reduced the above parameters. Similarly, the  
3373 interaction of maternal separation and enriched environment (MSEE) showed  
3374 similar and stronger active coping outcomes compared to only EE conditions in  
3375 certain experiments as discussed in detail in previous section.

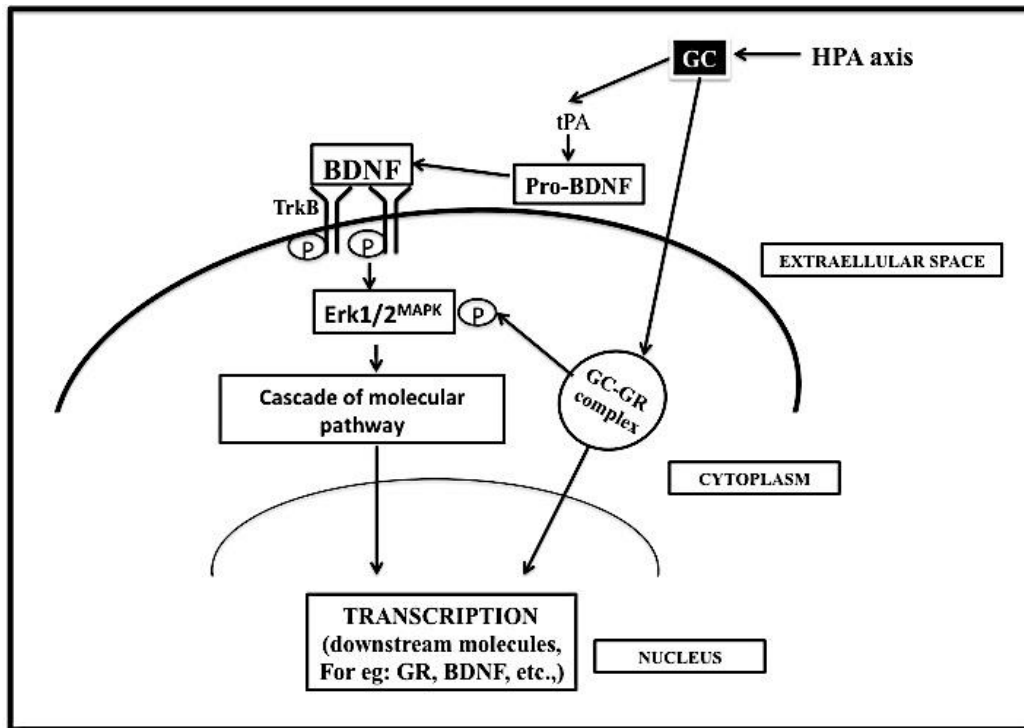
3376 Maternal separation induced anxiogenic behavioral outcome. However, EE and  
3377 MSEE conditions showed anxiolytic behavior. Moreover, MSEE induced pro-  
3378 social behavior, which was not observed in animals exposed only to EE conditions.

3379 Thus, from physiology and behavior readouts, MSEE induced active coping  
3380 response that reflects an adaptive outcome.

3381 In general, MS increased spine density in primary and secondary dendrites in three  
3382 important brain regions responsible for regulating stress response namely; BLA,  
3383 Hippocampus, and mPFC. EE treatment induced a decrease in secondary spine  
3384 density in BLA but increased secondary spine density in hippocampus and  
3385 dendritic length in the mPFC, which was in consensus with what has been reported  
3386 in the extant literature [255, 296, 319, 322]. Interestingly, the neuronal  
3387 morphology of rats subjected to MSEE was congruent to what was observed in MS  
3388 rats. The unique results highlighted in this study emphasized that, in response to  
3389 early life stress, individuals employ adaptation strategies that would allow them to  
3390 make “the best out of a bad situation” as discussed in previous section.

3391 In maternally separated rats, the total mRNA abundance and protein expression  
3392 levels of GR did not vary. Conversely, the percentage of high density activated GR  
3393 cells decreased upon exposure to maternal stress but increased in enriched housing  
3394 conditions. This had important implications for HPA regulation [47]. Another  
3395 important molecular player in stress response was BDNF and it was observed to  
3396 decrease in MSEE although both EE and MS alone were not observed to affect the  
3397 expression of BDNF mRNA and protein. These two molecules have been very  
3398 well studied in the hippocampus concerning stress response, but, to the best of my  
3399 knowledge, this work was the first study to report the expression of GR and BDNF  
3400 in the BLA of maternally separated rats. Prior work by Meaney et al., had reported  
3401 that MS decreased the expression of GR in hippocampus and GR feedback

sensitivity to the HPA axis [332, 333]. Elevated levels of GR in hippocampus through manipulations have shown to eliminate the compromising effects on HPA function [332, 333]. In the hippocampus, the GR-GC complex acted as a transcription factor that regulated basal BDNF production in response to stress episodes. Thus, specific balance of both GC and BDNF expression is necessary during neurodevelopment of hippocampus in maintaining homeostasis [327]. Another molecular player that is influenced by GR-GR interaction is Erk1/2<sup>MAPK</sup>. Studies have also shown that activation of GR through GC caused phosphorylation of Erk1/2<sup>MAPK</sup>. This activated Erk1/2<sup>MAPK</sup> caused relay of downstream molecular pathways that are crucial in mediating behavioral effects of GC [286, 334]. BDNF is another molecular player that also interacted with GC-GR complex and Erk1/2<sup>MAPK</sup> mediated molecular cascade to enhance fear related memories [221, 222]. These pathways could be playing a significant role in BLA to induce active coping (resilient) behavior in my model. The pattern of significant lower activated GR levels in BLA of the MS animals in my study was similar to reduced GR levels seen in hippocampal neurons of MS rats observed in other studies [88]. The elevated levels of GR in my EE paradigm could be the major role player in regulating the transcription level of BDNF and in turn causing the lowering of BDNF in the EE animals. The interaction of all above-mentioned molecules is summarized in the schematic representation (Figure D.1).



3422

3423 **Figure D.1: Putative schematic representation of intracellular molecular**  
3424 **interactions:** The interaction of numerous molecules discussed above in this  
3425 section [286, 334] is summarized in this schematic representation. The figure is  
3426 adapted from the various studies mentioned in the text. GC: Glucocorticoid, GR:  
3427 Glucocorticoid receptor, BDNF: Brain derived neurotropic factor, tPA: tissue  
3428 plasminogen activator, Erk: Extracellular signal-regulated kinases, pMAPK:  
3429 Phosphorylated Mitogen Activated Protein Kinase and TrkB: Tyrosine kinase  
3430 receptor B.

3431 However, the observed changes in GR and BDNF expression in the BLA was not  
3432 associated with hypomethylation of specific CpG sites in their respective promoter  
3433 regions chosen here. Further studies need to be considered to look at other CpG  
3434 sites in GR and BDNF and other markers of epigenetic modification including

3435 acetylation, histone modification, and chromatin remodeling to conclusively  
3436 understand the underlying mechanism of the robust changes observed in the  
3437 expression of these molecules. We have focussed on GR and BDNF due to specific  
3438 relevance to stress and resilience in our animal models. In addition to GR, BDNF,  
3439 MAPK, we also studied Arc (activity-regulated cytoskeletal proteins), MR  
3440 (mineralocorticoid receptor) for their important roles in both stress-regulation and  
3441 neuronal plasticity [335]. In addition to these, glutamate and GABA-ergic neuronal  
3442 network of amygdala have dominant influence on effects of stress and associated  
3443 neuronal plasticity [336, 337]. The signaling pathway of GR activated pathway of  
3444 BDNF and pMAPK interaction could be causing activation of multiple other  
3445 signaling pathways that cause protein regulation in our study [338].

## 3446 **Future directions**

3447

3448 My study has highlighted the effects of MS and EE on structural physiology  
3449 (adrenal weight, CORT & body weight), behavior, dendritic morphology and  
3450 molecular underpinnings within BLA. This opens up far more avenues to explore  
3451 other parts of the brain including other nuclei of amygdala namely central, medial  
3452 and BNST, which plays important role in anxiety and stress response.  
3453 Hypothalamus is the other crucial brain region that needs to be studied due to its  
3454 role in HPA axis and the limited knowledge available about this brain region.  
3455 Although hippocampus has been extensively studied in stress field, there are still  
3456 gaps in the knowledge with regards to how it regulates various behaviors in EE  
3457 particularly, in my model being the first to be exposed to short-term EE in early  
3458 life. Many other behavioral studies that are associated with hippocampus and



3459 mPFC can be conducted to determine the effect of short-term stress and EE in  
3460 early life on these behaviors. Candidate based approach can be used to determine  
3461 the molecular role players in other regions of the brain in my model. Thus, the EE  
3462 and MSEE paradigms used in my study could be a potential resilient model of  
3463 early life. An interesting follow-up from my study would be experiments focusing  
3464 on the dams that were exposed to EE. Future epigenetics, molecular studies and  
3465 functional studies of neurons of animals used in this study will be an exciting area  
3466 to explore. It would be fascinating to investigate the behavior of the mothers in all  
3467 the different groups used in this study. The licking/grooming pattern of all the four  
3468 groups would be contributing to the results we have reported in this study. Another  
3469 exciting question would be, are the “pro-resilient feature/maternal care” patterns  
3470 seen in all the groups in our study transgenerational?

3471 **Summary:**

3472 I showed that early life enriched environment, concomitant to maternal separation  
3473 stress has the potential to ameliorate much of the detrimental effects of MS on  
3474 adult behavior, physiology, amygdala morphology and underlying molecular  
3475 players, mainly the glucocorticoid receptor.

## 3476 **Chapter 6**

### 3477 **6. Supplementary Experiments (suggested by Thesis Advisory** 3478 **Committee)**

#### 3479 **Initial Experiments**

##### 3480 **Introduction**

3481 This chapter focuses on the initial approaches taken to establish a potential animal  
3482 model for resilience. I aimed to take a closer look at the impact of different  
3483 paradigms during the early life of male rats; particularly on how early life  
3484 environment affects their behavior during adolescence and adulthood. A  
3485 comparative study using different models was devised to comprehensively  
3486 highlight the crucial factors that are characteristic of both vulnerability to stress  
3487 and resilience against stress. Additionally, I also aimed to investigate if short-term  
3488 EE exposure during early life has the potential to induce long-lasting resilience to  
3489 prepare an individual to maintain well-regulated levels of physiological parameters  
3490 and behavior (homeostasis).

3491 Impacts of different early life environment were investigated on the emotional  
3492 (affective) behaviors of adolescent and adult rats in this study. The different  
3493 environments to which the rats were exposed in early life were maternal separation  
3494 stress (MS), limited nesting (LN), and short-term environmental enrichment (EE).  
3495 Prior studies have showed that male rats, which experienced maternal separation  
3496 (MS) in the first two weeks of its life showed higher depressive like behavior in  
3497 the forced swimming test and had hyper sensitive HPA axis in response to  
3498 subsequent stressors during adulthood [339, 340]. Studies also showed that MS

3499 produced significant defects in learning tasks, both in the Morris water maze and  
3500 in the novel object recognition test [341]. Similarly, behavioral changes of  
3501 increased escape latency in the Morris water maze test and inability to distinguish  
3502 the novel from a familiar object in the object recognition test was seen in rats  
3503 subjected to limited nesting, during first two weeks of life [342]. Apart from  
3504 behavioral abnormalities, physiological variations like elevated plasma  
3505 corticosterone and increased adrenal weight were observed in limited nesting  
3506 model [252, 343]. In contrast, EE enhanced memory function in various learning  
3507 tasks in rodents [344]. Adult mice performed better in a spatial memory task  
3508 (water maze task) after exposure to EE paradigm than controls in standard housing  
3509 cage [204]. Previous literature shows that EE had beneficial effects on cognition  
3510 accompanied by active coping mechanisms in rodents [168]. Mice exposed to  
3511 long-term EE in early life displayed higher propensity to interact socially [345].  
3512 These evidences indicate that stressful early life environment has harmful effects  
3513 on physiology and behavior of adult rats while EE imparts positive and well-  
3514 adaptive features to the rats and their physiology and behavior. Though numerous  
3515 studies had been done using EE in early life, no studies showed the impact of short  
3516 term EE in rats. This inspired me to study varying environments during early life  
3517 including early life stress and EE.

3518 Various studies have demonstrated that a single episode (acute) or repeated  
3519 episodes (chronic) of separation between mother and pups in rodents lead to both  
3520 acute and long-term effects on behavior and endocrine read out [137, 340, 346-  
3521 349]. When adult rats that previously underwent MS in early life were tested, they

3522 showed neuroendocrine and behavioral deficits similar to those seen in patients  
3523 with anxiety related disorders and depression [350-352]. 300-400% increase in  
3524 plasma corticosterone was observed in rat pups, when they were exposed to  
3525 maternal separation stress or any maternal care associated stress [339, 347]

3526 The limited nesting environment results in stress in the mother, promoting  
3527 fragmented care towards the pups due to harsh environment [252]. This aberrant  
3528 maternal behavior in response to impoverished conditions, induced chronic early-  
3529 life stress in the pups, evident from elevated plasma glucocorticoids and increased  
3530 adrenal weight, often associated with modest, transient reduction of weight gain in  
3531 the pups [252, 343]. So, this was another early life stress model with the presence  
3532 of mother but impoverished housing. LN was another stress paradigm that was used  
3533 in this study to investigate the impact of different kind of early life stress on  
3534 adulthood of male rats.

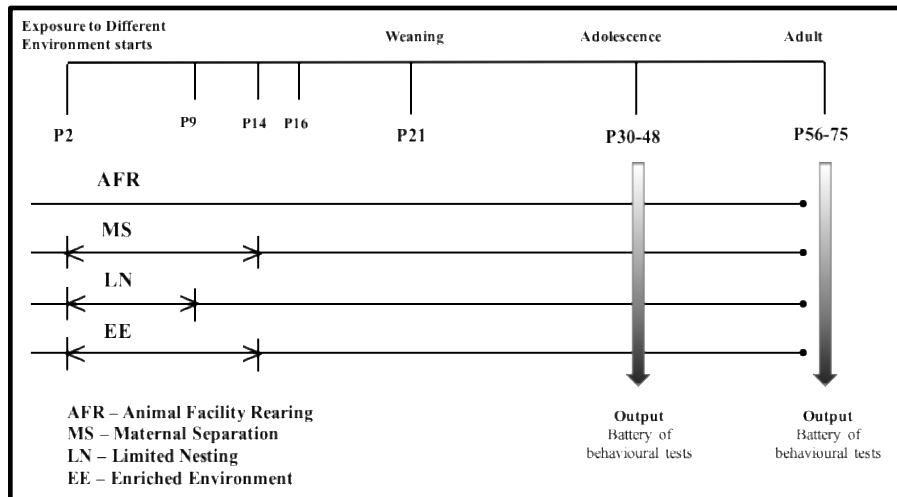
3535 Along with physiological variations due to exposure to LN, behavioral changes  
3536 like increased escape latency in the Morris Water Maze test and inability to  
3537 distinguish the novel object from a familiar object in the Object recognition test  
3538 was also seen in the pups in this model [342]. Dendritic atrophy of pyramidal cells  
3539 along with hippocampus mediated cognitive impairments was observed in rats  
3540 exposed to limited nesting during their early life [342]. Synaptic density decreased  
3541 in hippocampus and amygdala showed enhanced activity in rats that were exposed  
3542 to LN [353],[354].

3543 At this point we have discussed both early life stress and EE. In this study, “MS”  
3544 and ‘LN’ were the two early-life stress models in rodents that showed

3545 compromised effects in various studies. and “EE” was another treatment used in  
3546 early life to determine the beneficial effects of early life EE on adulthood in male  
3547 rats. These three paradigms were used to study physiology, behavior and dendritic  
3548 morphology during adulthood.

## 3549 **Experimental Plan**

3550 It was very intriguing to know how various environment affected the neuronal  
3551 development of an individual. MS was provided from postnatal day (PD) 2 to PD  
3552 14, EE was provided from P2- P16 and LN was provided from P2-P9 and all these  
3553 time points were adapted from previous studies [206, 339, 343]. Animal facility  
3554 rearing (AFR) group was considered as the control group without any treatment.  
3555 The treated rats were housed in AFR (neutral environment) after various treatment  
3556 till adulthood. This experimental paradigm was well planned to give a variety of  
3557 environment like positive environment (spacious and elevated sensory activity) in  
3558 the presence of mother (EE), stress in the absence of mother (MS) and stress  
3559 (impoverished conditions) in the presence of mother (LN). Various behavioral  
3560 parameters were quantified for all the groups. Our aim was to analyze the impact  
3561 of three differential early life environments on physiology and behavior of an  
3562 individual during adulthood. Please refer to Figure N for the schematic  
3563 representation of the experimental flow of this study.



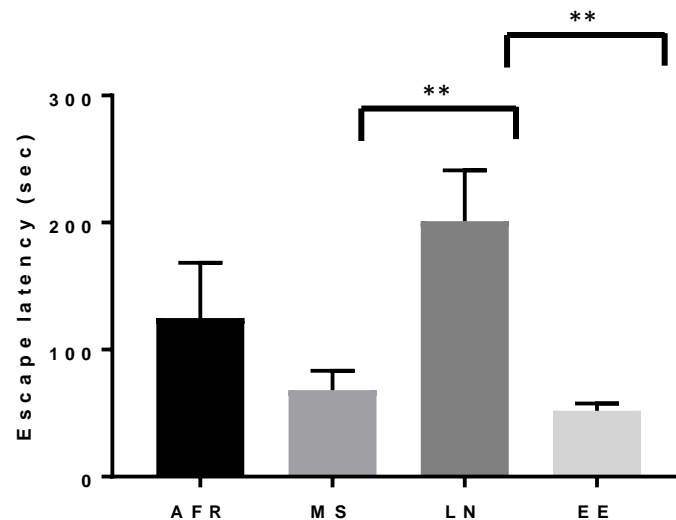
**Figure N: Schematic representation of experimental flow in this study.**

## Results

### A. Anxiety-like behavior

#### i) Home cage emergence in adolescent rats

In an attempt to examine the effect of different early life environment on the anxiety-like behavior of the adolescent rats (P30-48), they were tested for escape latency from home cage during their adolescent stage. Higher latency indicates less exploratory behaviour as it means that the rat spends more time to escape from the home cage. One-way ANOVA,  $F_{(3,27)} = 4.6$ ,  $p = 0.01$  revealed a significant increase in time to escape from the home cage in LN when compared to MS and EE groups. Thus, LN was most anxious when compared to MS and EE in adolescent animals (Figure N.1).



3579

3580 **Figure N.1: Effect of different early environment on escape latency from**

3581 **home cage in adolescent rats.** The graph represents escape latency for each group

3582 (mean ± SEM). LN group showed a significant increase in time to escape from the

3583 home cage in comparison to MS and EE groups. One-way ANOVA;  $F_{(3,27)} = 4.6$ ,

3584  $p=0.01$ ; LSD posthoc test; \*\*,  $p < 0.01$ .  $n=7$  for MS,  $n=8$  for AFR, LN, and EE.

3585 AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting

3586 and EE: Environmental Enrichment.

3587 ii) Home cage emergence in adult rats

3588 To examine the effect of different early environment on the anxiety-like behavior

3589 of the adult rats, they were tested for escape latency from home cage during their

3590 adulthood. One-way ANOVA;  $F_{(3,28)} = 3.2$ ,  $p < 0.051$  revealed a significant

3591 decrease in escape latency from home cage in MS rats when compared to AFR and

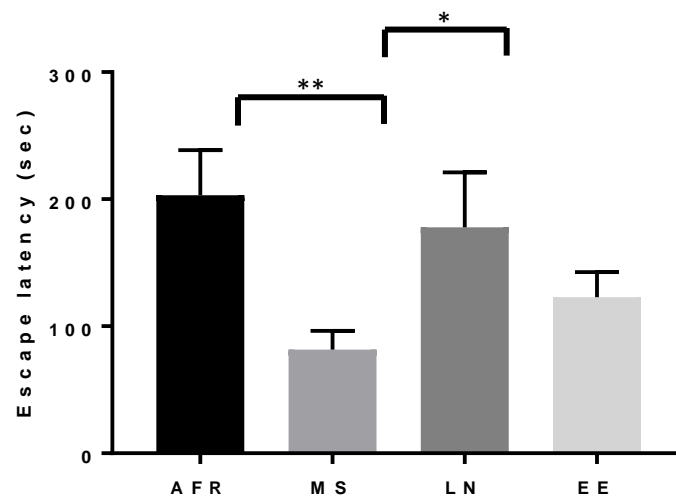
3592 LN groups. This data showed that MS was least anxious when compared to AFR

3593 and LN groups when tested during adulthood

3594

3595

3596



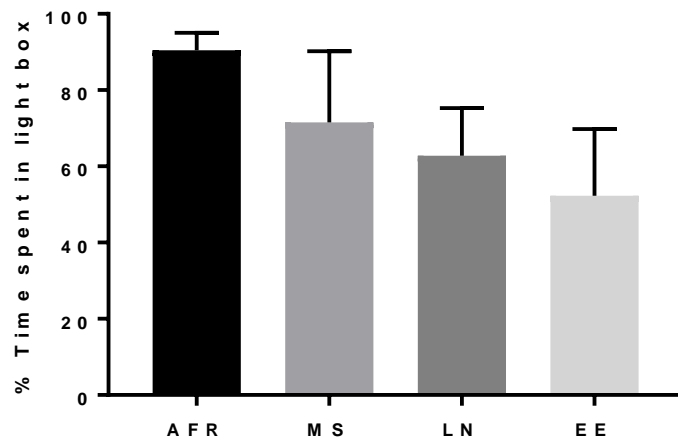
3597

3598 **Figure N.2: Effect of different early environment on escape latency from**  
3599 **home cage in adult rats.** The graph represents escape latency for each group  
3600 (mean  $\pm$  SEM). MS group showed a significant reduction in time to escape the  
3601 home cage in comparison to AFR and LN groups. One-way ANOVA;  $F_{(3,28)} = 3.2$ ,  
3602  $p < 0.05$  for differences between groups; LSD posthoc test; \* $p < 0.05$ , \*\* $p < 0.01$ ,  
3603  $n = 8/\text{group}$ . AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited  
3604 Nesting and EE: Environmental Enrichment.



iii) Light box exploration in adolescent rats

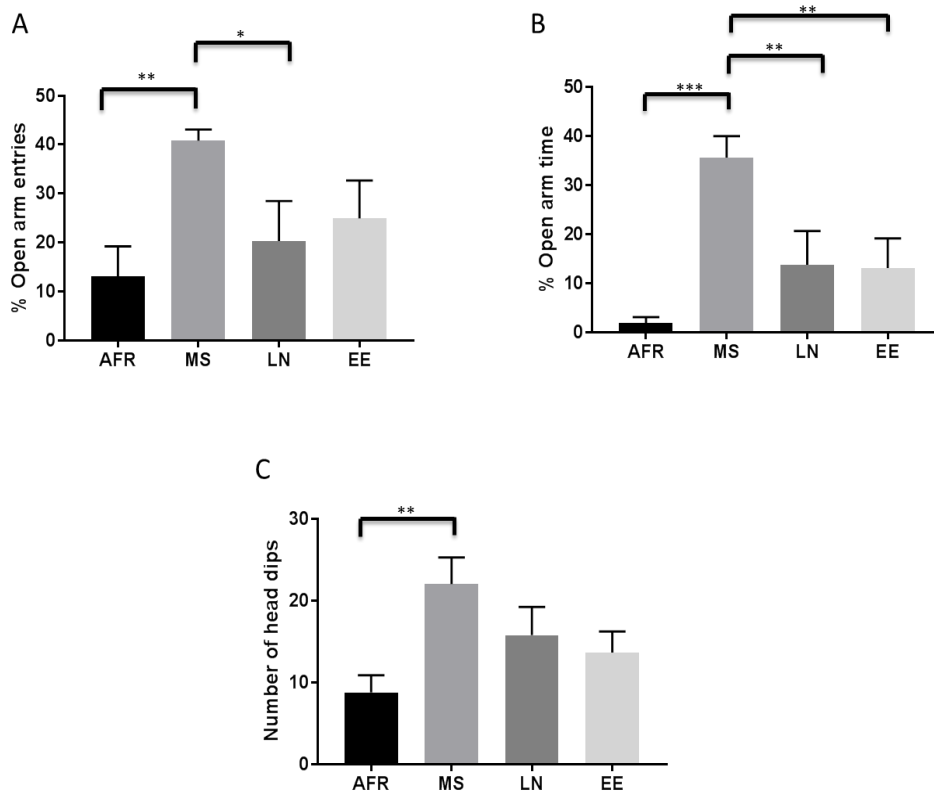
To examine the effect of different early environment on anxiety-like behavior during adolescence, we subjected the animals to the Light-dark box test. Higher %time spent in the light box indicates anxiolytic behavior as the rat approaches light box when compared to the dark box. No significant differences were observed between the groups in One-way ANOVA;  $F_{(3,27)} = 0.58$ ,  $p = 0.6$  (Fig.4). This result does not support the hypothesis that stressful early environment causes an increase in anxiety-like behavior during a later stage of life (Figure N.3).



**Figure N.3: Effect of different early environment on the anxiety of adolescent rats in the light-dark apparatus.** The graph represents percentage time spent in light compartment (mean  $\pm$  SEM). One-way ANOVA revealed no significant differences between the groups. AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.

iv) Anxiety-like behavior in adult rats.

To examine the effect of different early environment on anxiety-like behavior at adulthood, we subjected the animals to elevated plus maze (EPM). One-way ANOVA;  $F_{(3,28)} = 3.2$ ,  $p < 0.05$  revealed a significant increase in open arm entries in MS group when compared to AFR and LN groups (Figure N.4A). MS group showed a significant increase in open arm time in comparison with all other groups with One-way ANOVA;  $F_{(3,28)} = 6.9$ ,  $p = 0.001$  (Figure N.4B). One-way ANOVA;  $F_{(3,28)} = 3.6$ ,  $p < 0.05$  revealed that MS group showed a significant increase in a number of head-dips when compared to AFR group (Figure N.4C). These results indicate that MS had anxiolytic effect in adulthood when compared to other groups and risk assessment (no. of head dips) was significantly high in MS when compared to AFR.



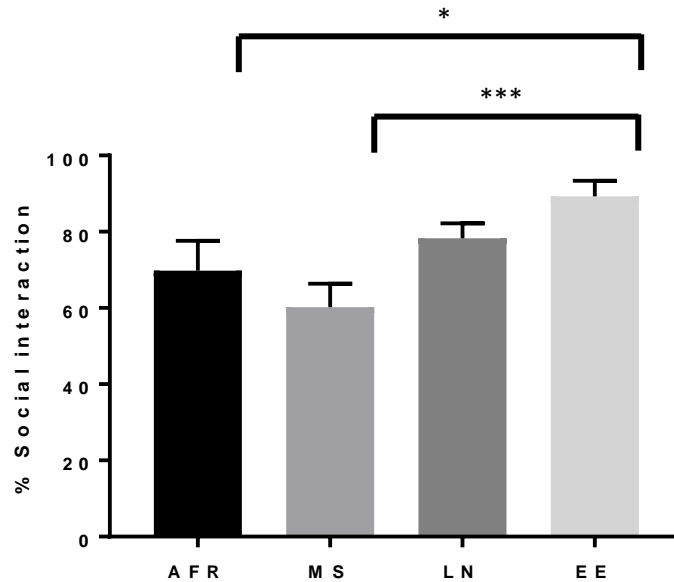
**Figure N.4: Effect of different early environment on anxiety in Elevated plus maze in adult rats.** **A)** The graph represents percent time of open arm entry for each group (Mean  $\pm$  SEM). MS group showed a significant increase in open arm entries in comparison with AFR and LN groups. One-way ANOVA;  $F_{(3,28)} = 3.2$ ,  $p < 0.05$  for differences between groups; LSD posthoc test;  $*p < 0.05$ ,  $**p \leq 0.01$ .  $n = 8/\text{group}$ . **B)** The graph represents percentage open arm time for each group (Mean  $\pm$  SEM). MS group showed a significant increase in open arm time in comparison with all other groups. One-way ANOVA;  $F_{(3,28)} = 6.9$ ,  $p = 0.001$  for differences between groups; LSD posthoc test;  $**P < 0.01$ ,  $***p \leq 0.001$ .  $n = 8/\text{group}$ . **C)** The graph represents a number of head-dips for each group (Mean  $\pm$  SEM). MS group showed a significant increase in a number of head-dips when compared to AFR group. One-way ANOVA;  $F_{(3,28)} = 3.6$ ,  $p < 0.05$  for differences between groups; LSD posthoc test;  $**p \leq 0.01$ .  $n = 8/\text{group}$ . AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.

## **B) Social Interaction**

### **i) Motivation for social exploration in adolescent rats**

To assess the effect of different early environment on sociability in adolescent stage, we performed a social preference test. Higher preference towards the stimulus animal in this indicates higher social interaction. One-way ANOVA;  $F_{(3,24)} = 5.0$ ,  $p = 0.008$  revealed a significantly high percentage time of social exploration in the EE group as compared to AFR and MS groups (Figure N.5).

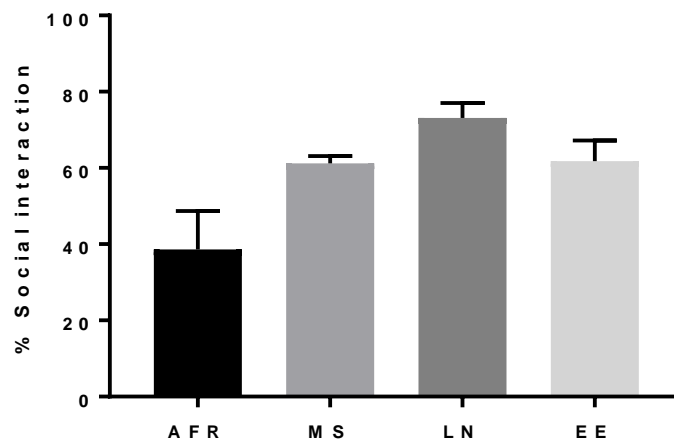
This result indicates that EE group had higher levels of social exploration when compared to two other groups.



**Figure N.5: Effect of different early environment on social preference test in adolescent rats.** The graph represents percent time of social interaction for each group. EE group (Mean  $\pm$  SEM) spent significantly most time in social interaction when compared to AFR and MS group. One-way ANOVA;  $F(3,24) = 5.0$ ,  $p = 0.008$  for differences between groups; LSD posthoc test;  $*p < 0.05$ ,  $***p \leq 0.001$ .  $n=7$  for AFR,  $n=7$  for MS,  $n=6$  for LN and  $n=8$  for EE. Each bar represents mean  $\pm$  SEM. AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.

ii) Motivation for social exploration in adult rats

To assess the effect of different early environment on sociability in adulthood, we performed a social preference test. No significant differences were observed between the groups in One-way ANOVA;  $F_{(3,21)} = 2.2$ ,  $p = 0.12$  (Figure N.6). These results do not suggest any conclusive data for social exploratory behavior in adults.

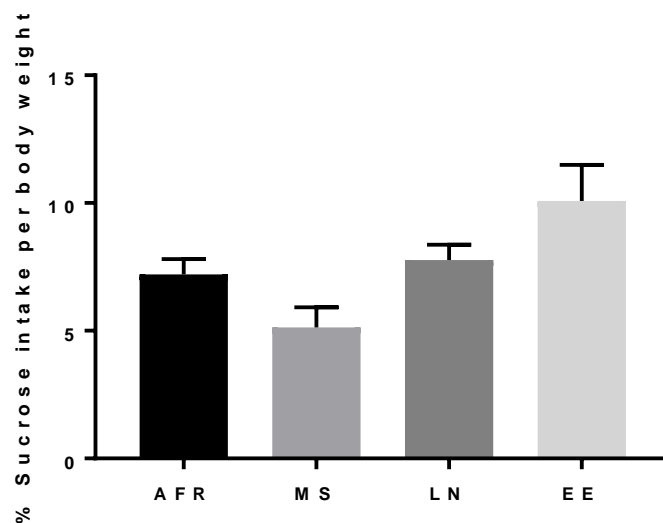


**Figure N.6: Effect of different early environment on social preference test in adult rats.** The graph represents percent time of social interaction for each group (mean  $\pm$  SEM). One-way ANOVA revealed no significant differences between the groups.  $n = 8/\text{group}$ . AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.

### C) Depression

#### i) Sucrose intake test in adolescent rats

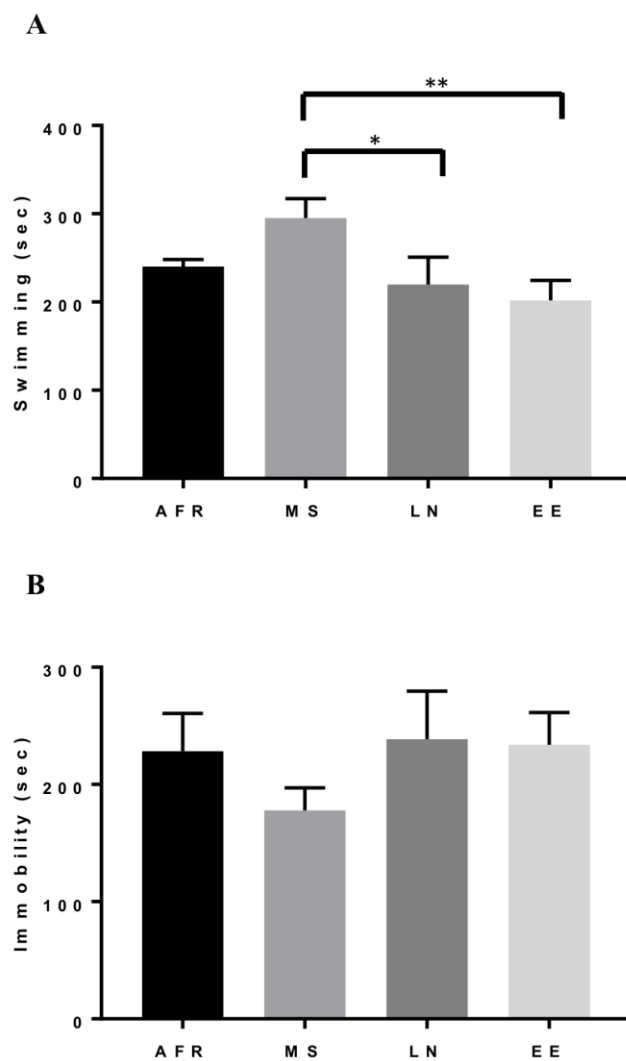
To evaluate the effect of different early environment on depressive like behavior in adolescent rats, we performed sucrose intake test. One-way ANOVA  $F(2,14) = 0.4$ ,  $p=0.71$  revealed no significant differences between the groups (Figure N.7). This result indicates that the early environment did not affect the depressive like behavior in adult rats.



**Figure N.7: Effect of different early environment on sucrose intake test in adolescent rats.** The graph represents percentage sucrose intake per body weight for all the groups (mean  $\pm$  SEM). One-way ANOVA revealed no significant differences between the groups.  $F(2,14) = 0.4$ ,  $p=0.71$ .  $n=6$  for AFR,  $n=7$  for LN,  $n=8$  for MS and EE. AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.

#### ii) Forced swim test in adult rats

To evaluate the effect of different early environment on depressive-like behavior in adult rats, we performed forced swim test. MS group spent significantly higher time in swimming when compared to LN and EE with One-way ANOVA;  $F_{(3,26)} = 3.1$ ,  $p = 0.04$  (Figure N.8A) suggesting active coping behavior in MS rats. Duration of immobility showed no significant difference between groups, One-way ANOVA;  $F_{(3,28)} = 0.82$ ,  $p = 0.49$  (Figure N.8B) showing that the different early life environment did not have any effect on the depressive-like behavior.



**Figure N.8: Effect of different early environment on forced swim test in adult rats.** **A)** The graph represents time spent in swimming for each group (Mean  $\pm$  SEM). MS group showed a significant increase in time of swimming when compared to LN and EE group. One-way ANOVA;  $F_{(3,26)} = 3.1$ ,  $p=0.04$  for differences between groups; LSD posthoc test;  $**p < 0.01$ ,  $*P \leq 0.05$ .  $n= 8/\text{group}$ . **B)** The graph represents the duration of immobility for each group (Mean  $\pm$  SEM). One-way ANOVA revealed no significant differences between the groups.  $n= 8/\text{group}$ . AFR: Animal Facility Rearing, MS: Maternal Separation, LN: Limited Nesting and EE: Environmental Enrichment.



## Discussion

In this study, the MS rats displayed affective (emotional) behaviour contradictory to the previous literature as most of MS studies have associated the model with compromised behavioural output. MS rats showed anxiolytic behaviour in HCE and EPM when compared to all other groups. MS rats showed improved risk assessment behaviour when compared to AFR and they also had better coping strategy in forced swim test suggesting less depressive-like behaviour. However, they showed impairment in social exploration. EE rats displayed higher social interaction compared to other groups. Overall behavioural results showed that different early life environment gave rise to distinct behavioural outputs, which is given in detail below.

Anxiety like behaviour was studied using Home cage emergence (HCE), Light dark box (LDB) and Elevated plus maze (EPM). HCE was used to test anxiety in both stages, LDB was used in adolescence and EPM was used in adulthood only. EPM was used only in adulthood to avoid carryover effect [355]. Earlier studies showed that stressed rats tend to take more time to leave their home cage (escape latency) due to anxiety compared to the control rats which take lesser time to escape [234]. In support, this study showed that rats exposed to LN during early life takes significantly longer time to escape the home cage compared to other groups in adolescent stage suggesting anxiogenic behaviour in them. HCE carried out in adulthood showed contradicting results wherein the MS rats had significant low escape latency compared to other groups. We infer that the various behavioural tests done in adolescent stage might have affected the adulthood behavioural analysis. A more likely reason for the

above-mentioned unexpected results was a construction project that took place right next door to the animal facility. All researchers involved in behavioural and endocrine related study reported a similar trend of unexpected results. This was rather unfortunate because when this issue was further examined, there were serious problems with the health status of the rats used in this study. Loss of excess fur, patches of skin without fur in the belly, bleeding nose, damaged skull, etc., were observed with various animals used in this study.

LDB is based on the innate aversion of rodents to brightly illuminated areas and on the spontaneous exploratory behaviour of rodents in response to mild stressors, mainly novel environment. This test was used to test anxiety in adolescent stage and anxiety was not affected between different treatments in LDB.

Adult rats were tested on EPM and the results suggested that MS rats were less anxious compared to AFR and LN rats. It was surprising to note that MS rats were displaying anxiolytic behaviour and the AFR rats had abnormally low basal levels of percentage open arm time and entries. Extant literature suggests that in EPM, the baseline value of percentage open arm time ranges from 15 to 30 and percentage open arm entries vary between 25-45 [355, 356]. This information indicated impairment in baseline values of control rats in our study, which might be influencing the unexpected results. Apart from anxiolytic behaviour MS rats showed significantly elevated head-dip score compared to AFR group suggesting higher risk assessing behaviour in MS rats. This unexpected anxiolytic behaviour in adult MS rats could have been also influenced by the battery of behavioural experiments conducted in adolescent

rats but the construction work was a more probable cause for the absurd and unexpected data.

More affective behaviours such as social exploration and depression were also tested in this study. Social interaction test was performed in adolescence and adulthood to study the social motivation in rats. Previous study has shown that stress causes decline in social exploration in rats where they are motivated to explore objects in comparison to unfamiliar conspecifics [241]. EE group had a significantly higher percentage of social exploration in adolescent rats when compared to AFR and MS groups but in adult rats, there was no significant difference in percentage social exploration between any groups. Enriched environment provides a complex exploratory, social, emotional and motor stimulation to the animals and also induces well adaptive behaviours [169]. In support to this, in our study, the enriching environment provided to the dam and pups during postnatal period, induced social motivation in their adolescence. Licking/grooming behaviour of dams provided positive effects that helps to regulate the development of endocrine, emotional and cognitive responses to stress in pups [172]. In our study, the dam was exposed to enriched environment and this could have resulted in her spending adequate amount of time licking and grooming the pups, which in turn could have helped the pups to grow into a socially active adolescence. EE paradigm in this study was administered for 16 days, which is different from the 21 day EE paradigm discussed earlier. This was to assess for EE effects till weaning period only.

In addition to other behaviours discussed above, I investigated depressive like behaviour in adolescent rats by performing sucrose intake test (SIT) and in adults; forced swim test (FST). Stressed rats have previously been found to

have lower consumption of saccharin or sucrose solutions which was referred to as anhedonia [357, 358]. There was no significant difference seen in the percentage of sucrose intake per body weight between the groups. FST is used to quantify immobility, climbing and swimming. Immobility corresponds to depressive like behaviour and there was no significant difference in this trait among the groups. Swimming was assessed in FST and suggested positive coping in response to inescapable shock [359]. Prior studies have shown that maternally separated mice display significantly shorter swim times on the forced swim test [360]. Our study showed that MS rats had higher swimming time when compared to LN and EE group of rats but not to the controls. As FST was the final experiment done in adulthood, all other behavioural tests done prior to it may have affected this behaviour.

Novel object recognition test (NORT) was used to examine the short-term memory of the rat. This test was performed in both adolescence and adulthood. Rodents have a tendency to explore the novel object more than a familiar object and this principle is used to test the memory and learning by evaluating the exploration time of novel and familiar objects [361]. Previous work showed that stress affects learning and memory that are mediated by the hippocampus [362]. Due to the analysis criteria, memory test performed in adolescence did not generate results but adulthood NORT data suggested that MS rats had significantly lesser time exploring novel object compared to all other groups.

The inconsistencies in behavioural results putatively could be due to the nature of this longitudinal study wherein adulthood behaviours were affected by an unfortunate event of construction right next to the animal house from the point

of breeding till adulthood. It had detrimental effects on the development and health status of the rats used in this study.

Due to the unforeseen results mentioned above, efforts were taken to re-plan and deduce a different experimental paradigm. Additionally, we imported the rats from Charles River, to avoid any concomitant health issue of rats during this time. The following chapters will focus on the revised experimental design.

## **Chapter 7**

### **7. MSEE 14**

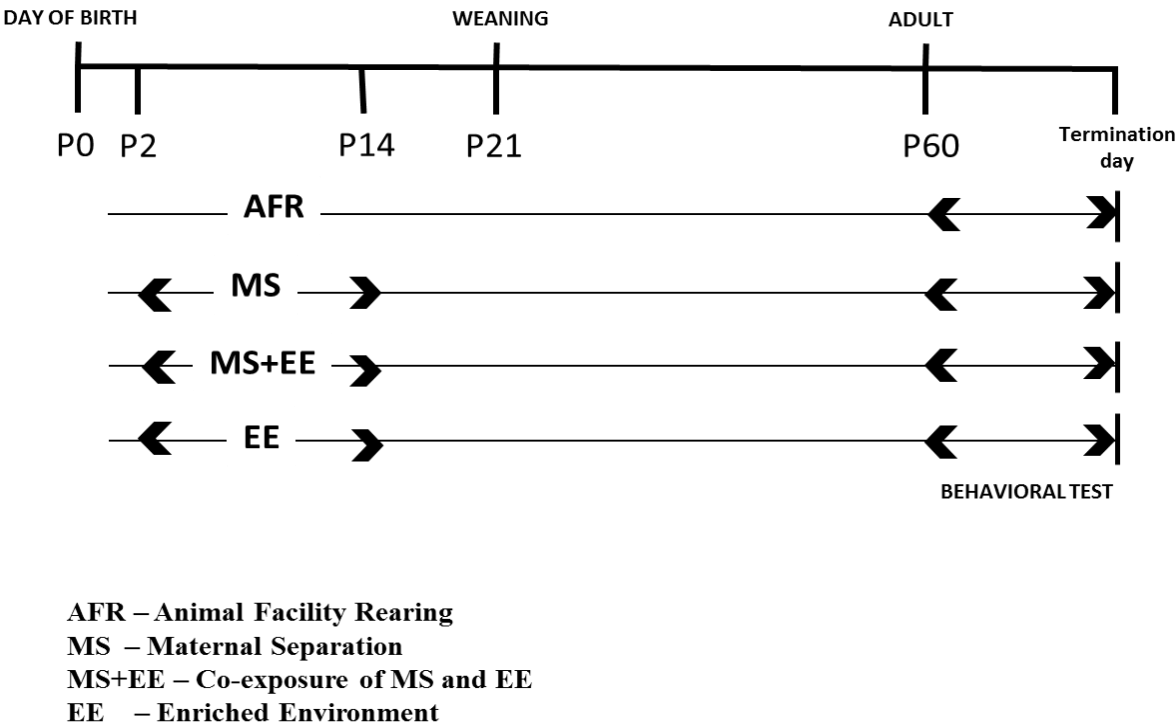
#### **Introduction**

As mentioned in earlier chapters, efforts were taken to build a revised early life model to understand stress and resilience in this critical period. Moving on from a longitudinal approach, we shifted the focus from exploring ‘the impact of differential treatments in early life’ on adolescence and adulthood to studying ‘the influence of EE and MS in early life’ on adulthood. MS is shown to have detrimental effects on adulthood, and my attempt was to investigate if EE could alleviate the negative repercussion of MS.

This chapter will focus on a stress-EE paradigm designed to establish a potential stress-resilience animal model. I aimed to take a closer look at the impact of concurrent EE and MS in the early life of male rats and particularly on how it affects their physiology, affective behavior, and dendritic arborization during adulthood.

This paradigm was devised to comprehensively highlight the crucial factors that are characteristic to both stress and resilience and also to investigate the role of short-term EE exposure during early life on long-lasting resilience effects that prepare an individual to maintain basal levels of physiology and behavior till adulthood. This experiment displayed interesting results discussed in this chapter but it was hypothesized that this stress-resilience model could be improvised to increase the potential of combinatorial effect of MS and EE. The improvised model was the main experiment of this thesis that was discussed in detail earlier. Nevertheless, I would like to present the results obtained from

this pilot study that helped us to build a better model. I believe the experiment itself was well planned and executed. Please refer to Figure F.1 for the schematic representation of the experimental flow of this study.



**Figure F.1: Schedule of experiments for MSEE 14 paradigm**

The figure above represents the experimental workflow for the MSEE paradigm. The rational for choosing a 14-day enrichment paradigm was because previous reports had shown that exposure to an enriched environment for 14 days was enough to reverse behavioral and physiologic effects of MS [87] and adult chronic stress [166]. Additionally, the maternal separation protocol typically lasts for 14 days (P2 to P16) [263]. Thus, we modeled the length of this EE paradigm based on previous reports and also to coincide with the duration of maternal stress exposure [77, 87]. In subsequent sections, I will present results and very briefly discuss these.

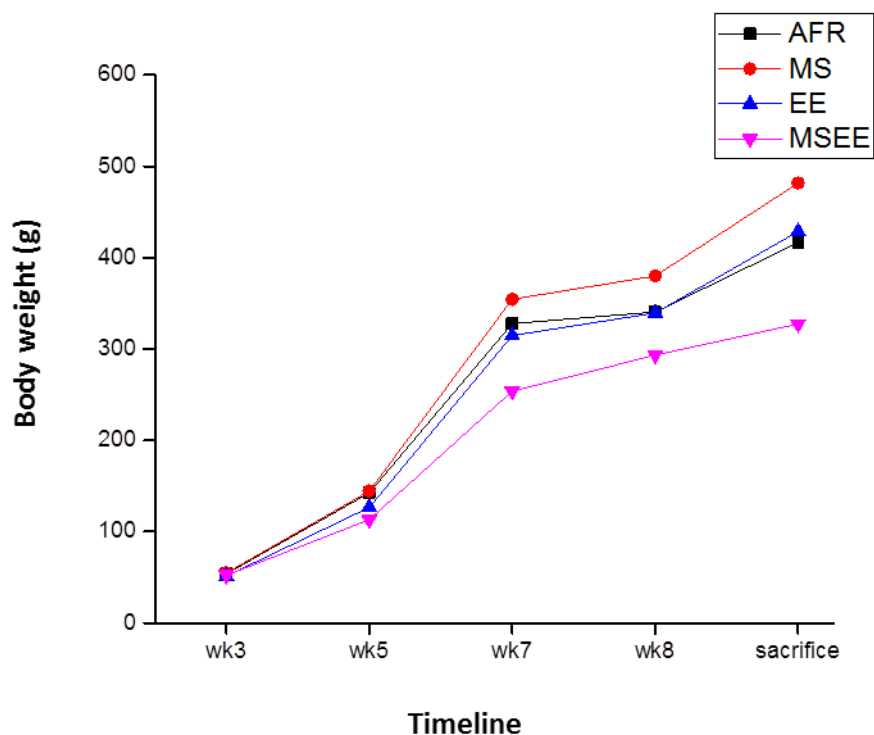
## Physiological Measurements

The effect of early life stress and enriched environment on body weight, adrenal weight, and plasma corticosterone levels were analysed in adulthood. The results of these physiological measurements are presented as a comparison between different experimental groups (AFR, MS EE, and MSEE).

Please note that AFR refers to animal facility rearing without maternal separation stress or environmental enrichment. MS refers to animal facility rearing with maternal separation stress but without environmental enrichment. EE refers to the enriched environment without maternal separation stress, and MSEE refers to enriched environment with maternal separation stress.

*Enrichment had a significant effect on body weight*

Body weight was measured at different time points (Figure F.2), namely: week 3, week 5, week 7, week 8 and at sacrifice.

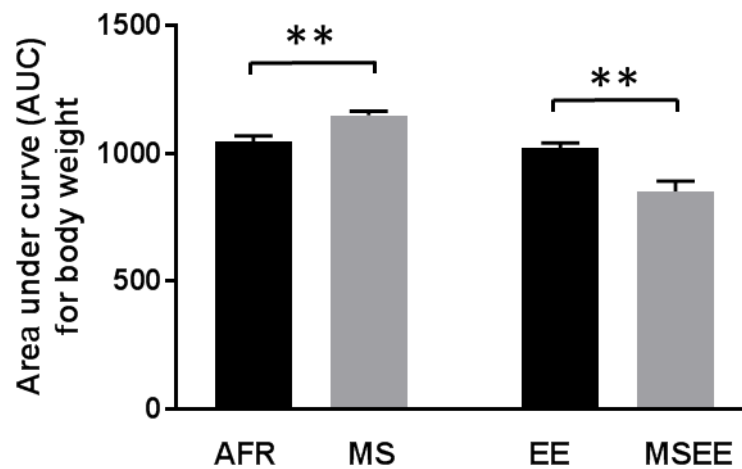




**Figure F.2: Mean weights of animals at different time points throughout the course of study for animals exposed to early life stress and enrichment.**

Further, a two-way ANOVA was conducted for body weight ‘area under curve’ (AUC) with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,27)} = 1.785$ ,  $p = 0.193$ ) on body weight did not reach statistical significance. However, the effect of EE ( $F_{(1,27)} = 38.754$ ,  $p = 0.00$ ) and the interaction between stress and EE ( $F_{(1,27)} = 24.818$ ,  $p = 0.00$ ) had a significant effect on body weight.

To analyze the effects of stress in absence or presence of the EE, orthogonal planned comparisons were conducted (independent samples t-tests). In absence of EE, stress significantly increased body weight ( $t_{13} = -3.587$ ,  $p < 0.00$ ). In the presence of EE, stress significantly decreased the body weight ( $t_{14} = 3.756$ ,  $p < 0.00$ ; Figure F.3).

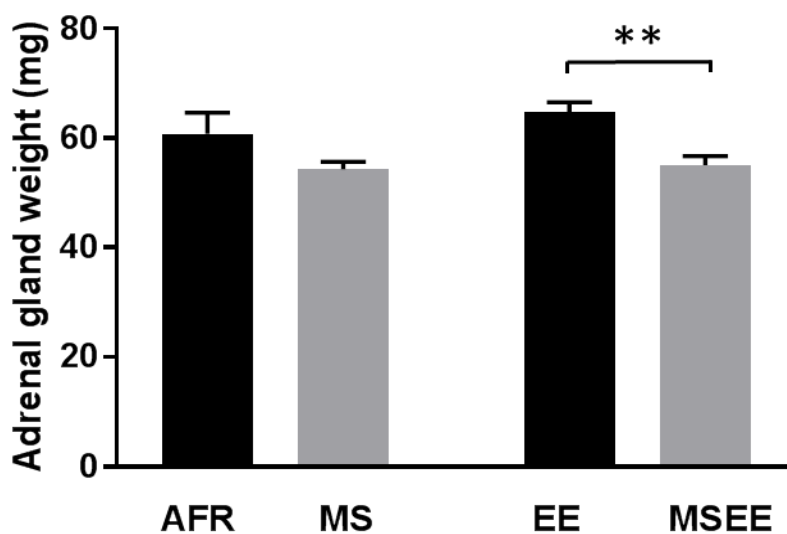


**Figure F.3: Effect of early life stress and EE on body weight:** stressed animals displayed lower body weight in the presence of EE. Graphs represent Mean  $\pm$  SEM. N = 7 for AFR, 8 for Stress, 8 for EE and 8 for MSEE; \*\* $p \leq 0.01$ .

*MSEE significantly decreased adrenal gland weights*

A two-way ANOVA was conducted for adrenal gland weight with stress and EE as two between subject sources of variance. Stress ( $F_{(1,25)} = 9.930$ ,  $p < 0.00$ ) had a significant main effect on adrenal gland weight. However, EE ( $F_{(1,25)} = 0.830$ ,  $p = 0.37$ ) and the interaction between stress and EE had a significant effect ( $F_{(1,25)} = 0.401$ ,  $p = 0.53$ ) on adrenal gland weights.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE, the effect of stress ( $t_{13} = 1.482$ ,  $p = 0.16$ ) on adrenal gland weights failed to reach statistical significance. However, in the presence of EE, the effect of stress ( $t_{12} = 3.850$ ,  $p < 0.01$ ; Figure F.4) significantly decreased the adrenal gland weights.



**Figure F.4: Effect of early life stress and EE on adrenal weight:** stress induced a significant decrease in adrenal weights in the presence of EE. Graphs represent Mean  $\pm$  SEM.  $N = 8$  for AFR, 7 for Stress, 7 for EE and 7 for MSEE; \*\* $p \leq 0.01$ .

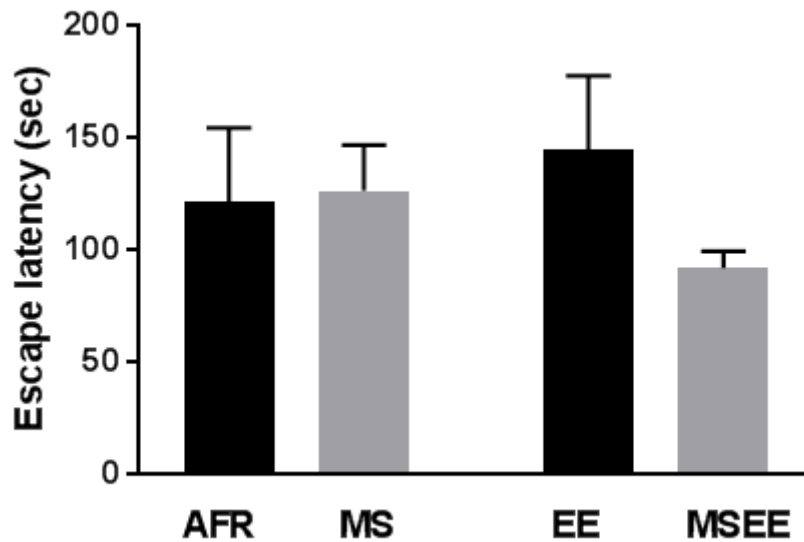
## **Behavioral assays**

The effect of early-life stress and enriched environment on behavior was tested in adult animals. For testing anxiety-like behavior, the home cage emergence test (HCE), the open field test (OFT) and the elevated plus maze (EPM) were employed. For testing social behavior, the social interaction test (SIT) was employed. To test depressive-like behavior, the forced swim test (FST) was used. The results of these behavioral endpoints are presented as a comparison between different experimental groups (control, stress, EE, and MSEE).

### *Stress and EE had no significant effect on escape latency in home cage emergence test*

A two-way ANOVA was conducted for escape latency in the home cage emergency test with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,27)} = 0.732$ ,  $p = 0.40$ ) and EE ( $F_{(1,27)} = 0.040$ ,  $p = 0.84$ ) on escape latency in home cage emergence test failed to reach statistical significance. Similarly, the interaction between stress and EE ( $F_{(1,27)} = 1.083$ ,  $p = 0.307$ ) on escape latency in home cage emergence test failed to reach statistical significance.

Orthogonal planned comparisons (independent samples t-tests) showed that in the absence of EE, ( $t_{13} = -0.127$ ,  $p = 0.90$ ) the effect of stress on escape latency in the home cage emergence test, failed to reach statistical significance. In the presence of EE ( $t_{14} = 1.379$ ,  $p = 0.19$ ; Figure F.5), the effect of stress on escape latency failed to reach statistical significance



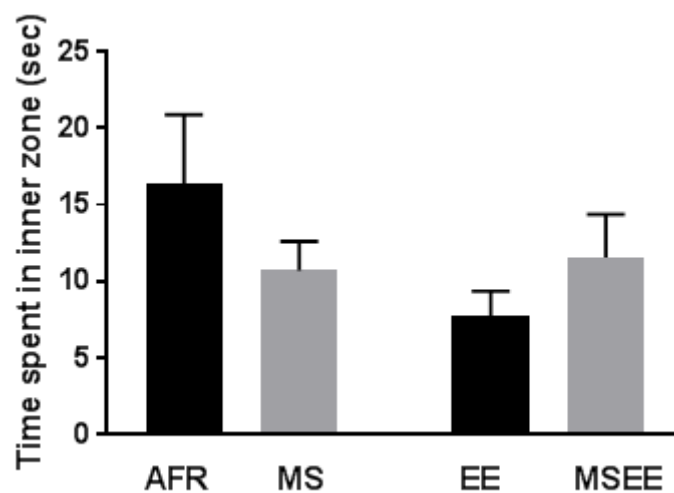
**Figure F.5: Effect of early life stress and EE on escape latency in HCE in adulthood:** Stress (in presence and absence of EE) had no significant effect on escape latency in home cage emergence test. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 7 for Stress, 9 for EE and 7 for MSEE.

*Stress did not alter the inner zone exploration in open field test*

A two-way ANOVA was conducted for inner zone exploration in the open field test with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,26)} = 0.093$ ,  $p = 0.763$ ) and EE ( $F_{(1,26)} = 1.663$ ,  $p = 0.21$ ) on time spent exploring the inner zone in the open field test failed to reach statistical significance. Similarly, the effect of the interaction between stress and EE ( $F_{(1,26)} = 2.473$ ,  $p = 0.13$ ) on time spent exploring the inner zone in the open field test failed to reach statistical significance.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE, the effect of stress on the time spent exploring the inner

zone in the open field test ( $t_{13} = 1.100$ ,  $p = 0.29$ ) failed to reach statistical significance. Similarly, the effect of stress on the time spent exploring the inner zone in the open field test in the presence of EE ( $t_{13} = -1.221$ ;  $p = 0.24$ ; Figure F.6) failed to reach statistical significance.



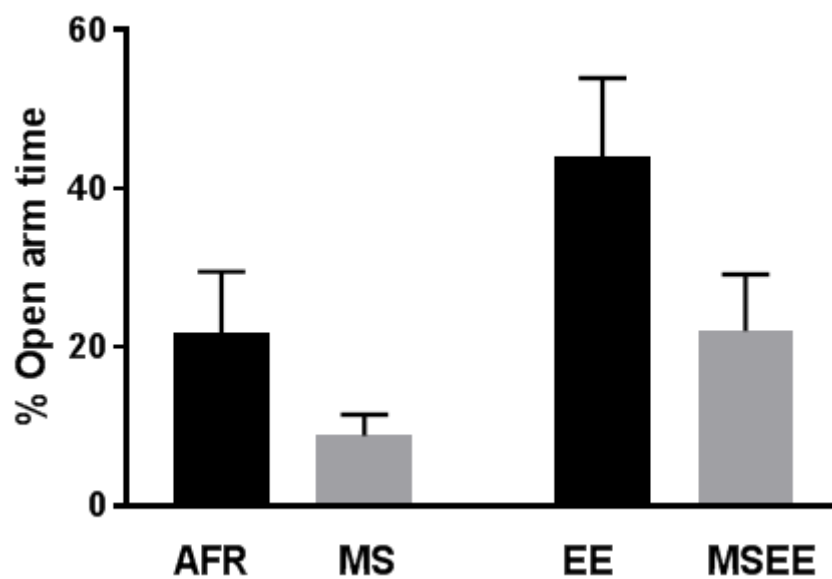
**Figure F.6 Effect of early life stress and EE on time spent in the inner zone of OFT:** stress significantly decreased the time spent exploring the inner zone in open field test in the absence of EE. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 7 for Stress, 8 for EE and 7 for MSEE.

*EE significantly increased and MS significantly reduced percentage open arm time in the EPM*

A two-way ANOVA was conducted for percentage open arm time in the elevated plus maze with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,25)} = 5.878$ ,  $p = 0.02$ ) and EE ( $F_{(1,25)} = 6.017$ ,  $p = 0.02$ ) had a significant main effect on the percentage time spent exploring the open arm of the elevated plus maze. However, the interaction between

stress and EE ( $F_{(1,25)} = 0.387$ ,  $p = 0.54$ ) on the percentage time spent exploring the open arm of the elevated plus maze failed to reach statistical significance.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE, the effect of stress on the percentage time spent exploring the open arm of the elevated plus maze ( $t_{13} = 1.518$ ,  $p = 0.15$ ) did not reach statistical significance. Similarly, in the presence of EE, the effect of stress on the percentage time spent exploring the open arm of the elevated plus maze ( $t_{12} = 1.860$ ,  $p = 0.09$ ; Figure F.7) did not reach statistical significance.

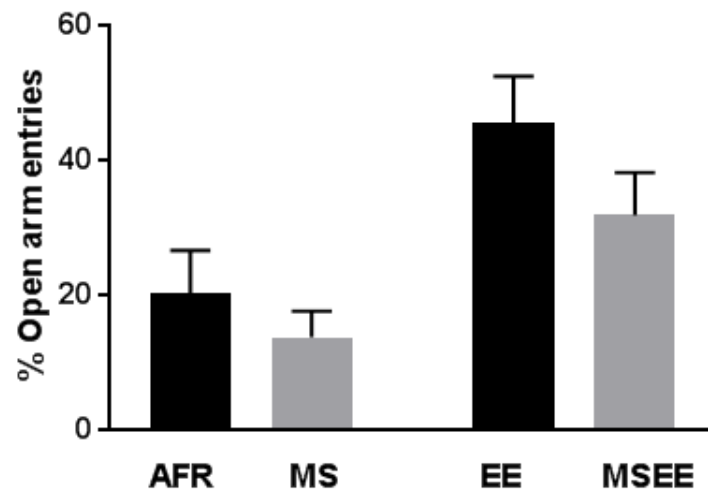


**Figure F.7: Effect of early life stress and EE on percentage open arm time in EPM in adulthood:** EE significantly increased and MS significantly decreased the percentage time spent exploring the open arm of the elevated plus maze in the presence of EE. Graphs represent Mean  $\pm$  SEM.  $N = 8$  for AFR, 7 for Stress, 6 for EE and 8 for MSEE.

*EE significantly increased percentage open arm entries in the EPM*

A two-way ANOVA was conducted for percentage open arm entries in the elevated plus maze with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,25)} = 2.748$ ,  $p = 0.11$ ) and the interaction between stress and EE ( $F_{(1,25)} = 0.349$ ,  $p = 0.56$ ) on the number of entries into the open arm of the elevated plus maze failed to reach statistical significance. However, EE ( $F_{(1,25)} = 12.743$ ,  $p < 0.00$ ) had a significant effect on the number of entries into the open arm of the elevated plus maze.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE, stress had no significant effect on the number of entries into the open arm of the elevated plus maze ( $t_{13} = 0.835$ ,  $p = 0.42$ ). Similarly, in the presence of EE, stress had no significant effect on the number of entries into the open arm of the elevated plus maze ( $t_{12} = 1.447$ ,  $p = 0.17$ ; Figure F.8).



**Figure F.8: Effect of early life stress and EE on percentage open-arm entries in EPM:** stress significantly increased the number of entries into the

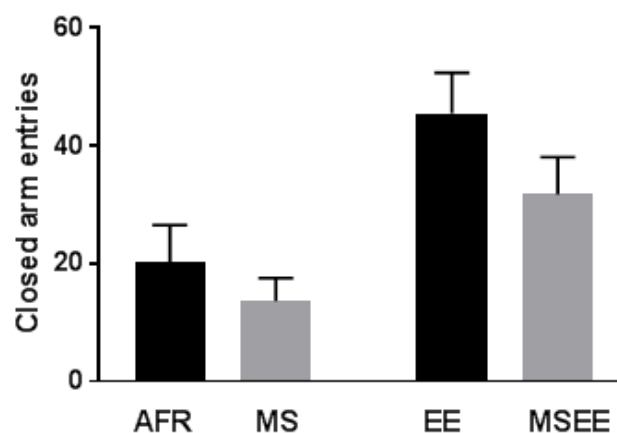


open arm of the elevated plus maze in the presence of EE. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 7 for Stress, 6 for EE and 8 for MSEE.

*EE increased closed arm entries in the elevated plus maze*

A two-way ANOVA was conducted for percentage closed arm time in the elevated plus maze with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,25)} = 3.426$ ,  $p = 0.08$ ) and the interaction between stress and EE ( $F_{(1,25)} = 0.7.4$ ,  $p = 0.41$ ) on the number of entries into the closed arm of the elevated plus maze failed to reach statistical significance. However, EE ( $F_{(1,25)} = 9.101$ ,  $p < 0.00$ ) had a significant main effect on the number of entries into the closed arm of the elevated plus maze.

Orthogonal planned comparisons (Independent samples t-tests) showed that in the absence ( $t_{13} = -0.728$ ,  $p = 0.48$ ) the effect of stress on the number of closed arm entries in the elevated plus maze failed to reach significance. Similarly, in the presence of EE ( $t_{12} = -1.873$ ,  $p = 0.09$ ; Figure F.9) the effect of stress on the number of closed arm entries in the elevated plus maze failed to reach significance.

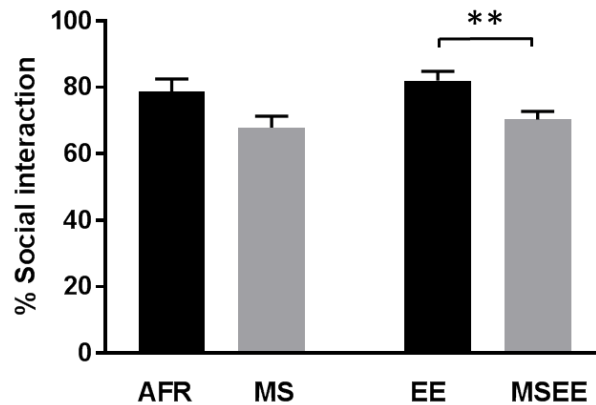


**Figure F.9: Effect of early life stress and EE on number of closed arm entries in EPM:** EE significantly increased the number of entries into the closed arm of the elevated plus maze in presence and absence of EE. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 7 for Stress, 6 for EE and 8 for MSEE.

*Stress in the presence of EE significantly decreased percentage social exploration in the SIT*

A two-way ANOVA was conducted for percentage total exploration in the social interaction test with stress and EE as two between subject sources of variance. The effect of EE ( $F_{(1,26)} = 0.779$ ,  $p = 0.39$ ) and the interaction between stress and EE ( $F_{(1,26)} = 0.021$ ,  $p = 0.89$ ) on percentage total exploration in the social interaction test failed to reach statistical significance. However, stress ( $F_{(1,26)} = 12.370$ ,  $p < 0.00$ ) had a significant main effect on the time spent interacting with the stimulus animal in the social interaction test.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE ( $t_{138} = 2.087$ ,  $p = 0.06$ ), stress had a marginal ( $p=0.06$ ) significant effect on percentage total exploration in the social interaction. However, in the presence of EE ( $t_{13} = 3.108$ ,  $p < 0.00$ ; Figure F.10) stress significantly decreased the time spent interacting with the stimulus animal in the social interaction test.

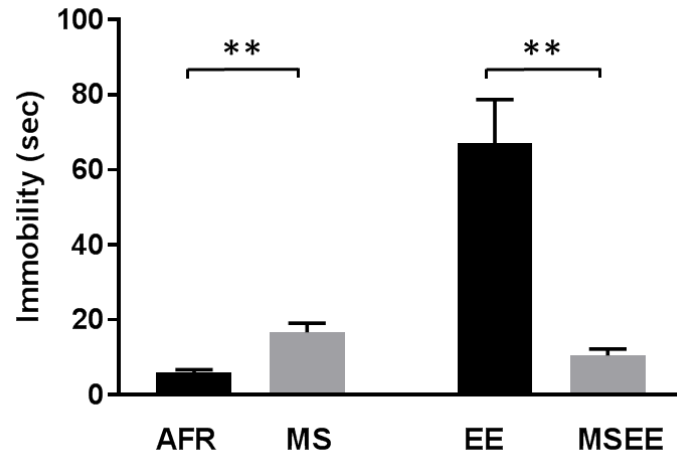


**Figure F.10: Effect of early life stress and EE on social exploration in social interaction test:** Stress significantly decreased the time spent interacting with the stimulus animal in the social interaction test in the presence of EE. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 7 for Stress, 7 for EE and 8 for MSEE; \*\* $p \leq 0.01$ .

*Stress alone significantly increased immobility in FST and stress in the presence of EE significantly decreased immobility in the FST*

A two-way ANOVA was conducted for immobility in the forced swim test with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,26)} = 9.694$ ,  $p < 0.00$ ) and EE ( $F_{(1,26)} = 14.095$ ,  $p < 0.00$ ) had a significant main effect on the immobility time in FST. Similarly, the interaction between stress and EE ( $F_{(1,26)} = 21.031$ ,  $p < 0.00$ ) also had a significant main effect on the immobility time in FST.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE ( $t_{12} = -4.582$ ,  $p < 0.01$ ), stress significantly increased immobility in the forced swim test. However, in the presence of EE ( $t_{14} = 4.188$ ,  $p < 0.00$ ; Figure F.11) stress significantly decreased the immobility time in FST.



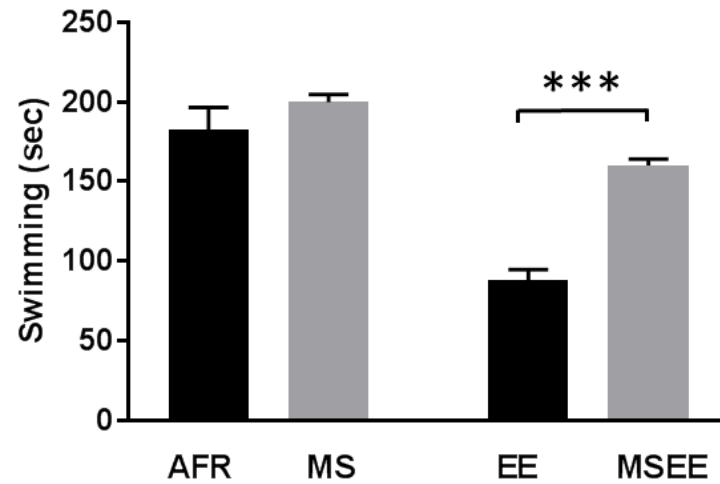
**Figure F.11: Effect of early life stress and EE on immobility in the forced swim test:** Stress alone significantly increased the immobility time in FST but stress in the presence of EE, decreased immobility time in FST. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 6 for Stress, 9 for EE and 7 for MSEE; \*\* $p \leq 0.01$ .

*Stress in the presence of EE significantly increased swimming in the FST*

A two-way ANOVA was conducted for swimming in the forced swim test with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,26)} = 24.527$ ,  $p < 0.00$ ) and EE ( $F_{(1,26)} = 56.028$ ,  $p < 0.00$ ) had a significant main effect on swimming time in FST. Similarly, the interaction between stress and EE ( $F_{(1,26)} = 9.306$ ,  $p < 0.00$ ) also had a significant main effect on the swimming time in FST.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE ( $t_{12} = -1.029$ ,  $p = 0.32$ ), the effect of stress on swimming in the forced swim test failed to reach significance. However, in the presence of

EE ( $t_{14} = -8.396$ ,  $p < 0.00$ ; Figure F.12) stress significantly increased the swimming time in FST.



**Figure F.12: Effect of early life stress and EE on swimming in the forced swim test:** Stress significantly increased the swimming time in FST in the presence of EE. Graphs represent Mean  $\pm$  SEM. N = 8 for AFR, 6 for Stress, 9 for EE and 7 for MSEE; \*\* $p \leq 0.01$ .

### Dendritic Morphology

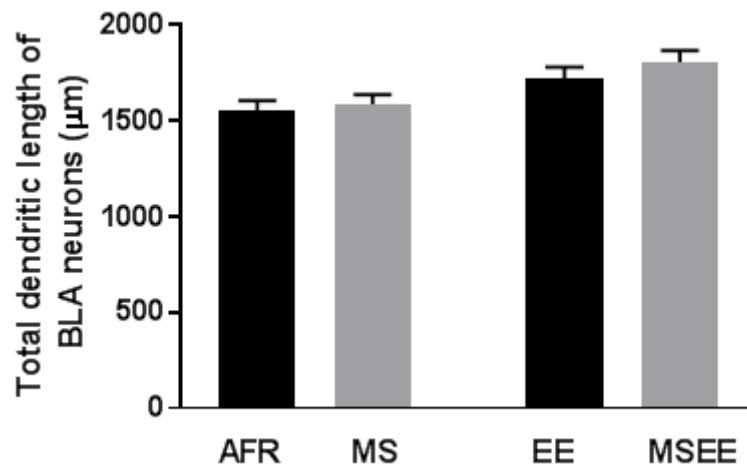
Stress is known to alter the dendritic morphology of neurons in the brain. We analyzed the effect of early-life stress and enriched environment on the dendritic morphology of neurons in the basolateral amygdala of animals assigned to each treatment group. The total dendritic length and a total number of branch points were determined for neurons in BLA, hippocampus and mPFC for all animals.

#### *EE induced increase in dendritic length of BLA neurons in the presence of EE*

A two-way ANOVA was conducted for dendritic length of BLA neurons with stress and EE as two between subject sources of variance. The effect of stress

( $F_{(1,234)} = 1.147$ ,  $p = 0.29$ ) and the interaction between stress and EE ( $F_{(1,234)} = 0.208$ ,  $p < 0.65$ ) on the total dendritic length of neurons in the basolateral amygdala did not reach statistical significance. However, the effect of EE ( $F_{(1,234)} = 11.437$ ,  $p < 0.00$ ) had a significant main effect on the total dendritic length of neurons in the basolateral amygdala.

Orthogonal planned comparisons (Independent samples t-tests) showed that, in the absence of EE ( $t_{120} = -0.471$ ,  $p = 0.64$ ), the effect of stress on the total dendritic length of neurons in the basolateral amygdala did not reach statistical significance. Similarly, in the presence of EE ( $t_{114} = -1.002$ ,  $p = 0.32$ ; Figure F.13) the effect of stress on the total dendritic length of neurons in the basolateral amygdala did not reach statistical significance.

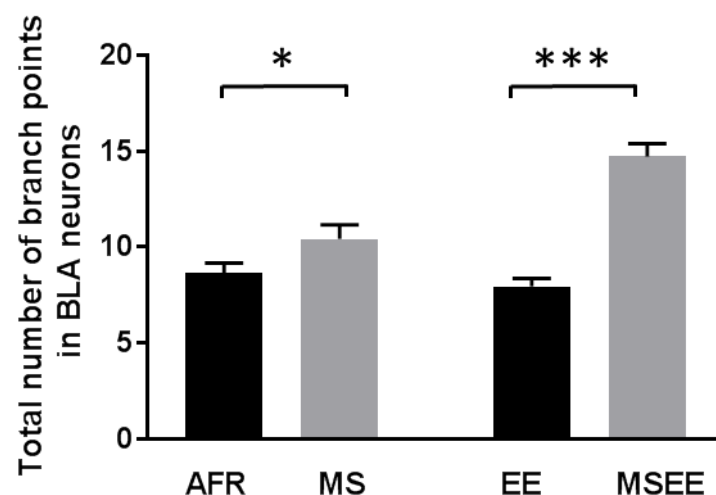


**Figure F.13: Effect of early life stress and EE on total dendritic length in the BLA neurons:** EE significantly increased the total dendritic length of neurons in the basolateral amygdala. Graphs represent Mean  $\pm$  SEM. N = 60 for AFR, 62 for Stress, 60 for EE and 56 for MSEE, 8-10 neurons/animal.

### *Stress induced increase in branch points in BLA neurons*

A two-way ANOVA was conducted for number of branch points in BLA neurons with stress and EE as two between subject sources of variance. The effect of stress ( $F_{(1,237)} = 64.035$ ,  $p < 0.00$ ), EE ( $F_{(1,237)} = 13.231$ ,  $p < 0.00$ ) and the interaction between stress and EE ( $F_{(1,237)} = 25.280$ ,  $p < 0.00$ ) had a significant effect on the total number of branch points of neurons in the basolateral amygdala.

Orthogonal planned comparisons (independent samples t-tests) showed that, in the absence of EE ( $t_{119} = -2.293$ ,  $p = 0.02$ ), stress significantly increased the total number of branch points of neurons in the basolateral amygdala. Similarly, in the presence of EE ( $t_{118} = -8.550$ ,  $p < 0.00$ ; Figure F.14) stress significantly increased the total number of branch points of neurons in the basolateral amygdala.



**Figure F.14: Effect of early life stress and EE on total number of branch points in BLA neurons:** Stress alone and in the presence of EE significantly increased the total number of branch points of neurons in the basolateral

amygdala. Graphs represent Mean  $\pm$  SEM. N = 79 for AFR, 79 for Stress, 60 for EE and 56 for MSEE, 8-10 neurons/animal.

## **Discussion**

In this chapter, the impact of early life MS, EE and MSEE was studied on physiology, behavior and dendritic morphology during adulthood. This study was designed before the 21-day treatment paradigm discussed as the major results in this thesis was designed. As mentioned earlier, I was curious to study the impact of concurrent exposure of MS and short-term EE during early life. At the onset, we did not know whether exposure to EE for 14 days or 21 days is more effective in inducing pro-resilient features in adulthood. A big difference is the availability of EE one week after treatment (14 days) pre-weaning stage. We detail it further towards the end of this section.

Similar to the result section of the main thesis; physiological, behavioural and morphological output was analysed in this section. To study the impact of early life stress and EE on physiology of adult of male rats, the adrenal glands were investigated, as it is responsible for the production of corticosterone in response to stressful stimuli. MS did not affect the adrenal glands weight, but in the presence of EE, MS decreased the adrenal glands weight. This showed that MSEE group behaved very differently compared to all other groups. Chronic stress is known to lead to an increase in body weight [253]. Additional to the weight adrenal glands, body weight also was studied. In our paradigm, we reported that stress caused a significant increase in body weight, which was already observed earlier [253]. It was also observed that MSEE caused a significant decrease in body weight. MSEE showed varying results when compared to other groups in this study.



Stress did not have any effect on behavioral output but EE induced anxiolytic behavior in EPM, which is comparable to previous literature [166]. The result that was unexpected is that the internal control parameter, closed arm entries has an EE main effect. EE group spent more time in the closed arm indicating the locomotion to be affected. The social exploration of the MSEE group was significantly lower than EE. Interestingly, MS has a significant increase in immobility time in FST which is in line with a previous study [359].

The neuronal morphology analysis showed that EE induced a significant increase in dendritic length in BLA neurons and MS caused a significant increase in branch points in BLA neurons. This is in line with previous experiments where stress induced hypertrophy in BLA neurons [7, 17, 21]. We got results in this paradigm, but the stress effects were not as profound as seen in the previous studies. The problems in this paradigm were,

- i) Locomotion (closed arm entries) being affected in EPM, which could be due to too much variation in environment before weaning of rats.
- ii) The dendritic length and branch points in the BLA neurons were contradicting each other.
- iii) Less pronounced pro-resilient features.

Keeping all these crucial pointers in mind, we took a step back to re-think this model and deduced ways to improve this paradigm. As mentioned earlier in previous chapters, BLA is crucial for understanding resilience and stress response. Hence, we looked at the developmental stage of the BLA and it is shown that until weaning, the amygdala is undergoing dynamic process of development [66]. Hence, the speculation was that, enrichment

might be important till the pups were weaned. This is mainly based on the idea that 14 days EE will not suffice for the whole period until weaning (till 21 days postnatal). Additionally, and most importantly, the change from EE cage to normal cage at 14-day time point most likely would have brought additional novelty stress for the nursing mother, which would have indirectly affected the pups in EE groups (both with and without MS). The pups or the dams might undergo stress soon after 14 days EE treatment when placed under standard housing conditions. Hence, we revised our 14 days model of EE to 21 days of EE to come up with potentially better model of resilience (main thesis, chapter 1-5).

## Chapter 8

### 8. References

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## **APPENDIX**

### **1. Golgi staining**

#### **1.1 Golgi-cox commercial kit: FD Rapid GolgiStain™ Kit.**

Block of fresh brain was incubated in a mixture of solution A and B (1:1, kit supply) for 24 hours. The solution was replaced with a fresh batch and incubated for 2 weeks for stain impregnation. The brains were equilibrated using solution C (kit supply) and cryosectioned at 100µm to obtain brain slices on gelatinised slides. The stain was further fully-developed and counter-stained with cresyl-violet by subjecting the sections to the following sequence of solutions:

1. Deionized water (2 min)
2. Working Solution: mixture of solution D (kit supply), E (kit supply) and deionised water (1:1:2) (10 min)
3. Deionised water (30s)
4. 95% Ethanol (1 min)
5. 100% Ethanol (1 min)
6. Xylene (1 min)
7. 100% Ethanol (1 min)
8. 95% Ethanol (1 min)
9. 70% Ethanol (1 min)
10. Deionized water (1 min)
11. Cresyl violet (6 min)
12. 10% Acetic acid (wash 5 times; 5 sec)
13. Water (dips)
14. 50% Ethanol (30s)
15. 70% Ethanol (30s)
16. 95% Ethanol (30s)
17. 100% Ethanol (2 min)

18. Xylene (30s)

19. Permount and close with coverslip (Fixation)

## 1.2 Cresyl Violet solution

1g of Cresyl Violet (Acros organics, Geel, Belgium) was dissolved in 400ml of deionized water and stirred for 1 hour. It was filtered using a 0.45  $\mu\text{m}$  filter and the pH was adjusted to 3.6 using 10% acetic acid.

## 2. Dendritic arborisation

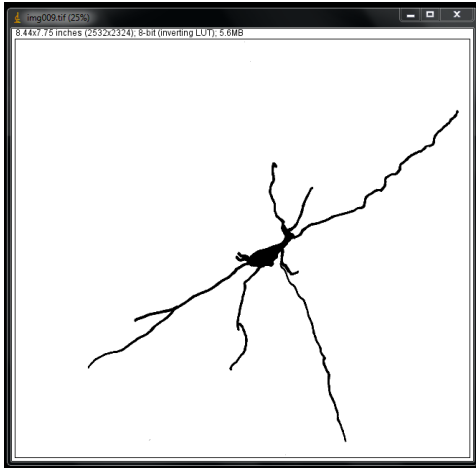
I scripted an ImageJ custom-designed routine for neuronal analysis in JAVA for the dendritic complexity analysis. In order to analyse branch points and total dendritic length via Sholl analysis, JAVA-based ImageJ macro was scripted for following the algorithm (Images represent the resultant execution of corresponding codes on ImageJ:

- i. Image of a neuronal trace (8-bit TIFF file) is opened on ImageJ and the scale is set to area of 1pixel=1 $\mu\text{m}^2$ .

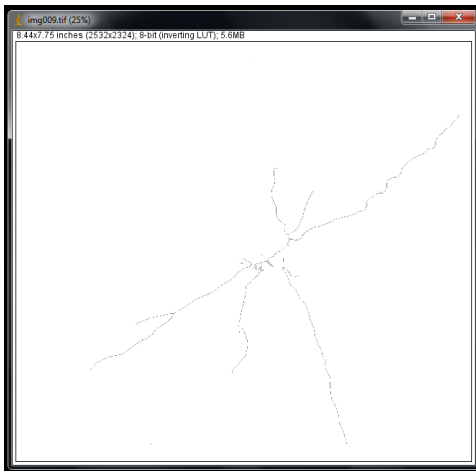




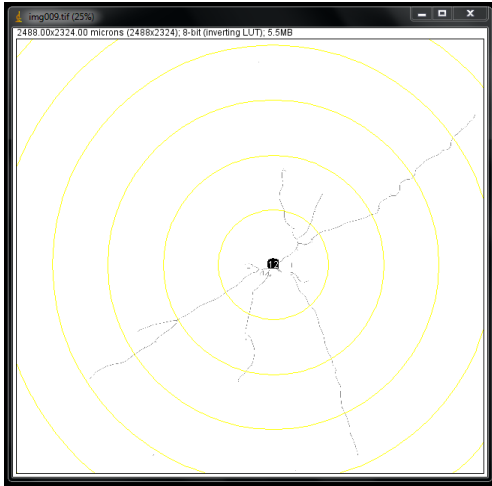
- ii. The threshold of image is adjusted automatically and digitized using binary option.



- iii. The image is skeletonised to a frame of singular pixels.



- iv. An overlay of concentric circular region of interests (ROI) increasing in radius (by  $50\mu\text{m}$ ), with soma as the centre, is formed by applying scaling-factor (determined through microscope).



- v. The area of pixels in each ROI is calculated by ImageJ. It is then convert into distance (segmental and total) dendritic length by multiplying it with the scaling factor. No. of branch points in each ROI is manually counted.

	Label	Area	Mean	Min	Max
1	img009.tif.d100	1869	255	255	255
2	img009.tif.d200	3472	255	255	255
3	img009.tif.d300	4636	255	255	255
4	img009.tif.d400	5415	255	255	255
5	img009.tif.d500	5565	255	255	255
6	img009.tif.d600	5565	255	255	255
7	img009.tif.d700	5565	255	255	255
8	img009.tif.d800	5565	255	255	255
9	img009.tif.d900	5565	255	255	255
10	img009.tif.d1000	5565	255	255	255
11	img009.tif.d1100	5565	255	255	255

JAVA code written for the macro:

---

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```
//all commented out lines of code are for user reference of initial processes

//run("Clear Results");
//roiManager("Delete");
run("Set Scale...", "distance=1 known=1 pixel=1 unit=micron");
setAutoThreshold("Default");
//run("Threshold...");
setAutoThreshold("Default");
//setThreshold(0, 135);
//run("Convert to Mask");
run("Close");
run("Make Binary");
//print ("Select centre");
//setTool("point");
//makePoint(1024, 1008);
getCursorLoc(x,y,z,flags);
print ("The centre is"+x+", "+y);
makePoint(x, y);
run("Add to Manager");
roiManager("Select", 0);
roiManager("Rename", "centre");
run("Skeletonize");
//incorporate scaling-factor for micron to pixel conversion (where s =
reciprocal of scaling factor);
s=5.885;
for(i=1; i<12; i++)
{
d=100*s*i;
roiManager("Select", 0);
//run("Specify...", "width=100*i height=100*i x=1024 y=1008 oval centered");
makeOval(x-0.5*d,y-0.5*d,d,d);
roiManager("Add");
roiManager("Select", i);
roiManager("Rename", "d"+i+"00");
roiManager("Select", i);
run("Analyze Particles...", "size=20-Infinity circularity=0.00-1.00
show=Nothing display");
//results saved as excel file wherein file location is provided by user in the
paranthesis;
saveAs("Results", "C:\\Program Files\\ImageJ\\results\\neuron1.xls");
}
}
```

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Note:  $s = \text{pixels/unit micron} = 5.885$  (in this case)

The scaling factor is the reciprocal of  $s$ , which is multiplied with the (total) dendritic length obtained as the result of the macro, which retrieves the final value in microns.

### **3. Paraformaldehyde (PFA) solution (4%, 1L)**

40g of 95% prilled PFA (Sigma-Aldrich, MO, USA) was dissolved in 1X phosphate buffer saline. 5M sodium hydroxide was used for catalysing solubility. The solution was filtered and pH was adjusted to 7.4 using 2M hydrochloric acid.

#### **3.1 Phosphate Buffer Saline (10X, 1L)**

80g Sodium Chloride, 2g Potassium Chloride, 14.4g disodium hydrogenphosphate, 2.4g Monopotassium phosphate in 1L (pH adjusted to 7.4)

## **4. Reagents for Immunofluorescence staining**

### **4.1 Blocking buffer**

5% Bovine serum albumin in 1X phosphate buffer saline (PBS).

### **4.2 Primary antibody buffer**

5% Bovine serum albumin, 0.1% Triton-X in 1X phosphate buffer saline (PBS).

### **4.3 Secondary antibody buffer**

5% Bovine serum albumin in 1X phosphate buffer saline (PBS).

### **4.4 DAPI solution**

DAPI solution (5mg/ml) was diluted to 1:1000 in 1X phosphate buffer saline (PBS) containing 5% Bovine serum albumin.

## **5. Reagents for Western blotting**

- Bovine Serum Albumin (BSA) (Biorad, USA, Cat No. 500-0007)
- Mammalian protein extraction reagent (Pierce, USA Cat No. 78503)
- Pico chemiluminescent substrate Kit (Pierce, Thermo Scientific, USA, Cat No.34080)
- Precision Plus Protein Standards Kaleidoscope (Bio-Rad, USA, Cat No. 161-0375)
- Protease inhibitor cocktail kit (Pierce, USA, Cat No. 78410)
- Protein assay kit (Bio-Rad, USA, Cat No. 5000002)
- Stripping buffer (Pierce, Thermo Scientific, USA, Cat No. 0021059)
- Tissue protein extraction reagent (Pierce, USA, Cat No. 78503)

### **5.1 10X TBS (pH 7.6; 1L)**

30g Tris base, 80g Sodium Chloride in deionized water (made upto 1000ml)

### **5.2 1X TBST**

10X TBS diluted to 1X with deionized water and 0.1% Tween-20.

### **5.3 5% blocking buffer (20ml)**

1g of non-fat dry milk powder was thoroughly dissolved in 20ml of 1X TBST

### **5.4 Antibody buffer (5% non-fat dry milk solution)**

250mg of milk powder was thoroughly dissolved in 5ml of 1X TBST and the required amount of stock antibody was replaced with the same amount of milk solution to attain the necessary dilution.

### 5.5 Stripping buffer (50ml)

- Stock: 3.78g Tris base was dissolved in 500ml deionized H<sub>2</sub>O (pH 6.7)
- Stripping buffer: 1g of SDS was added to 50 ml of stock and warmed up to dissolve it. On cooling back to room temperature, 350µl of β mercapto-ethanol was added just before use

### 5.7 Mini-PROTEAN TGX Precast Gel

### 5.7 Trans-Blot® Turbo™ Midi PVDF Transfer Pack

### 5.7 Chemiluminiscent substrate

Luminol was used as the chemiluminiscent substrate, commercially available as ECL select, G.E. healthcare, U.K. It consists of light sensitive luminol solution and peroxide solution which were mixed together (1:1) and applied over the protein impregnated membrane.

List of two-way ANOVA p values for qPCR and wester blot

#### A) qPCR table

Molecule	Stress	Enriched environment	Interaction
TrkB	0.27	0.2	0.84
Arc	0.79	0.17	0.93
Erk	0.29	0.33	0.27
MR	0.09	0.93	0.54

#### B) Western table

Molecule	Stress	Enriched	Interaction
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		environment	
TrkB	0.77	0.27	0.85
Arc	0.48	0.65	0.85

## LIST OF PUBLICATIONS

1. **Akshaya Hegde**, Poh Soh Yee, and Rupshi Mitra. "Dendritic Architecture of Principal Basolateral Amygdala Neurons Changes Congruently with Endocrine Response to Stress." *International journal of environmental research and public health* 14.7 (2017): 779.
2. **Akshaya Hegde**, Abdulai-Saiku, Samira, Ajai Vyas, and Rupshi Mitra. "Effects of stress or infection on rat behavior show robust reversals due to environmental disturbance." *F1000Research* 6 (2018).
3. Ashokan Archana, **Akshaya Hegde**, and Rupshi Mitra. "Short-term environmental enrichment is sufficient to counter stress-induced anxiety and associated structural and molecular plasticity in basolateral amygdala." *Psychoneuroendocrinology* 69 (2016): 189-196.
4. Archana Ashokan, **Akshaya Hegde**, Anushanthi Balasingham, Rupshi Mitra Housing environment influences stress-related hippocampal substrates and depression-like behavior." *Brain Research* (2018)