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#### Abstract

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# New Lower Bounds and Constructions for Binary Codes Correcting Asymmetric Errors 

Fang-Wei Fu, San Ling, and Chaoping Xing


#### Abstract

In this correspondence, we study binary asymmetric errorcorrecting codes. A general construction for binary asymmetric error-correcting codes is presented. We show that some previously known lower bounds for binary asymmetric error-correcting codes can be obtained from this general construction. Furthermore, some new lower bounds for binary asymmetric error-correcting codes are obtained from this general construction. These new lower bounds improve the existing ones.


Index Terms-Asymmetric error-correcting codes, code construction, lower bounds, polynomials.

## I. InTRODUCTION

Binary error-correcting codes are usually designed for communication systems modeled by the binary-symmetric channel. However, in certain communication systems, such as optical communications and some computer memory systems, the error probability from 1 to 0 is significantly higher than the error probability from 0 to 1 . These communication systems are modeled by the binary asymmetric channel (the Z-channel). Error-correcting codes for the binary asymmetric channel have been studied since the 1950s. There are a number of papers dedicated to the construction of good codes and the derivation of lower and upper bounds for the asymmetric error-correcting codes, see [1]-[33], [35], and references therein. Kløve [19] gave a unified account of errorcorrecting codes for the binary asymmetric channel.

For two binary $n$-tuples

$$
\boldsymbol{x}=\left(x_{1}, x_{2}, \ldots, x_{n}\right) \quad \text { and } \quad \boldsymbol{y}=\left(y_{1}, y_{2}, \ldots, y_{n}\right)
$$

the asymmetric distance between $\boldsymbol{x}$ and $\boldsymbol{y}$ is defined as

$$
d_{a}(\boldsymbol{x}, \boldsymbol{y})=\max \{N(\boldsymbol{x}, \boldsymbol{y}), N(\boldsymbol{y}, \boldsymbol{x})\}
$$

where

$$
N(\boldsymbol{x}, \boldsymbol{y})=\mid\left\{i: x_{i}=0 \text { and } y_{i}=1\right\} \mid .
$$

For a binary code $C \subseteq\{0,1\}^{n}$, the minimum asymmetric distance of $C$ is defined as

$$
\Delta(C)=\min \left\{d_{a}(\boldsymbol{x}, \boldsymbol{y}): \boldsymbol{x}, \boldsymbol{y} \in C \text { and } \boldsymbol{x} \neq \boldsymbol{y}\right\}
$$

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It was shown in [24] that a binary code $C$ can correct $t$ or fewer asymmetric errors (1-errors) if and only if $\Delta(C) \geq t+1$. A binary code of length $n$ and minimum asymmetric distance $\Delta$ is called a binary $(n, \Delta)$ asymmetric code. Let $\Gamma(n, \Delta)$ denote the maximum number of codewords in a binary code of length $n$ and minimum asymmetric distance $\Delta$. One of the fundamental research problems in the theory of asymmetric error-correcting codes is to determine $\Gamma(n, \Delta)$ or give good lower and upper bounds.

In this correspondence, we give a general construction and some new lower bounds for binary asymmetric error-correcting codes. This correspondence is organized as follows. In Section II, we present a general construction for binary asymmetric error-correcting codes by modifying Xing's construction of binary constant-weight codes (see [34]). In Section III, we first give a general lower bound on the sizes of the binary asymmetric error-correcting codes constructed in Section II. Then, we show that some previously known lower bounds for binary asymmetric error-correcting codes can be obtained from this general construction. Furthermore, some new lower bounds for binary asymmetric error-correcting codes are obtained from this general construction. These new lower bounds improve the existing ones.

## II. A General Construction

Xing [34] gave a construction of binary constant-weight codes. By modifying his method, we present a general construction for binary asymmetric error-correcting codes.

Let $\boldsymbol{F}_{q}$ be a finite field of $q$ elements, where $q$ is a prime power. For a monic polynomial $f(x) \in \boldsymbol{F}_{q}[x]$, consider the residue class ring

$$
R=\boldsymbol{F}_{q}[x] /(f(x))
$$

Actually, in the isomorphic meaning, here we can consider the residue class ring $R$ as

$$
R=\left\{g(x) \in \boldsymbol{F}_{q}[x]: \operatorname{deg}(g(x))<\operatorname{deg}(f(x))\right\}
$$

The addition and multiplication operations over $R$ are the polynomial addition and multiplication modulo $f(x)$.

Let $f(x)$ have the factorization

$$
f(x)=\prod_{i=1}^{k} p_{i}^{e_{i}}(x)
$$

where $p_{1}(x), \ldots, p_{k}(x)$ are distinct monic irreducible polynomials in $\boldsymbol{F}_{q}[x]$ and $e_{1}, \ldots, e_{k}$ are positive integers. It is known that all invertible polynomials of the ring $R$ form a multiplicative group, denoted by

$$
R^{*}=\left(\boldsymbol{F}_{q}[x] /(f(x))\right)^{*}
$$

It is a finite Abelian group and consists of all polynomials in $R$ which are co-prime to $f(x)$, that is,

$$
\begin{align*}
& R^{*}=\left\{g(x) \in \boldsymbol{F}_{q}[x]: \quad \operatorname{deg}(g(x))<\operatorname{deg}(f(x))\right. \\
&\text { and }(g(x), f(x))=1\} \tag{1}
\end{align*}
$$

The multiplication operation $\bigodot$ over $R^{*}$ is the polynomial multiplication modulo $f(x)$. Hence, this group contains exactly

$$
\Phi(f(x)) \triangleq \prod_{i=1}^{k}\left(q^{d_{i}}-1\right) q^{d_{i}\left(e_{i}-1\right)}
$$

elements, where $d_{i}$ is the degree of $p_{i}(x)$. It is obvious that the set $\boldsymbol{F}_{q}^{*}$ of all nonzero elements of $\boldsymbol{F}_{q}$ is a subgroup of $R^{*}$. The quotient group

$$
G=R^{*} / \boldsymbol{F}_{q}^{*}
$$

is a finite Abelian group with

$$
\Phi^{*}(f(x)) \triangleq \frac{1}{(q-1)} \Phi(f(x))=\frac{1}{(q-1)} \prod_{i=1}^{k}\left(q^{d_{i}}-1\right) q^{d_{i}\left(e_{i}-1\right)}
$$

elements. Actually, in the isomorphic meaning, here we can consider $G$ as the set of all monic polynomials of $R^{*}$, that is,

$$
\begin{align*}
& G=\left\{g(x) \in \boldsymbol{F}_{q}[x]: \quad \operatorname{deg}(g(x))<\operatorname{deg}(f(x)), g(x) \text { is monic },\right. \\
&\text { and }(g(x), f(x))=1\} . \tag{2}
\end{align*}
$$

The multiplication operation $\otimes$ over $G$ is given by

$$
a(x) \bigotimes b(x)=M(a(x) \bigodot b(x))
$$

where

$$
M(h(x))=h_{m}^{-1} h(x)
$$

for

$$
h(x)=h_{m} x^{m}+h_{m-1} x^{m-1}+\cdots+h_{1} x+h_{0} \in \boldsymbol{F}_{q}[x] .
$$

Here $h_{m} \neq 0$ is the leading coefficient of $h(x)$.
In the following, we use the quotient group $G$ to construct binary asymmetric error-correcting codes. For simplicity, we assume that the finite Abelian groups $\left(R^{*}, \odot\right)$ and $(G, \otimes)$ are given by (1) and (2), respectively.

Construction: Let $n$ and $d$ be two positive integers satisfying $n \leq q$ and $2 \leq d<n$. Let $f(x) \in \boldsymbol{F}_{q}[x]$ be a monic polynomial of degree $d$ such that there exist $n$ distinct elements $\alpha_{1}, \alpha_{2}, \ldots, \alpha_{n} \in \boldsymbol{F}_{q}$ with $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$. Then $\left(x-\alpha_{i}\right)$ is co-prime to $f(x)$ for $i=1,2, \ldots, n$. Hence,

$$
\left(x-\alpha_{i}\right) \in G, \quad i=1,2, \ldots, n .
$$

Consider the map

$$
\begin{gathered}
\Omega:\{0,1\}^{n} \rightarrow G \\
\left(c_{1}, c_{2}, \ldots, c_{n}\right) \mapsto \prod_{i=1}^{n} \bigotimes\left(x-\alpha_{i}\right)^{c_{i}} \in G .
\end{gathered}
$$

For every $g(x) \in G$, denote

$$
C_{g}=\Omega^{-1}(g(x))
$$

For every $g \in G$, if $C_{g} \neq \emptyset$, then $C_{g}$ is a binary $(n, \Delta \geq d)$ asymmetric code.

Proof of the Construction: For every $g \in G$, if $C_{g} \neq \emptyset$, we want to show that

$$
d_{a}(\boldsymbol{u}, \boldsymbol{v}) \geq d, \quad \boldsymbol{u}, \boldsymbol{v} \in C_{g} \text { and } \boldsymbol{u} \neq \boldsymbol{v}
$$

Let $\boldsymbol{u}=\left(u_{1}, u_{2}, \ldots, u_{n}\right)$ and $\boldsymbol{v}=\left(v_{1}, v_{2}, \ldots, v_{n}\right)$. Then

$$
\Omega(\boldsymbol{u})=\Omega(\boldsymbol{v})=g(x) \in G .
$$

Hence, the element $\Omega(\boldsymbol{u}) / \Omega(\boldsymbol{v})$ is the identity in $G$. This implies that in the group $R^{*}$, the element

$$
\frac{\Omega(\boldsymbol{u})}{\Omega(\boldsymbol{v})}=\frac{\prod_{i=1}^{n} \odot\left(x-\alpha_{i}\right)^{u_{i}}}{\prod_{i=1}^{n} \odot\left(x-\alpha_{i}\right)^{v_{i}}}
$$

is equal to a nonzero element $\beta$ of $\boldsymbol{F}_{q}^{*}$. Denote

$$
S=\left\{i: u_{i}=0 \text { and } v_{i}=1\right\}
$$

and

$$
T=\left\{i: u_{i}=1 \text { and } v_{i}=0\right\} .
$$

Then $S \bigcap T=\emptyset$, and either $S \neq \emptyset$ or $T \neq \emptyset$ since $\boldsymbol{u} \neq \boldsymbol{v}$. Furthermore

$$
|S|=N(\boldsymbol{u}, \boldsymbol{v}), \quad|T|=N(\boldsymbol{v}, \boldsymbol{u}) .
$$

It is easy to see that

$$
\frac{\Omega(\boldsymbol{u})}{\Omega(\boldsymbol{v})}=\frac{\prod_{i \in T} \odot\left(x-\alpha_{i}\right)}{\prod_{j \in S} \odot\left(x-\alpha_{j}\right)}=\beta
$$

in the group $R^{*}$. This is equivalent to the fact that $f(x)$ divides the polynomial

$$
A(x) \triangleq \prod_{i \in T}\left(x-\alpha_{i}\right)-\beta \prod_{i \in S}\left(x-\alpha_{i}\right) \in \boldsymbol{F}_{q}[x] .
$$

The roots of the polynomial $\prod_{i \in T}\left(x-\alpha_{i}\right)$ are $\alpha_{i}, i \in T$, and the roots of the polynomial $\beta \prod_{i \in S}\left(x-\alpha_{i}\right)$ are $\alpha_{i}, i \in S$. Since

$$
\left\{\alpha_{i}: i \in S\right\} \bigcap\left\{\alpha_{i}: i \in T\right\}=\emptyset
$$

and either $S \neq \emptyset$ or $T \neq \emptyset$, we have

$$
\prod_{i \in T}\left(x-\alpha_{i}\right) \neq \beta \prod_{i \in S}\left(x-\alpha_{i}\right) .
$$

Hence, $A(x) \neq 0$ and the degree of $A(x)$ is at most

$$
\max \{|S|,|T|\}=d_{a}(\boldsymbol{u}, \boldsymbol{v})
$$

Therefore,

$$
d_{a}(\boldsymbol{u}, \boldsymbol{v}) \geq \operatorname{deg}(f(x))=d
$$

This completes the proof.
For every $g \in G$, if $C_{g} \neq \emptyset, C_{g}$ is a binary $(n, \Delta \geq d)$ asymmetric code. Hence, $C_{g}$ can correct $d-1$ or fewer asymmetric errors (1-errors). Next we design a decoding method for the asymmetric error-correcting code $C_{g}$.

Decoding Algorithm: Assume that the received vector is

$$
\boldsymbol{y}=\left(y_{1}, y_{2}, \ldots, y_{n}\right) \in\{0,1\}^{n} .
$$

Calculate

$$
R_{\boldsymbol{y}}(x)=\prod_{i=1}^{n} \bigotimes\left(x-\alpha_{i}\right)^{y_{i}} \in G
$$

and

$$
E(x)=\frac{g(x)}{R_{\boldsymbol{y}}(x)} \in G \quad(\text { since } g \in G)
$$

To find the polynomial $E(x)$, we can use the Euclidean algorithm. Denote $l=\operatorname{deg}(E(x))$.
i) If $l=0$, that is, $E(x)=1$, then decode $\boldsymbol{y}$ into $\boldsymbol{y}$.
ii) If $0<l \leq d-1$ and $E(x)$ has $l$ distinct roots $\alpha_{i_{1}}, \alpha_{i_{2}}, \ldots, \alpha_{i_{l}}$, then decode $\boldsymbol{y}$ into $\boldsymbol{c}=\left(c_{1}, c_{2}, \ldots, c_{n}\right)$ where

$$
c_{j}= \begin{cases}y_{j}, & j \neq i_{1}, i_{2}, \ldots, i_{l} \\ y_{j} \oplus 1, & j=i_{1}, i_{2}, \ldots, i_{l} .\end{cases}
$$

iii) Otherwise, we declare that the decoding has failed.

Proof of the Decoding Algorithm: Suppose the codeword $\boldsymbol{c}=$ $\left(c_{1}, c_{2}, \ldots, c_{n}\right)$ is transmitted. Assume that errors occur in positions $i_{1}, i_{2}, \ldots, i_{l}$ where $0 \leq l \leq d-1$ and $1 \leq i_{1}<i_{2}<\cdots<i_{l} \leq n$. Then the received vector $\boldsymbol{y}$ is given by $\boldsymbol{y}=c$ for $l=0$ and $\boldsymbol{y}=$ $\left(y_{1}, y_{2}, \ldots, y_{n}\right)$ for $1 \leq l \leq d-1$ where

$$
\begin{array}{lr}
y_{j}=c_{j}, & \text { for } j \neq i_{1}, i_{2}, \ldots, i_{l} \\
y_{j}=0 \text { and } c_{j}=1, & \text { for } j=i_{1}, i_{2}, \ldots, i_{l} .
\end{array}
$$

Hence, if $l=0$, then

$$
R_{\boldsymbol{y}}(x)=R_{c}(x)=\prod_{j=1}^{n} \bigotimes\left(x-\alpha_{j}\right)^{c_{j}}=g(x) \in G
$$

and $E(x)=1$.
If $1 \leq l \leq d-1$, by the fact that $c_{j}=1$ for $j=i_{1}, i_{2}, \ldots, i_{l}$, we have

$$
R_{\boldsymbol{y}}(x)=\prod_{j=1}^{n} \bigotimes\left(x-\alpha_{j}\right)^{y_{j}}=\prod_{j \neq i_{1}, i_{2}, \ldots, i_{l}} \bigotimes\left(x-\alpha_{j}\right)^{c_{j}}
$$

and

$$
E(x)=\frac{g(x)}{R_{y}(x)}=\left(x-\alpha_{i_{1}}\right)\left(x-\alpha_{i_{2}}\right) \cdots\left(x-\alpha_{i_{l}}\right)
$$

Hence, $E(x)$ has $l$ distinct roots $\alpha_{i_{1}}, \alpha_{i_{2}}, \ldots, \alpha_{i_{l}}$. Therefore, we decode $\boldsymbol{y}$ into $\boldsymbol{c}$. This completes the proof.

## III. New Lower Bounds

From the general construction in Section II, we know that $C_{g}, g \in$ $G$ form a partition of $\{0,1\}^{n}$. Since $|G|=\Phi^{*}(f(x))$, we can find one element $\pi(x) \in G$ such that

$$
\left|C_{\pi}\right| \geq \frac{2^{n}}{\Phi^{*}(f(x))}
$$

Hence, we obtain the following result.
Theorem 1: Let $\boldsymbol{F}_{q}$ be a finite field of $q$ elements, where $q$ is a prime power. Let $n$ and $d$ be two positive integers satisfying $n \leq q$ and $2 \leq d<n$. Let $f(x) \in \boldsymbol{F}_{q}[x]$ be a monic polynomial of degree $d$ such that there exist $n$ distinct elements $\alpha_{1}, \alpha_{2}, \ldots, \alpha_{n} \in \boldsymbol{F}_{q}$ with $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$. Then there exists a binary $(n, \Delta \geq$ d) asymmetric code $C$ with size

$$
\begin{equation*}
|C| \geq \frac{2^{n}}{\Phi^{*}(f(x))} \tag{3}
\end{equation*}
$$

From the general construction in Section II, it is easy to see the following.

Corollary 1: With notations as in Section II, we have

$$
\begin{equation*}
\Gamma(n, \Delta) \geq \max _{g \in G}\left|C_{g}\right| \tag{4}
\end{equation*}
$$

Bound (4) is in general stronger than bound (3), but it is less explicit and requires more computation to determine.

Several lower bounds for binary asymmetric error-correcting codes were obtained by a discussion of Varshamov's constructions and their generalizations (see [17, Theorem 6.1] and [11], [12], [17], [29], and [31]). In this section, we first show that these previously known lower bounds for binary asymmetric error-correcting codes can also be
obtained from our general construction and Theorem 1. Furthermore, some new lower bounds for binary asymmetric error-correcting codes are obtained from Theorem 1. These new lower bounds improve on the existing ones.

Theorem 2: (see [19, Theorem 6.1])
i) If $n$ is a prime power, then for $d \geq 2$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{n^{d-1}+n^{d-2}+\cdots+n+1} . \tag{5}
\end{equation*}
$$

ii) If $n+1$ is a prime power, then for $d \geq 3$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)^{d-1}-1} \tag{6}
\end{equation*}
$$

iii) If $q$ is the least prime power satisfying $q \geq n+2$, then for $d \geq 3$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{q^{d-1}-q^{d-2}} \tag{7}
\end{equation*}
$$

Proof:
i) Let $q=n$ in Theorem 1 since $n$ is a prime power. Let

$$
\boldsymbol{F}_{q}=\left\{\alpha_{1}, \alpha_{2}, \ldots, \alpha_{q}\right\}
$$

and let $f(x) \in \boldsymbol{F}_{q}[x]$ be a monic irreducible polynomial of degree $d(d \geq 2)$. Then

$$
\Phi(f(x))=q^{d}-1
$$

and

$$
\Phi^{*}(f(x))=\frac{q^{d}-1}{q-1}=n^{d-1}+n^{d-2}+\cdots+n+1 .
$$

It is easy to see that $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$ since $f(x)$ is a monic irreducible polynomial of degree $d(d \geq 2)$. Hence, (5) follows from Theorem 1.
ii) Let $q=n+1$ in Theorem 1 since $n+1$ is a prime power. Let

$$
\boldsymbol{F}_{q}=\left\{0, \alpha_{1}, \alpha_{2}, \ldots, \alpha_{n}\right\}
$$

and let $f(x)=x f_{1}(x)$ where $f_{1}(x) \in \boldsymbol{F}_{q}[x]$ is a monic irreducible polynomial of degree $d-1$. Then

$$
\Phi(f(x))=(q-1)\left(q^{d-1}-1\right)
$$

and

$$
\Phi^{*}(f(x))=q^{d-1}-1=(n+1)^{d-1}-1
$$

It is easy to see that $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$ since $\alpha_{i} \in \boldsymbol{F}_{q}^{*}$ and $f_{1}(x)$ is a monic irreducible polynomial with degree $d-1 \geq 2$. Hence, (6) follows from Theorem 1.
iii) Since $q$ is the least prime power satisfying $q \geq n+2$, we can assume in Theorem 1 that

$$
\boldsymbol{F}_{q}=\left\{0,1, \alpha_{1}, \alpha_{2}, \ldots, \alpha_{n}, \ldots\right\} .
$$

Let $f(x)=x(x-1)^{d-1}$. Then

$$
\Phi(f(x))=(q-1)^{2} q^{d-2}
$$

and

$$
\Phi^{*}(f(x))=(q-1) q^{d-2}=q^{d-1}-q^{d-2}
$$

It is easy to see that $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$. Hence, (7) follows from Theorem 1.

Remark 1: As pointed out by one referee, Bose and Cunningham [9] presented a construction of binary asymmetric error-correcting codes if $n+1$ is a prime power. This construction yields the following lower bound:

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)^{d-1}} \tag{8}
\end{equation*}
$$

Note that bound (8) is slightly worse than bound (6). The referee observed that after redescribing the construction of Bose and Cunningham [9] in polynomial form it is somewhat similar to our construction here. We note that the construction of Bose and Cunningham is actually a special case of our general construction by taking $f(x)=x^{d}$ in the proof of Theorem 2 ii). Bound (8) follows from Theorem 1 by noting that $\Phi\left(x^{d}\right)=(q-1) q^{d-1}$ and $\Phi^{*}\left(x^{d}\right)=q^{d-1}=(n+1)^{d-1}$.

In the following theorem, we show that the lower bounds given by Theorem 2 can be generalized and improved by using Theorem 1. Note that the number of monic quadratic irreducible polynomials in $\boldsymbol{F}_{q}[x]$ is $q(q-1) / 2$.

## Theorem 3:

i) If $n$ is a prime power and $2 \leq d \leq n$, then

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{(n-1) 2^{n}}{\left(n^{2}-1\right)^{r}\left(n^{3}-1\right)^{s}} \tag{9}
\end{equation*}
$$

where $r$ and $s$ are the two unique nonnegative integers satisfying $d=2 r+3 s$ and $s \in\{0,1\}$.
ii) If $n$ is not a prime power, denote $m$ as the least positive integer such that $q=n+m$ is a prime power. If $2 \leq d \leq m$, then

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(q-1)^{d-1}} \tag{10}
\end{equation*}
$$

If $d>m$, then

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(q-1)^{m-1} q^{s^{\prime}}\left(q^{2}-1\right)^{r^{\prime}}} \tag{11}
\end{equation*}
$$

where $r^{\prime}$ and $s^{\prime}$ are the two unique nonnegative integers satisfying $d-m=2 r^{\prime}+s^{\prime}$ and $s^{\prime} \in\{0,1\}$.
Proof:
i) Let $q=n$ in Theorem 1 since $n$ is a prime power. Let

$$
\boldsymbol{F}_{q}=\left\{\alpha_{1}, \alpha_{2}, \ldots, \alpha_{q}\right\} .
$$

Since

$$
r \leq \frac{d}{2} \leq \frac{n}{2}=\frac{q}{2} \leq \frac{q(q-1)}{2}
$$

we can choose distinct monic quadratic irreducible polynomials

$$
p_{1}(x), p_{2}(x), \ldots, p_{r}(x)
$$

in $\boldsymbol{F}_{q}[x]$ and a monic cubic irreducible polynomials $p(x)$ in $\boldsymbol{F}_{q}[x]$. Let

$$
f(x)=p^{s}(x) \prod_{i=1}^{r} p_{i}(x)
$$

Then $\operatorname{deg}(f(x))=d$ and

$$
\begin{gathered}
\Phi(f(x))=\left(q^{2}-1\right)^{r}\left(q^{3}-1\right)^{s} \\
\Phi^{*}(f(x))=\frac{\left(q^{2}-1\right)^{r}\left(q^{3}-1\right)^{s}}{q-1}=\frac{\left(n^{2}-1\right)^{r}\left(n^{3}-1\right)^{s}}{n-1} .
\end{gathered}
$$

It is easy to see that $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$. Hence, by Theorem 1

$$
\Gamma(n, d) \geq \frac{(n-1) 2^{n}}{\left(n^{2}-1\right)^{r}\left(n^{3}-1\right)^{s}}
$$

ii) In Theorem 1, let

$$
\boldsymbol{F}_{q}=\left\{\beta_{1}, \beta_{2}, \ldots, \beta_{m}, \alpha_{1}, \alpha_{2}, \ldots, \alpha_{n}\right\} .
$$

If $2 \leq d \leq m$, let

$$
f(x)=\left(x-\beta_{1}\right)\left(x-\beta_{2}\right) \cdots\left(x-\beta_{d}\right)
$$

Then

$$
\Phi(f(x))=(q-1)^{d}, \quad \Phi^{*}(f(x))=(q-1)^{d-1}
$$

If $d>m$, by the fact that $d-m=2 r^{\prime}+s^{\prime}$, we have

$$
r^{\prime} \leq \frac{d}{2} \leq \frac{n}{2} \leq \frac{q}{2} \leq \frac{q(q-1)}{2}
$$

Hence, we can choose distinct monic quadratic irreducible polynomials

$$
p_{1}(x), p_{2}(x), \ldots, p_{r^{\prime}}(x)
$$

in $\boldsymbol{F}_{q}[x]$. Let

$$
f(x)=\left(x-\beta_{1}\right)^{1+s^{\prime}}\left(x-\beta_{2}\right) \cdots\left(x-\beta_{m}\right) \prod_{i=1}^{r^{\prime}} p_{i}(x)
$$

Then $\operatorname{deg}(f(x))=d$ and

$$
\begin{aligned}
\Phi(f(x)) & =(q-1)^{m} q^{s^{\prime}}\left(q^{2}-1\right)^{r^{\prime}} \\
\Phi^{*}(f(x)) & =(q-1)^{m-1} q^{s^{\prime}}\left(q^{2}-1\right)^{r^{\prime}}
\end{aligned}
$$

It is easy to see that $f\left(\alpha_{i}\right) \neq 0$ for all $i=1,2, \ldots, n$. Hence, by Theorem 1, we obtain (10) and (11).
The lower bound (9) in Theorem 3 is better than the lower bound (5) in Theorem 2. Note that for $d \geq 2$

$$
\left(n^{2}-1\right)^{r}\left(n^{3}-1\right)^{s} \leq n^{2 r+3 s}-1=n^{d}-1
$$

and the equality holds if and only if $d=2$ or 3 . Hence, for $d \geq 2$

$$
\frac{\left(n^{2}-1\right)^{r}\left(n^{3}-1\right)^{s}}{n-1} \leq n^{d-1}+n^{d-2}+\cdots+n+1
$$

and the equality holds if and only if $d=2$ or 3 .
The lower bound (9) in Theorem 3 can be rewritten in the following form. If $n$ is a prime power, then

$$
\begin{align*}
& \Gamma(n, d) \geq \frac{(n-1) 2^{n}}{\left(n^{2}-1\right)^{\frac{d}{2}}}, \quad d \text { even and } d \geq 2  \tag{12}\\
& \Gamma(n, d) \geq \frac{(n-1) 2^{n}}{\left(n^{2}-1\right)^{\frac{(d-3)}{2}}\left(n^{3}-1\right)}, \quad d \text { odd and } d \geq 3 \tag{13}
\end{align*}
$$

For two sequences $\{g(n)\}_{n=1}^{\infty}$ and $\{h(n)\}_{n=1}^{\infty}$, we say

$$
g(n)=O(h(n)), \quad \text { if } \lim _{n \rightarrow \infty} \frac{g(n)}{h(n)}=1
$$

By direct computation, it is not hard to see that
Bound (12) - Bound (5) $=O\left(\frac{d 2^{n-1}}{n^{d+1}}\right), \quad d$ even and $d \geq 4$
Bound (13) - Bound (5) $=O\left(\frac{(d-3) 2^{n-1}}{n^{d+1}}\right), \quad d$ odd and $d \geq 5$.
Let $m=1$ in Theorem 3 ii , then we obtain the following.
Corollary 2: If $n+1$ is a prime power, then for $d \geq 2$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)^{s}\left[(n+1)^{2}-1\right]^{r}} \tag{14}
\end{equation*}
$$

where $r$ and $s$ are the two unique nonnegative integers satisfying $d-$ $1=2 r+s$ and $s \in\{0,1\}$.

The lower bound (14) in Corollary 2 is better than the lower bound (6) in Theorem 2. Note that for $d \geq 3$

$$
(n+1)^{s}\left[(n+1)^{2}-1\right]^{r} \leq(n+1)^{2 r+s}-1=(n+1)^{d-1}-1
$$

and the equality holds if and only if $d=3$.
The lower bound (14) in Corollary 2 can be rewritten in the following form. If $n+1$ is a prime power, then

$$
\begin{array}{ll}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)\left[(n+1)^{2}-1\right]^{\frac{(d-2)}{2}}}, & d \text { even and } d \geq 2 \\
\Gamma(n, d) \geq \frac{2^{n}}{\left[(n+1)^{2}-1\right]^{\frac{(d-1)}{2}}}, & d \text { odd and } d \geq 3 \tag{16}
\end{array}
$$

By direct computation, it is not hard to see that

$$
\begin{aligned}
& \text { Bound (15) - Bound (6) }=O\left(\frac{(d-2) 2^{n-1}}{n^{d+1}}\right), \\
& \text { Bound (16) - Bound (6) }=O\left(\frac{(d-1) 2^{n-1}}{n^{d+1}}\right), \\
& d \text { odd and } d \geq 4 \\
& \text { Be5. }
\end{aligned}
$$

Let $m=2$ in Theorem 3 ii), then we obtain the following.
Corollary 3: If $n+2$ is a prime power, then for $d \geq 3$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)(n+2)^{s}\left[(n+2)^{2}-1\right]^{r}} \tag{17}
\end{equation*}
$$

where $r$ and $s$ are the two unique nonnegative integers satisfying $d-$ $2=2 r+s$ and $s \in\{0,1\}$.

The lower bound (17) in Corollary 3 is better than the lower bound (7) in Theorem 2. Note that for $d \geq 3$ and $q=n+2$

$$
q^{s}\left(q^{2}-1\right)^{r} \leq q^{2 r+s}=q^{d-2}
$$

and the equality holds if and only if $d=3$. Hence, for $d \geq 3$

$$
(q-1) q^{s}\left(q^{2}-1\right)^{r} \leq q^{d-1}-q^{d-2}
$$

and the equality holds if and only if $d=3$.
The lower bound (17) in Corollary 3 can be rewritten in the following form. If $n+2$ is a prime power, then for even $d$ and $d \geq 4$

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)\left[(n+2)^{2}-1\right]^{\frac{(d-2)}{2}}} \tag{18}
\end{equation*}
$$

and for odd $d$ and $d \geq 3$,

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)(n+2)\left[(n+2)^{2}-1\right]^{\frac{(d-3)}{2}}} \tag{19}
\end{equation*}
$$

Note that if $n+2$ is a prime power, the lower bound (7) in Theorem 2 is given by

$$
\begin{equation*}
\Gamma(n, d) \geq \frac{2^{n}}{(n+1)(n+2)^{d-2}}, \quad d \geq 3 \tag{20}
\end{equation*}
$$

By direct computation, it is not hard to see that for even $d$ and $d \geq 4$

$$
\text { Bound (18) - Bound }(20)=O\left(\frac{(d-2) 2^{n-1}}{n^{d+1}}\right)
$$

and for odd $d$ and $d \geq 5$

$$
\text { Bound (19) - Bound (20) }=O\left(\frac{(d-3) 2^{n-1}}{n^{d+1}}\right)
$$

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