Direct observation of multistep energy transfer in LHCII with fifth-order 3D electronic spectroscopy

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During photosynthesis, sunlight is efficiently captured by light-harvesting complexes, and the excitation energy is then funneled towards the reaction centre. These photosynthetic excitation energy transfer (EET) pathways are complex and proceed in a multistep fashion. Ultrafast two-dimensional electronic spectroscopy (2DES) is an important tool to study EET processes in photosynthetic complexes. However, the multistep EET processes can only be indirectly inferred by correlating different cross peaks from a series of 2DES spectra. Here we directly observe multistep EET processes in LHCII using ultrafast fifth-order three-dimensional electronic spectroscopy (3DES). We measure cross peaks in 3DES spectra of LHCII that directly indicate energy transfer from excitons in the chlorophyll *b* (Chl *b*) manifold to the low-energy level chlorophyll *a* (Chl *a*) via mid-level Chl *a* energy states. This new spectroscopic technique allows scientists to move a step towards mapping the complete complex EET processes in photosynthetic systems.

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A 2D electronic spectrum is presented in two frequency dimensions, which can be termed the excitation frequency and the emission frequency. Two-dimensional electronic spectroscopy (2DES)[1–3] measures a two-point correlation plot, and is an excellent tool to measure single-step excitation energy transfer (EET) processes as the appearance and evolution of off-diagonal cross peaks provide a direct observation of electronic coupling between the donor and acceptor pigment molecules, by reading off the corresponding frequencies on the excitation and emission axes, respectively[4–7]. However, multistep EET processes are only indirectly inferred from the 2DES measurements[8]. Since it is clear that complex multistep EET network is present in most light-harvesting complexes[9–12], higher-order multidimensional electronic spectroscopy will be needed to directly measure multistep EET processes.

Fifth-order 3D optical spectroscopy is an extension of third-order 2D optical spectroscopy[13–18]. Applications include the probing of higher states of vibrational systems in order to better characterize the anharmonicity [14,19], the testing of non-Markovian processes[20] and the characterizing of electron transfer systems[21].

In fifth-order 3D optical spectroscopy, a spectrum $S^{(5)}(\omega_1, \omega_3, \omega_5; t_2, t_4)$ with three frequency axes parametrized with two population times t_2 and t_4, is measured. A two-step EET process that proceeds from state A to state B over time t_2 and from state B to state C over time t_4 results in the emergence of a 3D cross peak $(\omega_1, \omega_3, \omega_5) = (\omega_A, \omega_B, \omega_C)$ on a 3D spectrum, where ω_A, ω_B and ω_C are the absorption frequencies of state A, B and C, respectively. In comparison, a conventional 2D spectrum is presented as $S^{(3)}(\omega_1, \omega_3; t_2)$ with a population time t_2. In addition, if the conventional 2D spectrum $S^{(3)}(\omega_1, \omega_3; t_2)$ is measured for a series of population times t_2 and Fourier transformed about t_2, one can obtain a data set $S^{(3)}(\omega_1, \omega_3; \omega_2)$. As there are three frequency axes involved, it has been commonly termed 3D spectroscopy as well[22,23]. This third-order 3D optical spectroscopy $S^{(3)}(\omega_1, \omega_3; \omega_2)$ is different from the fifth-order 3D optical spectroscopy $S^{(5)}(\omega_1, \omega_3, \omega_5; t_2, t_4)$, which is the subject of this article.

There are several other fifth-order multipulse nonlinear optical experiments such as the family of pump-dump-probe (PDP) and pump-repump-probe spectroscopies[24] and the multiple population-period transient spectroscopy[25]. In these experiments, the system interacts at two moments in time (separated by a first population period) with the light field. This is followed by a second population period before a transient absorption is obtained. Therefore these experiments give a transient spectrum as a function of the two population time $S^{(5)}(\omega_5; t_2, t_4)$. There is no frequency resolution (apart from the coarse resolution determined by the laser-pulse spectral bandwidth) for the two interactions. The fifth-order 3D optical spectroscopy described in this paper can be viewed as a general form of these fifth-order experiments. In addition to the two controllable population periods, fifth-order 3D optical spectroscopy has three coherence periods that give rise to three frequency dimensions. This gives the three-point frequency–frequency correlation as a function of two population times $S^{(5)}(\omega_1, \omega_3, \omega_5; t_2, t_4)$. Another kind of fifth-order optical experiment, 2D Raman spectroscopy was plagued with spurious signal arising from third-order cascading processes[26]. It has been shown in control tests performed for fifth-order resonant optical experiments (such as the fifth-order 3D electronic spectroscopy experiments discussed in this article) that there is no significant contamination from third-order cascading signals[15,19,27]. If for some systems or conditions that the cascading signals become significant, procedures are available to isolate the contaminant signals[28].

A fifth-order 3D optical spectroscopy experiment involves the control of up to six (including local oscillator pulse) phase-locked pulses and is extremely challenging. Purely absorptive fifth-order 3D optical spectroscopy was first demonstrated in the mid-infrared[29] and only recently did we first demonstrate a purely absorptive fifth-order 3D electronic spectroscopy in the visible (three-dimensional electronic spectroscopy (3DES)) based on a pulse shaping pump-probe setup[17,27].

Plant light-harvesting complex II (LHCII)—the most abundant membrane protein in nature, is responsible for the absorption of about half of the radiation used for plant photosynthesis and plays key roles in regulating the energy input to Photosystem II to optimize photosynthetic efficiency while avoiding damage[30,31].

In this article, we report the use of 3DES to directly observe two-step EET processes in LHCII. We observe 3D cross peaks that represent a two-step EET process from the chlorophyll b (Chl b) manifold to the low-energy level chlorophyll a (Chl a) via mid-level Chl a energy states. The population time dependence of the 3D cross peak amplitude corresponds well with corresponding 2DES measurements. We provide possible assignments of the observed spectral features based on published structure-based models.

Results

Fifth-order 3DES. In fifth-order 3DES, five laser pulses, with inter-pulse delay times t_1, t_2, t_3 and t_4, interact with the sample and a fifth-order optical signal is emitted at time t_5. The pulse sequence scheme is shown in Fig. 1a. The periods t_1, t_3 and t_5 are the coherence times where the excitons oscillate at their transition frequencies. The periods t_2 and t_4 are the population times, during which the population transfers between different excitons. The final coherence over t_5 results in an optical signal that is emitted in a phase-matched direction and can be measured by frequency-resolved heterodyne detection (along the ω_5 axis). At given population times t_2 and t_4, the experiment is scanned over the coherence periods t_1 and t_3. The data are processed and then Fourier transformed about coherence times t_1 and t_3 to obtain a 3D spectrum $S^{(5)}(\omega_1, \omega_3, \omega_5; t_2, t_4)$. The 3D cross peak feature that represents a two-step EET process that proceeds from state A to state B over time t_2 and from state B to state C over time t_4, can be outlined using the Liouville pathways diagrams (double-sided Feynmann diagrams)[32] in Fig. 1b. The labels for the processes R_1, R_2, R_3 and R_4 follow the convention of Hamm[13]. The shaded areas denote the population periods where EET processes proceed between the states separated by the dashed lines.

Linear spectroscopy and 2DES of LHCII. According to the crystallographic structure of LHCII[33], each monomer in the trimeric pigment–protein complex binds 14 chlorophylls (8 Chl a and 6 Chl b[33]). The 14 excitons are closely spaced, which makes it hard to elucidate the different timescales of every energy migration dynamics in the multistep EET processes. Henceforth we will adopt the more often used wavelength unit, λ instead of the frequency unit ω. The linear absorption spectrum (Fig. 2a) can be divided into three regions: high-energy exciton region ($\lambda_H < 660$ nm), encompassing transitions to Chl b and high-energy Chl a exciton states, the mid-energy region ($\lambda_M = 660–675$ nm), which contains mid-energy Chl a states, and the low-energy exciton region ($\lambda_L > 675$ nm), which contains the lowest-energy Chl a exciton states. In the following, we will demonstrate how 3DES can be employed to observe the multistep flow of energy between the three wavelength regions $\lambda_H \to \lambda_M \to \lambda_L$.

As a reference, 2DES of the EET process was performed as well, and a representative 2D spectrum at population time $t_2 = 300$ fs is shown in Fig. 2b. More 2D spectra at various t_2 have been included in Supplementary Fig. 1. Two cross peak regions,
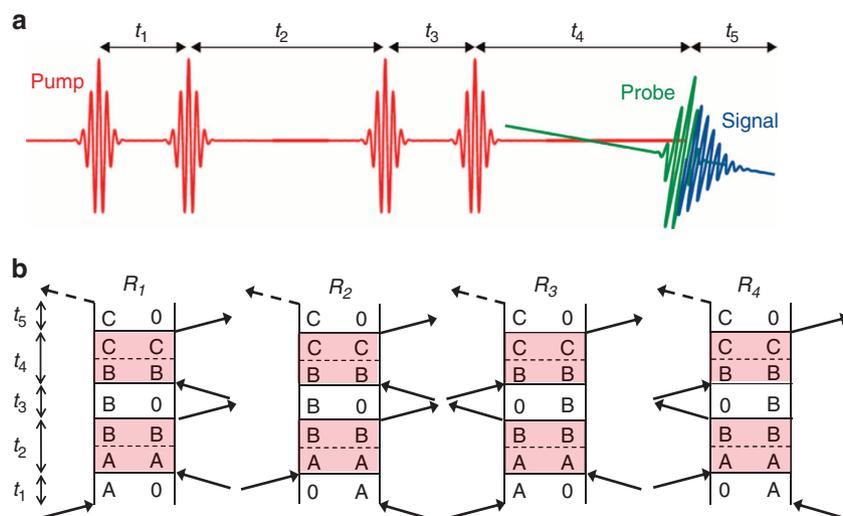
Figure 1 | Three-dimensional electronic spectroscopy (3DES) pulse sequence and Liouville pathways diagrams. (**a**) Pulse sequence used in coherent fifth-order 3D optical spectroscopy performed in a pulse-shaper assisted pump-probe geometry. The pulse-shaper creates a four-pulse pump sequence (red) with controllable delays and relative phases followed by the probe (green) that interacts at a small angle to emit the signal (blue). (**b**) A 3D cross peak $(\omega_1, \omega_3, \omega_5) = (\omega_A, \omega_B, \omega_C)$ on a 3DES spectrum is a combination of fifth-order optical processes outlined in the Liouville pathways (double-sided Feynman diagrams) depicted above[16]. The shaded areas denote the population periods where EET processes proceed between the states separated by the dashed lines. The label of the processes R_1, R_2, R_3 and R_4 follows the convention of Hamm[10].
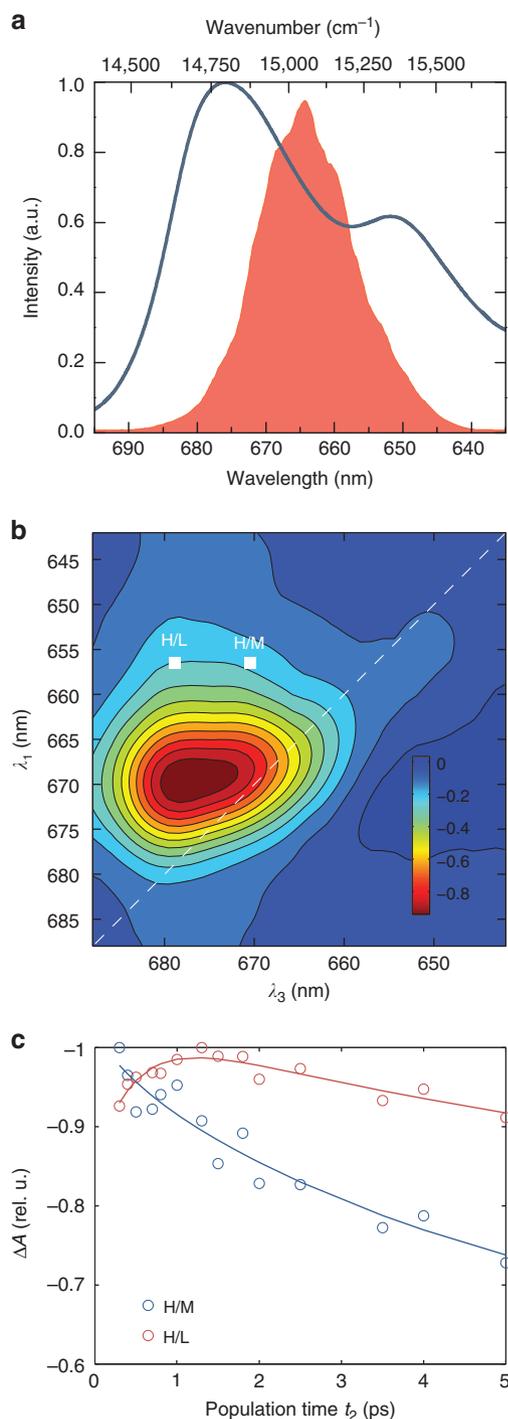
H/L and H/M, are labelled in Fig. 2b, which is ascribed to EET from the high-energy exciton region to the low-energy region ($\lambda_H \to \lambda_L$) and to the mid-energy region ($\lambda_H \to \lambda_M$), respectively. The cross-peak amplitudes at H/L ($655 \to 678$ nm) and H/M ($655 \to 670$ nm) are plotted against t_2 in Fig. 2c. The H/M amplitude initially rises rapidly on a timescale of ~ 300 fs due to EET from Chls b to mid-energy Chls a. The H/M cross peak subsequently decays on a longer timescale of ~ 2 ps, which is a result of EET out of the mid-energy Chl a region. The H/L cross peak amplitude shows only an increasing trend. Apart from indicating the direct $\lambda_H \to \lambda_L$ transfer, a multistep EET process $\lambda_H \to \lambda_M \to \lambda_L$ can be indirectly inferred from the correlated timescale of the decay of the H/M peak and the rise of the H/L cross peak, as it has been previously reported[8,34]. It is evident that the cross peaks may not be readily resolved in the 2D spectra, due to the broad inhomogeneous widths of the spectral features. In this regard, 3DES has the advantage of being able to spectrally resolve closely spaced or overlapping exciton bands in the additional frequency axis ω_5, and also by correlating energy transfers with the three frequency axes.

Fifth-order 3DES of LHCII. In the 3D spectra of LHCII presented in this article, the population time t_2 was kept fixed at 300 fs, and spectra were taken at different population times t_4 from 150 fs to 8 ps. A graphical representation of the 3D spectrum at $t_2 = 300$ fs, $t_4 = 800$ fs is shown in Fig. 3. The rendered iso-surface represents amplitude values of 0.1 relative to the global maximum. The maximum along λ_1 and λ_3 is found near the excitation wavelength, 668 nm; the peak along the detection wavelength λ_5 coincides with the pump-probe maximum, 678 nm. A cutaway is made at $\lambda_5 = 682$ nm to better illustrate the features and structure of the 3D spectra. The amplitude of the 3D spectrum on this cutaway slice is colour coded. The amplitude is concentrated on the $\lambda_3 = \lambda_1$ diagonal plane, representing all the states whose population has not decayed during t_2. It is evident from the figure that the 3D spectrum features additional cross-correlation amplitudes off the $\lambda_3 = \lambda_1$ diagonal plane, particularly in the region $\lambda_3 < \lambda_1$. This indicates downhill energy transfer

during the population time t_2. One prominent feature to note is a ridge (or 3D cross peak) along the λ_5 axis, around $\lambda_1 = 655$ nm, $\lambda_3 = 670$ nm. The ridge's shape and feature evolves with the different population times t_4 in our experiments and is the main subject of our discussion below. For comparison, representative 3D spectra at $t_2 = 300$ fs and $t_4 = 150$ fs and 5 ps are presented in Supplementary Fig. 2. Qualitatively, one can discern the evolution of the 3D cross peaks clearly with different delays t_4.
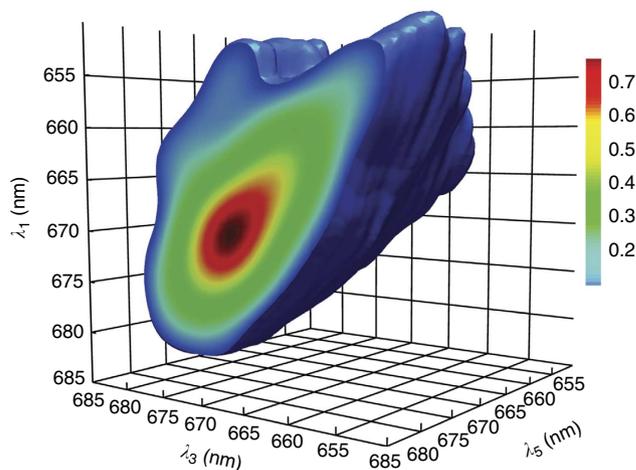
To better visualize the time evolution of the 3D cross peaks, slices of the 3D spectra at wavelengths $\lambda_1 = 655$ nm and $\lambda_1 = 665$ nm for three different population times t_4 are shown as quasi-2D spectra with wavelength axes λ_3 and λ_5 (Fig. 4). The spectra demonstrate the potential of 3DES to selectively probe EET pathways with high resolving power. The slices at $\lambda_1 = 655$ nm feature two well-separated cross peaks, at $\lambda_3 \sim 655$ nm and $\lambda_3 \sim 670$ nm, whereas the slices at $\lambda_1 = 665$ nm have only one band around 665 nm. The most significant feature on the presented slices is the 3D cross peak $655 \to 670 \to 678$ nm, which can be denoted H/M/L, and interpreted as follows. In a 2D spectrum at population time t_2, the H/L cross peak amplitude is the conditional population of state L (absorbing at λ_L) after population time t_2, given that the initial excitation is at H (absorbing at λ_H). Extending the interpretation to 3DES, the 3D cross peak amplitude at H/M/L is the conditional population of L after population time $t_2 + t_4$ given that (i) the initial excitation is at H ($\lambda_H \sim 655$ nm) and (ii) the population after time t_2 is at M ($\lambda_M \sim 670$ nm). This gives a direct measurement of a two-step EET process between three different states: $H \to M \to L$. The other features of interest in the spectra are along $\lambda_1 = 655$ nm, that is, on the diagonal plane $\lambda_3 = \lambda_1$, denoting EET from states H to states L (H/H/L cross peak) and from H to M (H/H/M cross peak).

Owing to the separation over a third frequency (wavelength) dimension, the spectral regions H and M are fully resolved in the 3D spectra, whereas in the 2D spectra (Fig. 2b) they overlap. Moreover, by virtue of using two population times, the kinetics of the direct $H \to L$ and multistep $H \to M \to L$ pathways can be unambiguously resolved. The rise dynamics of the H/H/L cross peak amplitude depends solely on the $H \to L$ process, and the rise
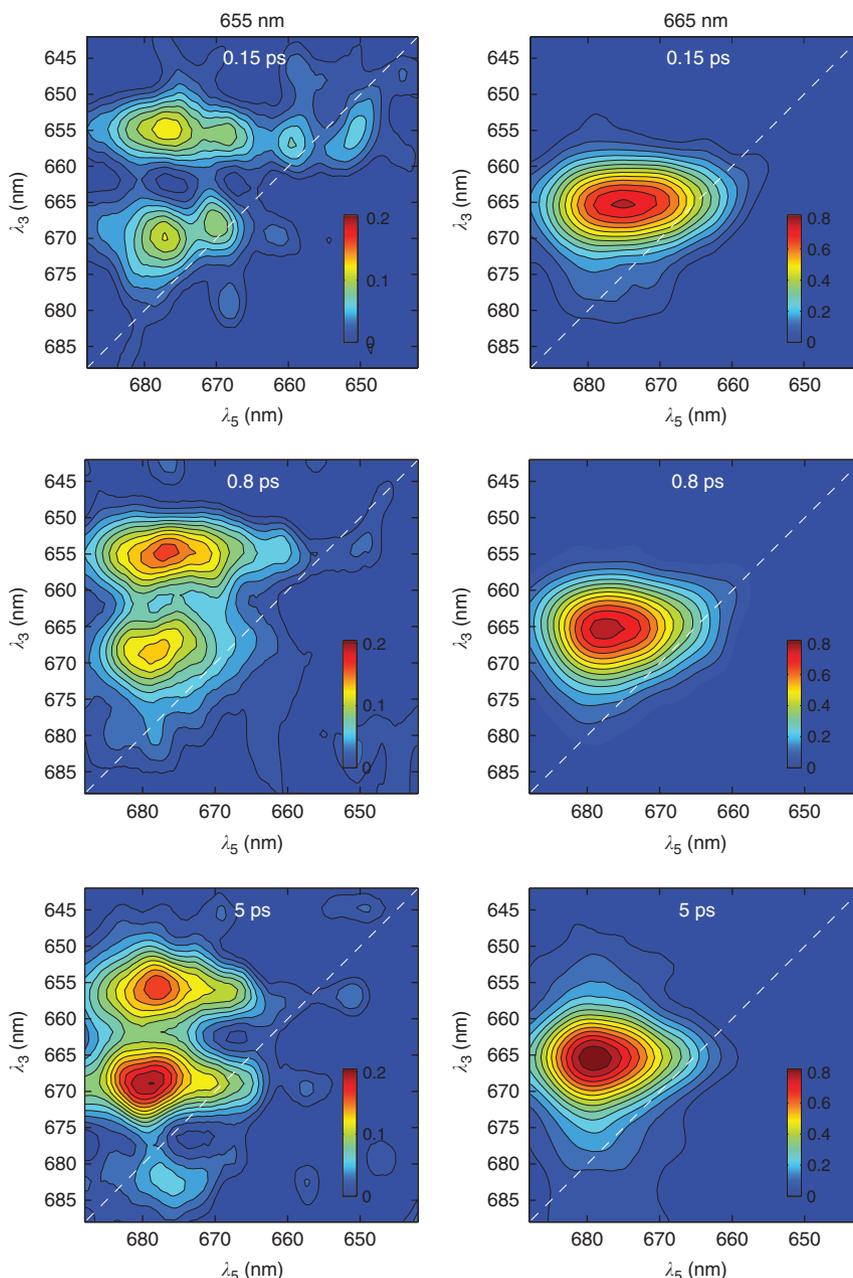
Figure 3 | Three-dimensional electronic spectroscopy (3DES) spectrum of LHCII. Spectrum recorded at population times $t_2 = 0.3$ ps and $t_4 = 800$ fs. The isosurface represents amplitude values of 0.1 relative to the global maximum. The cutaway at $\lambda_5 = 685$ nm to better illustrate the features and structure of the 3D spectra. The prominent feature to note is the ridge along the λ_5 axis, around $\lambda_1 = 655$, $\lambda_3 = 670$. The ridge's shape and feature evolves with the different population times t_4 in our experiments.

Figure 2 | Linear and 2DES spectrum of LHCII. (a) The experimental linear absorption spectrum of LHCII (solid blue) and the excitation pump spectrum (solid filled pink). **(b)** Two-dimensional spectrum of LHCII at population time $t_2 = 0.3$ ps, with the white line indicating the diagonal of the spectra. **(c)** The amplitude evolution of the integrated exciton cross peaks $657 \rightarrow 670$ nm and $657 \rightarrow 678$ nm as a function of population time t_2. A multistep EET process can be inferred from the associated decay of the $657 \rightarrow 670$ nm cross peak and the rise of the $657 \rightarrow 678$ nm cross peak.

experimental amplitudes of the H/M/L cross peak as a function of population times t_4. We simulate the H/M/L cross peak amplitudes by solving a rate equation for a system comprising H, M and L states (Supplementary Note 2). As parameters for the simulations, we use the EET transfer timescales values that have been recently obtained for LHC II trimers[34], where the Chl *b* excitonic states (associated with the H states here) transfer energy to Chl *a* states (associated with the M and L states here) at a timescale of 300 fs and the intermediate Chl *a* states (associated with the M states here) to low-energy Chl *a* states (associated with the L states here) at a 2.3 ps timescale. There is then a >20 ps relaxation to ground state g. The simulated values (red line in Fig. 5) are consistent with the trend of the experimental values, as can be seen in Fig. 5. The line essentially traces a 2.3 ps timescale rise that represents the M $\rightarrow$ L transfer and a subsequent >20 ps decay representing the decay to ground state.

Discussion

Assignment of spectral features to structural moieties in the pigment–protein complex is possible by use of structure-based models of the exciton energies and transfer kinetics[9,10,12,35,36]. On the basis of more recent model by Müh *et al.*[36], the H state ($\lambda_H \sim 655$ nm) can be contributed by either Chl 608 (~ 658 nm) in the stromal layer of Chls *b*, or Chl 607 (~ 654 nm), in the lumenal Chl *b* cluster. Although both states are within the relevant wavelength, Chl 607 is incompatible with the observed H/H/L cross peak at $t_2 = 300$ fs. EET from this Chl *b*, on the lumenal side, to the L state, which is identified with the Chl 610/611/612 cluster on the stromal side, must occur over a much longer time scale. In contrast, rapid EET (≤ 1 ps) from Chl 608/609 to the 610/611/612 cluster, is expected by the structural models of Novoderezhkin *et. al.*[10,12], which is in line with the dynamics of the 3D cross peak. As to the identity of the M state ($\lambda_M \sim 670$ nm), several pigments may contribute to this spectral region but only the Chl 602/603 pair (also according to Novoderezhkin *et al.*[10]) would have the longer lifetime compatible with the 3DES data. The available structural models

of the H/M/L peak depends solely on the M $\rightarrow$ L process, allowing us, in principle, to determine the respective rate constants directly from the experimental data without the need for soft modelling and the inherent uncertainty associated with it. Figure 5 plots the

Figure 4 | Two-dimensional slices of 3DES spectra. Slices of the 3D spectra of LHCII at $\lambda_1 = 655$ nm and $\lambda_1 = 665$ nm to obtain quasi-2D spectra, with selected quasi-2D spectra shown at population times $t_2 = 0.3$ ps and $t_4 = 0.2$, 0.8 and 5 ps (indicated on the plots). The colour scale represents amplitudes relative to the respective 3D spectrum's global maximum.

provide different time constants for EET depending on the chosen theoretical formalism. The EET from Chl 602/603 to the lowest-energy Chls is estimated to be from subpicoseconds[10] to tens of picoseconds[12]. The kinetics of the H/M/L peak in our experiment is consistent with the rate constant of M→L transfer between 2 and 6 ps which falls closer to the more recent calculation by Renger *et al.*[12]

The results presented in this report demonstrate for the first time that direct observation of multistep EET processes in LHCII can be achieved using 3DES. The method allows us to selectively probe EET between single exciton states with defined transition energies, isolated from the congested spectrum of the exciton network. In principle, with two independent population times, the two rate constants of a two-step EET process can be directly

obtained from experimental data by measuring the population time-dependent amplitudes of appropriate 3D cross peaks. Fifth-order 3DES represents a valuable extension to 2D electronic spectroscopy, which is rapidly becoming a standard tool in photosynthesis research, and will undoubtedly help in unravelling the complex energy transfer processes in biological light-harvesting systems. As a much longer experimental time needed to collect a 3D spectrum compared with a 2D spectrum, it may not be practical to obtain 3D spectra for an exhaustive range of population times t_2 and t_4. It is envisioned that 2DES will first be deployed to obtain as much information as possible about the EET rates. 3DES can then be performed for selected population times t_2 and t_4 to resolve specific exciton states involved in the multistep EET processes.
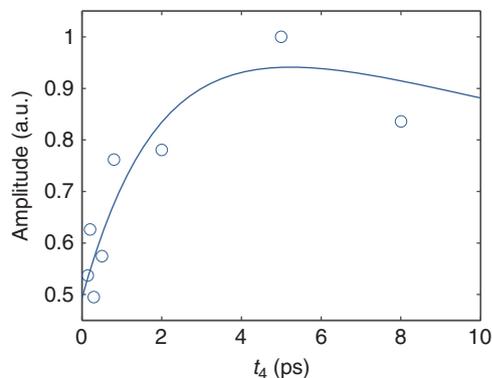
Figure 5 | Population time dependence of 3D cross peak amplitude.
Experimental values (blue circles) and simulation trace (blue line) of the H/
M/L cross peak amplitudes in the quasi-2D spectra ($\lambda_1 = 656$ nm slice;
$t_2 = 0.3$ ps) as a function of the second population time t_4.

Methods

Sample preparation. The LHCII trimers sample was purified from spinach
thylakoid membranes and solubilized with α-dodecyl maltoside (α-DM) using
sucrose-gradient ultracentrifugation[37]. The sample was mixed with nitrogen-
flushed 0.06% α-DM and 10 mM HEPES buffer solution, and placed in a 1 mm
sapphire cell at room temperature. The absorbance of the sample at 674 nm was
measured to be 0.2. All linear, 2D and 3D spectra were acquired at room
temperature.

Data acquisition. Our implementation of third-order 2DES[38] and fifth-order
3DES[17,27] has been described in detail elsewhere. In brief, in the pump-probe
geometry[39–42] implemented 3DES, the pulse shaper was used to create a four-pulse
'pump' train (1 kHz laser pulses from an optical parametric amplifier centred at
668 nm. Pulse duration is 43 fs; see Fig. 2a) while a white light continuum pulse is
used as the 'probe' (that provides the fifth interaction). In the present setup, the
pulse shaper is able to produce pulse trains whereby the maximum delay between
the first and fourth pulses is about 1 ps. Both coherence times t_1 and t_3 were
increased in 7 fs time steps from 0 fs to 140 fs. The maximum population time t_2 for
our present setup is therefore about 600 fs. The delay for the other population time
t_4 is achieved by a conventional optomechanical delay stage, and the maximum
achievable t_4 is determined by the length of the delay stage. To obtain the signal
from the desired processes (Fig. 1b), phase cycling[43–45] is necessary. A
$3 \times 3 \times 3 \times 1$ phase cycling scheme[27,45] was used to obtain the purely absorptive
3D spectra. For the experiments reported in this article, the population time t_2 was
set at 300 fs, and population times t_4 were measured at 150, 200, 300, 500, 800,
2,000, 5,000 and 8,000 fs. The 3D spectra were normalized to the maximum
intensity of the 2D projection at frequency axis (λ_1, λ_3). The pulse shaper was
referenced to a carrier frequency of 420 THz and the signal was collected in a
partially rotating frame. The 'probe' beam is measured with a spectrometer (Acton
SP2300, Princeton Instruments) and recorded with a 100-pixel × 1,340-pixel
charge-coupled device camera (PIXIS 100B, Princeton Instruments) giving the
wavelength axis λ_5. The data is Fourier transformed about t_1 and t_3 to give the
frequency axes ω_1 and ω_3, which was then converted to wavelength axes λ_1 and λ_3.
Scatter subtraction and Fourier transformation into the frequency spectrum was
performed as reported previously[27,38]. For the accompanying 2DES, a two-pulse
'pump' train with a 3×1 phase cycling scheme[38] is used. The population times t_2
were recorded at a series of delays from 120 fs to 10,000 fs. In 2D optical
spectroscopy, a common method to characterize possible experimental artefacts is
to apply the 2D projection-slice theorem[39]. In this method, the 2D spectrum is
integrated over one frequency axis and compared with the transient absorption
spectrum. The 2D projection-slice theorem states that the 2D spectrum should be
similar to the 1D spectrum. Analogous to the 2D counterpart, the 3D projection-
slice theorem has also been developed[13]. We compare the experimental 3D spectra
integrated along one of the frequency axes with the corresponding experimental 2D
spectra to verify that our 3D spectra are largely free of experimental artefacts. The
details of the comparison are provided in the Supplementary Note 1.

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Author contributions

Z.Z. and H.-S.T. designed the experiment. P.H.L. isolated and purified the LHCII sample. Z.Z. and K.L.W. prepared the sample and optical setup for measurement and Z.Z. collected the data; Z.Z., P.H.L. and H.-S.T. performed the data analysis. Z.Z., P.H.L. and H.-S.T. wrote the paper, and all authors discussed the results and commented on the manuscript.

Additional information

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