

# A 4.06 mW 10-bit 150 MS/s SAR ADC with 1.5-bit/cycle Operation for Medical Imaging Applications

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**Abstract**—This paper reports a 10-bit 150 MS/s successive approximation register (SAR) analog-to-digital converter (ADC) with binary-scaled redundancy-facilitated error correction technique. The proposed 1.5-bit/cycle technique with built-in capacitive digital-to-analog converter (CDAC) redundancy, corrects multiple erroneous decisions in a total of nine conversion cycles. The proposed binary-scaled redundancy provides a 12.5% error tolerance range for the incomplete CDAC voltage settling. The digital error-correction logic presented uses a bit-overlap-and-add technique. The prototype chip was fabricated in 65-nm CMOS technology and occupies chip area of 0.038 mm<sup>2</sup>. It consumes 4.06 mW from a 1.2 V supply, achieving the Nyquist signal-to-noise-and-distortion ratio (SNDR) of 57.81 dB and the effective number of bits of 9.31-bit at an operating frequency of 150 MS/s, corresponding to the figure-of-merit of 42.6 fJ/conversion-step.

**Index Terms**— 1.5-bit/cycle, ADC, capacitive digital-to-analog converter (CDAC), CMOS, error correction, low power, medical imaging, redundancy, SAR, successive approximation register.

## I. INTRODUCTION

INCREASING demand for portable, low-cost medical imaging systems, such as X-rays, computed tomography scan, ultrasound [1], positron emission tomography [2] and wireless capsule endoscopy, has resulted in integrated multifunction system on chip (SoC), generally consisting of analog, digital, and radio-frequency circuits. This development places stringent requirements on power dissipation, chip area, and performance of key subsystem such as analog-to-digital converter (ADC), operating in the signal acquisition chain under a noisy SoC environment with a typical resolution between 9 and 12-bit and speeds exceeding 100 MS/s.

The successive approximation register (SAR) ADC topology has seen several advances in digital-to-analog converter (DAC) switching schemes [3–6], high-speed dynamic latch comparator [7], asynchronous-timing logic [2, 7, 8], SAR logic and redundancy techniques [7–21] that further improves the single-channel speed while achieving low figure-of-merit (FOM) [22]. For high-speed operation, the capacitive DAC (CDAC) becomes a significant speed bottleneck, affecting

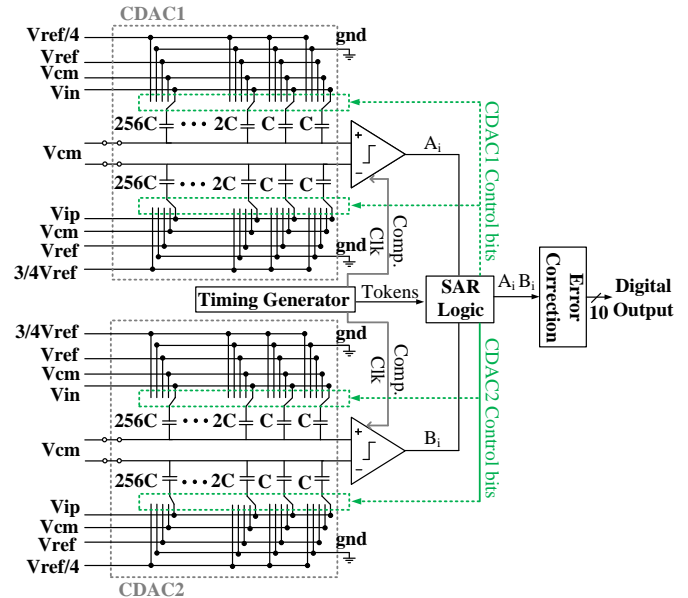


Fig. 1. Top-level architecture of the proposed 1.5-bit/cycle SAR ADC.

voltage settling behavior, which may result in the erroneous conversion. A 2-bit/cycle technique for SAR ADCs is widely used [2, 14, 21] to achieve high sampling frequency. The 2-bit/cycle technique reported in [21] suffers from degraded dynamic performance, which may be partly due to the non-linearity of the top-plate sampling switch and the input signal coupling to the top-plate of the CDAC. A bootstrap switch circuit architecture is proposed in [23] that increases the top-plate sampling precision above 12-bits. Introducing redundancy and error-correction capabilities is beneficial to preserve the dynamic performance of the data converter. Several binary [9, 10] and non-binary [12, 14] redundancy techniques have been introduced to relax the CDAC voltage settling time and facilitate error correction. However, these techniques have limitations such as decrease in conversion speed due to the additional multiplexer (MUX) delay [10] or arithmetic unit [12] in the control logic path, decrease in bandwidth due to the additional compensation capacitors used in the CDAC [9], and large error-correction logic [9, 12] required to convert the output of additional redundancy-induced conversion cycles.

This paper presents a 10-bit 150-MS/s SAR ADC employing an unmodified binary-scaled CDAC with in-built redundancy to implement an initial 1-bit followed by eight cycles of 1.5-bit/cycle conversion. The redundancy-facilitated error-correction technique can correct multiple erroneous decisions without requiring any additional conversion cycle. The proposed 1.5-bit/cycle architecture reduces CDAC size, relaxes CDAC

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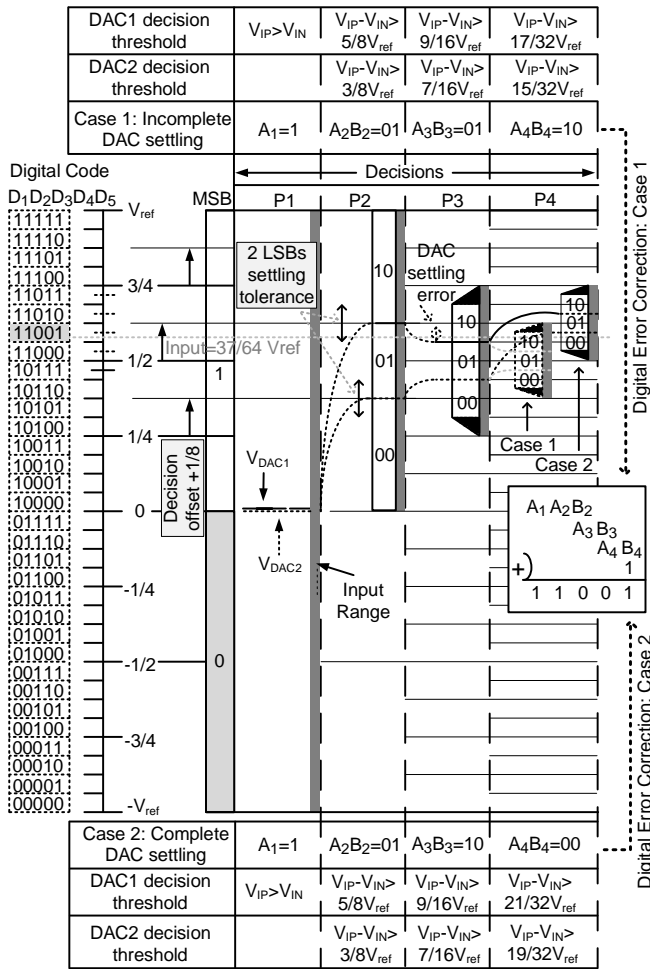


Fig. 2. Example of 5-bit SAR ADC using the proposed 1.5-bit/cycle technique.

voltage settling process, simplifies error-correction logic, and maintains constant common-mode CDAC operation.

This paper is organized as follows. Section II introduces the overall ADC architecture. Section III details the proposed techniques and design of the key sub-blocks in the proposed ADC with the circuits design considerations, followed by measurement results in Section IV. Finally, Section V concludes the work.

## II. OVERALL ADC ARCHITECTURE

The top-level architecture of the proposed ADC is shown in Fig. 1. The 1.5-bit conversion requires two CDACs to generate the two comparator thresholds. The input signal is sampled on the bottom plate of the binary-scaled CDAC in order to prevent transistor charge injection and input signal coupling to the top plate, which is connected to the input of the comparator. An event-based asynchronous-timing generator is used to generate the high-frequency ( $9 \times 150$  MHz) clock signals for the comparator, which enable an off-chip-generated 150 MHz clock signal to initiate the ADC operation. After comparator regeneration, the ready signals of the two comparators flag an event, which is then used to generate the comparator clocks for the subsequent conversion cycles. An extra transistor input pair is used to calibrate the input offset of the comparator. The

thermometer coded comparators' outputs along with the control logic drives the CDAC transistor switches. The SAR logic employs a 3-bit counter to keep track of the conversion cycles. Irrespective of the comparators output, the SAR logic reuses the counter generated signal to drive the CDAC switches for generating the redundancy margin. The dynamic control logic is implemented to increase its speed. Thermometer-to-binary conversion occurs for the comparators' outputs from the 1.5-bit/cycle operation before these outputs are stored in the memory for digital error-correction operation.

## III. PROPOSED TECHNIQUES & DESIGN OF THE KEY SUB-BLOCKS

### A. Proposed 1.5-bit/cycle technique

Redundancy can be used to relax certain circuit nonlinearities, such as different comparator offsets for the 1-bit per conversion-cycle SAR ADC using two comparators to reduce the power consumption [17]. A tradeoff exists between selecting the redundancy margin and the penalty in terms of additional conversion cycles. Redundancy, expressed in either percentage or number of LSBs, relaxes the time available for the CDAC voltage to settle by a predetermined margin of error. The following equation can be used to calculate the time required for an N-bit CDAC voltage to settle within a precision of  $1/2$  of the LSB:

$$T = \tau \cdot \ln\left(\delta \cdot \frac{1}{2^N}\right) \quad (1)$$

where  $\tau$  is the time constant,  $T$  is the total DAC settling time,  $\delta$  is the voltage settling precision in LSBs and  $2^N$  is the conversion cycle input range in LSBs.

Similar to the work reported in [7], there is no redundancy used in the MSB decision cycle because the input voltage and transient CDAC reference voltage has settled to the required  $1/2$ LSB before the conversion starts. Cycles 2–9 implement binary redundancy using an unmodified binary-scaled CDAC. The proposed 1.5-bit/cycle technique provides a 12.5% redundancy or error tolerance margin, which permits correction of multiple erroneous decisions without using any additional conversion cycles. Using (1), a 10-bit SAR ADC using 1-bit/cycle non-redundancy conversion will need  $45.05\tau$  (excluding sampling time) to complete ten cycles. Whereas, the proposed technique only requires  $29.11\tau$  (excluding sampling time) to complete the required nine cycles. Thus, the proposed 1.5-bit/cycle technique exhibits a speed advantage of 35.38%.

Fig. 2 gives an example of a 5-bit SAR ADC operation using the proposed 1.5-bit/cycle technique. A differential full scale is used. The binary-coded decision regions are 0, 1 for the initial 1-bit cycle (P1) and 00, 01, 10 for the 1.5-bit cycles (P2–P4). The input range is denoted by a grey bar and the black triangles denote the extra input range available for the decision regions 00 and 10. In this example, after P1, the upper decision region is divided in four regions which are then offset by  $1/8V_{ref}$  in order to obtain the two decision thresholds ( $3/8V_{ref}$ , and  $5/8V_{ref}$ ) for 1.5-bit/cycle operation. The CDACs are switched appropriately to generate voltages  $V_{DAC1}$  and  $V_{DAC2}$ , which

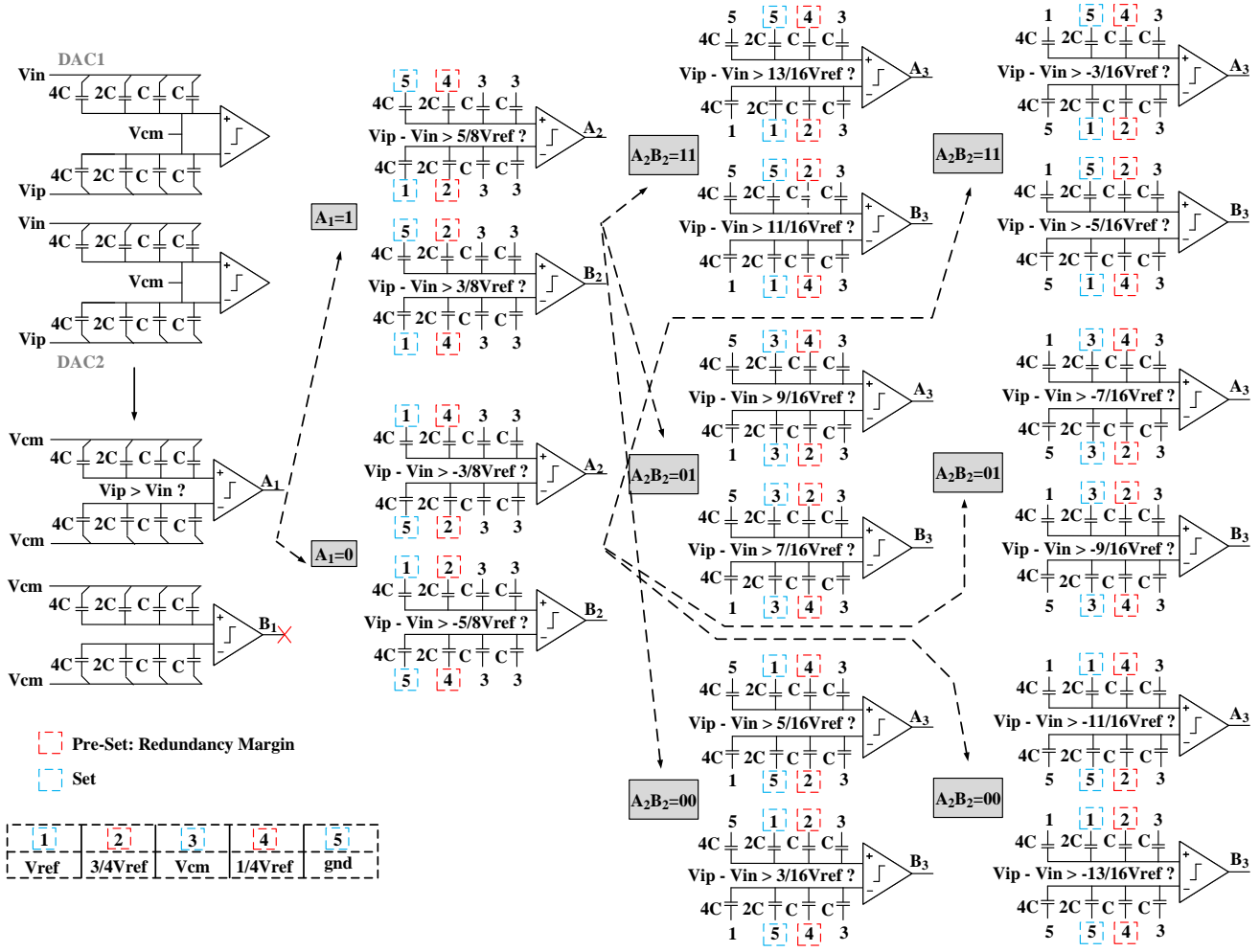


Fig. 3. Proposed 1.5-bit/cycle CDAC switching procedures for a 4-bit SAR ADC.

represent these two decision thresholds. The offset for generating the two decision thresholds is scaled by two, cycle by cycle from P2. The usefulness of the proposed technique is clearly shown for an incomplete CDAC voltage settling in P3, which is corrected in P4. A single analog input value can be represented by different digital codes, enabling different CDAC switching procedures and providing a chance for the error to propagate to the next conversion cycle where it can be corrected. The final digital output ( $D_1D_2D_3D_4D_5$ ) remains the same if there is an error in P2. A “bit overlap and add” error-correction technique, which is similar to the 1.5-bit/stage pipeline ADC topology is used.

### B. Proposed CDAC switching for 1.5-bit/cycle conversion

Fig. 3 shows the proposed differential switching procedures for a 4-bit SAR ADC employing the proposed 1.5-bit/cycle technique in Section II-A. The switching scheme uses an unmodified binary-scaled CDAC and maintains a constant common-mode operation. Each conversion cycle follows the sequence of switching the CDAC according to the output of the previous conversion cycle, waiting for the CDAC reference voltage to settle within the redundancy range and then waiting for the regeneration of the comparator. Depending on the decision region, the charge-redistribution-based switching scheme switches the appropriate capacitor within the CDAC to

one of three reference voltages, such as common-mode voltage ( $V_{cm}$ ; 0.6 V), positive reference voltage ( $V_{ref}$ ; 1.2 V), or negative reference voltage ( $gnd$ ; 0 V), through the SAR control logic. The required offset to the decision region is generated by switching the appropriate capacitor to either  $1/4V_{ref}$  or  $3/4V_{ref}$  reference voltages, which in-turn creates the 12.5% redundancy margin.

The accuracy of the reference voltages  $1/4V_{ref}$  and  $3/4V_{ref}$ , together with the CDAC voltage settling time, would determine the effective redundancy margin. Ten-bit accuracy and sufficient CDAC voltage settling time result in a full 12.5% redundancy margin. The capacitors responsible for the redundancy margin are pre-set (based on token signals generated after the comparator finishes regeneration), and are pre-determinedly switched to the appropriate reference voltages. This pre-set technique allows sufficient CDAC settling time in order to create the redundancy margin in advance. Therefore, this approach allows the CDAC settling time for the capacitors “set” to reference voltages  $V_{ref}$ ,  $V_{cm}$ , and  $gnd$  to be relaxed within the created redundancy margin.

The two thresholds generated for the proposed 1.5-bit/cycle technique are independent of each other’s CDAC switching pattern. Irrespective of erroneous decisions, the switching scheme enables the CDAC-generated comparator thresholds to converge to the normalized analog input voltage at the end of

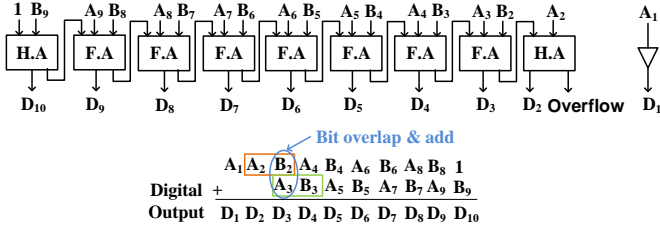


Fig. 4. Error-correction logic and its implementation.

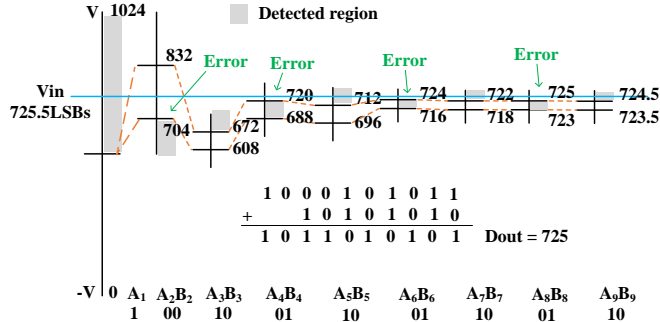


Fig. 5. Example of the proposed error-correction technique for a 10-bit ADC.

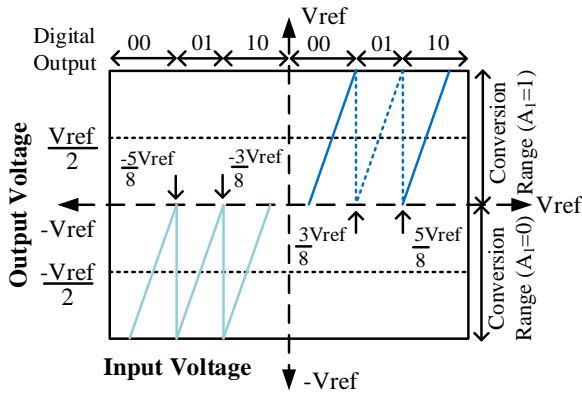


Fig. 6. 1.5-bit/cycle transfer function of the second conversion cycle.

the ninth cycle for a 10-bit SAR ADC. A mismatch between the capacitor ratios leads to a small variation in the CDAC-generated comparator threshold. We assume that up to a quarter of the redundancy margin (i.e., 3.125%) is used because of post-fabrication capacitor mismatch. Several layout techniques, such as symmetric layout for CDAC, reference-voltage routing and common-centroid CDAC with dummy capacitors, help to minimize the mismatch between the two CDACs.

### C. Error correction

The proposed 1.5-bit/cycle technique shows multiple error-correction capabilities. As long as the CDAC voltage settling error is within the redundancy range, any erroneous decision can be corrected in the subsequent conversion cycle. The effective error tolerance range remains constant and does not depend on where the erroneous conversion cycle occurs. An error in the last conversion cycle will result in a degradation of the signal-to-noise ratio because there is no subsequent conversion cycle to correct it.

Fig. 4 shows the proposed digital error-correction logic implemented by a ripple carry adder circuit comprising seven full adders and two half adders. Theoretically, an overflow from the half adder, adding bit  $A_2$  and carry from the previous full adder, should not occur. However, to indicate any abnormal SAR operation post-fabrication that may lead to an overflow, the overflow pin is routed to an output pad. The logic analyzer detected no overflow during measurements of the test chip.

Fig. 5 illustrates an example of a normalized analog input voltage of 725.5LSBs with four errors made during conversion cycles 2, 4, 6, and 8 that are corrected in a total of nine conversion cycles. Not every analog input voltage conversion would have four erroneous decisions. Irrespective of the number of errors or in which conversion cycle the errors occur, the proposed switching scheme appropriately switches the two CDACs such that the two comparator thresholds can converge to a precision of  $\frac{1}{2}$ LSB of the input analog voltage.

Fig. 6 shows the 1.5-bit/cycle transfer function of the second conversion cycle. The two decision thresholds for 1.5-bit output are obtained by adding  $\frac{1}{8}V_{ref}$  offset to the three decision thresholds for a 2-bit output and neglecting the last (third) decision threshold. The absence of decision thresholds at  $\frac{1}{8}V_{ref}$  and  $\frac{7}{8}V_{ref}$  results in the digital outputs ‘00’ and ‘10’ covering larger input voltage range than that of digital output ‘01’. Since, the proposed design does not have a residue amplifier (available in 1.5-bit/stage pipeline ADCs), the transfer function for each cycle changes and depends on all the previous cycles’ decisions.  $A_1$  is the output of the 1<sup>st</sup> cycle.

### D. Capacitive DAC

A 0.74-fF unit capacitor is obtained by sandwiching metal5 between metal4 and metal6 layers. The unit capacitor occupies an area of  $1.38 \times 1.74 \mu\text{m}^2$ . A total of 512 unit capacitors are laid out using a common-centroid layout technique and dummy unit capacitors are placed on all sides of CDAC. The following equation [24] provides a lower bound for a mismatch-limited unit capacitor based on foundry parameters for a maximum differential nonlinearity (DNL) of  $\frac{1}{3}$ LSB for a differential CDAC

$$C_U = 9 \cdot 2^{N-1} \cdot K_\sigma^2 \cdot K_c \quad (2)$$

where,  $K_c$  is the capacitor-density parameter,  $K_\sigma$  is the matching coefficient, and  $N$  is the CDAC resolution. These parameters take the values  $K_\sigma = 0.86\%$  and  $K_c = 2 \text{ fF}/\mu\text{m}^2$  for the 65-nm CMOS technology.

The proposed switching scheme maintains a constant CDAC common mode voltage, which allows a fixed parasitic capacitance on the CDAC top plate. The fixed parasitic capacitance results in a fixed gain error and does not affect the dynamic performance of the ADC [25, 26].

### E. Control logic

Fig. 7 shows the control logic for the 1.5-bit/cycle implementation. The initial 1-bit conversion uses a similar control logic where only one comparator output is utilized and the pre-set CDAC reference-voltage-switches are absent. The

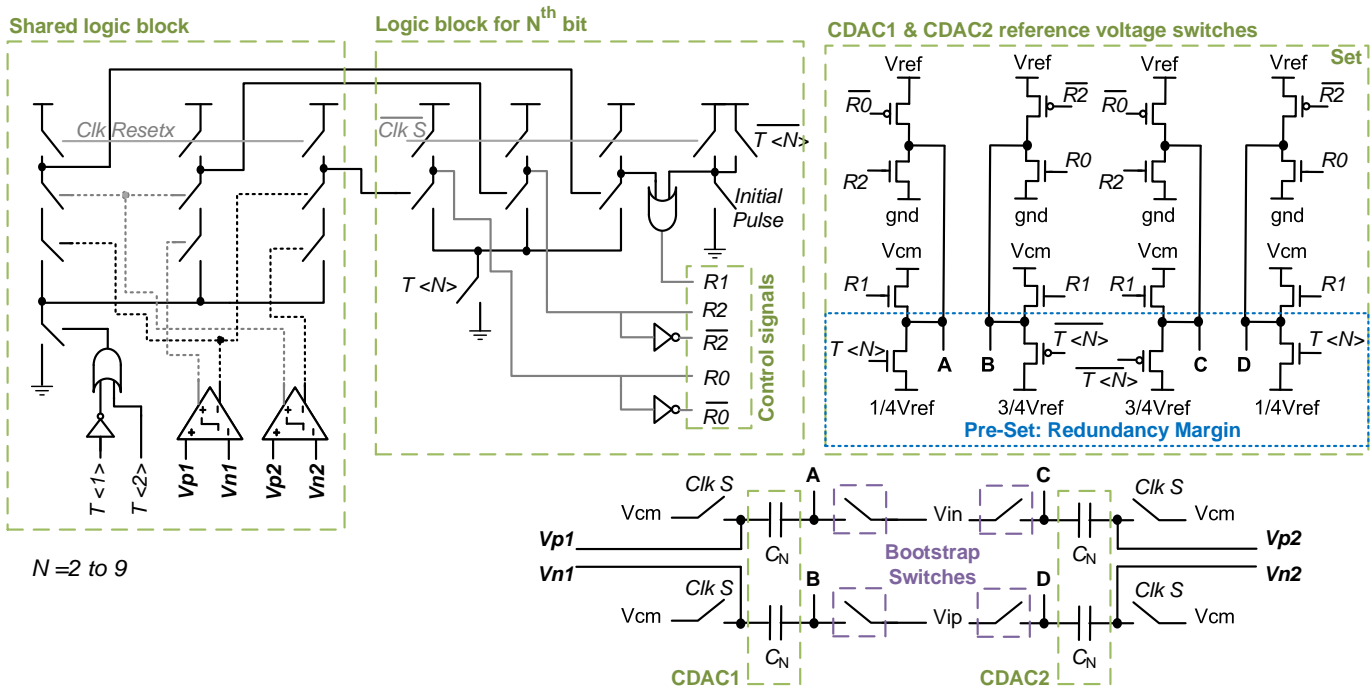


Fig. 7. SAR control logic for implementation of the 1.5-bit/cycle technique.

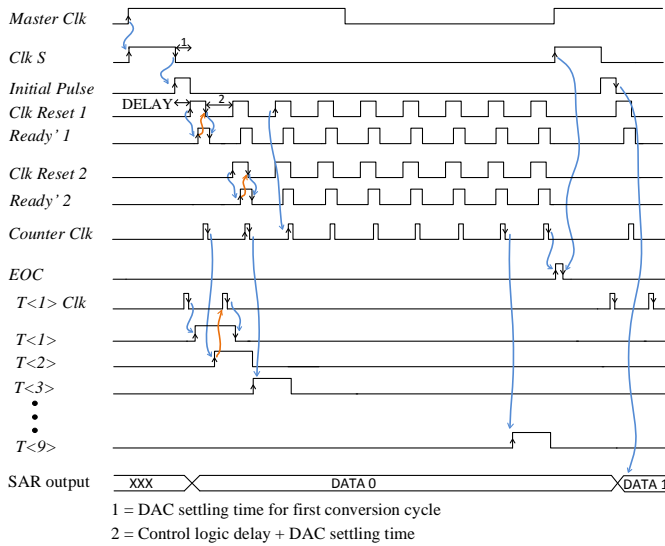


Fig. 8. Event-based asynchronous-timing diagram.

token signal based-dynamic logic implementation of control logic serves to lower the control-logic delay and reduce static-current consumption. Fig. 8 shows the asynchronous timing diagram, where the arrows show the corresponding signal trigger based on the rising- or falling-edge signal. Here, signal *Master Clk* is the off-chip 150MHz clock signal, *Clk S* is the ADC sampling clock, *Initial Pulse* is used for control logic operation, and *EOC* is the end of conversion signal. *Clk Reset 1/2* and *Ready' 1/2* are the dynamic comparators clock and regeneration completion signal. A 3-bit counter implemented using master-slave latches that generate the token signals  $T<N>$ , where  $N$  is the conversion cycle number. The memory to store the comparator output is implemented using a differential topology modified from Ref. [27]. At the end of the conversion signal *EOC*, the memory values are temporarily

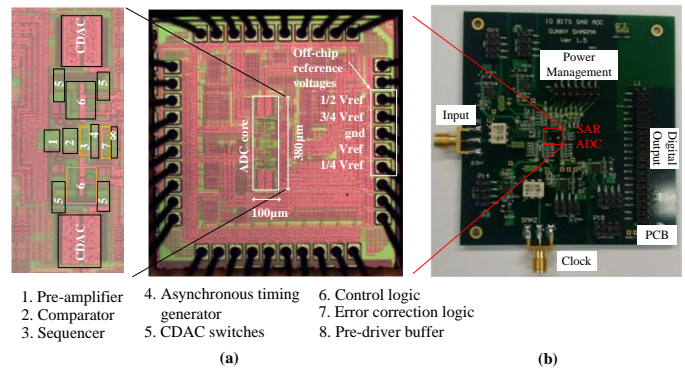


Fig. 9. (a) Die micrograph and its details. (b) Testing PCB.

stored in D flip-flops for error correction, and the memory is reset for the next cycle.

Generally, the SAR control logic affects attainable speed, power consumption, and chip area. The switching scheme determines complexity of the control logic, CDAC size, number of switches and switching energy. In the proposed 1.5-bit/cycle switching procedure, two additional reference voltages appear (i.e.,  $1/4V_{ref}$  and  $3/4V_{ref}$ ), which are used solely to generate the redundancy margin. It is possible to implement a three-reference-voltage system based on  $V_{cm}$ ,  $V_{ref}$ , and  $gnd$  with the same functionality. Such a system could reduce the number of CDAC switches from 88 to 80 for a single ended implementation. However, to maintain the built-in CDAC redundancy for a three-reference-voltage design and guarantee no shift to the CDAC common mode voltage, the CDAC resolution increases by 1-bit (a 100% increase in CDAC size), which would increase the chip area significantly. The proposed architecture corrects multiple errors without requiring any additional conversion cycle and also simplifying the error-correction logic. Yet, the 1.5-bit/cycle technique and error-correction capability comes at a cost of having two CDACs, two comparators, and twice the number of CDAC

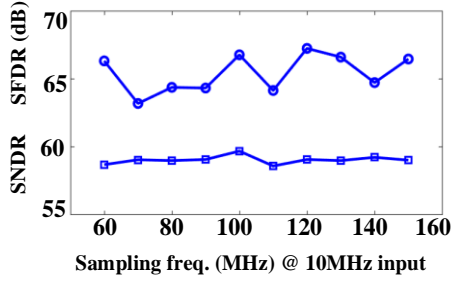


Fig. 10. Measured SNDR and SFDR versus sampling frequency.

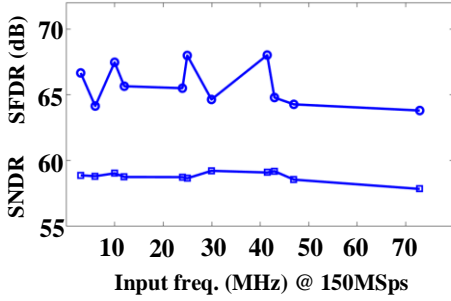


Fig. 11. Measured SNDR and SFDR versus input frequency.

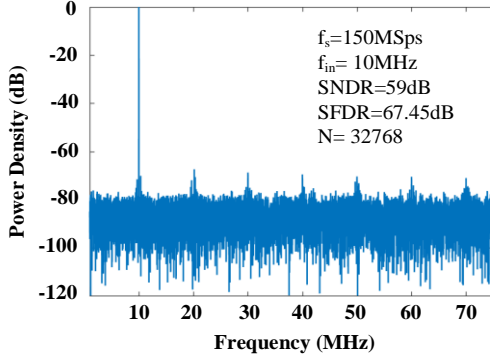


Fig. 12. Measured FFT results with 10 MHz input at 150 MS/s.



Fig. 13. Measured INL.

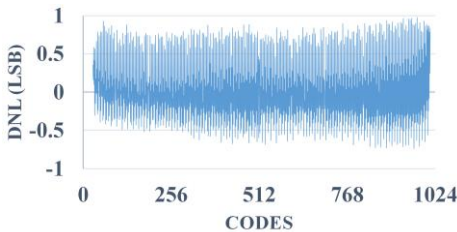


Fig. 14. Measured DNL.

switches. The area penalty for having two CDACs is mitigated by the proposed CDAC switching procedure because of the 50% reduction in CDAC size when compared to a conventional

TABLE I.  
PERFORMANCE SUMMARY AND COMPARISON.

Parameters	This Work	[21]	[23]	[29]	[30]
Architecture	1.5-bit/cycle SAR	2-bit/cycle SAR	1-bit/cycle SAR	1-bit/cycle SAR	Pipeline-SAR
CMOS (nm)	65	40	180	65	40
Supply (V)	1.2	1.2	1.8	1.2	1.1
Resolution / # Cycles	10 bit / 9	10 bit / 8	10 bit / 10	10 bit / 11	12 bit / 12
Redundancy	Bin. 12.5%	1-bit Bin.	No	Non-Bin. 1-bit	Non-Bin.
Digital Error-Correction	7 F. A, 2 H. A	Yes	No	8 F. A	N/A
Sampling (MS/s)	150	300	80	150	160
ENOB (bit)	9.31	7.51	9.13	8.2	10.85
Power (mW)	4.06	2.1	2.61	1.4	4.96
FOM (fJ/conv-step)	42.6	38.4	74.4	31.8	20.6
Area (mm <sup>2</sup> )	0.038	0.008	1.61	0.079	0.042
V <sub>in,pp,diff</sub> (V)	2.4	N/A	1.6	2.1	2

CDAC [28]. If extra CDAC switches are involved, then, similar to Ref. [12], the additional CDAC switches could be laid out underneath the CDAC with a shielding metal layer.

#### IV. MEASUREMENT RESULTS

The prototype of the proposed ADC was fabricated in a 65-nm 1P9M CMOS technology. The die photo and its details are given in Fig. 9(a), in which the ADC core occupies an active area of 0.038 mm<sup>2</sup> including clock buffers, and a pre-driver buffer. Particularly, the digital error-correction circuit and the asynchronous-timing block merely occupy 38×7 μm<sup>2</sup> and 47×14 μm<sup>2</sup>, respectively. Fig. 9(b) shows the testing printed circuit board (PCB) used for chip measurements. The off-chip calibration using a 12-bit DAC is conducted to solve the comparator-offset mismatch through an additional input pairs in the comparators. The step size (LSB) of the off-chip calibration DAC is ¼LSB of the ADC under test. The small step size enables the comparator offset mismatch to be much less than ½LSB and does not degrade the dynamic performance. The performance was measured at 1.2 V power supply and the ADC consumes 4.06 mW at 150 MS/s, leading to a FOM of 42.6 fJ/conversion-step at the Nyquist frequency. The total power is divided among the blocks, such as CDAC reference voltage, comparators (including analog pre-amplifiers), asynchronous clock generator (excluding clock buffer to correct signal amplitude), and digital domain as 0.29, 1.23, 0.3, and 2.24 mW, respectively.

Figs. 10 and 11 plot the signal-to-noise-and-distortion ratio (SNDR) and the spurious free dynamic range (SFDR) versus sampling frequency for an input frequency of 10 MHz and an input frequency at 150 MS/s, respectively. Fig. 12 shows the output FFT spectrum for a 10 MHz input frequency with a measured SNDR of 59 dB and an SFDR of 67.45 dB. For an input close to the Nyquist frequency of 73.03 MHz, an SNDR

of 57.81 dB and an SFDR of 64.78 dB are measured resulting in an effective number of bits (ENOB) of 9.31-bit. The off-chip center-tap balun used at the input causes a frequency dependent phase and amplitude imbalance in the input differential signals. Together with the phase imbalance due to the mismatch in the on-chip input signal path to the two CDACs, the even-order harmonics are noticeable in the FFT plot. Additionally, the frequency dependent imbalances of the off-chip balun results in variations in the SFDR (Fig. 11).

Figs. 13 and 14 show the measured static performance with a peak integral nonlinearity (INL) of  $+0.96/-0.86$ LSB and a peak DNL of  $+0.97/-0.83$ LSB, respectively.

Table 1 summarizes the performance of the proposed ADC and compares it with published SAR ADCs [21, 23, 29, 30]. Advantages of our proposed ADC include no additional conversion cycles, simple error-correction logic, and CDAC simplicity maintained by using unmodified binary-scaled architecture. The pipeline-SAR ADC [30] uses a high-resolution first stage to relax the linearity and noise requirement of the residue amplifier. Additionally, it uses a sub-binary DAC in the first SAR stage, which helps it attain high conversion speed and reduce the power consumption. Although the work [21] achieves higher sampling speed, the ENOB is less. The SAR ADC [29] uses redundancy in the first five decision bits and requires one additional conversion cycle to achieve same sampling frequency as this work.

## V. CONCLUSION

This paper presents a 10-bit, 150 MS/s SAR ADC with the proposed binary-scaled redundancy-facilitated error correction technique. The proposed CDAC switching procedure for 1.5-bit/cycle technique maintains a constant common mode operation while reducing the total CDAC size. The built-in CDAC redundancy margin of 12.5% relaxes the CDAC settling time, which increases the conversion-cycle frequency. Multiple erroneous decisions can be corrected without requiring additional conversion cycle. The digital error-correction uses a bit-overlap-and-add technique consisting of seven full adders and two half adders. The measured static performance is achieved with a peak INL of  $+0.96/-0.86$ LSB and a peak DNL of  $+0.97/-0.83$ LSB. For an input close to Nyquist frequency, a SNDR of 57.81 dB and an SFDR of 64.78 dB are measured, resulting in 9.31-bit ENOB, when supplying a 1.2 V with a power consumption of 4.06 mW.

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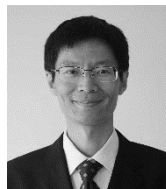
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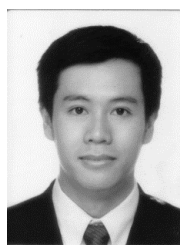
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