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Ultralow Threshold Two-Photon Pumped Amplified Spontaneous Emission and Lasing from Seeded CdSe/CdS Nanorod Heterostructures

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3 **ABSTRACT** Ultralow threshold two-photon pumped amplified spontaneous emission (2ASE)
4 and lasing in seeded CdSe/CdS nanodot/nanorod heterostructures is demonstrated for the first
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6 time. Such heterostructures allow the independent tunability of the two-photon absorption (2PA)
7
8 cross-section (σ_2) through varying the CdS rod size, and that of the emission wavelength through
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10 varying the CdSe dot size. With an enhanced σ_2 , 2ASE in these heterostructures is achieved with
11
12 an ultralow threshold fluence of $\sim 1.5 \text{ mJ/cm}^2$ – which is as much as one order less than that
13
14 required for spherical semiconductor NCs. Importantly, by exploiting this unique property of the
15
16 seeded nanorods exhibiting strong quantum confinement even at relatively large rod sizes, a near
17
18 reciprocal relation between the 2ASE threshold and the 2PA action cross-section ($\sigma_2\eta$) (where η
19
20 is the quantum yield) was found and validated over a wide volume range for II-VI semiconductor
21
22 nanostructures. Ultrafast optical spectroscopy verified that while the Auger processes in these
23
24 heterostructures are indeed suppressed, ASE in these samples could also be strongly affected by a
25
26 fast hole trapping process to the NR surface states. Lastly, to exemplify the potential of these
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28 seeded CdSe/CdS nanodot/nanorod heterostructures as a viable gain media for achieving two-
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30 photon lasing, a highly photostable microsphere laser with an ultralow pump threshold is
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32 showcased.

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46 **KEYWORDS:** CdSe/CdS nanorod, upconversion, Whispering Gallery mode lasing, two-photon
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48 absorption, ultrafast,
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3 Colloidal semiconductor nanocrystals (NCs) are desirable as optical gain media due to their
4 excellent photostability, continuous tunability over a wide spectral range and easy chemical
5 processability.¹⁻¹⁷ These advantageous physicochemical properties make NCs highly suited for
6 optical applications such as multi-wavelength on-chip microcavity lasers and as miniaturized light
7 sources in lab-on-a-chip diagnostics.¹⁻¹⁶ To date, optically pumped amplified spontaneous
8 emission (ASE) and lasing in colloidal semiconductor NCs have largely been demonstrated using
9 one-photon excitation (1PE) with ultraviolet/visible light sources.¹⁻¹² However, it is foreseeable
10 that in many of the applications for which NC lasers are relevant, the use of UV-Vis excitation
11 wavelengths risk the high possibility of photo-damage to the sample and substrate, or unwanted
12 excitation of fluorescent contaminants present in the application setup. A promising route to the
13 derivation of ASE and lasing in NCs while circumventing the above issues is optical pumping
14 *via* two-photon excitation (2PE) at infrared (IR) wavelengths.¹²⁻¹⁶

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2PE is achieved through the simultaneous absorption of two photons that induces an electronic
transition from the ground state to an excited state *via* virtual states. In contrast to 1PE, 2PE
possesses several unique features such as higher spatial resolution and longer penetration depth
when operating in the semi-transparent infrared window of biological media, and has thus been
exploited for bio-imaging.^{12-16,18-21} Additionally, for the generation and wavelength tuning of
coherent light, the absence of a phase matching requirement in the 2PE process makes it highly
attractive over other nonlinear frequency conversion techniques (*e.g.*, optical harmonic
generation) as this permits its application to a much wider range of resonator designs and gain
media (other than birefringent crystalline materials).¹²⁻¹⁶ Given the advent of robust, low-cost,
versatile and compact IR laser sources (*e.g.*, fiber lasers), 2PE has become a viable technique for
the generation of coherent light *via* the attainment of optical gain in colloidal semiconductor
NCs.

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3 One major impediment to the development of practical two-photon pumped colloidal
4 semiconductor NC lasers is the small two-photon absorption (2PA) cross-section (typically
5 $\sigma_2 \approx 10^{-46}$ cm⁴/photon) for most spherical core-(thin) shell II-VI semiconductor NCs that
6 necessitate the use of high laser excitation fluences to derive optical gain. Thus, prior efforts to
7 achieve two-photon induced stimulated emission in NCs typically resulted in very high
8 thresholds of over 10 mJ/cm².¹²⁻¹⁶ For 2PE, the average number of electron-hole (e-h) pairs
9 created by each laser pulse is given as $\langle N_2 \rangle = f^2 \sigma_2 / \tau_p$, where f is the fluence and τ_p is the pulse
10 duration. For a fixed τ_p , it is desirable to increase σ_2 and reduce f for the same $\langle N_2 \rangle$ in order to
11 reduce the likelihood of photo-damage to the gain media. While simply increasing the volume of
12 strongly confined NCs to increase σ_2 appears to be a straight-forward solution, an increase in size
13 for these strongly quantum confined NCs inevitably red shifts their band-edge fluorescence,
14 thereby imposing severe limitations on their spectral tunability. For the fabrication of devices
15 with wavelength specific requirements such as lasers, this presents a formidable challenge.

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36 Herein we demonstrate that these issues may be simultaneously addressed using seeded
37 CdSe/CdS nanorod (NR) heterostructures, which comprise of a spherical CdSe core that is
38 encapsulated by a rod-like CdS shell as the optical gain media. While the CdS shell functions as
39 an antenna in light harvesting, emission from the rod originates primarily from the quantum
40 confined CdSe core.^{8,22-25} This unique optical configuration thus allows for σ_2 and the emission
41 wavelength to be varied independently by adjusting the physical dimensions of the rod-like shell
42 and spherical core respectively. We then show that *via* the use of highly monodisperse CdSe
43 seeded CdS NRs, room temperature ASE *via* 2PE at 800 nm can be obtained with thresholds as
44 low as 1.5 mJ/cm². The NRs were subsequently incorporated into a silica matrix and coupled to a
45 microspherical cavity where single mode lasing at a threshold of 0.99 mJ/cm² was derived. At
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3 such low pump intensities, lasing from the NRs *via* 2PE was stable over the course of 6×10^6
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5 laser shots under ambient conditions, thus making these NC laser devices plausible for use in
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7 practical applications. Ultrafast optical spectroscopy (UOS) also revealed that while the
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9 nonradiative Auger recombination processes ($\tau_{\text{Auger}} \sim 200 - 300$ ps) are indeed suppressed in
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11 these NR heterostructures, the ASE could still be strongly affected by the ultrafast hole trapping
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13 to the NR surface.
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18 19 **Results and Discussion**

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21 In this work, seeded CdSe/CdS NR heterostructures of three different rod lengths (*i.e.* 15 nm,
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23 34 nm and 39 nm) with the same CdSe core (~ 2.4 nm) were investigated. The size of the CdSe
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25 core (< 2.8 nm) indicates that these rods are expected to exhibit a quasi-type II core-shell energy
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27 profile.²⁴ The photoluminescence (PL) quantum yield (QY) of these NRs in toluene were
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29 measured to be 0.75, 0.61 and 0.56, respectively. Their structure and basic optical properties are
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31 shown in Fig. 1. These NR samples in toluene were spin casted onto glass slides to form close-
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33 packed thin films of NRs, with thicknesses of ~ 500 nm. The surface roughness of these films was
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35 characterized using tapping-mode atomic force microscopy which yielded typical root-mean-
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37 square roughness values of ~ 6 nm measured over a $2 \mu\text{m} \times 1 \mu\text{m}$ scan area.
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43 Optical gain in Type-I colloidal semiconductor NCs is generally achieved with the generation
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45 of multiexcitons due to the spin degeneracy in its first excited state. For example, due to a two-
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47 fold degeneracy in the $1S_e-1S_h$ transition of CdSe NCs, biexcitons are required in order to derive
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49 optical gain.^{1,26} The 2PA cross-section σ_2 thus becomes an important metric in determining the
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51 photon flux required to generate biexcitons *via* 2PE and subsequently achieve stimulated
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53 emission. In the case of ASE from NC-based waveguides, it is fairly obvious that increasing
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55 σ_2 without exacerbating other key parameters such as NC volume fraction, Auger recombination
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3 rates and waveguide Q-factors would result in lower threshold fluences. The relationship
4 between the PLQY and that of the ASE threshold, however, can be rather complex. On the one
5 hand, the PLQY may not be as important a factor compared to the extremely fast Auger
6 recombination rates if its non-radiative contribution is comparatively slower. On the other hand,
7 the PLQY is a reflection of the degree of passivation of the NC surface, which has been shown
8 by several reports to be strongly related to Auger recombination processes in the NC.^{27,28}
9
10 Furthermore, given that the carriers are generated primarily in the CdS shell and then undergo
11 charge transfer to the CdSe core in these NR heterostructures, carrier trapping processes to the
12 NR surface states can also be a highly efficient non-radiative pathway that competes effectively
13 with both ASE and Auger recombination processes. Finally, the single exciton PLQY is likely to
14 play a role in the provision of seed photons for the photon cascade that occurs in ASE. Hence, to
15 take into account the contributions from these factors, we use the two-photon action cross-section
16 (*i.e.* $\sigma_2 \times \eta$) of the NC sample as a basis for analyzing the relationship between the threshold
17 fluence and σ_2 .
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38 **Determination of 2PA Cross-sections**

39 As the two-photon pumped ASE of the NR films is strongly dependent on the nonlinear
40 absorption properties of the CdSe seeded CdS NRs, we first determined the 2PA cross-sections
41 (σ_2) of these NR heterostructures using the open aperture Z-scan technique with 150 fs laser
42 pulses (1 KHz) at a wavelength of 800 nm.²⁰ Table 1 lists the σ_2 values measured for samples
43 with different rod lengths, which can ostensibly be described as exhibiting a superlinear
44 dependence. Elucidating the physical origins of this superlinear dependence is non-trivial though
45 it is likely due to the local field effects on the nanostructure size and the density of states.¹⁸⁻²⁰ For
46 39 nm long NRs, a σ_2 value of 2.3×10^5 GM (where 1 GM = 10^{50} cm⁴/s/photon) was measured,
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3 which is two to four orders of magnitude larger than that previously reported for spherical
4 semiconductor QDs and about four orders of magnitude larger than that of typical organic
5 dyes.¹⁸⁻²⁰ Importantly, σ_2 can be varied independently of the CdSe core whose size primarily
6 determines the emission wavelength. The advantages of size dependent emission afforded by the
7 quantum confined CdSe core are therefore preserved in these heterostructures.
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15 16 17 **Two-Photon Pumped Amplified Spontaneous Emission**

18 Figures 3(a) – (c) show the normalized room temperature emission spectra below and above
19 the two-photon pumped ASE (2ASE) threshold for 15 nm, 34 nm and 39 nm long CdSe seeded
20 CdS NRs with a CdSe core size of ~ 2.4 nm respectively. Following 2PA that is dominated by
21 the CdS shell, electron-hole pairs are formed and undergo ultrafast charge localization to the
22 CdSe core within ~ 1 ps, where radiative recombination occurs.^{8,25} Below the threshold fluence,
23 the two-photon pumped spontaneous emission (SE) of the heterostructures dominate the light
24 output with a bandwidth of ~ 40 nm (*i.e.* full width at half maximum (FWHM)). These spectra
25 are indistinguishable from the 1PE PL spectra shown in Fig. 1. Above this threshold, the
26 emission bandwidth reduced to less than 12 nm for all the samples, as a result of gain-induced
27 narrowing. Notably, the 2ASE peaks of all the samples are blue-shifted with respect to the
28 exciton peak with energy separations of 10 meV, 29 meV and 47 meV for the 15 nm, 34 nm and
29 39 nm NR heterostructures, respectively. The origins of this blue shift may be attributed to the
30 quasi-type II band alignment between the CdSe core and CdS shell (valid for CdSe core sizes $<$
31 2.8 nm²²⁻²⁵), which results in a negative biexciton binding energy (repulsive exciton-exciton
32 interaction) that causes its radiative recombination to occur at a higher energy than that of the
33 single exciton.^{1,4,7,26} This repulsive interaction increases with the length of the NR, and stems
34 from a decreased overlap of the electron-hole wavefunctions due to an increased electron
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3 wavefunction delocalization into the rod-like shell. The resulting reduced attractive electron-hole
4 interaction is subsequently commensurate with a stronger repulsive interaction between charges
5 in the core and shell.^{1,4,7,26} It should be noted that it is unlikely that single exciton 2ASE from
6 these heterostructures was obtained since the biexciton repulsion energy is much smaller than the
7 ensemble linewidth of the single-exciton emission (*i.e.*, ~130 meV).⁷ Within quasi-type II band
8 alignment of the CdSe/CdS heterostructure, the 2ASE peak should be tunable between ~550 nm
9 and ~600 nm by tailoring the CdSe core and CdS shell size.^{11,22,24}

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20 Figure 4(a) shows the dependence of the integrated PL intensity on the pump fluence. At
21 relatively low pump intensities (*i.e.*, <1.2 mJ/cm²), the PL emission shows a near quadratic pump
22 intensity dependence for all the samples, which validates the 2PA process at 800 nm.^{20,29} At
23 higher pump intensities, an abrupt change of the slope occurs, indicating a threshold behavior
24 that is characteristic of 2ASE. The 2ASE threshold pump intensities for the 15 nm, 34 nm and 39
25 nm CdSe/CdS heterostructures were found to be 3.4, 1.8 and 1.5 (mJ/cm²), respectively, for
26 samples with comparable film thicknesses (~ 500 nm) and loading fraction (~ 30%).¹⁵ It is
27 evident that the 2ASE threshold pump fluence decreases with increasing rod length, which may
28 be attributed to the increased σ_2 . The 2ASE threshold pump intensities as a function of the
29 heterostructures' 2PA action cross-section ($\sigma_2\eta$) are presented in Fig. 4(b). The plot summarizes
30 the experimental results from this study as well as those collated from the literature.^{14,15} From the
31 fit, the 2ASE threshold pump intensities exhibit a power-law dependence of -0.5 ± 0.1 to $\sigma_2\eta$. It
32 shows that the 2ASE threshold is nearly inversely proportional to $\sigma_2\eta$ (*i.e.*, a near reciprocal
33 relation), which is consistent with the notion that the enhanced 2PA cross-section at 800 nm due
34 to the CdS rod-like shell would effectively lower the pump intensities needed to achieve ASE.
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Within our range of samples measured, the 39 nm long CdSe seeded CdS NRs have the largest

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3 2PA action cross-section and yielded, to the best of our knowledge, the lowest 2ASE threshold to
4 date (*i.e.* $\sim 1.5 \text{ mJ/cm}^2$) for a colloidal NC system. Comparatively, this value is as much as one
5 order less than that obtained for spherical quantum dots.^{14,15} We emphasize that this comparison
6 is based on the achievement of ASE in a waveguide geometry, where unlike in the case of lasing
7 in optical cavities, the thresholds obtained are less strongly dependent on cavity Q-factors and
8 modal volumes. Based on the 2PA cross-sections measured with the Z-scan technique, the
9 number of electron-hole pairs generated per NC at threshold pump fluence are estimated to be
10 approximately 1.3, 1.1 and 1.0 for 15 nm, 34 nm and 39 nm long CdSe seeded CdS NRs,
11 respectively. This result is consistent with previous observations that the NR optical gain derives
12 primarily from the generation of biexcitons.¹⁻¹⁶

27 28 **Transient Optical Spectroscopy**

29 Time resolved two-photon excited PL experiments at room temperature were also performed
30 on the NR films in order to investigate the biexciton modal gain dynamics in these
31 heterostructures. Typical time-resolved three-dimensional (3D) plots of the 2PA induced SE and
32 ASE spectra for the 15 nm CdSe/CdS heterostructures are shown in Fig. 5(a) and 5(b),
33 respectively, while the emission decay curves for all the samples and their corresponding decay
34 fits are shown in Fig. 5 (c) (*i.e.* extracted at the peak of the excitonic emission and photoexcited
35 with a pump fluence of $\sim 0.1 \text{ mJ/cm}^2$). It is readily seen that the time dynamics of 2PA induced
36 SE are similar to that of 1PE and the intensity decays with time *via* a single exponential function,
37 with fitted decay lifetimes for the 15 nm, 34 nm and 39 nm rods at 10 ns, 12 ns and 14 ns,
38 respectively. These fitted lifetimes show that the exciton PL lifetimes increase with increasing
39 rod length, in accordance with the decrease in the CdSe electron-hole wave-function overlap due
40 to more extensive delocalization of the electron wavefunction in the longer rods. However,
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3 compared to an ensemble of relatively isolated NRs in a dilute solution (*i.e.* in a solvent –
4 $\tau_{solution} \sim 20$ ns), the lifetimes obtained for these close packed films are slightly shorter – likely
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6 due to dipole-mediated energy transfer processes between neighboring rods.³⁰ The energy
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8 transfer time (τ_{ET}) is estimated to be around tens of ns with $1/\tau_{film} = 1/\tau_{solution} + 1/\tau_{ET}$.
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10 Therefore, the dipole-dipole interaction between neighboring rods in our film is not expected to
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12 have any significant effect on the 2ASE threshold. (2ASE occurs on a much faster time scale as
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14 shown below).
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20 At pump fluences above threshold for the 15 nm rod sample, a short-lived, spectrally narrow
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22 emission band emerges at energies higher than that of the 2PA induced SE peak, as shown in
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24 Figure 5(b). Figure 5(d) shows its luminescence decay profile at the peak wavelength of the ASE
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26 transition for pump fluences below (*i.e.*, ~ 3 mJ/cm²) and above threshold (*i.e.*, ~ 3.5 mJ/cm²). It
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28 should be noted that at the high pump fluence of ~ 3 mJ/cm², this is already in the regime of
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30 multi-exciton generation, even though the fluence is just below the threshold needed for ASE.
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32 Therefore, the PL decay dynamics exhibit a short lifetime component of ~ 200 ps, which is much
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34 shorter than the typical ~ 10 ns excitonic recombination lifetimes for these NRs. This 200 ps
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36 relaxation time closely matches the Auger-limited biexciton recombination lifetimes in these
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38 CdSe/CdS heterostructures; which were probed using ultrafast transient absorption (TA)
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40 spectroscopy and these results will be presented in the next paragraph. Beyond the threshold
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42 fluence of ~ 3.5 mJ/cm², an even shorter fitted lifetime component of ~ 7 ps dominates the
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44 radiative recombination dynamics, in addition to the ~ 200 ps Auger-limited biexciton
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46 recombination lifetime. This even faster lifetime component is due to the ASE resulting from an
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48 avalanche of biexcitonic recombination, where its lifetime measurements are limited by the
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50 system temporal response of the streak camera.
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Optical gain in semiconductor NCs is strongly dependent on the competition between radiative and non-radiative processes (*i.e.*, multiexciton Auger recombination, fast charge carrier trapping *e.t.c.*). Therefore, it is very important to elucidate and gain a clear understanding of the dynamic interplay between the various carrier relaxation channels through TA spectroscopy. Figure 6(a) shows representative differential transmittance (DT) spectra of these CdSe/CdS heterostructures (in toluene) at probe delay of 2 ps following 3.1 eV photoexcitation. The photobleaching (PB) peaks (*i.e.* $\Delta T/T > 0$) evident in the DT spectra arise from the state-filling of the hole-states in the CdSe core and the electron states in the CdS shell. These correspond to the lowest lying energy levels in the CdSe and CdS system and are labeled as X_0 and Y_0 , respectively.^{8,23,25} With increasing NR length, the amplitude ratio of X_0 to Y_0 decreases, consistent with an increased absorption from the larger rod-like shell. Pump-power dependent bleaching kinetics at X_0 was performed to elucidate the biexciton Auger recombination lifetimes in these NR heterostructures. Figure 6(b) shows the representative decay transients for the 15 nm NRs for different pump powers (expressed as an average number of electron-hole pairs). Using a procedure previously reported by Klimov *et. al.*,²⁶ the biexciton Auger recombination lifetimes were extracted and are shown in the inset of Figure 6(b). These were fitted to be 190, 260 and 300 ps for the 15 nm, 34 nm and 39 nm rods, respectively; which are much longer than the biexciton lifetime (*i.e.*, ~ 45 ps) for 2.3 nm CdSe spherical dots.²⁶ Hence, it may be inferred that the biexcitonic Auger recombination rates are indeed greatly suppressed in these NR heterostructures. The slower rates found in the longer rods are consistent with the decrease in the CdSe electron-hole wavefunction overlap caused by the more extensive delocalization of the electron wavefunction in the longer rods. However, compared to CdSe NRs of similar volume, the biexciton Auger rate is slightly faster in these heterostructures. We attribute this to the strong confinement of the holes in the CdSe core (in our heterostructures) and a more weakly confined electron which delocalizes into

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3 the CdS shell. Hence, it is understandable that the Auger dynamics in this system of mixed
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5 dimensionality are in-between the case of a zero dimensional quantum dot and that of a one-
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7 dimensional quantum rod.²⁶ Further experimental investigations and theoretical modeling are
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9 underway to gain a clearer understanding of the Auger processes that occur in these
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11 heterostructures of mixed dimensionalities. In these CdSe/CdS NR heterostructures where the
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13 Auger rates are suppressed, the optical gain build-up time compares favorably with the non-
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15 radiative Auger processes.
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19 In light of the fact that the suppressed Auger processes may not play a dominant role in the
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21 ultrafast multiexciton dynamics of our 2PE derived ASE from CdSe/CdS NRs, we examined the
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23 dynamics of other possible non-radiative processes, in particular ultrafast charge trapping to
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25 surface states and their impact on the ASE process. As discussed previously, the relationship
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27 between PLQY and the ASE threshold is complicated. If the PLQY is limited by the ultrafast
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29 non-radiative surface trapping processes, it will play a bigger role than previously envisaged for
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31 these mixed-dimensionality heterostructures and this provides a strong justification for our use of
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33 $\sigma_2\eta$ as a basis for analyzing the relationship between threshold fluence and σ_2 . Furthermore, our
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35 ASE threshold measurements show that the 2ASE threshold is nearly inversely proportional to
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37 $\sigma_2\eta$ in the CdSe/CdS NR heterostructures, which indicates that the PLQY (dependent on the NR
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39 length) is indeed limited by some ultrafast non-radiative processes (*i.e.*, other than Auger
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41 recombination). The presence of the ultrafast non-radiative surface trapping processes can be
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43 confirmed through TA spectroscopy.
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51 Figure 7(a) shows the representative normalized bleaching kinetics at X_0 and Y_0 with fs time
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53 resolution for the 39 nm NRs upon photoexcitation with a pump fluence of approximately 1
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55 electron-hole (e-h) pair per rod. Following 1PA at 3.1 eV (or 2PA at 1.55 eV), e-h pairs are
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57 primarily generated in the CdS shell. This results in an ultrafast build up of the PB transients (at
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3 Y_0) that occur in a timescale comparable to the pulse width of the femtosecond laser (*i.e.*, ~150
4 fs). Following fast hole localization from the CdS shell to the CdSe core, the fast build up of the
5 PB transient at X_0 is simultaneously matched with an equally fast PB decay at Y_0 occurring
6 within 1 ps. The ensuing PL emission originates from the radiative recombination between the
7 strongly localized hole in the core and delocalized electron in the NR shell.^{8,22-25} Due to the
8 limitations in temporal resolution (*i.e.* ~ 150 fs) of our fs laser and that of the delay line of the fs-
9 TAS setup (*i.e.*, a maximum of ~ 6 ns, though ~ 3 ns is typically used), it may be difficult to
10 elucidate the evidence of the such surface/trap states from fs-TAS alone. A complimentary
11 approach to validating the presence of such non-radiative surface/trap states in the CdS shell
12 would be to examine the recovery of the system back to the equilibrium condition (on a much
13 longer timescale, *i.e.*, tens of ns to μ s) – with nanosecond (ns-)TA spectroscopy. Figure 7(b)
14 shows the ns-TA kinetics at Y_0 and X_0 , where the PB dynamics clearly show a prolonged
15 recovery of the PB signals back to the equilibrium for Y_0 (*i.e.*, CdS shell) while those of X_0 has
16 already decayed. The lifetime of the fast PB decay component for both Y_0 and X_0 coincides with
17 the PL lifetime of the heterostructures in toluene. Hence, we attribute this long PB lifetime in Y_0
18 to arise from the non-recovery of the electrons in the lowest CdS energy levels back to the
19 equilibrium. This can be attributed to the presence of some holes still being trapped at the CdS
20 surface states. Considering the high PLQY for these heterostructures (*i.e.*, > 50%), we can further
21 infer that the trapping to these surface hole states would have occurred on a timescale slightly
22 longer than the hole relaxing to the CdSe core (*i.e.* within a few ps), which is comparable to
23 surface hole trapping lifetimes reported in the literature for other NCs and NRs.³¹⁻³³ This
24 assignment is consistent with the rod length dependent PLQY measurements where the PLQY
25 decreases with increasing rod length. The longer of the NR, the higher the possibility of the holes
26 being trapped at the surface states as they migrate to the CdSe core. The long decay of Y_0
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3 represents the slow recombination between the surface localized holes with delocalized electrons
4 in the NR. Due to the reduced wavefunction overlap between localized hole and the delocalized
5 electron in the longer NR, one would expect that the recombination lifetimes would increase as
6 the NR length increases. This is indeed validated by the results in the inset of Figure 7(b).
7
8 Nevertheless, despite that the fast surface hole trapping time is comparable with the timescales of
9 the biexcitonic recombination avalanche that triggers the ASE, low ASE thresholds can still be
10 achieved due to the large cross-sections and high PLQY (*i.e.*, action cross-sections) of these NR
11 heterostructures.
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22 **Lasing from a Spherical Cavity**

23 We demonstrate the potential of these CdSe/CdS NRs as a gain medium for two-photon
24 pumped lasing using a spherical optical cavity. Such optical cavities can potentially possess
25 extremely high Q factors because of the strong confinement of photons within a given modal
26 volume.^{34,35} The CdSe/CdS NRs described above were chemically functionalized and
27 incorporated into sol-gel derived silica matrix to permit the adhesion of a thin layer of NRs-silica
28 onto the exterior of commercially available silica microspheres (with diameter of $5.0 \pm 0.3 \mu\text{m}$).
29 The motivation to put NRs-silica composites over silica sphere instead of NRs directly attached
30 to spheres is to increase the damage tolerance of samples to continuous pulsed excitation. Fig
31 8(a) shows an optical image of a typical NR-coated microsphere under optical excitation. Under
32 two-photon (800 nm) pumping, single-mode threshold lasing from the NR-coated microsphere
33 was achieved as shown in Fig 8(b). The laser line exhibits a FWHM ~ 0.75 nm with a Q factor of
34 ~ 800 (*i.e.* the Q factor is defined as $\lambda/\Delta\lambda$, where λ and $\Delta\lambda$ are the wavelength and the FWHM of
35 the laser emission). This value is consistent with previously reported values in similar cavities
36 under one-photon pumping,⁶ and may be attributed to the self-absorption of NRs, scattering from
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3 structural defects within the film and surface roughness. Figures 8(c) and 8(d) show the threshold
4 behavior for two-photon pumped lasing in comparison to one-photon pumped lasing. The
5 threshold fluences are 910 ± 50 and $12 \pm 2 \mu\text{J}/\text{cm}^2$ for two-photon and one-photon pumped lasing
6 respectively. The ratio of their threshold fluence is ~ 100 , which is typical of those for two-photon
7 to one-photon pumped lasing.¹²⁻¹⁶ While slightly lower 2PA lasing thresholds for NCs in
8 spherical optical resonators have previously been reported,¹⁶ it should be recognized that such
9 thresholds are a strong function of the cavity Q-factor which is likely to differ significantly from
10 our setup. It should be noted that increasing the size of the NR shell not only increases σ_2 , but
11 also that of the one-photon absorption cross-section. Nonetheless, compared to spherical
12 semiconductor QDs under similar experimental conditions, both the one-photon and two-photon
13 pumped lasing thresholds are dramatically lowered in these NRs.¹²⁻¹⁶ Lastly, the photostability of
14 our prototype microsphere laser was evaluated by monitoring the lasing intensity as a function of
15 time under a continuous irradiation at a 1 KHz repetition rate under ambient conditions. Figures
16 8(e) and 8(f) show the variation in lasing intensity over 5×10^6 laser shots under one-photon and
17 two-photon pumping respectively. Following such a large number of laser pulse excitation
18 events, the near invariance of the output intensity for both cases bear testimony to the excellent
19 optical stability of the microsphere laser with the CdSe/CdS nanodot/nanorod heterostructures as
20 gain media.

46 47 **Conclusions**

48 In summary, ultralow threshold two-photon pumped ASE and lasing with CdSe/CdS
49 nanodot/nanorod heterostructures was demonstrated for the first time. Essentially, these NR
50 heterostructures afford us the means to independently tune the 2PA cross-section using the CdS
51 shell and the quantum confined emission wavelengths using the CdSe core. Using these NRs as
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3 the gain media, the greatly enhanced σ_2 allows us to achieve 2ASE in thin film samples with an
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5 ultralow threshold pump fluence of 1.5 mJ/cm² for the 39 nm NRs, which is as much as one order
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7 less than that needed for 2ASE of spherical quantum dots. Importantly, by exploiting the unique
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9 property of the seeded NRs to exhibit strong quantum confinement even at relatively large rod
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11 sizes, a near inverse proportional dependence of the 2ASE threshold on the $\sigma_2\eta$ was found and
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13 validated over a wide volume range for II-VI semiconductor nanostructures. New insight into the
14
15 charge dynamics of these NR heterostructures was also uncovered through UOS techniques. Our
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17 investigations revealed that while the 2ASE ($\tau \leq 7$ ps) can effectively compete with the
18
19 suppressed Auger process ($\tau_{\text{Auger}} \sim 200$ ps) in these NR heterostructures, the 2ASE could be
20
21 strongly affected by the competition between hole localization to the CdSe core ($\tau \sim 1$ ps) and to
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23 CdS surface states ($\tau > 1$ ps). Lastly, to demonstrate the potential of these NR heterostructures as
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25 a viable gain media for achieving 2PE lasing, a high stability prototypical microsphere laser with
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27 ultralow pump threshold was showcased.
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36 Experimental Section

37 Seeded CdSe/CdS NRs heterostructures of different rod lengths were prepared according to a
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39 previously published procedure²² with slight modifications. Briefly, spherical CdSe cores of ~ 2.4
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41 nm in size were first synthesized *via* the hot injection method as described in reference²³,
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43 followed by seeded growth of the CdS rod-like shell of different lengths at ~ 360 °C.
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47 For femtosecond optical spectroscopy, the laser source was a Coherent LegendTM regenerative
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49 amplifier (150 fs, 1 KHz, 800 nm) that was seeded by a Coherent MiraTM oscillator (100 fs, 80
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51 MHz). 800 nm wavelength laser pulses were from the regenerative amplifier's output while 400
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53 nm wavelength laser pulses were frequency doubled with a BBO crystal.
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3 For Z-scan measurements, the incident laser pulses were focused onto the sample by a lens
4 with 30 cm focal length. The NR heterostructures in toluene solution were placed in 2 mm thick
5 quartz cell, which was traversed across the focal point along the beam propagation axis.
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10 For femtosecond TA experiments, the samples were pumped at 3.1 eV and probed with white-
11 light continuum. The probe pulses (400-750 nm) were generated by focusing a small portion (~5
12 μJ) of the fundamental 800 nm laser pulses into a 1 mm-thick sapphire plate. The linear
13 polarization of the pump pulse was adjusted to be perpendicular to that of the probe pulse with a
14 polarizer and a half waveplate. The cross-polarization will help eliminate any contribution from
15 coherent artifacts at early times. Pump-induced changes of transmission ($\Delta T/T$) of the probe
16 beam were monitored using a monochromator/PMT configuration with lock-in detection. The
17 pump beam was chopped at 83 Hz and this was used as the reference frequency for the lock-in
18 amplifier.
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31 For 2ASE experiments, the 800 nm laser pulses were focused by a cylindrical lens (with focal
32 length $f = 20$ cm) to a stripe (of dimensions ~ 0.1 mm \times 6 mm) on the films coated on the sample
33 slides, which were aligned perpendicular to the excitation stripe. The emission from the film
34 edge was collected in a lateral configuration by a pair of lenses focused onto an optical fiber
35 coupled to a spectrometer (Acton, Spectra Pro 2500i) and detected by a charge coupled device
36 (Princeton Instruments, PIXIS 400B CCD).
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45 The two-photon pumped time resolved PL was detected by an Optronis OptoscopeTM streak
46 camera system which has an ultimate temporal resolution of ~ 6 ps when operated at the shortest
47 time window of 330 ps. Lastly, lasing from a single microsphere was observed using a home-
48 built confocal microscope with both the excitation pulses and emission light being coupled and
49 collected through the same long working distance 50 \times microscope objective (NA = 0.55); and
50 spectrally resolved by the abovementioned spectrometer and CCD detector.
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3 For nanosecond TA experiments, a laser flash photolysis spectrometer (LKS.60, Applied
4 Photophysics), equipped with a Q-Switched Nd:YAG laser (Brilliant B, Quantel), a 150 W
5 pulsed Xe lamp and a R928 photomultiplier, was used to record ns-difference absorption spectra.
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8 Samples were excited at 440 nm and each time-resolved trace was acquired by averaging 10 laser
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13 shots at a repetition rate of 1 Hz.
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26 **Author Contributions**

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28 §These authors contributed equally to this work.
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12 **Table I.** Average length, quantum yield (η), 2PA cross-section (σ_2), two-electron-hole pair decay
13 time (τ_2) and 2ASE threshold of CdSe/CdS nanodot/nanorod heterostructures.
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length (nm)	η [%]	$\sigma_2^{\#}$ [GM]	τ_2 [ps]	Threshold [mJ/cm ²]
15	75	70000	190	3.4
34	61	190000	260	1.8
39	56	230000	300	1.5

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28 [#]Experimental uncertainty: $\pm 20\%$.
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Figures & Figure Captions:

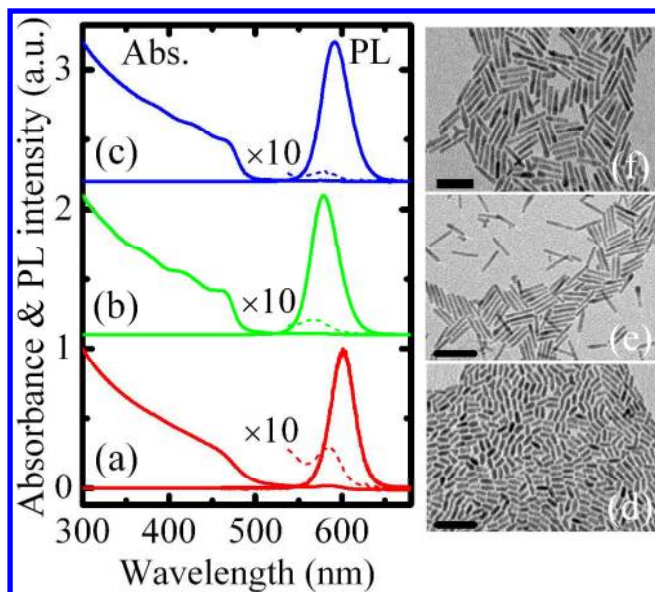


Figure 1. (a), (b) and (c) show the normalized UV-visible absorption spectra (solid line) and its magnified ($\times 10$) region (dashed line), 400 nm excited PL spectra for 15 nm, 34 nm and 39 nm CdSe/CdS nanodot/nanorod heterostructure respectively. (d), (e) and (f) show the corresponding TEM images, scale bars are 50 nm.

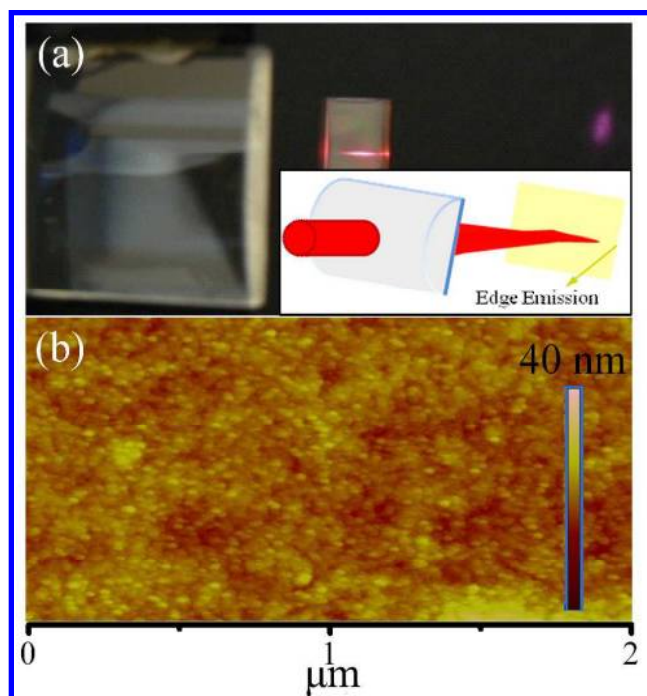


Figure 2. (a) A digital photograph of frequency-upconverted stimulated emission from CdSe/CdS heterostructures film, pumped by 2PA at 800 nm. Inset shows the pumping configuration. (b) Tapping-mode AFM image of the typical surface profile of the close packed CdSe/CdS heterostructure films.

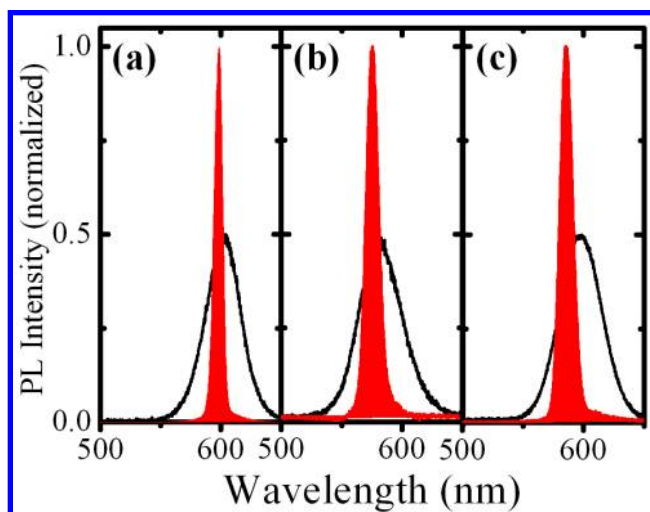


Figure 3. 2ASE spectra (**Red**) obtained from the films with 15 nm (**a**), 34 nm (**b**) and 39 nm (**c**) CdSe/CdS heterostructures at an 800 nm pump wavelength. The 2PA induced SE spectra (**Black**) are also shown for each corresponding sample.

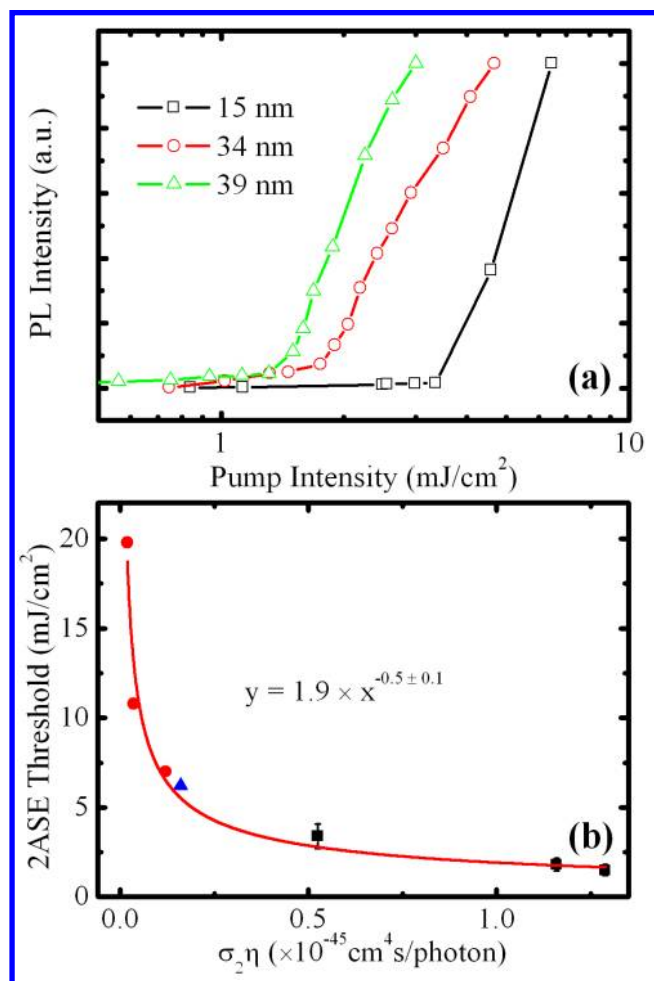


Figure 4. (a) Variable fluence measurements show the 2ASE thresholds of the CdSe/CdS heterostructures. (b) The plot of 2ASE vs. 2PA action cross-section ($\sigma_2\eta$). The experimental results were taken from present work (■), Ref. 14 (●) and Ref. 15 (▲). The red line is a power-law fitting.

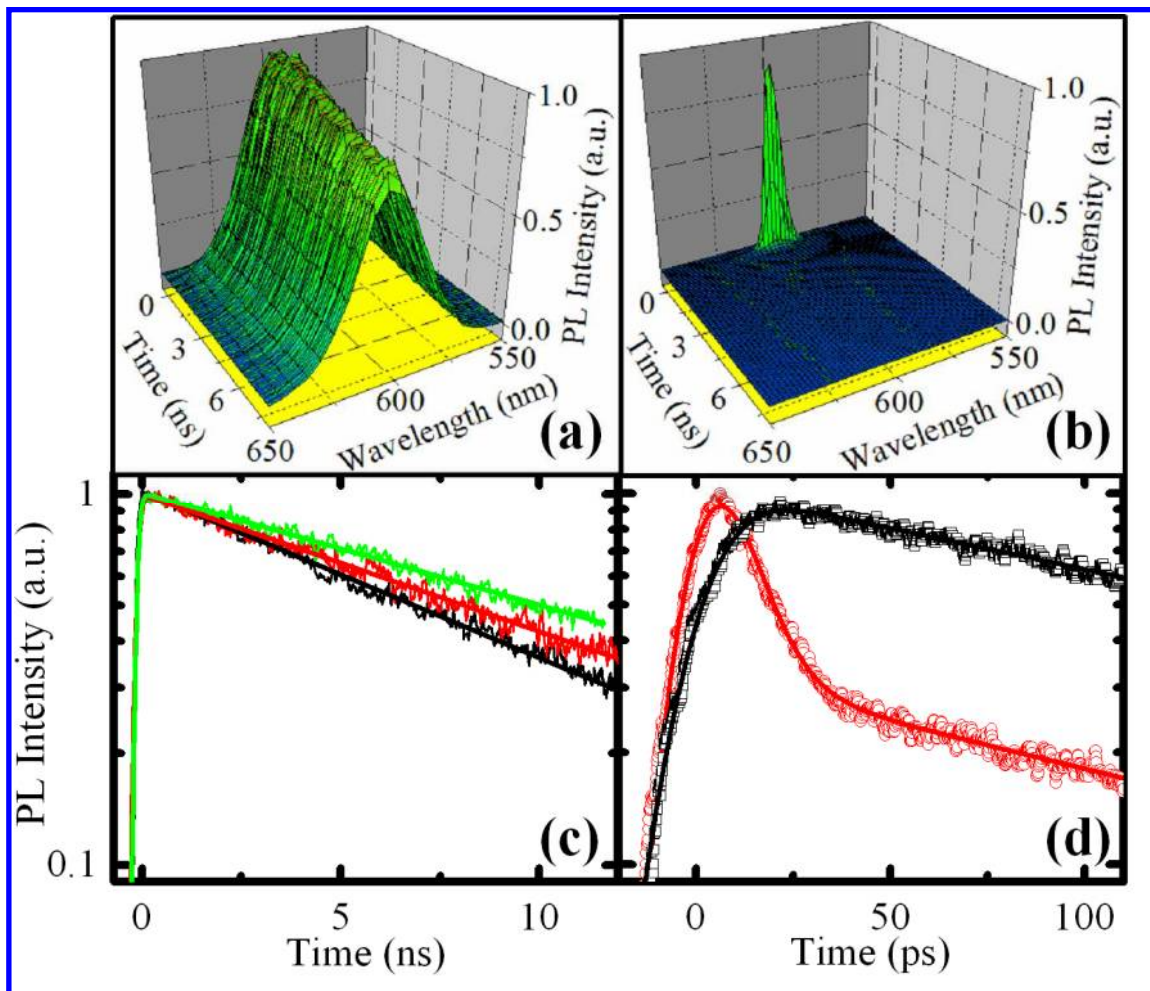


Figure 5. Typical 3D time-resolved 2PA induced SE (a) and ASE (b) spectra for 15 nm CdSe/CdS heterostructures film. (c) 2PA induced SE (integrated ± 5 nm around the emission peak) decay curves and the single-exponential fittings for 15 nm (black), 34 nm (red) and 39 nm (green) heterostructure films. (d) Typical time-resolved PL traces with 2PA excitation fluence just below (black) and above (red) the ASE threshold for the 15 nm heterostructure film.

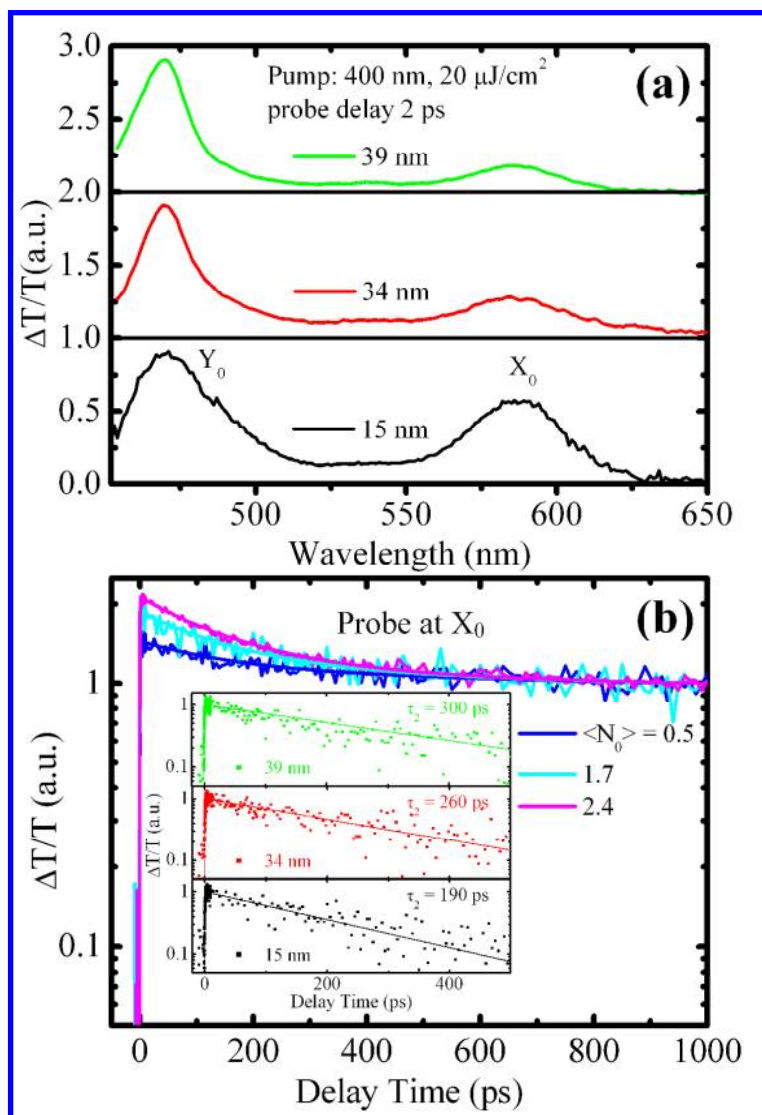


Figure 6. (a) Differential transmission spectra for the nano heterostructures in toluene solution at a probe delay of 2 ps. The bleaching peaks corresponding to the lowest lying energy levels in CdS and CdSe are labeled as Y_0 and X_0 , respectively. (b) Pump fluence-dependent decay transients of X_0 normalized to their long-lived decay component for the 15 nm CdSe/CdS nanorods. The inset show the decay transients of the two electron-hole pair states for the NRs with different lengths, fitted with a single exponential decay function (line).

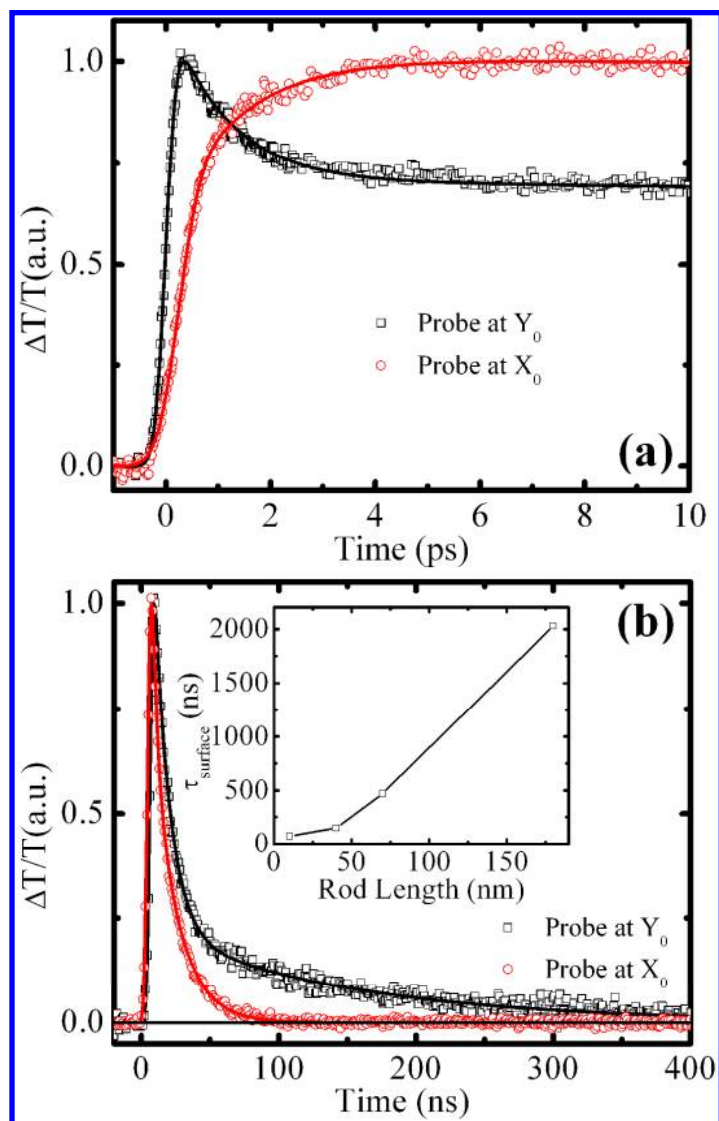


Figure 7. Normalized PB transients at X_0 and Y_0 shown with fs time resolution (a) and ns time resolution (b) for the 39 nm nano rod with an excitation fluence that produces ~ 1 e-h pair per rod. The inset shows the rod length dependent surface trapped hole decay lifetime for the heterostructures.

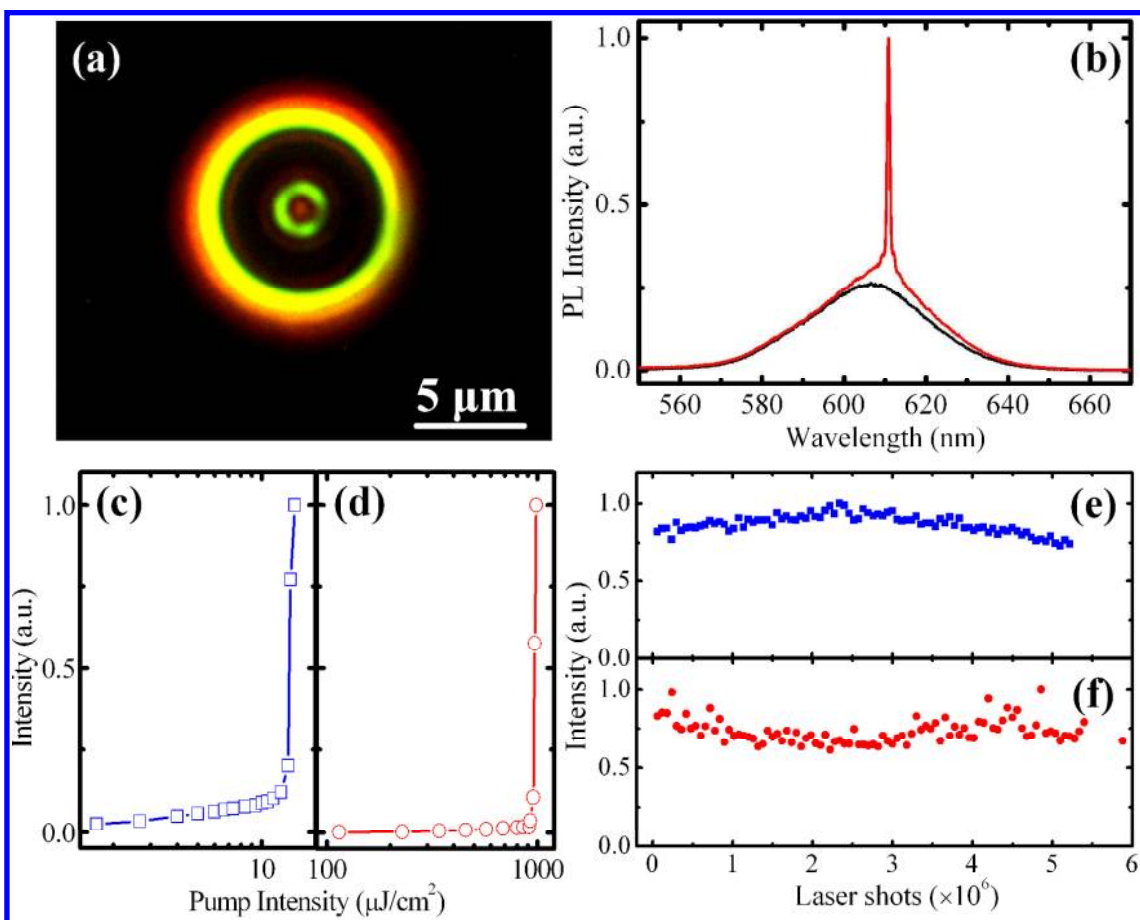


Figure 8. (a) An optical image of a 5 μm silica micro-sphere coated with a CdSe/CdS NRs silica film. (b) The spectra of a single 5 μm microsphere below ($800 \mu\text{J}/\text{cm}^2$) and above ($990 \mu\text{J}/\text{cm}^2$) laser threshold with two-photon pumping. The photoluminescence intensity as a function of pump intensity for one- (blue) (c) and two-photon (red) (d) pumping, respectively. Shot dependent lasing intensity of a microsphere under one- (e) and two-photon (f) pumping.

TOC

