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### Secret-sharing with a class of ternary codes

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#### Abstract

Secret-sharing is an important topic of cryptography and has applications in information security. One approach to the construction of secret-sharing schemes is based on error-correcting codes. In this paper, we describe a secret-sharing scheme based on a class of ternary codes (Ding et al. IEEE Trans. Inform. Theory IT-46 (2000) 280–284). We determine the access structure and prove properties of the secret-sharing scheme.

Keywords: Group character codes; Secret sharing; Cryptography

#### 1. Introduction

In a secret-sharing scheme, a dealer has a secret. The dealer gives each party in the scheme a share of the secret. Let P denote the set of parties involved in the secret-sharing. There is a set  $\Gamma \subseteq 2^P$  such that any subset of parties that is in  $\Gamma$  can determine the secret and no subset in  $2^P \setminus \Gamma$  can determine the secret. The set  $\Gamma$  is called the *access structure* of the secret-sharing scheme.

The first construction of secret-sharing schemes was done by Blakley [3] and Shamir [14]. Since then many other schemes have been proposed and studied. Two kinds of

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approaches to the construction of secret-sharing schemes based on linear codes have been so far considered (see [9–12, 1, 4, 15]). The relations between secret-sharing and codes based on the Chinese Remainder Theorem are dealt with by Ding et al. in [6, Chapter 7].

The access structure of secret-sharing schemes based on error-correcting codes depends on the weight distribution of their dual codes. In fact, the determination of the access structure of those secret-sharing schemes requires more than the knowledge of the weight distribution. This makes it rather difficult to determine the access structure of secret-sharing schemes based on codes, as determining the weight distribution of codes is a very hard problem in general. Note that the weight distribution of only a few classes of codes is known. In principle, every error-correcting linear code can be used to construct secret-sharing scheme. The question is how to determine the access structure.

In this paper, we describe a secret-sharing scheme based on a class of ternary codes which is described and analyzed by Ding et al. [5]. We determine the access structure of the secret-sharing schemes and prove their properties. The access structure of this secret-sharing scheme is richer, compared with the schemes based on some two weight geometric codes [1]. We are able to determine the access structure of our secret-sharing scheme because the structure of the underlying error-correcting ternary codes is fully understood [5].

#### 2. The general secret-sharing scheme based on codes

Recall that a code of length N over GF(q) is a nonempty subset of  $GF(q)^N$ . An [N,k;q] linear code is a k-dimensional subspace of  $GF(q)^N$ . The elements of a code are called *codewords*. The (*Hamming*) weight of a codeword  $\mathbf{c}$ , denoted  $\mathrm{wt}(\mathbf{c})$ , is the number of nonzero positions in  $\mathbf{c}$ . The *minimum distance d* of the code is the smallest (Hamming) distance between any two distinct codewords. Because of linearity, this is also the smallest weight of a nonzero codeword. Sometimes we include d in the notation and describe the code as an [N,k,d;q] code. A generator matrix G of an [N,k;q] code C is a  $k \times N$  matrix over GF(q) whose rows form a basis for C.

One approach to the construction of secret-sharing schemes based on linear codes is as follows. Choose an [N,k;q] code C such that its dual code  $C^{\perp}$  has no codeword of Hamming weight one. Let G be a generator matrix of C. Let  $s \in GF(q)$  denote the secret, and  $\mathbf{g}_0 = (g_{00},g_{10},\ldots,g_{k-1,0})^T$  be the first column of the generator matrix G. Then the information vector  $\mathbf{u} = (u_0,\ldots,u_{k-1})$  is chosen to be any vector of  $GF(q)^k$  such that  $s = \mathbf{u}\mathbf{g}_0 = \sum_{i=0}^{k-1} u_i g_{i0}$ .

The codeword corresponding to this information vector  $\mathbf{u}$  is

$$\mathbf{t} = (t_0, t_1, \dots, t_{N-1}) = \mathbf{u}G.$$

We give  $t_i$  to the party  $p_i$  as their share for each  $i \ge 1$ , and the first component  $t_0 = s$  of the codeword  $\mathbf{t}$  is the secret. So the number of parties involved in this secret-sharing scheme is N-1.

It is not hard to prove that in the secret-sharing scheme based on a generator matrix  $G = [\mathbf{g}_0 \mathbf{g}_1, \dots, \mathbf{g}_{N-1}]$  of an [N, k; q] linear code such that  $\mathbf{g}_0$  is a linear combination of the other N-1 columns  $\mathbf{g}_1, \dots, \mathbf{g}_{N-1}$ , the secret  $t_0$  is determined by the set of shares  $\{t_{i_1}, \dots, t_{i_m}\}$  if and only if  $\mathbf{g}_0$  is a linear combination of the vectors  $\mathbf{g}_{i_1}, \dots, \mathbf{g}_{i_m}$ , where  $1 \le i_1 < \dots < i_m \le N-1$  and  $m \le N-1$ .

Computing the secret is straightforward: solve the linear equation

$$\mathbf{g}_0 = \sum_{j=1}^m x_j \mathbf{g}_{i_j}$$

to find  $x_i$ , and the secret is then given by

$$t_0 = \mathbf{ug}_0 = \sum_{j=1}^m x_j \mathbf{ug}_{i_j} = \sum_{j=1}^m x_j t_{i_j}.$$

Secret-sharing schemes based on this general approach were considered by Karnin et al. [7], and Massey [9, 10]. The approach of McEliece and Sarwate is different but closely related [11].

For secret-sharing schemes based on the Karnin–Green–Hellman approach, Massey introduced the concept of minimal codewords and characterized the resulting access structures [9, 10]. We state his characterization in the following lemma which will be needed in later sections.

**Lemma 1.** Let G be a generator matrix of an [N,k;q] code C whose dual code  $C^{\perp}$  does not have any codeword of Hamming weight 1. In the secret-sharing scheme based on G, a set of shares  $\{t_{i_1}, t_{i_2}, \ldots, t_{i_m}\}$  determines the secret if and only if there is a codeword

$$(1,0,\ldots,0,c_{i_1},0,\ldots,0,c_{i_m},0,\ldots,0)$$

in the dual code  $C^{\perp}$ , where  $c_{i_j} \neq 0$  for at least one j,  $1 \leq i_1 < \cdots < i_m \leq N-1$  and  $1 \leq m \leq N-1$ .

Here we would point out that this lemma was incorrectly stated in [1, 12], but other results in the two references are still correct.

We also mention the fact that for secret-sharing schemes based on the above approach, a set of shares either determines the secret or gives no information about it, i.e., such schemes are *perfect*. This fact and Lemma 1 will be used to determine the access structure of our secret-sharing scheme later. The access structure of secret-sharing schemes based on error-correcting codes is closely related to the parameters of the codes. For details, we refer to [12].

#### 3. The class of ternary codes

Note that  $(GF(2)^n, +)$  is an additive Abelian group of exponent 2 and order  $N = 2^n$ , with  $\mathbf{0}$  as the identity element. From now on we assume that  $n \ge 2$ . Let M denote the multiplicative group of characters from  $GF(2)^n$  to  $GF(3)^*$ . The group M is isomorphic non-canonically to  $GF(2)^n$  [13, Chapter 6]. In particular we have  $|M| = |GF(2)^n| = N = 2^n$ .

The set  $GF(2)^n$  may be identified with the set of integers  $\{i: 0 \le i \le 2^n - 1\}$ : the element  $(i_0, i_1, \ldots, i_{n-1})$  of  $GF(2)^n$  is identified with  $i = i_0 + i_1 2 + \cdots + i_{n-1} 2^{n-1}$ , where each  $i_j$  is 0 or 1. We also say that  $(i_0, i_1, \ldots, i_{n-1})$  is the binary representation of i. We define

$$f_i(y) = (-1)^{i_0 y_0 + i_1 y_1 + \dots + i_{n-1} y_{n-1}}, \tag{1}$$

where  $y = (y_0, y_1, \dots, y_{n-1}) \in GF(2)^n$ , and  $(i_0, i_1, \dots, i_{n-1})$  is the binary representation of i. It is easy to check that, for all i with  $0 \le i \le 2^n - 1$ , this gives all the  $2^n$  characters from  $GF(2)^n$  to  $GF(3)^*$  with  $f_0$  as the trivial character, so  $M = \{f_0, f_1, \dots, f_{2^n-1}\}$ . Since we identify i and j with their respective binary representation, we have  $f_i(j) = f_j(j)$ .

For any subset X of  $GF(2)^n$ , the group character code  $C_X$  over GF(3) described by Ding et al. [5] is

$$C_X = \left\{ (c_0, c_1, \dots, c_{N-1}) \in GF(3)^N : \sum_{i=0}^{N-1} c_i f_i(x) = 0 \text{ for all } x \in X \right\}.$$

Let  $X = \{x_0, x_1, \dots, x_{t-1}\}$  be a subset of  $GF(2)^n$  and let  $X^c$  be the complement of X in  $GF(2)^n$ , indexed such that  $GF(2)^n = \{x_0, x_1, \dots, x_{N-1}\}$ .

**Proposition 2** (Ding et al. [5, Proposition 2 and Section 3]). Let X be as above. For  $0 \le i \le N - 1$ , let  $\mathbf{v}_i$  denote the vector

$$(f_0(x_i), f_1(x_i), \dots, f_{N-1}(x_i)).$$

Then the set  $\{\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_{N-1}\}$  is linearly independent. In particular,

$$H = [f_{j-1}(x_{i-1})]_{1 \le i \le t, \ 1 \le j \le N}$$

has rank t and is a parity check matrix of  $C_X$ ,

$$G = [f_{j-1}(x_{t-1+i})]_{1 \le i \le N-t, \ 1 \le j \le N}$$

has rank N-t and is a generator matrix for  $C_X$ , so  $C_X$  is an [N,N-t] linear code over GF(3). Moreover, H is a generator matrix for  $C_{X^c}$  and  $C_X \oplus C_{X^c} = GF(3)^N$ .

**Definition.** The Hamming weight of a vector  $\mathbf{a}$  of  $GF(2)^n$ , denoted  $\operatorname{wt}(\mathbf{a})$ , is defined to be the number of its nonzero coordinates. For  $-1 \le r \le n$ , let  $X(r,n) = \{\mathbf{a} \in GF(2)^n :$ 

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The weight distribution	ı in	$C_3(1,$	n)
Table 1			

Range of $m$ $0 \le m \le n$	Weight	Frequency	Codeword type $1 \le i_k \le n$
$m \equiv 0 \pmod{3}$	$2^{n}-2^{n-m}\frac{2^{m}+(-1)^{m/3}2}{3}$	$\binom{n}{m}2^m$	$\sum_{k=1}^{m} a_k \mathbf{v}_{i_k}$
$m \equiv 0 \pmod{3}$	$2^{n} - 2^{n-m} \frac{2^{m} + (-1)^{m/3} 2}{3}$ $2^{n} - 2^{n-m} \frac{2^{m} - (-1)^{m/3}}{3}$ $2^{n} - 2^{n-m} \frac{2^{m} - (-1)^{(m-1)/3} 2}{3}$	$\binom{n}{m} 2^{m+1}$	$a\mathbf{v}_0 + \sum_{k=1}^m a_k \mathbf{v}_{i_k}$
$m \equiv 1 \pmod{3}$	$2^{n}-2^{n-m}\frac{2^{m}-(-1)^{(m-1)/3}2}{3}$	$\binom{n}{m}2^m$	$\sum_{k=1}^{m} a_k \mathbf{v}_{i_k}$
$m \equiv 1 \pmod{3}$	$2^{n}-2^{n-m}\frac{2^{m}+(-1)^{(m-1)/3}}{3}$	$\binom{n}{m} 2^{m+1}$	$a\mathbf{v}_0 + \sum_{k=1}^m a_k \mathbf{v}_{i_k}$
$m \equiv 2 \pmod{3}$	$2^{n}-2^{n-m}\frac{2^{m}+(-1)^{(m-2)/3}2}{3}$	$\binom{n}{m}2^m$	$\sum_{k=1}^{m} a_k \mathbf{v}_{i_k}$
$m \equiv 2 \pmod{3}$	$2^{n} - 2^{n-m} \frac{2^{m} + (-1)^{(m-1)/3}}{3}$ $2^{n} - 2^{n-m} \frac{2^{m} + (-1)^{(m-2)/3}}{3}$ $2^{n} - 2^{n-m} \frac{2^{m} - (-1)^{(m-2)/3}}{3}$	$\binom{n}{m} 2^{m+1}$	$a\mathbf{v}_0 + \sum_{k=1}^m a_k \mathbf{v}_{i_k}$

wt( $\mathbf{a}$ )>r}, and let  $C_3(r,n)$  denote the code  $C_{X(r,n)}$  over GF(3). For a word  $\mathbf{c} = (c_0, \ldots, c_{2^n-1})$  in  $GF(3)^{2^n}$ , let the support of  $\mathbf{c}$  be defined as

$$\text{Supp}(\mathbf{c}) = \{i : 0 \le i < 2^n, \text{ and } c_i \ne 0\}.$$

By convention we define the minimum distance of the zero code to be  $\infty$ .

**Proposition 3** (Ding et al. [5]). The following properties of the codes  $C_3(r,n)$  are known:

- (A)  $C_3(r,n)$  is a  $[2^n, \sum_{i=0}^r {n \choose i}, 2^{n-r}]$  ternary code.
- (B) The minimum nonzero weight codewords generate  $C_3(r,n)$ .
- (C) The dual code  $C_3(r,n)^{\perp}$  is equivalent to  $C_3(n-r-1,n)$ .

In the sequel we define  $\mathbf{v}_0 = (1, 1, \dots, 1) \in GF(3)^n$  and

$$\mathbf{v}_i = (f_0(\mathbf{e}_i), f_1(\mathbf{e}_i), \dots, f_{N-1}(\mathbf{e}_i))$$

for all  $1 \le i \le n$ , where  $\mathbf{e}_i$  is the vector of  $GF(2)^n$  whose *i*th coordinate is 1 and other coordinates are all zero.

**Proposition 4** (Ding et al. [5]). The weight distribution in the code  $C_3(1, n)$  is given in Table 1, where all the a and  $a_i$  are nonzero elements of GF(3).

**Proposition 5.** For any integer  $1 \le m \le n$ , in the code  $C_3(1, n)$  there are  $\binom{n+1}{m} 2^m$  codewords of the form  $\sum_{i=0}^{m-1} a_i \mathbf{v}_{i_i}$  which have the same Hamming weight

$$w(m) := 2^{n} - 2^{n-m} \frac{2^{m} + (-1)^{(m+2r)/3} 2}{3}, \tag{2}$$

where all  $a_j \in GF(3)^*$ ,  $r = m \mod 3$  is the unique remainder with  $0 \le r \le 2$ , and  $0 \le i_0 < i_1 < \cdots < i_{m-1} \le n$ .

The n weights w(m) in (2) are pairwise distinct and satisfy

$$w(2) < w(4) < w(6) < \dots < w(2\lfloor n/2 \rfloor) < w(2\lfloor (n-1)/2 \rfloor + 1)$$
  
 $< w(2\lfloor (n-1)/2 \rfloor - 1) < \dots < w(5) < w(3) < w(1).$ 

**Proof.** We first prove that all the  $\binom{n+1}{m}2^m$  codewords of the form  $\sum_{j=0}^{m-1}a_j\mathbf{v}_{i_j}$  have the same weight. We prove this in three cases.

Case 1:  $m \equiv 0 \pmod{3}$ . In this case, we have  $m-1 \equiv 2 \pmod{3}$ . If  $\mathbf{v}_0$  appears in the sum  $\sum_{i=0}^{m-1} a_i \mathbf{v}_{i_i}$ , according to the last row of Table 1 this codeword has weight

$$2^{n} - 2^{n-(m-1)} \frac{2^{(m-1)} - (-1)^{(m-3)/3}}{3}$$

$$= 2^{n} - 2^{n-m} \frac{2^{m} - (-1)^{(m-3)/3} 2}{3}$$

$$= 2^{n} - 2^{n-m} \frac{2^{m} + (-1)^{m/3} 2}{3}.$$

If  $\mathbf{v}_0$  is not involved, according to the first row of Table 1 this codeword has weight

$$2^{n}-2^{n-m}\frac{2^{m}+(-1)^{m/3}2}{3}$$
,

which is the same. This proves the conclusion for Case 1.

Case 2:  $m \equiv 1 \pmod{3}$ . The proof is similar to that of Case 1, except that rows 2 and 3 of Table 1 are used instead.

Case 3:  $m \equiv 2 \pmod{3}$ . The proof is similar to that of Case 1, except that rows 4 and 5 of Table 1 are used instead.

It is straightforward to get

$$w(2j+2) = w(2j) + 2^{n-(2j+1)},$$

$$w(2j+1) = w(2j-1) - 2^{n-2j}.$$

We now prove that

$$w(2\lfloor n/2\rfloor) + 2 = w(2\lfloor (n-1)/2\rfloor + 1). \tag{3}$$

Assume that n = 2j is even. Then

$$w(2\lfloor n/2\rfloor) = 2^n - \frac{2^n + 2}{3}$$

and

$$w(2\lfloor (n-1)/2\rfloor + 1) = 2^n - \frac{2^n - 4}{3}.$$

So (3) is true when n is even. We can similarly prove that it is also true when n is odd. The inequalities then follow.  $\square$ 

Proposition 5 gives not only the weight distribution of  $C_3(1, n)$ , but also the information which codewords have the weights. It also shows an interesting pattern in the weight distribution.

#### 4. Our secret-sharing scheme

#### 4.1. Splitting a big secret into a string of small ones

In our secret-sharing scheme, the secret to be shared could be a positive integer or an element of  $GF(3^m)$ . Any positive integer s has the 3-adic expansion

$$s = s_0 + s_1 3 + s_2 3^2 + \dots + s_i 3^j$$

where each  $s_i \in \{0, 1, 2\}$  for all  $0 \le i \le j$  and  $s_j \ne 0$ . In this case, sharing the secret s becomes sharing each  $s_i$  one by one.

If the secret s is an element of  $GF(3^m)$  for some positive integer m, it can be represented as

$$s = s_0 + s_1 \alpha + s_2 \alpha^2 + \dots + s_{m-1} \alpha^{m-1},$$

where  $\{1, \alpha, \alpha^2, \dots, \alpha^{m-1}\}$  is a basis of  $GF(3^m)$  over GF(3), and  $s_i$  is again an element of GF(3). In this case, sharing s becomes sharing each  $s_i$  one by one.

#### 4.2. Sharing small secrets

As we split a big secret into a string of smaller ones, we assume that the secret s is an element of  $GF(3) = \{0, 1, 2\}$ . This secret is shared among  $2^n - 1$  parties. We use the code  $C_3(1, n)^{\perp}$  to establish our secret-sharing scheme, and we use the approach described in Section 2. By Proposition 3  $C_3(1, n)$  and  $C_3(1, n)^{\perp}$  are  $[2^n, n+1, 2^{n-1}]$  and  $[2^n, 2^n - n - 1, 4]$  ternary codes, respectively.

Let G be a generator matrix of  $C_3(1,n)^{\perp}$ . Let  $s \in GF(3)$  denote the secret, and  $\mathbf{g}_0 = (g_{00},g_{10},\ldots,g_{2^n-n-2,0})^{\mathrm{T}}$  be the first column of the generator matrix G. Then the information vector  $\mathbf{u} = (u_0,\ldots,u_{2^n-n-2})$  is chosen to be any vector of  $GF(3)^{2^n-n-1}$  such that  $s = \mathbf{u}\mathbf{g}_0 = \sum_{i=0}^{2^n-n-2} u_i g_{i0}$ .

The codeword corresponding to this information vector  $\mathbf{u}$  is

$$\mathbf{t} = (t_0, t_1, \dots, t_{2^n-1}) = \mathbf{u}G.$$

We give  $t_i$  to party  $p_i$  as his share, and the first component  $t_0 = s$  of the codeword **t** is the secret. This explains how to compute the shares. Recovering the secret s can be done by solving linear equations, as described in Section 2.

The following property of the code  $C_3(1, n)$  is useful in understanding the access structure of our secret-sharing schemes.

**Proposition 6** (Ding et al. [5]). The supports of all the minimum weight codewords of  $C_3(1, n)$  form a  $1 - (2^n, 2^{n-1}, n(n+1)/2)$  design. The 2n(n+1) minimum weight codewords are

$$a\mathbf{v}_i + b\mathbf{v}_i$$
,  $0 \le i < j \le n$ ,  $a, b \in GF(3)^*$ .

In some applications, a party may modify his share of the secret in order to cheat. We call such a party a *cheater*. In some cases, it would be good if a secret sharing scheme could detect and correct some false shares.

**Theorem 7.** The access structure of this secret-sharing scheme is given by

$$\Gamma = \{Q \subseteq \{1, 2, \dots, 2^n - 1\} \mid Q \text{ contains an element of } \Pi\},$$

where

$$\Pi = \{ \operatorname{Supp}(\mathbf{c}) \cap \{1, \dots, 2^n - 1\} \mid \mathbf{c} = (c_0, \dots, c_{2^n - 1}) \in C_3(1, n), \ c_0 \neq 0 \}.$$

The number of parties involved in this scheme is  $2^n - 1$ . The access structure has the following properties:

- (A) Any group of less than  $2^{n-1} 1$  parties cannot recover the secret. Thus, more than half of the parties are needed to recover the secret.
- (B) There are n(n+1)/2 groups of  $2^{n-1}-1$  parties that can recover the secret. They are  $\text{Supp}(\mathbf{v}_i + \mathbf{v}_i) \cap \{1, 2, \dots, 2^n 1\}$ , where  $0 \le i < j \le n$ .
- (C) It is perfect, i.e., a group of shares either determine the secret or gives no information about the secret.
- (D) When all the parties come together, one cheater can be found.

**Proof.** Note that the subscripts of our codewords range from 0 to  $2^n - 1$ . The access structure of this secret-sharing scheme follows from Lemma 1. By Proposition 3, the minimum weight of  $C_3(1, n)$  is  $2^{n-1}$ . The conclusion of Part (A) then follows from Lemma 1.

We now prove Part (B). By Proposition 5, there are  $\binom{n+1}{2}$  4 minimum-weight codewords, which are  $a\mathbf{v}_i + b\mathbf{v}_j$ , where  $a,b \in GF(3)^*$ . It is easily seen that two minimum-weight codewords have the same support if and only if one is a multiple of the other, so the  $\binom{n+1}{2}$  2 minimum-weight codewords  $\mathbf{v}_i + b\mathbf{v}_j$  have different supports, where b ranges over  $\{1,2\}$ . But the first coordinate of the codewords  $\mathbf{v}_i + 2\mathbf{v}_j$  is zero. Hence the  $\binom{n+1}{2}$  minimum-weight codewords  $\mathbf{v}_i + \mathbf{v}_j$  give the different groups of  $2^{n-1} - 1$  participants that can recover the secret.

The conclusion of Part (C) is true for all such secret-sharing schemes based on linear codes [10].

Note that the code  $C_3(1, n)^{\perp}$  has minimum weight 4. Deleting the first coordinate of this code gives a code with minimum weight 3 or 4. Hence, it can detect and correct one error. Thus, the conclusion of (D) follows.  $\square$ 

#### 5. An example of the secret-sharing schemes

In this section, we describe an example of our secret-sharing scheme described in Section 4, specifically, the case n = 3. This is a secret-sharing scheme involving seven parties.

The code  $C_3(1,3)$  is a [8, 4, 4] ternary code with generator matrix

Its dual code  $C_3(1,3)^{\perp}$  is a [8,4,4] ternary code with generator matrix

Let  $s \in GF(3)$  be the secret. Choose any vector  $(u_1, u_2, u_3, u_4) \in GF(3)^4$  such that  $u_1 + u_2 + u_3 + u_4 = s$ . There are 27 such vectors. The shares  $t_1, t_2, \ldots, t_7$  for the parties  $p_1, p_2, \ldots, p_7$  are computed as follows:

If  $\{t_{j_1}, t_{j_2}, \dots, t_{j_m}\}$  can be used to recover the secret s, then solve the following equation:

The secret s is then given by

$$s = \sum_{e=1}^{7} x_e t_{j_e}.$$

All the codewords of  $C_3(1,3)$  tell us that a group of parties  $\{p_{j_1}, p_{j_2}, \dots, p_{j_e}\}$  can recover the secret if and only if the set  $\{j_1, j_2, \dots, j_e\}$  contains one of the following

sets:

$$\{3,4,7\}, \{2,5,7\}, \{2,3,6\},\$$
 $\{1,6,7\}, \{1,3,5\}, \{1,2,4\},\$ 
 $\{1,2,3,7\}, \{4,5,6,7\}, \{3,4,5,6\},\$ 
 $\{2,4,5,6\}, \{1,4,5,6\}.$ 
(4)

Note that each of the parties  $p_1$ ,  $p_2$ ,  $p_3$  and  $p_7$  appears 5 times in the above 11 subsets and each of the rest appears 6 times. Thus, each party has more or less the same importance in this secret-sharing scheme.

By Proposition 6, the supports of all the minimum-weight codewords form a 1-design. But the example above shows that the n(n + 1)/2 groups of  $2^{n-1} - 1$  parties, obtained from the minimum codewords of  $C_3(1,n)$ , do not form a 1-design in general.

#### 6. The minimum access structure

Let  $\Gamma$  be the access structure of a secret-sharing scheme. An element B of  $\Gamma$  is called a *minimum access group* if no element of  $\Gamma$  is a proper subset of B. The set of all minimum access groups is called the *minimum access structure*, denoted  $\Gamma$ , of this secret-sharing scheme. In other words,  $\Gamma$  is a subset of  $\Gamma$  such that

- (1) a group of parties can determine the secret if and only if it contains an element of  $\Gamma$  as a subset;
- (2) no element of  $\underline{\Gamma}$  contains another element of  $\underline{\Gamma}$ .

For example, (4) gives the minimum access structure of the secret-sharing scheme described in Section 5.

The minimum access structure of a secret-sharing scheme is interesting in the following senses:

- (1) It gives all the information about the access structure of the secret-sharing scheme, and the information it contains has no redundancy.
- (2) It shows the role of each party in the secret sharing. The determination of the minimum access structure is in general a hard problem.

For our secret-sharing scheme based on the ternary code  $C_3(1, n)^{\perp}$ , the determination of the minimum access structure is related to the weight distribution of the second-order code  $C_3(2, n)$ .

We now prove a property of minimum access groups.

**Theorem 8.** Any minimum access group of our secret-sharing scheme based on  $C_3(1, n)^{\perp}$  must contain w(m) - 1 parties for some m with  $1 \le m \le n$ , where w(m) is defined as in Proposition 5.

**Proof.** By Theorem 7 and the definition of minimum access groups, for any minimum access group B we have  $B \in \Pi$ , where

$$\Pi = \{ \operatorname{Supp}(\mathbf{c}) \cap \{1, \dots, 2^n - 1\} \mid \mathbf{c} = (c_0, \dots, c_{2^n - 1}) \in C_3(1, n), \ c_0 \neq 0 \}.$$

The conclusion then follows from Proposition 5.  $\square$ 

We say that a codeword **a** covers another codeword **b** if Supp(**a**) contains Supp(**b**). By Theorem 8, to find the minimum access structure of our secret sharing scheme, we need only to look at the supports of the codewords of  $C_3(1,n)$ . Hence, for our secret-sharing scheme based on  $C_3(1,n)^{\perp}$ , the determination of the minimum access structure becomes the problem of finding the set W of codewords in  $C_3(1,n)$  such that

- (1) every codeword in  $C_3(1, n)$  covers a codeword in W;
- (2) if one codeword in W covers another one in W, they must have the same support. The following lemma is easily proved.

**Lemma 9.** A codeword **a** covers another codeword **b** if and only if  $\operatorname{wt}(\mathbf{a} \otimes \mathbf{b}) = \operatorname{wt}(\mathbf{b})$ , where  $\mathbf{a} \otimes \mathbf{b} = (a_1b_1, a_2b_2, \dots, a_nb_n)$ ,  $\mathbf{a} = (a_1, \dots, a_n)$  and  $\mathbf{b} = (b_1, \dots, b_n)$ .

Let **a** and **b** be two codewords of  $C_3(1, n)$ . By definition both can be expressed as

$$\mathbf{a} = \sum_{l=1}^{t_a} a_{i_l} \mathbf{v}_{i_l}, \quad \text{where } a_{i_l} \in GF(3)^*,$$

$$\mathbf{b} = \sum_{h=1}^{l_b} b_{j_h} \mathbf{v}_{j_h}, \quad \text{where } b_{j_h} \in GF(3)^*,$$

where  $0 \le i_1 < \cdots < i_{t_a} \le n$ ,  $0 \le j_1 < \cdots < j_{t_b} \le n$ , and the  $\mathbf{v}_i$  are defined as before. Hence

$$\mathbf{a} \otimes \mathbf{b} = \sum_{l=1}^{t_a} \sum_{h=1}^{t_b} a_{i_l} b_{j_h} \mathbf{v}_{i_l} \otimes \mathbf{v}_{j_h}.$$

By the definition of  $C_3(2, n)$ ,  $\mathbf{a} \otimes \mathbf{b}$  is a codeword of  $C_3(2, n)$ . Therefore, as long as we can determine the weights of the codewords of  $C_3(2, n)$ , we are able to determine the set W and hence the minimum access structure of our secret-sharing scheme.

**Open Problem.** Determine the weight distribution of the code  $C_3(2, n)$ .

As mentioned above, the determination of the minimum access structure of our secret sharing-scheme is not easy. However, we are able to determine some members of the minimum access structure, as shown below.

We first determine the distinct supports of the codewords  $\sum_{j=0}^{3} a_{j} \mathbf{v}_{i_{j}}$ .

#### **Proposition 10.** Let

$$\mathbf{x} = \sum_{k=0}^{3} a_k \mathbf{v}_{i_k}$$
 and  $\mathbf{y} = \sum_{k=0}^{3} b_k \mathbf{v}_{j_k}$ 

be two codewords of  $C_3(1, n)$ , where  $0 \le i_k \le n$ ,  $0 \le j_k \le n$   $(0 \le k \le 3)$ , and  $a_i$ ,  $b_i \in GF(3)^*$ . Then **x** covers **y** if and only if **x** is a multiple of **y**.

**Proof.** First we note that, if x is a multiple of y, then clearly x covers y. Conversely, assuming x covers y, we prove that x is a multiple of y in several steps.

Step 1: If **x** covers **y**, then  $\{i_0, i_1, i_2, i_3\} = \{j_0, j_1, j_2, j_3\}$ . By Proposition 5, we have

$$w(2) < w(4) < w(6) < w(8) < w(7) < w(5) < w(3) < 2^n$$
(5)

and that  $\mathbf{x}$  and  $\mathbf{y}$  have the same weight. Suppose that  $\mathbf{x}$  covers  $\mathbf{y}$ . Then  $\operatorname{wt}(\mathbf{x}) \geqslant \operatorname{wt}(\mathbf{x}+\mathbf{y})$  and  $\operatorname{wt}(\mathbf{x}) \geqslant \operatorname{wt}(\mathbf{x}-\mathbf{y})$ . It follows that  $|\{i_0,i_1,i_2,i_3\} \cap \{j_0,j_1,j_2,j_3\}| \geqslant 2$ . Without loss of generality, we assume that  $i_2 = j_2$  and  $i_3 = j_3$ . Note that one of  $\mathbf{x} \pm \mathbf{y}$  has at least the term  $\mathbf{v}_{i_2}$  or  $\mathbf{v}_{i_3}$ . By (5) we have  $|\{i_0,i_1\} \cap \{j_0,j_1\}| \geqslant 1$ . Without loss of generality, we assume that  $i_1 = j_1$ . Whence, we have

$$\mathbf{x} = a_0 \mathbf{v}_{i_0} + a_1 \mathbf{v}_{i_1} + a_2 \mathbf{v}_{i_2} + a_3 \mathbf{v}_{i_3},$$

$$\mathbf{y} = b_0 \mathbf{v}_{j_0} + b_1 \mathbf{v}_{i_1} + b_2 \mathbf{v}_{i_2} + b_3 \mathbf{v}_{i_3}.$$

If  $i_0 \neq j_0$ , then one of the following two statements must be true:

- (i) one of  $\mathbf{x} + \mathbf{y}$  and  $\mathbf{x} \mathbf{y}$  has exactly three terms among  $\mathbf{v}_{i_0}, \mathbf{v}_{j_0}, \mathbf{v}_{i_1}, \mathbf{v}_{i_2}$  and  $\mathbf{v}_{i_3}$  (and the other has four terms); or
- (ii) one of  $\mathbf{x} + \mathbf{y}$  and  $\mathbf{x} \mathbf{y}$  has exactly five terms among  $\mathbf{v}_{i_0}, \mathbf{v}_{j_0}, \mathbf{v}_{i_1}, \mathbf{v}_{i_2}$  and  $\mathbf{v}_{i_3}$  (and the other has two terms).
- By (5) the weight of either x + y or x y is greater than that of x, which is a contradiction. This completes Step 1.

Step 2: Assume  $i_k = j_k$  for  $0 \le k \le 3$  and define  $\mathbf{a} = (a_0, a_1, a_2, a_3)$  and  $\mathbf{b} = (b_0, b_1, b_2, b_3)$ . Then wt( $\mathbf{a} \pm \mathbf{b}$ ) equals one of the numbers 0, 2, and 4.

If  $wt(\mathbf{a} + \mathbf{b}) = 1$  (resp.  $wt(\mathbf{a} - \mathbf{b}) = 1$ ), then  $wt(\mathbf{a} - \mathbf{b}) = 3$  (resp.  $wt(\mathbf{a} + \mathbf{b}) = 3$ ). Since w(1) > w(3) > w(4), the conclusion then follows.

Step 3: In fact,  $wt(\mathbf{a} \pm \mathbf{b})$  cannot be 2.

Suppose, on the contrary, that  $wt(\mathbf{a} \pm \mathbf{b}) = 2$ . By Proposition 5,  $\mathbf{x}$  and  $\mathbf{y}$  have the same weight  $2^{n-1} + 2^{n-3}$ . Since  $\mathbf{x}$  covers  $\mathbf{y}$ , they should have the same support.

That **x** and **y** have the same support means that every  $(z_0, z_1, z_2, z_3)$  in the space  $(GF(3)^*)^4$  is a solution of the equation  $a_0z_0 + a_1z_1 + a_2z_2 + a_3z_3 = 0$  if and only if it is a solution of  $-a_0z_0 - a_1z_1 + a_2z_2 + a_3z_3 = 0$ . However, this is not true. Hence, **x** cannot cover **y**, which is a contradiction.

Combining Steps 1–3, we have proved the proposition.  $\Box$ 

#### **Proposition 11.** Let

$$\mathbf{x} = \sum_{k=0}^{3} a_k \mathbf{v}_{i_k}$$
 and  $\mathbf{y} = \sum_{k=0}^{1} b_k \mathbf{v}_{j_k}$ 

be two codewords of  $C_3(1, n)$ , where  $0 \le i_k \le n$   $(0 \le k \le 3)$ ,  $0 \le j_k \le n$  (k = 0, 1), and  $a_i, b_i \in GF(3)^*$ . Then **x** cannot cover **y**.

**Proof.** We prove the following two statements:

- (i) If **x** covers **y**, then  $\{j_0, j_1\} \subset \{i_0, i_1, i_2, i_3\}$ . Suppose that  $j_0 = i_0$  and  $j_1 = i_1$ , then
- $(b_0, b_1) = \pm (a_0, a_1).$ (ii) Let  $\mathbf{x} = \sum_{l=0}^{3} a_l \mathbf{v}_{i_l}$  and  $\mathbf{y} = \pm (a_0 \mathbf{v}_{i_0} + a_1 \mathbf{v}_{i_1})$ , where  $a_l \neq 0$ . Then  $\mathbf{x}$  cannot

The proof of (i) is similar to that of Step 1 of Proposition 10, while that of (ii) is similar to that of Step 3 of Proposition 10, except that we now compare x and  $\mathbf{x} \pm \mathbf{y}$ .

Combining Theorem 7, Propositions 10 and 11, we obtain the following conclusion.

**Theorem 12.** The minimum access structure  $\Gamma$  of our secret sharing scheme based on  $C_3(1,n)^{\perp}$  contains the supports (in  $\{1,\ldots,2^n-1\}$ ) of all the codewords

$$\mathbf{x} = \sum_{k=0}^{3} a_k \mathbf{v}_{i_k}$$

with  $\sum_{k=0}^{3} a_k = 1$ , where  $0 \le i_0 < i_1 < i_2 < i_3 \le n$ , and the minimum codewords of the form

$$\mathbf{y} = \sum_{k=0}^{1} \mathbf{v}_{j_k},$$

where  $0 \le j_0 < j_1 \le n$ .

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