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A universal description for the experimental behavior of salt-(in)dependent oligocation-induced DNA condensation

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ABSTRACT

We report a systematic study of the condensation of plasmid DNA by oligocations with variation of the charge, Z, from +3 to +31. The oligocations include a series of synthetic linear ε-oligo(L-lysines), (denoted εKn, n = 3–10, 31; n is the number of lysines equal to the ligand charge) and branched α-substituted homologues of εK10: εYK10, εLK10 (Z = +10); εRK10, εYRK10 and εLYRK10 (Z = +20). Data were obtained by light scattering, UV absorption monitored precipitation assay and isothermal titration calorimetry in a wide range concentrations of DNA and monovalent salt (KCl, C KCl). The dependence of EC50 (ligand concentration at the midpoint of DNA condensation) on C KCl shows the existence of a salt-independent regime at low C KCl and a salt-dependent regime with a steep rise of EC50 with increase of C KCl. Increase of the ligand charge shifts the transition from the salt-independent to salt-dependent regime to higher C KCl. A novel and simple relationship describing the EC50 dependence on DNA concentration, charge of the ligand and the salt-dependent dissociation constant of the ligand–DNA complex is derived. For the ε-oligolysines εK3–εK10, the experimental dependencies of EC50 on C KCl and Z are well-described by an equation with a common set of parameters. Implications from our findings for understanding DNA condensation in chromatin are discussed.

INTRODUCTION

DNA is arguably the most important and well-studied molecule in biology. Yet, the understanding of its physico-chemical properties and the implications of these for the biochemical function of DNA in the cell nucleus is still incomplete. One highly important property of DNA, which is still not fully understood, is its compaction and aggregation ability. For example, in the cell nucleus, DNA is present in the form of chromatin and the compaction maintained with the aid of positively charged histones. DNA compaction is also of considerable biotechnological importance in non-viral gene delivery, where DNA compaction by polycationic delivery vehicles enables penetration through the plasma cell membrane (1,2).

Given the high negative surface charge, with an expected strong repulsive free energy barrier to compaction, it may seem surprising that DNA in solution, spontaneously undergoes a transition from an extended random coil conformation to a condensed state upon addition of polycationic ligands L2+ (Z > 2) (3–7). DNA condensation may proceed as a collapse of single DNA molecules or as intermolecular aggregation. The outcome depends on DNA molecular weight and DNA concentration (6,8,9). Several factors contribute to the condensation of DNA with electrostatic repulsion between the highly negatively charged DNA polyions being the major force opposing formation of compact DNA structures (3,4). Therefore, the major prerequisite for DNA compaction by oligocations is a sufficient neutralization of the DNA negative charge. Additionally, in the presence of multivalent cations, ion-ion correlations create net DNA–DNA attraction (10,11). Hydration forces have also been suggested to contribute to DNA–DNA repulsion as well as attraction (12). Both DNA neutralization by oligocations and cation–cation correlation are expected to decrease with increase of monovalent salt, due to increasing competition with K+ (Na+) for binding to DNA (7,13). Another favorable contribution to the DNA condensation is the formation of DNA–DNA bridges by oligocations when the ligand is a flexible molecule of sufficient length [e.g. polyamines, N-terminal domains of the histones, protamines (6,14–17)].

Already 30 years ago, Bloomfield and co-workers established a simple rule for polycationic induced compaction/
aggregation of DNA: condensation occurs when ~90% of the DNA charge [as calculated by the Manning counterion condensation model (18)] is neutralized by counterions (19). This phenomenological rule has since then been established under a variety of conditions with a range of polycations (4).

Theoretically, DNA–DNA attraction caused by the presence of multivalent cations was investigated using analytical and simulation approaches (6,15,20). Analytical approaches include description of ion correlation effects pioneered by Oosawa (21), description of the DNA-multivalent ion system as a Wigner crystal (22,23) and modelling tight binding of cations to DNA (24). Simulations of the collapse and/or polyion–polyion attraction caused by the addition of multivalent were carried out using Monte Carlo (10,11,25–28) and molecular dynamics simulations (14,29–33).

So far, there is no comprehensive systematic study of the dependence of DNA condensation on the major factors that define the outcome of the process: the net charge of the cationic ligand, the concentration of monovalent salt (KCl or NaCl) and the DNA concentration. For the ‘simple’ condensation agents such as naturally occurring polyamines spermidine 3+ (Spd3+) and spermine 4+ (Spm4+) and hexamminecobalt(III) (CoHex3+), a large number of studies have been carried out (19,34–41). The corresponding dependencies for cationic ligands carrying positive charge +5 and higher have only been addressed in a few studies and remain enigmatic (39,42–44).

In this work we present a systematic account of DNA condensation, investigated for a broad range of charge of added cationic ligand (from Z = +3 to +31). We cover a variation of the concentration of DNA over almost two orders of magnitude and in a range of concentration of monovalent salt (KCl). The major result is the development of a simple universal thermodynamic model of DNA condensation by oligocationic ligands. The model gives a clear and quantitative relationship between concentration and charge of the ligand causing the DNA condensation, binding constant of the ligand–DNA interaction and concentrations of DNA and monovalent salt. It is able to predict the experimental behavior for a vast range of such conditions. As cationic ligands we use our recently designed ε-oligolysines and their branched derivatives (Figure 1) (45). indicat ing absence of protein contamination. Stock solutions of DNA in 10 mM or 100 mM KCl were prepared from lyophilized DNA and stored at 4°C. DNA solutions for DLS, PA and ITC measurements were prepared by dilution of the stock DNA solution in KCl solutions of known molarity; pH of the DNA solutions was in the range 6.8–5.4 (solutions with higher C_KCl had lower pH values).

Two types of cationic peptides were used: unsubstituted ε-oligo(1-lysines) of varying length and ε-substituted ε-oligo(1-lysines) (Figure 1). The ε-oligolysines were synthesized using solid-phase peptide synthesis, purified and characterized as described (45). ε-Poly(1-lysine), εK31, with degree of polymerization 31 in the form of chloride salt was purchased from Chisso Corporation (Tokyo, Japan). Hexammine cobalt(III) chloride, Co(NH3)6Cl3; spermine trihydrochloride, C7H19N3Cl3; spermine tetrahydrochloride, C7H19N3Cl4; spermine tetrahydrochloride, C10H26N4Cl4HCl; potassium chloride and other salts were purchased from Sigma-Aldrich Inc. (St. Louis, MO) and used without purification. In all measurements we used KCl (Sigma-Aldrich Inc., St. Louis, MO) diluted to designed concentration from 3 M stock solution.

**Dynamic light scattering (DLS)**

Dynamic light scattering (DLS) experiments were conducted using a Brookhaven 90plus particle size analyzer (Long Island, NY) as described in (45). The effectiveness in inducing DNA compaction has been quantified by the molar concentration of the ligand needed for a 50% increase in the static 90° light scattering intensity (EC50), see Figure 2. Values of EC50 were calculated from titration curves displaying the scattering intensity versus ligand concentration using sigmoidal fitting. The particle sizes (effective diameters assuming a lognormal distribution) were calculated applying standard theory using software provided by equipment manufacturer. Because of the vast number of EC50 values determined over a wide range of conditions with three methods, a
systematic repetition of all measurements to determine standard deviation of the presented data was not possible, due to sample limitation. However, several randomly chosen individual EC$_{50}$ points were repeated two times and on the basis of the scattering, the error is estimated at 3–10%. This estimate holds for EC$_{50}$ determinations with all three methods used in this study.

**Precipitation assay**

Precipitation of the plasmid DNA was studied by UV absorption monitored assay using a NanoDrop ND-1000 UV/Vis spectrophotometer (NanoDrop Technologies Inc, Wilmington, DE). Solution of plasmid DNA (30 µl) of optical density $A_{260}$ = 0.66 (DNA concentration 100 µM) with given KCl and ligand concentration were prepared by consecutive addition of the stock solutions (3 M KCl, plasmid DNA, and cationic ligand) to double distilled Millipore water in Eppendorf tubes, 15 s vortexing and equilibrating the mixtures for 20 min at room temperature followed by centrifugation 10 min × 11 000g in Eppendorf Centrifuge 5424 (Eppendorf AG, Hamburg Germany). Values of $A_{260}$ were measured in supernatant by placing 2 µl in the NanoDrop spectrophotometer. The optical density of the supernatant was compared with the $A_{260}$ absorbance of the two control solutions of DNA plus KCl (with and without spinning) in the absence of the ligand. Absorbance of the control solutions was equal within experimental uncertainty of the measurements (2–3% according to the NanoDrop specifications). Results of the precipitation assay were plotted as optical absorbance versus concentration of added ligand and fitted by sigmoidal function similar to the DLS titration data.

**Isothermal titration calorimetry (ITC)**

A VP-ITC microcalorimeter from MicroCal (Northampton, MA) was used at 25°C. The measurements were conducted as described earlier (45). The nonspecific interaction between cationic ligands and DNA is accompanied by very low heat effects with the need for relatively high DNA concentration (0.40 mM here) and great care in experimentation (46,47). Typically, heat effects associated with dilution of the ligands studied in this work were comparable with the heat capacity changes related to the ligand–DNA interaction (see Figure 1S, A in Supplementary Data). Titration peaks from the two titrations (with and without DNA) were integrated and the heats of ligand dilution were subtracted from the data obtained in the ligand–DNA titration. The Origin7.0 graphic and analysis program integrated in the VP-ITC calorimeter by VPViewer 2000 ITC software was used for data collection, analysis, and presentation. Examples of the raw ITC data, dependence of binding enthalpy on molar ratio of added ligand, and comparison of the calorimetry data with a fitting calculation based on a one-site-binding model are given in the Supplementary Figure 1S.

**RESULTS**

**Light scattering**

Figure 2 displays typical curves for the titration of the ε-oligolysine oligocationic ligands into solution of low concentrations of plasmid DNA (5 µM) and at fixed $C_{KCl} = 100$ mM, including our data for the much studied (19,34–41) cations Spd$^3^+$, Spm$^4^+$ and CoHex$^3^+$. The transition of DNA from extended to compact form is accompanied by a steep increase of the scattered light. We will call these measurements ‘DLS’, since scattering in all cases was obtained from a dynamic light scattering (DLS) experiments. The capacity of the ligand to induce DNA condensation can be quantified by the ligand concentration needed for a 50% increase of light scattering intensity, EC$_{50}$, applying a sigmoidal fitting of the experimental titration curves (lines in Figure 2). Spermidine$^3^+$ is the least efficient condensing agent with EC$_{50}$ in the range of 10–20 mM Spd$^3^+$. The other two ligands with the same positive charge, cobalt(III) CoHex$^3^+$ and εK3, display progressively higher efficiency. Notably, εK3 shows higher DNA condensation competence than CoHex$^3^+$. For the tetracationic ligands, Spm$^4^+$ and εK4 in the presence of 100 mM KCl, the efficiency of the εK4 is about five times higher than that of Spm$^4^+$. ε-Oligolysines demonstrate higher DNA condensation potential than polyamines of similar charge. An increase of charge of the cationic ligand from Z = + 4 (Spm$^4^+$ and εK4) to Z = + 5 (εK5) leads to a pronounced decrease of EC$_{50}$. Further increase of the ligand charge from Z = + 8 to Z = + 31 is accompanied by a gradual increase of the effectiveness of cationic ligand.

The dependencies of EC$_{50}$ values on monovalent salt concentration ($C_{KCl}$) are summarized in Figure 3; numerical values are given in the Supplementary Table 1S. The most noticeable feature for the ε-oligolysines with charge $+ 5 \leq Z \leq + 10$, (εK5 to εK10) is the existence of two regimes: a salt-independent regime at low concentration of KCl and a salt-dependent one at high $C_{KCl}$. Ligands with $Z = + 3$ show only salt-dependent behaviour; above
C_{KCl} of 50 mM, EC_{50} for εK4 increases steeply with C_{KCl}. For the ε-oligolysines εK5 to εK10, the transition from salt-independent to salt-dependent regime shifts to higher C_{KCl} with increase of the ligand charge; EC_{50} for εK5 becomes salt-dependent above 50 mM KCl; whereas for εK10 a steep increase of EC_{50} is observed above C_{KCl} of 200 mM. The ligand with the highest positive charge Z = +31, εK31, shows no salt dependence; EC_{50} gradually decreases with C_{KCl} from 10 to 400 mM. For most of the ε-oligolysines, a switch between the two regimes is accompanied by small drop in EC_{50} and broadening of the titration curves.

Figure 3B compares the EC_{50} values of the substituted εK10 homologues with different side chains: εLK10, εYK10 (Z = +10); εRK10, εYRK10 and εLYRK10 (Z = +20). Modification of εK10 by attaching a hydrophobic (leucine, εLK10) or aromatic (tyrosine, εYK10) amino acids without changing net positive charges of the peptide makes little influence on the ligand compaction capacity at low C_{KCl} (10–20 mM). However, at higher C_{KCl}, εK10, εLK10, and εYK10 behave differently: εLK10 demonstrates transition from salt-independent to the salt-dependent regime already at C_{KCl} > 100 mM accompanied by a rather steep decrease in EC_{50} just before the switch between the regimes. EC_{50} for the εK10 becomes salt-dependent at C_{KCl} > 200 mM, whereas EC_{50} of the εYK10 remains ‘flat’ at all KCl concentrations. The insertion of arginine in εK10 increases the positive charge of the peptide to Z = +20. All three arginine-containing compounds, εRK10, εYRK10 and εLYRK10, show only salt-independent behavior.

### Variations of DNA particle size in dependence on DLS titration conditions

Dynamic light scattering measurements in DNA solutions are significantly complicated by the fact that the single-molecule collapse of the giant DNA polymer is accompanied by formation of multimolecular aggregates. DNA aggregation leads to the formation of large inhomogeneous particles and to DNA precipitation that are complex time-dependent functions of DNA molecular weight, DNA concentration, diffusion properties of the particle, kinetics of ligand–DNA interaction, and all other conditions of the DLS-monitored DNA titration. Due to the high molecular weight of the DNA, the concentration of DNA when exclusively monomolecular collapse can be observed is too low to be detected with DLS. For these reasons, particle-size information collected in the DLS measurements is of rather limited value although some experimental (9,48) and theoretical (8) studies have been performed earlier. In our titration experiments, we obtained size distributions and the onset of DNA condensation was accompanied by a sharp increase in light scattering signal with formation of particles having an average diameter of 200 nm (lowest observed values were 150 nm). This indicates that in the beginning of the DNA condensation, aggregates of many DNA molecules are formed (the diameter of a single-molecule plasmid DNA globule is estimated to be less than 30 nm).

### Precipitation assay

An example of a KCl concentration-dependence series of precipitation assays (DNA concentration C_p = 100 μM) is shown in Figure 4 with data for εK5. Figure 5 summarizes the data for all cationic ligands (including, for comparison data for Spd^{3+}, Spm^{4+} and CoHex^{3+}); numerical values are given in the Supplementary Table 2S). The data of the PA (Figure 5) and DLS (Figure 3) methods generally show a qualitative similarity. There are, however, several small differences in the results. First, the values of EC_{50} in the salt-independent regime in Figure 5 (PA) are shifted to higher concentration as compared to Figure 3 (DLS). This change of EC_{50} is expected since the concentration of DNA in the precipitation assay was 100 μM compared with C_p = 5 μM in the DLS titrations and more ligand will be needed to neutralize the negative charge of the DNA. Secondly, for the ligands of the same charge, in the...
precipitation assay data, the transition from the salt-independent to the salt-dependent regime is shifted to a region of higher salt concentrations. In addition, unlike the DLS data, even the lowest charged ε-oligolysine, εK3, shows a salt-independent regime at $C_{KCl} < 20$ mM. Furthermore, the PA data show that DNA condensation occurs in a more narrow range of ligand concentrations, compared to the width recorded by the DLS method.

**ITC**

Measurements (DNA concentration 400$\mu$M) were obtained at two concentrations of monovalent salt: $C_{KCl} = 10$ and 100$\mu$M (no data obtained for εK3 and εK4). An example of the raw ITC data is given in the Supplementary Figure 1S, A). The dependence of ligand–DNA interaction enthalpy ($\Delta H$) on amount of the added ligand is given in Figure 6 and a typical curve of $\Delta H$ versus molar ratio of ligand is also given in Supplementary Data, Figure 1S, B. The dependence of $\Delta H$ on the amount of added ligand shows two stages of DNA–ligand interaction: (i). Initially the ligand binds to extended DNA in solution and after saturation; (ii). DNA condensation occurs accompanied by an additional heat peak. The enthalpy of the oligocation–DNA interaction in the first and in the second stage of interaction is positive (with some rare exceptions which will be discussed below). This implies that the driving force of the oligocation binding to DNA is the entropic gain which is the result of net release of the monovalent ions and water upon ligand binding (46,47,49,50). Formation of condensed DNA is reflected in the ITC output as increased heat capacity peaks (Supplementary Data, top panel in Supplementary Figure 1S,A) and as sharp peak or discontinuity in the $\Delta H$ versus molar ratio dependence (Figure 6). In discussion of the results, we will use two precisely defined quantities to discuss the results: The molar ratio corresponding to the peak of DNA condensation, $N_{peak}$ (we assume this corresponds to the midpoint of DNA condensation with $EC_{50} = N_{peak} \times C_p$), and the molar-binding enthalpy corresponding to the initial plateau in the $\Delta H$ versus ligand/DNA molar ratio dependencies (see discussion about further analysis in Supplementary Data).

To compare binding and condensation of DNA by the oligocations with different charges, values of $\Delta H$ were normalized by the charge of the ligand. The enthalpies of oligocation–DNA interaction in dependence of charge ratio (positive charge of added ligand/negative charge of DNA) are summarized in Figure 6 for two different KCl concentrations. ITC measurements carried out with Spd$^{3+}$, CoHex$^{3+}$ and Spm$^{4+}$ are given in Supplementary Data (Figure 2S).

The positive enthalpy observed in the ITC data is a signature of ligand interaction with the DNA minor groove (49,50). For the data obtained in 10$\mu$M KCl, heat effects of the initial ε-oligolysine–DNA binding remain similar to these at $C_{KCl} = 100$ mM (Figure 6B). For Spm$^{4+}$, Spd$^{3+}$ and CoHex$^{3+}$ at high salt, the value
ratios. This reduction in $N_{\text{peak}}$ is in agreement with the other DLS and PA results. The heat effects associated with both initial ligand–DNA interaction and with the DNA condensation are also reduced for the case of the arginine-containing derivatives of the εK10 (εRK10, εYRK10). At $C_{\text{KCl}} = 100\text{mM}$, DNA condensation by εRK10, εYRK10 is actually accompanied by a negative deflection in the dependence of $\Delta H$ on molar (charge) ligand/DNA ratio (Figure 6D). The observed reduced value of $\Delta H$ for εRK10, εLYRK10 and the negative enthalpy for εYRK10 might indicate that the arginine-containing ligands interact with the major groove of DNA during second stage of the ligand binding (49,50) and this interaction is salt-dependent.

**Electrostatics is the major factor defining DNA condensation**

We normalize the values of $EC_{50}$, obtained by the DLS, PA and ITC methods using the concentration of ligand charge at the point of 50% DNA condensation, $EZ_{50} = EC_{50} - EC_{50} - Z$. Values of $EZ_{50}$ in dependence on $C_{\text{KCl}}$ determined for ε-oligolysines obtained from all three methods are summarized in Figure 7 (for clarity, data for a selection of ε-oligolysines are displayed). Normalization of the $EC_{50}$ value reduces the dispersion of the experimental data. The $EZ_{50}$ values for the salt-independent regime clearly form three groups defined by the DNA concentration used in the different methods, 400 μM in the ITC, 100 μM in the PA and 5 μM in the DLS. In the salt-dependent regime, the values of the $EZ_{50}$ for the same ligand obtained at different DNA concentrations in the DLS and in the PA methods become similar and are practically equal for εK5 and εK6 at the highest KCl concentration, $C_{\text{KCl}} = 400\text{mM}$. Still, there is a small and increasing difference between the $EZ_{50}$ values obtained by the DLS and the PA methods for the ε-oligolysines from εK7 to εK10 (Figure 7A). Increase of the DNA concentration shifts the transition from the salt-independent to salt-dependent regime to higher $C_{\text{KCl}}$ values.

Clearly, the charge of the ligand is the major factor defining its DNA condensation efficiency, which is illustrated by variation of the concentration of monovalent salt where condensation changes from being DNA-concentration to KCl-concentration dependent. However, modifications by addition of various amino acids to ε-oligolysine (or in general other chemical groups to the oligocation) can modulate this dependence. This is demonstrated in Figure 7B where the two ε-oligolysines with $Z = +10$, εLK10 and εYK10, show behavior different to each other and to that displayed by the single ε-oligolysine of the same charge, εK10.

**Explanation of the two regimes of salt dependence of $EC_{50}$**

The explanation of the existence of salt-independent and salt-dependent regimes in the log $EC_{50}$ versus log $C_{\text{KCl}}$ curves is simple:

$EC_{50}$ is the total concentration of the ligand ($C_l^{\text{m}}$) at the critical point in solution where the free energies of extended DNA in solution and condensed DNA
are equal. The total ligand concentration is the sum of the concentration of the ligand bound to the DNA and free in solution: \( EC_{50} = C_{\text{free}} + C_{\text{bound}} \), where \( C_{\text{free}} \) and \( C_{\text{bound}} \) refer to the conditions at the midpoint of DNA condensation. The value of \( C_{\text{bound}} \) is related to the critical degree of neutralization of DNA charge necessary to induce DNA condensation, due to bound cationic ligands \( (N_{\text{crit}}^{L}) \) and to the total DNA concentration \( (C_{p}) \) such that \( C_{\text{bound}} = C_{p} \frac{N_{\text{crit}}^{L}}{Z} \). The free ligand concentration, \( C_{\text{free}} \), is dependent on the DNA-binding affinity of the ligand: \( C_{\text{free}} = K_{d} \frac{C_{\text{bound}}}{C_{\text{free}}} \). Here, \( K_{d} = (1/K_{b}, \text{binding constant}) \) is the dissociation constant of the ligand–DNA complex, \( C_{p} = C_{p} - ZC_{L} \) is the concentration of free DNA; or more specifically, the concentration of DNA phosphate groups not neutralized by the oligocation. After a simple transformation the dependence of \( EC_{50} \) takes the form:

\[
EC_{50} = N_{\text{crit}}^{L} \left[ \frac{(K_{d}/(1 - N_{\text{crit}}^{L})) + C_{p}}{Z} \right]
\]

Equation (1) is general and based on thermodynamic-stoichiometric considerations of the DNA–ligand-binding equilibrium at the midpoint of condensation. The fraction of neutralized DNA phosphate charges caused by all bound cations present in solution, \( r \) (the notation used in Manning theory), is denoted \( N_{\text{crit}} \) at the condensation point. Generally, \( N_{\text{crit}} = N_{\text{crit}}^{L} + N_{\text{crit}}^{M} \); \( N_{\text{crit}}^{L} \) is the sum of the fractions of monovalent counterions, \( N_{\text{crit}}^{M} \) and cationic ligands, \( N_{\text{crit}}^{M} \), bound per one phosphate group. At low concentration of monovalent salt, the contribution from \( L_{\text{Z}}^{\text{Z}} \) is dominant as it has been shown by experimental measurements of the composition of ions in condensed DNA (53). Furthermore, theoretical calculations using CC or Poisson Boltzmann theories (54) as well as Monte Carlo simulations support these observations (55) (see also the section describing the CC theory results in the Supplementary Data). Hence, it is assumed that the value of \( N_{\text{crit}}^{L} \) is equal to \( N_{\text{crit}} \). This is a reasonable approximation in the low salt regime and in the high salt region the error due to this assumption has small effect on the behavior of the \( EC_{50} \) curves (see also text below and the section describing the CC theory results in the Supplementary Data).

In the salt-independent regime, DLS, PA and ITC results show that compaction of DNA occurs at an oligocation/DNA charge ratio below 1.0 (Figure 7) which indicates that the early observation by Wilson and Bloomfield that about 90% of the DNA charge must be neutralized to induce condensation holds (19). According to this concept, \( N_{\text{crit}} \) is a constant with a universal value independent of conditions and we will assume that this phenomenological relation holds.

It is well established that oligocation–DNA interaction is strongly salt-dependent (56,57) with the dissociation (binding) constant showing a linear dependence in logarithmic coordinates, \( \log K_{d} \) versus \( \log C_{\text{salt}} \) (KCl in the present work) (56–60):

\[
\log K_{d} = \log K_{d}(1M) + SK \log C_{\text{KCl}}
\]

or

\[
K_{d} = K_{d}(1M) \times C_{\text{KCl}}^{hZ}
\]

Here, \( K_{d}(1M) \) is the value of \( K_{d} \) extrapolated to \( C_{\text{KCl}} = 1M \), the term which accumulates all non-electrostatic effects of oligocation–DNA interactions (56,58–60); \( SK = d\log K_{d}/d\log C_{\text{KCl}} \approx bZ \) is the slope of the \( \log K_{d} \)–\( \log C_{\text{salt}} \) dependence; \( b \) is the thermodynamic degree of binding of the monovalent cation to the DNA polymer per negative phosphate charge. Estimates of \( b \) for double-stranded (ds) DNA and synthetic polynucleotides in interaction with \( \alpha \)-oligo lysines and \( \alpha \)-oligo arginines give
similar values of $b$ that are close to unity ($b \approx 0.9$) (57,59). Consequently, the slope $SK$ is steep and sensitive to the value of $Z$. Combining Equations (1) and (2a) gives the relation for $EC_{50}$:

$$EC_{50} = N_{crit}\frac{(K_d(1M) \times C_p^{(Z/C_k)})/(1 - N_{crit}) + C_p}{Z}$$

The above result presents a simple and clear explanation of the observed two regimes in the dependencies of $EC_{50}$ on monovalent salt concentration. Using the approximate value $N_{crit}^{L} \approx N_{crit} = 0.9$, Equation (1) takes a very simple form: $EC_{50} = 0.9(10K_d + C_p)/Z$. Hence, one can illustrate the conditions defining DNA condensation either in the salt-independent or the salt-dependent regime:

For the low salt concentration when there is little competition between the ligand and monovalent cations, $10K_d < C_p$, and $EC_{50}$ is proportional to the DNA concentration and independent of monovalent salt ($C_{KCl}$). For the high salt regime when there is a considerable competition between the ligand and the monovalent cations, $K_d > C_p/10$ and $EC_{50}$ is salt dependent and proportional to $C_{KCl}$. The region of transition depends largely on the DNA concentration and the charge of the ligand such that high DNA concentration and/or high ligand charge preserves the salt-independent regime. This is precisely the general appearance of all the collected data as demonstrated by Figure 7. Equation (3) can be used to fit the experimental data with $K_d(1M)$ and $b$ (in $SK = bZ$, with $Z$ given by ligand charge) as only independent variables. In the case of available experimental results for $K_d(1M)$ and $SK = bZ$ it can be used to compare with prediction on the basis of independent experimental data.

**Interpretation of the experimental data for the ε-oligolysines**

Figure 8 shows results of fitting Equation (3) to the experimental data for the ε-oligolysines εK5 to εK10 (Figure 8A–C) and for the substituted εLK10 (Figure 8D). We used the user-defined fitting service of the graphical software package Origin8.0 (OriginLab Corp., Northampton, MA) for fitting the values $SK = bZ$ and $K_d(1M)$ of Equation (3) to the experimental data at various fixed values of $N_{crit}$. At low $KCl$ concentrations in the salt-independent regime $C_p \gg K_d$ and Equation (3) gives a good estimation for the value of $N_{crit}$: $N_{crit} \approx EC_{50}/C_p$. Variation of $N_{crit}$ in the range 0.85–0.92 with fitting values of $SK$ and $K_d(1M)$ produces good agreement between experimental and calculated values of $EC_{50}$ in the whole range of $KCl$ concentration. The value $N_{crit} = 0.88$ gives the best fit to the experimental data. The fitting of Equation (3) to the experimental data from only two ε-oligolysines, εK5 and εK10 (Figure 8A) was found sufficient to obtain values of $SK = 0.92$ and $K_d(1M) = 0.11M$ that produce excellent agreement with the experimental $EC_{50}$ values for all un-branched ε-oligolysines with $Z > +5$ (Figure 8A).

Data on DNA condensation by εK3 and εK4 are shown in Figure 9. For εK3 and εK4 (Figure 9) these values give good fitting of all the experimental data obtained at the higher DNA concentration (PA method) and for the high salt data from the lower DNA concentration (DLS method), but not for the DLS data at low KCl concentration. Comparing the oligocations with increasing charge, from εK5 to εK10, there is a systematic shift to higher concentration of KCl where the $EC_{50}$ values from the PA data (high DNA concentration) converge with those from the DLS (low DNA concentration) which remarkably is borne out by the theoretical curves.

In Figure 8D, experimental and theoretically fitted results for the εLK10 and εK10 ε-oligolysines are compared. These ligands carry the same charge $Z = +10$, show similar $EC_{50}$ values in the salt-independent regime (for the PA method) but εLK10 demonstrates a shift to the salt-dependent regime for $C_{KCl} > 100$ mM, which is noticeably lower than the same transition for εK10 ($C_{KCl} > 200$ mM). In addition, the DLS data for εLK10 display larger downward shift at $C_{KCl} = 50 – 100$ mM than the case of εK10. The best fit of the experimental data for the εLK10 and $N_{crit}^{L} = 0.88$ is obtained at $b = 0.9$ and $K_d(1M) = 10M$. $K_d(1M)$ value of εLK10 is about 90 times larger than the same parameter of εK10. This corresponds to a difference in free energy of ligand–DNA binding of about $+2.7$ kcal/mol. Thus, the difference in $C_{KCl}$ where the shift from salt-independent to salt-dependent regime occurs is caused by a higher unfavorable non-electrostatic (positive) enthalpy of the εLK10–DNA interaction compared to the enthalpy value of εK10. Remarkably, this picture is confirmed by the independent ITC results, demonstrating more positive ΔH values for εLK10 (Figure 6B and D).

Surprisingly, all the ε-oligolysines from εK3 to εK10 show a uniform dependence of the binding constant on KCl concentration with values of $K_d(1M)$ in the range 0.09–0.13 M when $N_{crit}$ varies from 0.90 to 0.85 and the SK value is equal to 0.9Z. Depending on the choice $N_{crit}^{L} \approx N_{crit}$, the best fitting is achieved for $K_d(1M) = 0.11$ and $N_{crit} = 0.88$ or $K_d(1M) = 0.095$ when $N_{crit} = 0.90$. Our fitted values of $SK = bZ$ (which gives $b = 0.9$) for the ε-oligolysines are in agreement with the parameters available in the literature for ε-oligolysines (58,61) and ε-oligoarginines (59), which are in the range of 0.82–0.95 (62) with the value centered at 0.88. The values of $K_d(1M) = 0.09–0.13$ M for the ε-oligolysines indicates a small favorable non-electrostatic contribution to the ligand–DNA binding. The literature $K_d(1M)$ values for ε-oligolysines and ε-oligoarginines often show a varying behavior (58,61). However, a thorough study of ε-oligolysine binding to single stranded polynucleotides gave very similar results of the extrapolated log $K_d(1M)$ values for a range of ligand charges (58,63). In any case, the conclusion about ε-oligolysine–DNA binding is the same as for the other unstructured basic peptides: their non-specific interaction with double stranded DNA is dominated by electrostatic forces, defined by the net ligand charge and monovalent salt concentration, with the non-electrostatic contribution being relatively small in the range of physiological salt concentrations (56,57).
Fitting Equation (3) to the experimental data for tri- and tetracationic ligands

Figure 9 shows fitting of Equation (3) to experimental data of the tri- and tetracationic ε-oligolysines. For εK3 and εK4, with the exception of the data at low C_{KCl} and low DNA concentration (DLS method), all the experimental results demonstrate good agreement with theoretical curves obtained using the fitted parameters calculated for the ε-oligolysines with charge Z = +4: K_{d}(1M) = 0.11 and SK = 0.92 (see Figure 8A–C).

Equation (3) provides an understanding of the origin of the superior condensation efficiency of the ε-oligolysines εK3 and εK4, compared to the similarly charged ‘common’ ligands, Spd^{3+}, CoHex^{3+} and Spm^{4+} (compare data in Figures 3 and 5). The ε-oligolysine–DNA interaction has a slightly favorable non-electrostatic contribution [logK_{d}(1M) ≈ −0.96], whereas for the polyamines this contribution is negligible [logK_{d}(1M) is close to zero (64)]. This explains the broader region of the salt-independent regime and substantially lower EC_{50} values in all salt ranges observed for εK3 and εK4 compared with Spd^{3+} and Spm^{4+}. The molecular nature of the favorable non-electrostatic contribution to the ε-oligolysine–DNA interaction is probably the presence of the carbonyl and amide residues in the ε-oligolysine molecule, which can contribute to the binding by forming hydrogen bonds with polar groups of DNA.

The experimental EC_{50} values at low DNA concentration at low C_{KCl} are larger than expected from the theoretical estimations. The difference for the trivalent oligolysine, εK3, is significant. A similar discrepancy is observed for the other ligands with charges Z = +3 and +4 (Supplementary Data, Figure 4S). The reduced efficiency of the ligands with charge +3 and +4 observed in the DLS measurements might be related to some unaccounted effect caused by the very low concentration of DNA typically applied in the DLS studies (in the present work, C_{p} = 5 μM). At the same time, for the ε-oligolysines with higher positive charge Z ≥ +5 no such effect is observed and Equation (3) gives consistent and universal fitting of all experimental data for both PA and DLS data (see above). Additional analysis and experimental study is needed to resolve this issue.

**DISCUSSION**

**ITC results explain discrete (all-or-nothing) mechanism of DNA condensation**

A number of studies report that condensation of DNA due to the addition of condensing agent occurs as a sharp all-or-nothing transition and intermediate states of DNA do not exist. Direct observation of DNA molecules by fluorescent microscopy revealed that the DNA exists in solution either as an extended molecule or as a compact globule (65,66). ITC data shows that DNA condensation is the second stage of the oligocation–DNA interaction which follows the first stage of ligand binding to DNA and it occurs after sufficient neutralization of DNA by the ligand has taken place. Calculation of thermodynamic quantities for the two stages of oligocation DNA interaction on the basis of ITC titration curves revealed that the binding constant of ligand interaction in condensed DNA was larger than the K_{d} for interaction of the oligocation with extended DNA (46,51). A recent combined single molecule magnetic tweezer and osmotic stress measurements of the DNA condensation induced by Spd^{3+}, Spm^{4+} and the hexacationic analog of spermine, sp^{6+}, demonstrated that the ratio of attractive to repulsive free energy is about two, providing a strong driving force towards DNA condensation upon addition of oligocationic ligand (67). Our ITC data are in qualitative and quantitative agreement with the literature. Therefore, the onset of DNA condensation creates new population of binding sites (inside condensed DNA) with lower free energy. Consequently, at the onset of DNA compaction, redistribution of oligocations between collapsed and extended DNA occurs. Compacted DNA forms by depletion of ligands from the extended DNA until the free energies of the extended and compacted DNA are equal. This depletion of the soluble DNA with cationic ligand might explain the observation that DNA molecules in solution repel each other even above the critical concentration of the condensing agent (68).
Comparison with literature data

Dependence of EC\textsubscript{50} values on concentration of monovalent salt (NaCl) is reported in literature for a number of oligocations with charge varied from +3 to +6. The most studied cations are CoHex\textsuperscript{3+} and Spd\textsuperscript{3+} (19,46). Using light scattering, Thomas and co-workers determined the salt (NaCl) dependence of EC\textsubscript{50} by a number of oligocations with $Z = +4$, polyamines (37–39) and for $\alpha$-tetrasilysine (43). The existence of two regimes in the NaCl dependence for penta- and hexamine, with different slopes for these polylysines, was noted and attributed to a difference in binding affinity to DNA. Comparison of the DLS, PA and ITC results of the present work with literature data for tri- and tetravalent cations is presented in the Supplementary Figure 3SA and with a number of penta- and hexacationic polyamines in Supplementary Figure 3SB. The comparison shows that our results are in general agreement with most literature data. Values of EC\textsubscript{50} determined by ITC at $C_{KCl} = 10$ mM are in perfect agreement with similar data reported for CoHex\textsuperscript{3+} (46,51) and Spd\textsuperscript{3+} (46) in 10 mM NaCl. Similar increase of the critical concentration of Spm\textsuperscript{4+} (40,41) or X salmon protamine ($Z = +21$) (44) with increase of $C_{NaCl}$ was observed by Livolant and colleagues. These authors noted the effects of NaCl and appreciated the importance of such factors as DNA concentration, DNA neutralizing counterions and counterion competition for DNA condensation.

Discussion of the applicability of Equation (3)

One of the major results of our study is a derivation of Equation (3) which provides a universal description of the oligocation-induced DNA condensation and establishes a clear connection between DNA condensation and salt-dependent binding of the ligands to DNA. The linear logarithmic salt dependence of dissociation (binding) constant of the ligand–DNA interaction [Equation (2)] is well justified, not only on the basis of experimental data. The relation $d\log K_\text{dl}/d\log C_{KCl} = SK \approx bZ$ follows from both Manning counterion condensation theory and the Poisson-Boltzmann cylindrical cell model, under limiting law conditions (62). The limiting law value of $b$ is 0.88 in both of these approaches, in very good agreement with the fitted values obtained in our model. However, such theories cannot be applied to theoretically predict the onset of attraction in condensation experiments since they always predict repulsion between rod-like polyelectrolytes (6). Therefore, the assumption that $N_{\text{crit}} = 0.88 - 0.90$ has to be based on the wide range of experimental observations that have documented this behavior and remains an empirical relation. In order to understand the origin of the attractive interactions that lead to condensation, when this critical degree of DNA charge neutralization has been met by the addition of oligocations, theoretical models beyond mean field theories must be applied (14,32,69).

The model also assumes that at the condensation point, the fraction of DNA charge neutralized by bound counterions is dominated by oligocations ($N_{\text{crit}} \approx N_{\text{crit}}^{\text{OL}}$). This approximation is certainly not generally valid. The inaccuracy of this assumption becomes larger in the range of high salt concentration. However, it has a small effect on the dependence of EC\textsubscript{50} on $C_{KCl}$, which is steep in this region. Even a significant substitution of $L^Z_+ for $K_+ with decrease in $N_{\text{crit}}^{\text{OL}}$ (say, from 0.90 to 0.60; see theoretical Supplementary Figure 7S) does not produce a great influence on the general appearance of the EC\textsubscript{50} versus $C_{KCl}$ curve. In fact, the presence of this assumption in Equation (3) highlights the competition from monovalent ions for binding to DNA as the most likely origin of the small decrease in EC\textsubscript{50} at low to intermediate $C_{KCl}$ observed mainly for ligands of higher charge.

The present study was carried out on plasmid (circular) DNA of relatively low molecular weight. We do not expect that for linear DNA of different (larger) length, the major qualitative features of the dependencies reported here would be different. Bloomfield and co-workers (46) reported that ITC-monitored condensation of plasmid DNA in circular and linear forms produced absolutely identical results. A recent study by Toma et al. (44) reports little difference between condensation of short (about 146 bp) and long (48.5 kb) DNA polymers by protamines from salmon sperm (average $Z = +21$) in conditions corresponding to the salt-dependent regime ($C_{NaCl}$ from 0.4 to 1.5 M). It might still be possible that for DNA of different molecular weight there will be some difference in ranges where the salt-independent and salt dependent regimes are observed. Additional studies may be necessary to address the issue of how molecular weight of the DNA might influence dependencies of EC\textsubscript{50} on $C_F$, $C_{KCl}$ and $Z$.

Variation of EC\textsubscript{50} in the salt-independent regime

In the salt-independent regime, the $\log EC_{50} - \log C_{KCl}$ dependencies of the $\varepsilon$-oligolysines (in particular those with $Z > +4$) show a gradual and small decrease of EC\textsubscript{50}...
with increase in KCl concentration (Figures 3, 5 and 7). In addition, just before the transition to the salt-dependent regime an additional ‘plunge’ of EC50 is often observed. Equation (3) is not able to account for the decrease of EC50 in the salt-independent regime. There are two (not mutually exclusive) mechanisms that can explain why an increase in C_{KCl} may facilitate DNA condensation in the salt-independent regime: First, increase of salt introduces competition between mono- and multivalent cations which is neglected in Equation (3) due to the assumption N_{crit} \approx N^L_{crit}. This causes a decrease in EC50 (see below discussion on application of Manning counterion condensation theory). Secondly, the flexible ε-oligolysines can form DNA–DNA bridges and the bridging may be facilitated by an increase of C_{KCl}. We applied the counterion condensation model in an attempt to estimate the dependence of total degree of DNA charge neutralization on the concentration of monovalent salt due to binding of two cations with different valency. Briefly, this analysis gives the following result (Supplementary Data): N_{crit} = N^L_{crit} + N^\epsilon_{crit} = 0.88 showed the best agreement with the experimental EC50 values in the salt-independent regime for the ε-oligolysines with Z from +3 to +10 (Supplementary Figures 6S and 8S). However, the counterion condensation theory fails to predict the following major trends in the experimental data: (i) The magnitude of the slope of EC50 versus C_{KCl} in the salt-dependent regime for all values of N_{crit} and Z; (ii) The CC theory shows poor prophecy of the range of monovalent salt concentration where a transition from salt-dependent to salt-independent regime occurs. Clearly, more detailed theoretical models are needed to explain these principal features of the experimental dependencies. At the same time, we found that the CC theory captures the slight decrease of EC50 in the salt-independent regime, which is neglected in Equation (3) (due to the assumption N_{crit} \approx N^L_{crit}). This slight drop of the EC50 is caused by substitution of the L^{Z+} ligands by K^+ upon increase of the salt concentration and is dependent on the choice of N_{crit}.

**CONCLUSIONS**

We have proposed a simple and universal model for the dependence of oligocation ligand concentration needed to induce DNA condensation (EC50) on the DNA (Cp) and monovalent salt (C_{salt}) concentrations. The DNA condensation is well described for a range of conditions, by a model combining the established and uniform salt dependence of the oligocation–DNA dissociation constant with the necessity to neutralize about 90% of the DNA charge. These two assumptions, together with the approximation that the fraction of DNA charge neutralized by bound counterions is dominated by oligocations (N_{crit} \approx N^L_{crit}), leads to Equation (3). The virtue of this phenomenological relation is that it relates the DNA condensation behavior to its dependence on the experimental conditions in a very clear and simple way. Equation (3) connects two well-studied phenomena of DNA polyelectrolyte solution behavior, namely DNA oligocation-induced condensation and the salt-dependent DNA oligocation binding in a novel way. The appearance of one salt dependent and one salt-independent regime in this condensation follows naturally within this model. This model gives a qualitatively correct prediction of the salt and DNA concentration dependent ε-oligolysine induced DNA condensation. For the simple cations Spd\(^{3+}\), Spm\(^{3+}\) and CoHex\(^{3+}\), the description is good in the high salt regime as well as in the low salt regime at higher DNA concentrations (PA data), while the prediction fails in the low-salt and low-DNA concentration limit. To our knowledge, this is the first study reporting a direct and simple connection between oligocation binding to DNA (K_d value) and DNA condensation.

**Implications to in vivo conditions**

The dynamic units of the histone–DNA interaction in chromatin are the histone tails with net positive charge from +8 to +14 and average charge density of one lysine or arginine per every three amino acids (70). The ε-oligolysines studied in this work can be considered as a simplified model of the histone tails with respect to positive charge and charge density. To a certain extent, the ε-oligolysines with high positive charge, Z = +31 (εK31) and Z = +20 (εRK110, εYRK10, εLYRK10) may serve as a simplified model for the nonspecific interaction between DNA and basic proteins of similar net positive charge (e.g. histones).

The concentration of the DNA in the cell nucleus is very high (71,72). Recent fluorescent measurements give average concentration of 116 μM of nucleosomes (CP = 46.4 mM) with the highest concentration 260 μM of nucleosomes in heterochromatin (CP = 104 mM) (73). Extrapolating the EZ_50 versus C_{salt} dependencies to such high DNA concentrations (Figure 7), it is clear that under physiological concentration of salt (K^+ in the range 50–300 mM), condensation of DNA should always proceed in the salt-independent regime for all natural oligocations (histones, protamines, basic domains of the nuclear proteins, polyamines, etc.). At physiological concentration of salt, the critical degree of DNA neutralization needed to induce DNA condensation would be somewhat lower, in the range N_{neut} = 0.5–0.7, than the higher values N_{neut} = 0.7–0.95 observed at low salt concentration (C_{KCl} = 10–20 mM). In addition, other factors such as the presence of Mg\(^{2+}\), polyamines as well as the crowded environment of the cell cytoplasm, would facilitate DNA condensation. Therefore, it is reasonable to suggest that the conditions of DNA in the nucleus are very close to the borderline separating the extended and collapsed DNA phases. Since DNA in the nucleus is in the salt-independent regime of condensation, the principal factor regulating DNA condensation should be any addition/removal of cationic (or any other) components facilitating the DNA collapse. As a result, there exist powerful ‘brakes’ for overproduction of the basic components (polyamines, protamines, histones), such that any excess of these components would result in DNA condensation blocking access to DNA for the transcription/replication machinery. On the other hand, insufficient amount of DNA condensing agents will lead to DNA
expansion that might be damaging and harmful in the crowded space of the cell nucleus (74). The tight stoichiometry between DNA and the histones has recently been illustrated by the in vivo observation of strong correlation between the amount of the core histones, linker histones and nucleosome repeat length in the chromatin (75,76).

Counter-intuitively, the presence of a certain excess of DNA-condensing agents in chromatin may also facilitate access to DNA of the proteins responsible for replication and transcription. From the ITC observation that DNA condensation proceeds with higher affinity than the preceding non-specific (charge neutralizing) interaction, it follows that when the amount of condensing agent exceeds the critical value, the onset of DNA condensation triggers a redistribution of the ligand between the two phases of DNA. Thus, in the region of coexistence between the condensed and extended DNA states, the soluble phase of DNA is depleted of cationic ligands. The remaining uncondensed DNA binds less ligand than under the conditions before the onset of condensation. For the cell nucleus it means that increase in cationic concentration of the condensing agents in the chromatin may also facilitate non-specific (charge neutralizing) interaction, it follows that when the amount of condensing agent exceeds the critical value, the onset of DNA condensation

Our study demonstrates that the electrostatic mechanism is a universal one and salt-dependence of binding constant (and free energy) of ligand–DNA interaction is expected to be similar for the ligand–DNA interaction in solution and in condensed state. The apparent independence of $E_{50}$ on salt concentration simply indicates that the DNA condensation is in salt-independent regime and that dissociation constant of ligand–DNA complex is very low so that $K_d \ll C_p$ in Equation (3). The net positive charge of the histone octamer forming the basic unit of euchromatic chromatin, the nucleosome core particle, is about $+147$ (70). Therefore, from the salt dependence of oligocation–DNA-binding constant one can predict that $b \approx 0.9$ and $\ln K_d(1M) \approx 0$. This estimation demonstrates that the NCP and chromatin in general is an extremely stable polycation–polyanion complex, which challenges the view of a marginal stability of chromatin (78). This evaluation for the electrostatic free energy of chromatin formation is a rather low estimate. Some additional favorable contributions to this term might originate from the non-stoichiometric charge ratio between DNA and the histones (70,79,80). Notably, favorable electrostatic free energy greatly exceeds the unfavorable contributions to the formation of the NCP [mostly due to energy cost of the DNA bending (81,82)]

that was confirmed by early experimental observation (83) and by theoretical analysis based on the counterion condensation model (84). More detailed presentation of the arguments in support of a high stability of chromatin originating from nonspecific histone–DNA interaction as well as the consequence of the high stability of chromatin for its statics and dynamics and for the conditions for the protein machines operating on the chromatin template, is discussed in our earlier work (70,80,85).

**SUPPLEMENTARY DATA**

Supplementary Data are available at NAR Online.

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