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Product directivity models for parametric loudspeakers

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In a recent work, the beamsteering characteristics of parametric loudspeakers were validated in an experiment. It was shown that based on the product directivity model, the locations and amplitudes of the mainlobe and grating lobes could be predicted within acceptable errors. However, the measured amplitudes of sidelobes have not been able to match the theoretical results accurately. In this paper, the original theories behind the product directivity model are revisited, and three modified product directivity models are proposed: (i) the advanced product directivity model, (ii) the exponential product directivity model, and (iii) the combined product directivity model. The proposed product directivity models take the radii of equivalent Gaussian sources into account and obtain better predictions of sidelobes for the difference frequency waves. From the comparison between measurement results and numerical solutions, all the proposed models outperform the original product directivity model in terms of predicted sidelobe accuracies by about 10 dB.

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I. INTRODUCTION

The parametric loudspeaker has been demonstrated to be one of the most effective ways to generate a low frequency directional sound field. The discovery of the parametric array effect and its theoretical explanation can be traced back to 1963 when Westervelt published his observation and equation on the generation of low-frequency wave (also known as the difference frequency wave), through the interaction of high-frequency waves (also known as the primary frequency waves). Subsequently, Bennett and Blackstock brought the parametric acoustic array experiment from underwater to air. They verified experimentally that the parametric array effect can also be generated in air, creating highly directional sound beams. In 1965, Berktau analyzed the propagation effect of collimated primary plane waves along their propagating axes, and simplified the Westervelt’s equation for a far-field solution. Until now, Berktau’s far-field solution is still widely used to evaluate the performance of parametric loudspeakers and provides important guidelines in designing preprocessing approaches to reduce harmonic distortions. In 1969, Zabolotskaya and Khokhlov developed a nonlinear parabolic wave equation for non-dissipative fluids that describes the combined effects of diffraction and nonlinearity. Darvennes and Hamilton investigated the scattering of sound by sound from Gaussian beams that intersect at moderate angles. A closed-form equation was derived and validated throughout the entire paraxial field. One observation derived from the closed-form equation is that the far-field directivity of the difference frequency wave is governed by the product of the primary beam directivities. This is commonly known as the product directivity (PD) model and used as the fundamental principle in many later works on beamsteering of parametric loudspeakers.

Based on the PD model, one of the earliest attempts on the digital beamsteering approach for the parametric loudspeaker was carried out by Tan. Each ultrasonic transducer in the parametric loudspeaker was treated as one bifrequency Gaussian source, and thus, a constant-beamwidth beamformer was worked out for the difference frequency wave through simulation. Later, Olszewski suggested that several guidelines known to conventional phase array theory could not be realized for parametric loudspeakers. Therefore, a hybrid system combining the digital phased array technique with mechanical tilting louvers was proposed. However, the mechanical structure in the hybrid system is bulky in size and cannot be easily scaled down. Furthermore, reflection caused by neighboring louvers leads to another problem of this hybrid system that degrades its ability to control the directivity of the sound beam accurately. Subsequently, Gan studied the digital implementation of beamsteering algorithms for parametric loudspeakers. By applying separate delays to different primary signals, limitation of the steering angles caused by the sampling rate is circumvented, and the increase in computation can be readily handled by a baseband digital processor. More recently, Lee proposed a beamsteerer with complex weights in place of time delays, which results in versatile transmitting beam patterns.

One application of steerable parametric loudspeakers that was implemented by Tanaka is an active noise control system with a steerable beam control. In this system, a compact transducer array was fabricated with reduced spacing to suppress grating lobes to obtain a controllable directivity. However, in another recent development, the authors have also verified the beamsteering capabilities of the parametric loudspeaker of two different transducer array configurations. These array configurations are achieved by grouping transducers into several channels in a different...
grouping array and applying delay-and-sum approach to control the directivity of primary frequency waves. In addition, partial or full grating lobe eliminations were observed at the difference frequency generated from various primary frequency waves. But it is noted that there are some discrepancies between the measured directivities and the theoretical beampatterns of the primary frequency waves. The mismatch problem becomes more evident when the measured directivities of the difference frequency waves are compared with the theoretical beampatterns derived from the PD model.

In this paper, we approximate the directivity characteristics of a linear ultrasonic transducer array by using an equivalent circular Gaussian source array, which satisfies the prerequisites of applying the PD model. Furthermore, we revisit the original theories behind the PD model and propose three modified product directivity models, namely, (i) the advanced product directivity model, (ii) the exponential product directivity model, and (iii) the combined product directivity model. The proposed product directivity models take the radii of equivalent Gaussian sources into account and can result in a better prediction of sidelobes for the difference frequency waves.

This paper is organized as follows. The theories and equations behind the PD model are examined and three proposed product directivity models are derived in Sec. II. In Sec. III, directivities at both the primary frequencies and the difference frequencies measured in experiments are used to compare with the numerical solutions to the proposed product directivity models and the PD model. Section IV summarizes the key findings in this paper.

II. THEORY

The nonlinear effect produced by sound beams the axes of which intersect at nonzero angles is referred as scattering sound by sound.\(^1\) The nonlinear interaction region is formed by the intersection of two non-collinear primary beams. When Gaussian sources are used to model the primary sound beams, a closed-form solution to the second-order wave equation can be derived from quasilinear approximation. A solution for the far-field directivity of the difference frequency wave is given by the product of the primary beam directivities regardless of whether primary sources are displaced and steered.\(^6\) This solution, commonly known as the PD model, has been used to design and predict the directivities of the parametric loudspeaker. However, the measured beampatterns of the difference frequency wave usually do not match the theoretical beampatterns perfectly. To solve this practical problem, we revisit the PD model and propose three modifications to the PD model that are more applicable to predict the steerable beampatterns of the parametric loudspeakers.

A. Gaussian source

In acoustics, Gaussian sources are acoustic sources with Gaussian amplitude shading. The advantage of considering Gaussian sources is its closed-form solution for second-harmonic pressure that can be derived from the quasilinear approximation.\(^7\) The source function \(q_g\) of Gaussian sources is defined as

\[
q_g(r) = p_0 \exp \left[ -\left( r/a \right)^2 \right], \tag{1}
\]

where \(r\) is the distance to the center of the source; \(p_0\) and \(a\) are the peak source pressure and the effective source radius, respectively.

The directivity function of Gaussian source can be derived from the linear solution component by substituting Eq. (1) into the Khokhlov–Zabolotskaya–Kuznetsov (KZK) equation\(^5\) under the assumption of quasilinear approximation. The KZK equation describes the entire process (diffraction, absorption, and nonlinearity) of self-demodulation throughout the near-field and into the far-field, for both on- and off-axis of the beam, and is expressed as follows:

\[
\frac{\partial^2 p}{\partial z^2} = \frac{c_0}{2} \nabla^2 p + \frac{\delta}{2c_0} \frac{\partial^3 p}{\partial r^3} + \frac{\beta}{2 \rho_0 c_0^2} \frac{\partial^2 p^2}{\partial r^2}, \tag{2}
\]

where \(\nabla^2\) is the Laplacian that operates in the plane perpendicular to the axis of the sound beam; \(z\) is the coordinate along the beam propagation direction; \(p\) is the acoustic pressure; \(\tau\) is the retarded time; \(\delta\) is the diffusivity of sound; \(c_0\) is the small-signal sound speed; \(\beta\) is the coefficient of nonlinearity; and \(\rho_0\) is the ambient density.

Thus, the far-field Gaussian directivity \(D_g\) is given by

\[
D_g(\theta) = \exp \left[ -\frac{1}{4} (ka)^2 \tan^2 \theta \right], \tag{3}
\]

where \(\theta\) is the angle (in degrees) with respect to the axis of the beam, and \(k\) is the wavenumber. When considering the difference frequency wave due to the radiation from a bifrequency Gaussian source, the difference frequency wave is given by the product of the directivities of the two primary frequency waves in the far-field, which is known as the PD model.\(^7\) Let \(D_1(\theta)\) and \(D_2(\theta)\) denote the directivities of Gaussian sources defined by Eq. (3) at the lower primary frequency and the higher primary frequency, respectively. Thus, the directivity of the difference frequency wave is given by

\[
D_{diff}(\theta) = D_1(\theta)D_2(\theta). \tag{4}
\]

B. Advanced product directivity

Our following analysis is based on [Eq. (24) in Darvennes and Hamilton\(^6\)]. Assume that the two primary Gaussian sources are concentric but steered to different angles, as shown in Fig. 1. A function of which the value is related to the relative strength of the scattered sound field, \(\Gamma(\theta)\) is given by

\[
\Gamma(\theta) = \frac{i(z_1 + z_2) \tan \theta - (z_1 - z_2) \sin \theta_0}{\sqrt{a_1^2 + a_2^2}}, \tag{5}
\]

where \(z_1\) and \(z_2\) are Rayleigh distances for Gaussian sources at the lower primary frequency \(f_1\) and at the higher primary frequency \(f_2\), respectively; \(\theta_0\) is half the intersection angle of the two primary Gaussian sources.
Where $q_-(\theta, r)$ is the complex pressure at the difference frequency when observation point is given by angle $\theta$ and distance $r$; $p_1$ and $p_2$ are the maximum on-source pressures at the lower primary frequency and the higher primary frequency, respectively; $k_1$, $k_2$, and $k_-$ are the wavenumbers of the lower primary frequency, the higher primary frequency, and the difference frequency, respectively; $a_1$ and $a_2$ are the radii of the Gaussian source of the lower primary frequency and the higher primary frequency, respectively; $D_<(\theta)$ describes the directivity of the scattered sound; and $E_1(\tau)$ is the exponential integral.\(^6\)

Note that the exponential integral can be extended and approximated as

$$E_1(x) = \frac{\exp(-x)}{x} \sum_{n=0}^{N-1} \frac{n!}{(x)_n} \approx \frac{\exp(-x)}{x}, \quad \forall |x| \gg 1. \quad (7)$$

Further, if $x$ is purely imaginary and has large magnitude, i.e., $|E_1(x)| \approx x^{-1}$. Thus we obtain

$$E_1 \left[ \frac{ik_1k_2(a_1^2 + a_2^2)\Gamma^2(\theta)}{2k_- z} \right] \approx \frac{2k_- z}{k_1k_2(a_1^2 + a_2^2)\Gamma(\theta)} \quad (8)$$

Substitute Eq. (8) into Eq. (6), and by taking the absolute value on both sides of Eq. (6), we get

$$|q_-(\theta, z)| \sim \frac{p_1p_2k_1^2k_2^2D_1(\theta)D_2(\theta)}{4(a_1^2 + a_2^2)\Gamma^2(\theta)}. \quad (9)$$

Because it is assumed that $\Gamma(\theta)$ is sufficiently large, $|\Gamma^2(\theta)|$ can be treated as a constant value when $\theta$ varies in a limited range. Therefore, based on Eq. (9), we propose the advanced product directivity (APD) model for the difference frequency wave generated from the parametric loudspeaker where the directivity of the difference frequency wave is given by

$$D_{\text{diff}}(\theta) = \frac{a_1^2a_2^2}{a_1^2 + a_2^2} p_1D_1(\theta)p_2D_2(\theta). \quad (10)$$

C. Exponential product directivity

In the Berkty’s far-field solution,\(^3\) the demodulated wave along the axis of propagation is proportional to the second-time derivative of the square of the envelope of the modulated wave. If we generate two pure sine waves at the primary frequencies as the modulated wave, its frequency components are similar to a modulated wave generated by single side band modulation. Thus the sound pressure of the difference frequency wave $p_-$ can be computed by

$$p_- = \frac{\beta p_0^2a^2}{16\rho_0c_0\delta} \frac{d^2}{d\tau^2} E_0(\tau), \quad (11)$$

where $a$ is the source radius; $p_0$ is the pressure amplitude at source; and $E_0(\tau)$ is the modulation envelop; the other notations have similar definitions as in the KZK Eq. (2).

Because of the second-time derivative in Eq. (11), the contribution to the sound pressure level generated by the parametric array varies with the square of the difference frequency ($f_{\text{diff}} = f_2 - f_1$), i.e., $f_{\text{diff}}^2$. In other words, the sound pressure level at the difference frequency increases by 12 dB per octave. Equation (9) also indicates that the sound field along the propagating axis is proportional to the square of the wavenumber at the difference frequency. However, Wygant et al.\(^16\) fabricated their capacitive micro-machined ultrasonic transducers and tested them in both experiment and simulation (based on numerical solution to the KZK equation). Their results indicate that the sound pressure level at the difference frequency increase by 9 dB per octave, which correspond to $f_{\text{diff}}^5$. Therefore, Wygant et al. suggested that the sound pressure level of the difference frequency is proportion to $f_{\text{diff}}^n$, where $n$ depends primarily on the ratio of the diffraction length (i.e., the area of the transmitter divided by the wavelength at the primary frequencies) to the absorption length (i.e., the inverse of the nominal absorption coefficient at the primary frequencies). For the Westervelt’s solution,\(^1\) it was proposed that $n = 2$ is a good approximation of the frequency dependence. However, for the solution obtained by Berkty and Leahy\(^17\) and the experimental results obtained by Vos,\(^18\) $n = 1$ gives better approximations.
of the frequency dependence in highly nonlinear distortion cases.

Based on the preceding methodology, \(^\text{16}\) another modification to the PD model is proposed. We refer to this model as the exponential product directivity (EPD) model, and it can be written as

\[
D_{\text{diff}}(\theta) = f_n p_1 D_1(\theta)p_2 D_2(\theta),
\]

where \(n \in [1, 2]\) is the tuning factor, \(^\text{16}\) and \(f_n\) is the difference frequency.

The combination of APD and EPD models, without conflicting with Eq. (8), gives the combined product directivity (CPD) model, written as

\[
D_{\text{diff}}(\theta) = f_n \frac{a_1 a_2}{a_1^2 + a_2^2} p_1 D_1(\theta)p_2 D_2(\theta).
\]

\(\text{D. Equivalent Gaussian source array}\)

All the preceding product directivity models can only be applied to Gaussian sources. However, the ultrasonic transducer array in the parametric loudspeaker \(^\text{8–14}\) is usually separately weighted and equally spaced, and every transducer in the linear array is assumed omnidirectional instead of Gaussian source (see Fig. 2). In one seminal paper \(^\text{19}\) and another related survey paper, \(^\text{20}\) the authors demonstrated that it is possible to accurately simulate the sound beam of a piston source by the superposition of sufficient Gaussian beams. The coefficients are obtained by fitting the Gaussian sources to match the piston velocity distribution on the surface of the transducer using a nonlinear least squares approach. Thus the prerequisites of the PD model can be fulfilled, and the PD model can be applied to the parametric loudspeaker with a linear transducer array. \(^\text{14}\) According to the PD model, the

FIG. 2. Beamsteering structure for parametric loudspeaker and equivalent Gaussian source array.

FIG. 3. (Color online) The setup of the transducer array with column-wise configuration and the microphones used in the experiments.
directivity of the difference frequency wave can be adjusted by controlling the directivity of the primary waves. A simplified structure of the beamsteerer used in the acoustic parame-
tric array is shown in Fig. 2.

Let $M$ denote the total number of channels. Assume that the ultrasonic transducer array is steered to the same direction and shares the same group of weights for two primary

![Graphs](a) 36 kHz  
(b) 38 kHz  
(c) 39 kHz  
(d) 40 kHz  
(e) 42 kHz  
(f) 44 kHz

FIG. 4. The measured beampatterns (marker: ▲) and the beampatterns of equivalent Gaussian source array (solid line) at primary frequencies of (a) 36 kHz, (b) 38 kHz, (c) 39 kHz, (d) 40 kHz, (e) 42 kHz, and (f) 44 kHz. The radii of each Gaussian source (in cm) are marked next to its corresponding lobes.
the linear transducer array is denoted as $H(k, \theta)$, and the number of lobes is denoted as $N$. Each Gaussian source in the equivalent array corresponds to an initial pressure level $B_i$, an effective radius $A_i$ and an angular offset $\theta_i$. Therefore, the summed square of residuals over the range of angle $\theta$ is given by

$$R(k) = \left| H(k, \theta) - \sum_{i=1}^{N} B_i \exp\left[ -\frac{1}{4} (kA_i)^2 \tan^2(\theta - \theta_i) \right] \right|^2.$$  

(14)

By minimizing the value of $R(k)$, the Gaussian source array configuration that fits the beampattern of the linear transducer array best can be solved at each primary frequency.

III. MEASUREMENT AND RESULT

The beampatterns of the primary waves and the difference frequency waves were measured in an anechoic chamber with a dimension of 6 m × 3 m × 3 m. The primary waves were captured by a 1/8 in. microphone (B&K 4138). The difference frequency waves were measured using a 1/2 in. microphone (B&K 4134). The ultrasonic transducer array was configured in column-wise, as shown in Fig. 3. The ultrasonic transducer array was mounted on a motorized rotation stage, and the microphones were placed at a location 4 m away from the center of the ultrasonic transducer array. Figure 3 also shows the overall setup of the measurements. The beampatterns of the primary frequency wave, as well as the difference frequency wave, were restricted to a measuring angle between $-40^\circ$ and $40^\circ$ with a resolution of $1^\circ$. All the channels in the ultrasonic transducer array were equally weighted, but differently delayed to achieve beamsteering. A beamsteering algorithm was implemented using a data acquisition board (NI PCI-6733) connected to a personal computer.

The measured beampatterns of the primary frequencies are used to compute the configurations of the equivalent Gaussian source array. Otherwise, the system errors incurred at different stages of implementation, which include the transducer positions, transducer gain or phase response, mutual coupling, and receiver equipment effects, must be considered and compensated by array calibration approaches. Both the measured beampatterns and the beampatterns of the equivalent Gaussian source array are plotted in Fig. 4 at primary frequencies of (a) 36 kHz, (b) 38 kHz, (c) 39 kHz, (d) 40 kHz, (e) 42 kHz, and (f) 44 kHz. The radii of Gaussian sources (in cm) are labeled next to their corresponding lobes as well. It is observed that the Gaussian sources corresponding to the mainlobes and the grating lobes are with relatively small radii due to their wider beamwidths compared to other sidelobes. Significant mismatches can be observed at the troughs between two lobes where the normalized amplitudes are lower than 0.1 (i.e., $-20$ dB). However, the mismatches at the troughs rarely appear and are relatively slight. The least square curve fitting approach given by Eq. (14) has been proved to be adequate to derive an equivalent Gaussian source array that match the overall beampattern of the ultrasonic transducer array in the parametric loudspeaker.

From the aspect of complexity, the PD model can be applied as long as the beampatterns of the primary frequency waves are known. In contrast, the APD model requires additional steps to compute the equivalent Gaussian source array configurations from the measured beampatterns. Subsequently,

![Graph](image-url)
TABLE I. The normalized amplitudes of selected sidelobes and the grating lobe in the beampatterns of measurement, APD model, EPD model, CPD model and PD model at the difference frequencies of 1, 4, and 8 kHz.

<table>
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<tr>
<th>Diff. Frequency</th>
<th>1 kHz</th>
<th>4 kHz</th>
<th>8 kHz</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lobe label</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S1</td>
<td>−29</td>
<td>−29</td>
<td>−28</td>
</tr>
<tr>
<td>S2</td>
<td>−12</td>
<td>23</td>
<td>−11</td>
</tr>
<tr>
<td>S3</td>
<td>21</td>
<td>29</td>
<td>−17</td>
</tr>
<tr>
<td>G</td>
<td>30</td>
<td>35</td>
<td>25</td>
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<td>MP (dB)</td>
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<td>−9.466</td>
</tr>
<tr>
<td>APD (dB)</td>
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<td>−13.77</td>
<td>−15.19</td>
</tr>
<tr>
<td>EPD (dB)</td>
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<td>CPD (dB)</td>
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<td>−11.67</td>
<td>−9.409</td>
</tr>
<tr>
<td>PD (dB)</td>
<td>−20.91</td>
<td>−22.45</td>
<td>−22.74</td>
</tr>
</tbody>
</table>

where \( n = e a_1 a_2 + 1 \),

using the CPD model when compared to the PD model. Among the three models, the EPD model has the best performance in predicting the grating lobe. All proposed models have better grating lobe performance compared to the PD model, although the improvements are moderate.

In Fig. 5(b), the CPD model gives the best prediction of the two sidelobes adjacent to the mainlobe at the difference frequency of 4 kHz. The improvement between the CPD model and the PD model achieves 10.78 and 8.85 dB for the sidelobes labeled as “S1” and “S2,” respectively. The PD model gives the best prediction of the sidelobe adjacent to the grating lobe, and the EPD model gives the best prediction of the grating lobe. However, all product directivity models perform similarly in terms of predictions of the grating lobe and sidelobes adjacent to the grating lobe.

Figure 5(c) shows the performance at the difference frequency of 8 kHz. As mentioned in the preceding text, the results of the EPD and CPD models are not taken into comparison. The APD model outperforms the PD model for the three sidelobes labeled as “S1,” “S2,” and “S4” but does not predict the grating lobe and the sidelobe labeled as “S3” well.

It is concluded based on the comparison results that all the proposed models outperform the PD model in terms of predictions of sidelobes adjacent to the mainlobe. However, when the grating lobe is eliminated, the PD model can be used to predict the grating lobe and the sidelobes adjacent to the grating lobe without lose of accuracy, although the prediction of the eliminated grating lobe is not of importance to the beampattern design of the parametric loudspeaker. In terms of the overall performance, the APD model is recommended when the grating lobe is not significantly eliminated. Another advantage of the APD model is its simplicity that it can be readily applied once the beampatterns of the primary frequency waves are known. Therefore all product directivity models perform similarly in terms of predictions of the grating lobe and sidelobes adjacent to the grating lobe.

IV. CONCLUSION

In this paper, we proposed three modified product directivity models, namely (i) the advanced product directivity model, (ii) the exponential product directivity model, and (iii) the combined product directivity model. All the proposed product...
directivity models are based on the transformation of a linear transducer array to an equivalent Gaussian source array and taking the radii of the Gaussian sources into account to obtain better predictions of beampatterns of the difference frequency waves. Based on the comparison between measurement results and numerical solutions, all the proposed models outperform the PD model in terms of predictions of sidelobes adjacent to the mainlobe. The advantage of the APD model is its simplicity that it can be readily applied once the beampatterns of the primary frequency waves are measured or computed based on calibration methods. When the grating lobe is eliminated, the PD model can be used to predict the grating lobe and the sidelobes adjacent to the grating lobe without loss of accuracy. Although in the case of grating lobe elimination, the prediction of the grating lobe is not important to the beampattern design of the parametric loudspeaker, the PD model remains a good and simple model to determine the level of grating lobe elimination. Judged by the overall performance, the APD model is recommended when the grating lobe is not significantly eliminated. When additional measurements can be conducted to determine the tuning factor, the CPD model is found to give more accurate predictions to the overall beampatterns of the difference frequency waves, compared to the EPD model that can only predict the amplitude of the grating lobe accurately.

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