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Characteristics of a Widespread Community Cluster of H275Y Oseltamivir-Resistant A (H1N1)pdm09 Influenza in Australia

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(See the editorial commentary by Fry and Gubareva, on pages 145-7.)

Background. Oseltamivir resistance in A(H1N1)pdm09 influenza is rare, particularly in untreated community cases. Sustained community transmission has not previously been reported.

Methods. Influenza specimens from the Asia-Pacific region were collected through sentinel surveillance, hospital, and general practitioner networks. Clinical and epidemiological information was collected on patients infected with oseltamivir-resistant viruses.

Results. Twenty-nine (15%) of 191 A(H1N1)pdm09 viruses collected between May and September 2011 from Hunter New England (HNE), Australia, contained the H275Y neuraminidase substitution responsible for oseltamivir resistance. Only 1 patient had received oseltamivir before specimen collection. The resistant strains were genetically very closely related, suggesting the spread of a single variant. Ninety percent of cases lived within 50 kilometers. Three genetically similar oseltamivir-resistant variants were detected outside of HNE, including 1 strain from Perth, approximately 4000 kilometers away. Computational analysis predicted that neuraminidase substitutions V241I, N369K, and N386S in these viruses may offset the destabilizing effect of the H275Y substitution.

Conclusions. This cluster represents the first widespread community transmission of H275Y oseltamivir-resistant A(H1N1)pdm09 influenza. These cases and data on potential permissive mutations suggest that currently circulating A(H1N1)pdm09 viruses retain viral fitness in the presence of the H275Y mutation and that widespread emergence of oseltamivir-resistant strains may now be more likely.

Osaltemivir (Tamiflu) is the most commonly used drug for the treatment or prophylaxis of influenza, and has been widely used in Japan, North America, and Europe. Oseltamivir has also been stockpiled by many countries as part of pandemic preparedness and was widely used during the 2009 influenza A(H1N1) pandemic, when it was shown to improve clinical outcomes in infants, adults, and pregnant women [1–6]. Since the emergence of the pandemic H1N1 2009 virus (A[H1N1]pdm09), oseltamivir resistance has been detected at a frequency of approximately 1%, with the majority of resistant viruses being isolated...
from immunocompromised patients undergoing oseltamivir treatment [7–9]. Virtually all of these resistant viruses have contained the histidine (H) to tyrosine (Y) substitution at position 275 of the neuraminidase (NA; N1 numbering—the same substitution is referred to as H274Y based on N2 numbering), which confers highly reduced oseltamivir sensitivity in vitro [10]. This substitution was also present in the oseltamivir-resistant variant of the prepandemic seasonal A(H1N1) subtype that emerged in Europe and then spread globally in less than a year in 2008 [11, 12]. This demonstrated the capacity of an A(H1N1) virus to overcome the inherently detrimental fitness effect of the H275Y substitution [13, 14] and transmit readily between individuals in the absence of drug selection pressure. Clinical data have shown that the effectiveness of oseltamivir was significantly reduced during the treatment of the previously circulating seasonal A(H1N1) H275Y variants [15–18].

Transmission of oseltamivir-resistant H275Y A(H1N1)pdm09 strains to date has been limited or unsustained. Most commonly, transmission has occurred in closed, near-contact settings, such as hospital wards [19–21], or after prolonged close contact in community settings such as a long train journey or at a school camp [22, 23]. Oseltamivir-resistant H275Y variants were detected at a low frequency (<1%) among community cases during the 2010–2011 northern hemisphere influenza season [24, 25] and, based on our testing, this continues to be the case for most countries in the southern hemisphere during the current 2011 influenza season. In contrast, between June and August 2011, in the Hunter New England region around Newcastle, Australia, we detected a significantly increased frequency of H275Y oseltamivir-resistant A(H1N1)pdm09 viruses in community patients, of whom only 1 patient had been treated with oseltamivir [26]. Following our initial brief report [26], here we describe the virological and epidemiological aspects of the cluster of H275Y oseltamivir-resistant A(H1N1)pdm09 influenza and discuss potentially permissive NA substitutions that may have enabled the A(H1N1)pdm09 virus to retain viral fitness in the presence of the H275Y mutation.

MATERIALS AND METHODS

Sample Collection
Newcastle (population 273 805) is a regional coastal city in the state of New South Wales (NSW), and the sixth largest city in Australia, providing tertiary referral specialist services for northern NSW. It is located approximately 120 kilometers north of the NSW capital, Sydney (Figure 1). The Hunter New England (HNE) health district, of which Newcastle is the major city, stretches from Lake Macquarie in the south to the Queensland border. The HNE health district is served by Hunter Area Pathology Services (HAPS), which receive specimens for influenza testing from emergency departments, intensive care units, and general practitioners. Respiratory

![Figure 1. Map of the Hunter New England (HNE) health district and Australia. HNE health district is colored in red.](http://jid.oxfordjournals.org/).
specimens (swabs and nasopharyngeal aspirates) that were positive for influenza A or B by nucleic acid testing at HAPS in 2011 were referred weekly to the World Health Organization (WHO) Collaborating Centre for Reference and Research on Influenza (WHO CCRI) for further virological analysis. A(H1N1)pdm09 viruses from the HNE health district were compared with viruses obtained from WHO National Influenza Centres that form part of the WHO Global Influenza Surveillance and Response System (GISRS), and other laboratories both within and outside of Australia.

To enable the review of oseltamivir-resistant viruses in the HNE health district, the NSW Chief Health Officer granted an ethics waiver and authorized the investigation under the NSW Public Health Act 2010 as an urgent public health investigation. For all patients with oseltamivir-resistant viruses, hospital records were reviewed and treating medical practices contacted to obtain details of clinical symptoms and outcomes, antiviral and concomitant treatment administered during the current and previous treatment episodes, and medical history. Patients infected with oseltamivir-resistant influenza viruses were interviewed using a structured questionnaire to gather data on patient demographics, medical history of immune-compromising conditions, influenza vaccination status in 2011, and antiviral treatment history.

**Virological Analysis**

Viruses were isolated from original clinical samples and repassed in Madin–Darby canine kidney cells (ATCC CCL-34). Antigenic characterization was performed using a hemagglutination-inhibition assay [27]. All virus isolates were analyzed for oseltamivir, zanamivir, and peramivir sensitivity using a fluorescence-based NA inhibition assay [28], followed by hemagglutinin (HA) and NA sequence analysis of those strains with elevated inhibitory concentration (IC50) values (the concentration of drug required to inhibit the NA activity by 50%). Where virus isolates could not be obtained, original specimens were analyzed for the presence or absence of the H275Y NA substitution using a pyrosequencing assay [29]. The HA and NA genes were fully sequenced using standard techniques on an ABI 3500XL sequencer. Sequences from oseltamivir-resistant strains were uploaded to a publically available influenza sequence database (GISAID; www.gisaid.org), and given accession numbers EP1334765-334790 and 335634-335637. Maximum likelihood phylogenetic trees were constructed with PhyML (http://www.atgc-montpellier.fr/phyml) using HKY85 as the nucleotides substitution model with bootstrapping (Geneious Pro 5.1.4 software).

**Computational Structural Analysis**

Computational structural analysis of combinations of candidate permissive mutations in the NA was conducted using FoldX [31], an empirical all-atom force field that allows the calculation of protein stability changes upon mutation by approximating the free energy of unfolding through weighted terms. To validate the calculations, more than 1000 mutations representative of most structural environments were analyzed and achieved a global correlation of 0.8 with experimentally measured thermodynamic data. In this work, the A(H1N1)pdm09 NA crystal structure (PDB:3nss) was minimized with the RepairPDB function, then mutations were introduced separately or as cumulative combinations using FoldX in YASARA [32], where the stochastic side chain minimization procedure is repeated 5 times for each mutant and the averages are taken as final predicted free-energy change.

**Results**

**Frequency of Oseltamivir Resistance**

From the HNE health district, 2673 respiratory tract specimens were collected for influenza testing by nucleic acid testing (NAT) at HAPS in the period 20 May 2011 to 28 October 2011 (23 weeks) from a population of 874 644 (0.3%). Of the 749 influenza NAT-positive specimens, 439 (59%) were A(H1N1)pdm09 viruses (Figure 2) and 191 had sufficient volume to enable antiviral susceptibility analysis by the WHO CCRI. Twenty-nine (15%) of these 191 A(H1N1)pdm09 viruses were found to contain the H275Y NA substitution by either pyrosequencing of original specimens (n = 18) or conventional NA sequencing of isolates following an NA inhibition assay (n = 11). H275Y A(H1N1)pdm09 viruses were obtained from patients between 31 May and 19 August 2011 (Figure 3). The frequency of resistance over time was 4/51 (8%) in June, 20/85 (24%) in July, 4/45 (8%) in August, and 0/4 (0%) in September by which time influenza activity had become very low in the region (Figure 2). Twenty-six of the 29 patients infected with oseltamivir-resistant viruses lived in 5 adjacent local municipalities (Cessnock, Lake Macquarie, Maitland, Newcastle, Port Stephens) within 50 kilometers of Newcastle. The other 3 patients lived in rural towns 90, 150, and 490 kilometers away from Newcastle.

In comparison, only 5 oseltamivir-resistant H275Y viruses were detected out of 737 A(H1N1)pdm09 viruses tested (frequency, 0.7%) from the rest of Australia during 2011 (Figure 3). Two of these strains from January and March 2011 were from other Australian states (Queensland and Western Australia) and were isolated from hospitalized immunocompromised patients undergoing oseltamivir treatment. However, 2 oseltamivir-resistant H275Y viruses from elsewhere in NSW and 1 from Western Australia were collected during or after the period of oseltamivir-resistant virus detection in the HNE health district. One of the NSW oseltamivir-resistant viruses was detected in July 2011 from a child in Sydney, NSW, 120 kilometers south of Newcastle, while the other was detected in August 2011 from an infant in Orange, NSW, a town
approximately 380 kilometers west of Newcastle. The Western Australian oseltamivir-resistant virus was detected in September 2011, 3 weeks after the last detected case in the HNE health district, and in a location near Perth, approximately 4000 kilometers west of Newcastle. All 3 of these resistant viruses were taken from otherwise healthy children who had not been treated with oseltamivir. Neither they nor their family members had traveled recently to Newcastle, and in the Western Australian case, there had been no recent contact with anyone from NSW.

Figure 2. Number of laboratory-confirmed influenza positive specimens detected in the Hunter New England health district during 2011.

Figure 3. Frequency of detection of oseltamivir-resistant H275Y variants in Australia in 2011. A red dot represents the sample date of an individual oseltamivir-resistant A(H1N1)pdm09 H275Y case, and a blue dot indicates the sample date of an individual oseltamivir-sensitive A(H1N1)pdm09 case detected in the states and territories of Australia in 2011.
Patient Details for HNE Health District Oseltamivir-Resistant Cases

Seventeen (59%) of the 29 patients infected with oseltamivir-resistant viruses were female and 3 (10%) were pregnant. Three (10%) identified themselves as Aboriginal. The age range was 4 months to 62 years (median, 31 years) compared with a median age of 29 years for persons infected with oseltamivir-sensitive influenza A(H1N1)pdm09. No cases resided in institutional care or aged care facilities. Cough was reported by 25 (86%) cases and fever by 22 (76%) cases. One patient was asymptomatic, but was swabbed and tested for influenza due to contact with a laboratory-proven A(H1N1)pdm09 case. Six (21%) cases reported a history of asthma; none had a history of chronic obstructive pulmonary disease; 6 (21%) were current smokers with an average pack-year history of 20 (range, 1–62) and none were immunosuppressed. Three (10%) cases had received trivalent-inactivated influenza vaccine in 2011. Importantly, only 1 patient infected with an oseltamivir-resistant virus had received oseltamivir prior to their specimen being collected (in this case, received 4 days prior to specimen being taken). Eleven (38%) were prescribed oseltamivir after specimen collection. Seven (24%) patients required hospital admission with a mean length of stay of 2.3 days (range, 1–7 days). There were no intensive care admissions and no fatal outcomes. The median number of days absent from usual duties was 5. Of the 29 patients infected with an oseltamivir-resistant virus, 5 pairs of cases were epidemiologically linked. Four households had 2 affected cases each, while a fifth pair of cases shared a short car journey. No other links could be identified during interviews with cases. Detailed outcomes were not collected for persons infected with oseltamivir-sensitive influenza.

Virological Analysis of Oseltamivir-Resistant Viruses

For the viruses that were isolated in cell culture, the oseltamivir-resistant H275Y strains (n = 11) had a mean (±1 SD) oseltamivir IC50 of 205.3 ± 23.5 nM that was 513-fold higher than the mean IC50 of oseltamivir-sensitive isolates (n = 3579) (Table 1). The H275Y isolates also demonstrated elevated peramivir IC50 values (16.6 ± 1.5 nM) that were approximately 80-fold higher than sensitive strains, but were fully sensitive to zanamivir (Table 1). The oseltamivir-resistant viruses were also resistant to the adamantane class of influenza antiviral drugs based on M2 sequencing, having the characteristic S31N substitution. These H275Y variants, including those from the 3 patients that received the influenza vaccine in 2011, were antigenically indistinguishable from the oseltamivir-sensitive viruses and were well inhibited by ferret serum samples raised against the current A(H1N1)pdm09 vaccine strain A/California/7/2009 in a hemagglutination-inhibition assay (Supplementary Table 1).

Phylogenetic analysis of the hemagglutinin and NA sequences revealed that the oseltamivir-resistant viruses from

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<td>Mean of HNE health district H275Y A(H1N1) pdm09 viruses (n = 11)</td>
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Abbreviations: Diff, difference; HNE, Hunter New England; IC50, inhibitory concentration.
^a Based on comparison with mean IC50 of all sensitive A(H1N1)pdm09 viruses.
^b Mean and standard deviation of peramivir IC50 values based on analysis of n = 273 isolates.
the HNE health district, the 2 other NSW viruses detected in Sydney and Orange, and the Western Australian strain from September 2011 were all highly similar (99.9% nucleotide similarity across 22 HA and 18 NA sequences) and formed a distinct subgroup in both the HA and NA phylogenetic trees (Figure 4). Apart from the H275Y NA substitution, the oseltamivir-resistant strains all contained 2 other NA amino acid substitutions, V62I and N386S, which were absent from all but 1 of the local oseltamivir-sensitive viruses (A/Newcastle/84/2011) (Figure 4). In addition to the NA substitutions, whole-genome sequence comparison of 8 oseltamivir-resistant strains and 5 oseltamivir-sensitive strains from the HNE health district revealed 3 further substitutions across 3 other segments that were specific to the resistant strains—E129K in HA, L672F in PA, and S482N in NP. Genetic comparison of the 2 viruses from each of the linked cases showed 100% nucleotide similarity across the HA and NA genes, although sequence data were not available for viruses from 2 of the paired cases. In addition, other oseltamivir-sensitive A(H1N1)pdm09 strains, including A/South Australia/24/2011 and A/Hong Kong/2973/2011, clustered phylogenetically with the resistant strains (Figure 4), sharing a high degree of genetic similarity, except for the H275Y NA substitution. Full phylogenetic trees comparing the HNE health district sequences with publically available 2011 A(H1N1)pdm09 HA and NA sequences are shown in the supplementary data (Supplementary Figure 1A and 1B).

Computational Analysis of Potentially Permissive NA Substitutions

To investigate the role of potentially permissive NA mutations that may be responsible for offsetting the negative effects of the H275Y substitution, a computational analysis of NA protein stability was conducted. Since the emergence of the A (H1N1)pdm09 strain in 2009, a number of NA mutations have been described and, in some cases, have become fixed

![Figure 4](http://jid.oxfordjournals.org/)
within globally circulating strains (Figure 5). Based on computational analysis, 2 of these mutations, V241I and N369K, both of which emerged in A(H1N1)pdm09 viruses in 2010 and are now present in over 80% of currently circulating strains (Figure 5), could restore approximately 50% of the protein stability that was lost as a result of the H275Y mutation (Figure 6). In addition, the HNE health district and other NSW oseltamivir-resistant viruses contained an N386S NA substitution. This substitution is less common in other A(H1N1)pdm09 viruses, but computational analysis suggested that it would also further stabilize the NA (Figure 6). Another NA substitution that has been on the rise in recent strains and was present in the oseltamivir-resistant viruses described here is N44S. It creates a new potential N-glycosylation site at position 42, changing the motif at positions 42–44 from NQN to NQS. However, this region of the NA is in the stalk and not part of the globular domain and, therefore, is not known how it would affect NA structure and stability.

Discussion

The H275Y variants detected in the HNE health district, other parts of NSW, and in Western Australia represent the largest and most widespread cluster of oseltamivir-resistant A(H1N1)pdm09 influenza identified since the virus first emerged in humans in 2009. The oseltamivir-resistant strains detected from these locations were virtually identical genetically, suggesting emergence from a single source.

The rapid global spread of oseltamivir-resistant seasonal A(H1N1) H275Y viruses during 2007–2008 [11, 12] showed that A(H1N1) influenza viruses with this substitution could retain transmissibility, even though previous in vitro and in vivo studies found the substitution reduced fitness in other A(H1N1) strains [13, 14]. A recent study demonstrated that seasonal A(H1N1) oseltamivir-resistant H275Y viruses exhibited reduced susceptibility to postvaccination antibody inhibition compared to the cocirculating oseltamivir-sensitive viruses.
[30], which may have contributed to the virtual replacement of the oseltamivir-sensitive seasonal A(H1N1) strain within 1 year. Based on antigenic analyses with postinfection ferret serum samples, the oseltamivir-resistant A(H1N1)pdm09 H275Y viruses reported here are antigenically similar to oseltamivir-sensitive A/California/7/2009-like A(H1N1)pdm09 strains circulating in the HNE health district and elsewhere in Australia, although future studies using postvaccination human serum should be performed [30]. The high frequency of resistance in untreated community patients suggests that they are not markedly less fit than sensitive viruses. This situation is similar to the oseltamivir-resistant seasonal A(H1N1) viruses that emerged in Norway in 2007 where, like Australia, the use of oseltamivir was low compared to countries such as Japan and the United States [31].

Recently, it has been shown that certain substitutions in the NA, V234M and R222Q, enabled the seasonal A(H1N1) virus to remain fully functional in the presence of the H275Y substitution [32, 33]. These permissive substitutions are not present in the A(H1N1)pdm09 oseltamivir-resistant H275Y variants reported here. Most A(H1N1)pdm09 sequences (including the strains reported here) have the nonpermissive V at position 234, and the most typical amino acid at position 222 among the A(H1N1)pdm09 NAs is N. However, 3 other NA substitutions, V241I, N369K, and the N386S, which were present in the oseltamivir-resistant strains from the cluster reported here, may offset the destabilizing effect of the H275Y substitution. The V241I and N369K substitutions are present not only in the H275Y variants reported here, but are also in viral sequences of North American and a large number of Japanese oseltamivir-resistant H275Y strains recently deposited on the GISAID sequence database (Supplementary Figure 1A and 1B). Importantly, the N369K substitution, which computationally was predicted to cause the largest change in protein stability, has previously been shown experimentally to increase NA surface expression and activity in combination with H275Y [32].

Infection with oseltamivir-resistant seasonal A(H1N1)viruses significantly reduced the effectiveness of oseltamivir during the 2008–2009 season, particularly in younger children [15–18]. For patients infected with oseltamivir-resistant viruses, fever levels were comparable between oseltamivir-treated and untreated patients, but significantly reduced in zanamivir-treated patients [15]. Mean duration of fever after the start of oseltamivir treatment was also significantly longer in persons infected with oseltamivir-resistant viruses than in those infected with oseltamivir-sensitive viruses [15, 17, 18], while treatment of the H275Y viruses with zanamivir reduced fever duration to normal levels [18]. Although the relationship between in vitro IC₅₀ of a virus and clinical effectiveness is not well understood, it is noteworthy that the mean oseltamivir IC₅₀ of the H275Y A(H1N1)pdm09 viruses is generally lower than that of the H275Y seasonal A(H1N1) variants [12, 34, 35], and is below the steady-state trough plasma concentrations found in children, adolescents, and adults following recommended dosing [36]. As a result, oseltamivir treatment may be more effective for the A(H1N1)pdm09 H275Y variant than has been reported for the H275Y seasonal A(H1N1) variants.

Figure 6. Computational structural analysis of combinations of candidate permissive mutations in the neuraminidase (NA). Mutations were modeled with FoldX in the A(H1N1)pdm09 NA crystal structure (PDB:3nss) after minimization and using 5 repetitions.
More data regarding the effectiveness of oseltamivir for the treatment of A(H1N1)pdm09 H275Y viruses, and other variants with reduced oseltamivir sensitivity, are needed. Eleven of the oseltamivir-resistant HNE health district cases received oseltamivir after specimen collection but, because the resistant virus was detected after the patient had recovered and treatment had ceased, it was not possible to investigate the effectiveness of oseltamivir. The effectiveness of peramivir is also likely to be reduced for the treatment of H275Y A(H1N1) pdm09 viruses given the 80-fold increase in IC50 [37], as such current WHO guidelines state that intravenous peramivir should only be considered for the treatment of such viruses when intravenous zanamivir is not available [38]. However, recent animal data suggest that 5 days of therapy with intravenous peramivir may overcome the shift in sensitivity to peramivir caused by the H275Y substitution [39]. Given that the H275Y variant is fully susceptible to zanamivir in vitro, this drug remains the recommended alternative antiviral treatment option when oseltamivir resistance is detected [38].

Of the 29 H275Y A(H1N1)pdm09 viruses detected in the HNE health district, none caused severe illness or resulted in patients being admitted to intensive care wards. However, because detailed clinical or epidemiological information was not collected at the same time from a comparison group of patients with oseltamivir-sensitive virus infections, it was not possible to determine whether there were any differences between the types of patients infected or the types of illness caused by oseltamivir-sensitive and -resistant viruses. Another limitation is that a relatively small number of A(H1N1)pdm09 viruses were available for testing (191 from the HNE health district and 737 from the rest of Australia). Therefore, not only may the true prevalence of resistance be different to that reported here, but the spread of the genetically related oseltamivir-resistant virus may also be wider than detected.

Influenza activity in the HNE health district and the rest of Australia has now returned to baseline levels, although the detection of the variant outside the HNE health district is concerning and demonstrates its capacity to spread widely. Oseltamivir-resistant strains that were indistinguishable to those detected in the HNE health district were identified in Sydney, the largest city in Australia and a large international travel hub, and near Perth, Western Australia, on the other side of the country to Newcastle. To date, there is no indication that this oseltamivir-resistant A(H1N1)pdm09 strain has spread into the northern hemisphere, although it will be important for countries to monitor strains throughout the upcoming northern hemisphere influenza season. The most recent A(H1N1)pdm09 sequences strains deposited on GISAID at the time of writing are from the United States (Hawaii, California, Pennsylvania), and although none of them have the H275Y substitution, they all have the NA substitutions V241I and N369K, which may buffer the detrimental effect of the H275Y oseltamivir-resistance mutation. The other non-NA amino acid substitutions in the oseltamivir-resistant viruses from the cluster (HA, PA, and NP) may also play a role in viral fitness. In vitro and in vivo studies are currently in progress to analyze the fitness of the strains from this cluster and investigate the role of these potentially “permissive” mutations. However, this cluster of cases, along with the recent reports of increased detection of H275Y A(H1N1)pdm09 viruses in untreated community patients in the United Kingdom and United States [24, 40] and the large number of recent sequences with the H275Y substitution deposited on GISAID from Japan, suggests that the currently circulating A(H1N1)pdm09 may be becoming more tolerant of the H275Y mutation than it was when the strain first emerged in 2009, and that widespread emergence of oseltamivir-resistant A(H1N1)pdm09 viruses may now be more likely.

Supplementary Data

Supplementary materials are available at The Journal of Infectious Diseases online (http://jid.oxfordjournals.org/). Supplementary materials consist of data provided by the author that are published to benefit the reader. The posted materials are not copyedited. The contents of all supplementary data are the sole responsibility of the authors. Questions or messages regarding errors should be addressed to the author.

Notes

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