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Vibrational spectrum renormalization by enforced coupling across the van der Waals gap between MoS2 and WS2 monolayers

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At the few or monolayer limit, layered materials define an interesting two-dimensional system with unique electronic and phonon properties. The electron band structure of monolayers can be drastically different from multilayers despite the weak van der Waals interaction between neighboring layers. In this Rapid Communication, we demonstrate that vibrational spectra of a MoS2 monolayer and a WS2 monolayer are also renormalized when the interaction between them is artificially modulated. This is achieved by using a diamond-anvil cell to apply high pressures, up to 39 GPa onto WS2/MoS2 heterobilayers. With increasing pressure, the out-of-plane Raman frequencies of the two individual monolayers repel each other, exhibiting coherent vibrations across the van der Waals gap with an optical-like and an acoustic-like interlayer vibration mode. The discovery shows a crossover in lattice vibration from a two-dimensional system toward a three-dimensional system driven by enforced interlayer coupling.

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In layered materials, strong intralayer covalent bonds and weak interlayer van der Waals (vdW) interactions allow for separation of single-crystalline layers via mechanical or chemical exfoliation [1,2]. Although neighboring layers are held together by weak vdW interactions, physical properties of these layered semiconductors are sensitive to interlayer coupling across the vdW gap. For example, with a few exceptions (e.g., ReS2 [3]), many semiconducting transition-metal dichalcogenides (e.g., MoS2, WS2, and MoSe2) switch from indirect band gaps in the bulk or multilayers to direct band gaps in the monolayer limit [4–7]. A few other layered semiconductors (e.g., InSe) exhibit the opposite trend, i.e., switching from direct band gaps in the bulk to indirect band gaps toward the monolayer limit [8,9]. The normalization of band structure by the interlayer coupling signifies a crossover from a two-dimensional (2D) electronic system in the monolayer to a three-dimensional (3D) electronic system in the bulk. Therefore, the degree of two dimensionality, or, the “2Dness,” of the system is defined by the strength of interlayer coupling. If the interlayer coupling can be artificially reduced or enhanced, one can effectively modulate the electronic dimensionality of the system, which would offer much insight into low-dimensional physics. Indeed, this has been experimentally achieved with chemical intercalation or application of hydrostatic pressure. For instance, with the application of high hydrostatic pressures at 10–20 GPa, an insulator-metal phase transition with a rapid drop in resistivity has been observed in bulk MoS2 [10–12].

These studies all focus on normalization of the electronic structure with artificially tuned interlayer coupling. It is less clear how the vibrational motions of the system responds to modulation of the interlayer coupling. In bulk or multilayer materials, their Raman-active modes are found to stiffen with increasing pressure applied [10–12]. However, as the materials studied are composed of a stack of identical layers (hence homomultilayers), it is challenging to distinguish the vibrational modes from individual monolayers. In this Rapid Communication, we apply high pressure to heterobilayers composed of a MoS2 monolayer stacked on a WS2 monolayer and demonstrate that a dimensional crossover, similar to that observed in electronic band-structure renormalization, occurs also in the lattice vibrational structure of the system.

We measured the Raman modes of the WS2/MoS2 heterobilayers as well as separate MoS2 and WS2 monolayers under hydrostatic pressure applied using a diamond-anvil cell (DAC). The MoS2 and WS2 monolayers were grown by a well-established chemical vapor deposition (CVD) technique onto SiO2/Si substrates [13,14]. The WS2/MoS2 heterobilayers were prepared using a polydimethylsiloxane (PDMS) stamping technique as described in previous reports [14]. Briefly, PDMS was spin coated on a CVD-grown monolayer WS2/SiO2/Si and cured at 120 °C for >3 h. The PDMS/WS2 was released from the SiO2/Si substrate by mildly etching SiO2 in a 2 mol/L KOH solution for 0.5–2 h. It was then rinsed in de-ionized water to remove the KOH residue and transferred onto a CVD-grown monolayer MoS2/SiO2/Si substrate as shown in the inset of Fig. 1(a). Afterwards, the PDMS/WS2/MoS2 was released from the SiO2/Si substrate by mild KOH etching again. It was then stamped onto the
center of the diamond cuvet table of the DAC, and then the PDMS substrate was peeled off slowly, leaving the WS2/MoS2 heterobilayer on top of the diamond cuvet table. The sample was aligned to a small hole (diameter of ∼100 μm) drilled in a rhodium gasket and sealed by the two diamonds. The cuvet size of the diamonds is ∼300 μm, and the gasket was preindentied to a depth of ∼40 μm to ensure good alignment and tight sealing. Hydrostatic pressure near the sample was determined by the standard ruby fluorescence method. The pressure medium used in our experiments was a mixture of methanol and ethanol (4:1). The cuvet table was cleaned and wetted with the pressure medium prior to the sample stamping to ensure existence of the medium between the sample and the cuvet surface. We note that the medium may freeze at pressures higher than ∼10 GPa, which may result in slight inhomogeneities in pressure in the DAC. Raman spectroscopy was performed with a Renishaw microphotoluminescence/Raman system using an excitation laser of wavelength 532 nm. The Raman spectra were recorded through the DAC with a laser spot size of ∼2 μm in diameter and an effective resolution of ∼1 cm⁻¹. Over 20 samples were prepared and measured, all showing consistent results with no difference seen between the two cases where MoS2 is on top of WS2 and the other way around or between the pressure-loading and the unloading processes.

For MoS2 and WS2 monolayers with the D₆h symmetry, there are two prominent Raman-active modes, the in-plane E' mode and the out-of-plane A₁ mode [15]. For naturally stacked homobilayers with D₆h symmetry, these two modes become the well-known E₂g and A₁g modes. For the WS2/MoS2 heterobilayers, these modes are also represented here as E' and A₁ due to the same D₆h symmetry as in the monolayers. There are different stacking patterns AA1, AA3, AB1, AB2, and AB3 for such a bilayer, just like in the case of homobilayers [16]. For homomultilayers, when the number of layers increases from the monolayer, the out-of-plane A₁ mode exhibits stiffening whereas the E' mode shows softening. The former is due to enhancement in the restoration force by interactions between the S atoms from neighboring layers; the latter is attributed to enhanced dielectric screening of long-range Coulomb interaction between the metal atoms (Mo or W) because different from the vibration involving only S atoms in the A₁ mode, in the E' mode the metal atoms also vibrate [17,18].

Typical Raman spectra of the MoS2 monolayer, WS2 monolayer, and WS2/MoS2 heterobilayers at ambient pressure (P ∼0) are shown in Fig. 1(b). The out-of-plane (A₁) and in-plane (E') Raman modes of MoS2 and WS2 are well resolved from their monolayers [16]. All four peaks are present in the WS2/MoS2 heterobilayers and occur at positions identical to those of separate monolayers, indicating that the overlapped region is merely a mechanical stack of WS2/MoS2 bilayers without interlayer coupling. This is consistent with earlier reports that show negligible interaction between neighboring layers in as-stamped bilayers [15–18].

Upon the application of high pressure, all four Raman peaks start to shift toward higher wave numbers, accompanied with peak height reduction and width broadening. Some of the Raman spectra are selectively shown in Fig. 2(a), which compares heterobilayers and separate monolayers. The positions of these Raman peaks were determined by fitting the Raman spectra to a Lorentzian line shape and plotted in Fig. 2(b). We note that the data in Fig. 2(b) include results recorded from multiple samples as well as pressure loading/unloading. Two conclusions can be drawn from Fig. 2(b): (I) The in-plane modes (E') vary with pressure linearly, whereas the out-of-plane modes (A₁) show a nonlinear pressure dependence; (II) more interestingly, the E' modes of the heterobilayers exactly follow those of the separate monolayers, whereas the A₁ modes of the heterobilayers deviate significantly from those of separate monolayers, showing a clear repelling behavior, i.e., the stiffer A₁ mode [A₁ (WS2hetero)] is pushed up, whereas the softer A₁ mode [A₁ (MoS2hetero)] is pushed down. The fact that the E' modes of the heterobilayers precisely follow those of the separate monolayers also provides independent validation of the measurements, ruling out the possibility that the exotic pressure behavior of the A₁ modes was caused by pressure miscalibration or sample degradation. The broadening of the peaks at high pressures may be partly caused by the inhomogeneities of pressure arising from solidification of the pressure medium. The weak broad peak between 450 and 500 cm⁻¹ is possibly attributed to second-order Raman modes.

To understand the pressure dependence of the Raman frequencies, we have carried out a first-principles calculation of the system. All calculations were performed by density functional theory using the DMOL3 package [19,20]. All electrons are included in the simulation, and the PerdewBurke-Ernzerhof (PBE) exchange-correlation functional with dispersion correction (PBE-D) was used [21,22]. All ions and cell parameters were fully relaxed until the force tolerance reached 0.01 eV/Å with the applied external pressure. The double numerical plus polarization basis was used for convergence tests, and a centered Brillouin zone sampled at 24 × 24 × 5 was used for integration.

For calculation of the WS2/MoS2 heterobilayers, we sampled the five stacking patterns including AA1, AB1, AB3, AA3, and AB3 [16]. The stacking energy trend is similar to homobilayer MoS2 [16], i.e., the AB1 and AA1 stackings are more energetically favorable than the others, and for structures (AB3 and AA3) with higher energy, the interlayer distance is larger. The stacking-dependent interlayer distance...
in WS$_2$/MoS$_2$ heterobilayers originates from the steric effect that a certain amount of space is needed between any two atoms to afford the energy cost of overlapping electron clouds, which is the same as that in the homobilayer MoS$_2$ [16].

As the heterobilayers are randomly stacked in our experiments, we compare the experimental results with the calculated results averaged by the five stacking patterns. Figure 2(c) shows the calculated pressure-dependent $A'_1$ modes of the WS$_2$/MoS$_2$ heterobilayers compared to those of the MoS$_2$ and WS$_2$ monolayers. First, we can see that the $A'_1$ mode, which involves vibration of the S atoms only, is higher in WS$_2$ than in MoS$_2$. The Bader charge analysis reveals that the S atom is $-0.58e$ charged in WS$_2$ and $-0.52e$ in MoS$_2$, and therefore the Coulomb interaction is slightly stronger in WS$_2$, resulting in a higher $A'_1$ mode frequency. This is also consistent with the higher cohesive energy of WS$_2$ (5.78 eV) than that of MoS$_2$ (5.18 eV) [23].

Our calculation of the $A'_1$ modes of the WS$_2$/MoS$_2$ heterobilayers show that these modes vibrate separately when their distance is sufficiently long. However, when an external pressure is applied, the interlayer coupling is enhanced such that the $A'_1$ modes of the two layers turn into two coherent vibration modes where S atoms in both the MoS$_2$ and the WS$_2$ layers move in concert, one vibrating in phase and the other vibrating $180^\circ$ out of phase, respectively. The two S atoms from the two layers move along the opposite (same) direction in the coherent in-phase (out-of-phase) modes, leading to stiffened (softened) mode frequency compared to the original $A'_1$ mode. In contrast, the in-plane $E'$ modes in each layer are still uncoupled, even at high pressures, owing to their weak interlayer coupling.

We also found theoretically that the stacking pattern slightly affects the frequencies: For the AA3 and AB3 stackings [16], the interlayer S-S atoms are head to head to each other, which makes the $A'_1$ mode slightly stiffer, about 3 to 4 cm$^{-1}$ higher than the other stacking patterns. Since the $E'$ modes are always decoupled between the two layers, there is no distinguishable difference for the $E'$ modes between the various stacking patterns as well as with the case of the monolayers.

The pressure-induced mode stiffening can be understood from the Gr"uneisen parameter. The $i$th mode’s Gr"uneisen parameter $\gamma_i$ is computed by

$$\gamma_i = -\frac{V}{\omega_i} \frac{\partial \omega_i}{\partial V},$$

where $V$ is the volume of the unit cell. The calculated $\gamma$ values are $\gamma_{A'_1}^{\text{MoS}_2} = 1.2$, $\gamma_{A'_1}^{\text{MoS}_2} = 1.8$, $\gamma_{A'_1}^{\text{WS}_2} = 0.6$, and $\gamma_{E'}^{\text{WS}_2} = 0.5$, in good agreement with previous results [24]. Since $\gamma_i$ is positive, the frequency $\omega_i$ will increase with reduced $V$ caused by external pressure $P$ as observed in Figs. 2(a) and 2(b).

To better understand the vibrational spectrum normalization, we model the process with a weakly coupled
harmonic-oscillator system. As the lattice of the bilayer system is much stiffer in the plane than out of the plane, the deformation effect from the hydrostatic pressure on the system can be considered as a uniaxial pressure applied in the out-of-plane direction. As shown in Fig. 3(a), the out-of-plane mode of the two separate monolayers (one for MoS2 and the other for WS2) is modeled by two separate harmonic oscillators vibrating at their eigenfrequencies, 

$$\omega_{1,2} = \sqrt{\left(k_{1,2} + 2k_{\text{press}}\right)/m},$$

where $k_{1,2}$ is the intrinsic spring constant when the monolayers are free-standing and $k_{\text{press}}$ is the added stiffness of the spring constant by interactions of the monolayer at its two sides with the pressure medium, which is expected to increase with pressure. We note that as the $A'_1$ mode involves vibration of the sulfur atoms only [Fig. 1(b) inset], the difference in the mass of cations (Mo and W) does not play a role in the discussion, and the effective mass $m$ in Eq. (2) is set the same for $\omega_1$ and $\omega_2$. When a coupling with spring constant $k_{\text{int}}$ is introduced between the two oscillators, the new eigenfrequencies ($\omega_{1,2}$) of the system are given by solving the coupled equation of motion,

$$\omega_{1,2} = \frac{1}{2} \left( \omega_1^2 + \omega_2^2 \right) + \omega_{\text{int}}^2 - \omega_{\text{press}}^2 \pm \frac{1}{2} \sqrt{\left( \omega_1^2 - \omega_2^2 \right)^2 + 4\omega_{\text{int}}^4},$$

where $\omega_{\text{int}} = \sqrt{k_{\text{int}}/m}$ and $\omega_{\text{press}} = \sqrt{k_{\text{press}}/m}$. It can be seen that $\omega_+$ and $\omega_-$ correspond to oscillation modes in which the two masses are vibrating in phase and 180° out of phase, akin to the conventional optical and acoustic phonon modes in a crystal, respectively. As a result, they are stiffened and softened, respectively, from the original frequencies ($\omega_1$ and $\omega_2$); $\omega_+ > \omega_1 > \omega_2 > \omega_-$. However, due to the difference between $\omega_{\text{int}}$ and $\omega_{\text{press}}$, the amounts of stiffening and softening are not equal to each other: $|\omega_+ - \omega_-| \neq |\omega_2 - \omega_-|$, which is evident from the experimental data in Fig. 2(b). This is different from a conventional hybridization problem (i.e., when $\omega_{\text{int}} = \omega_{\text{press}}$) in which the splitting in energy is expected to be symmetric. The fact that $|\omega_+ - \omega_-| < |\omega_2 - \omega_-|$ as seen in Fig. 2(b) suggests $\omega_{\text{int}} < \omega_{\text{press}}$ or $k_{\text{int}} < k_{\text{press}}$, i.e., the interaction between the MoS2 and the WS2 layers is weaker than that between the monolayer and the pressure medium.

In order to apply quantitatively this analytical model to our data, we assume, to the first-order approximation, that both $k_{\text{int}}$ and $k_{\text{press}}$ rise linearly with pressure such that $k_{\text{int}}/m = \beta_{\text{int}} P$ and $k_{\text{press}}/m = \beta_{\text{press}} P$, where $\beta_{\text{int}}$ and $\beta_{\text{press}}$ are two constants related to the Grüneisen parameter of these two interactions. Equation (3) is used to fit simultaneously to the pressure dependence of the two $A'_1$ modes of WS2/MoS2 heterobilayers as shown in Fig. 3(b). In the fitting, the experimentally measured pressure dependencies of $\omega_+$ and $\omega_-$ of separate monolayers are directly used as the input, and $\beta_{\text{int}}$ and $\beta_{\text{press}}$ are adjusted as the only fitting parameters. A least-squares fitting to $\omega_+$ and $\omega_-$ is shown in Fig. 3(b), which yields $\beta_{\text{int}} = 625 \pm 50$ cm$^2$/GPa and $\beta_{\text{press}} = 825 \pm 50$ cm$^2$/GPa. The strength of these interactions can be gauged by comparing to the intrinsic $A'_1$ frequencies: At the maximum pressure ($P \sim 40$ GPa) attained in this Rapid Communication, the interlayer coupling $k_{\text{int}}$ results in a significant shift in $A'_1$ frequency by 158/cm, or $\sim$39%. This large change is not surprising considering that the out-of-plane Young’s modulus is estimated to be only $\sim$7GPa for these van der Waals crystals [25]. Therefore, high pressure through the DAC is indeed a very effective means to modulate the interlayer coupling across the van der Waals gap, driving the crossover from a 2D vibrational (i.e., interlayer decoupled) system toward an effectively 3D system (i.e., coupled across neighboring layers).

We note that the modes $\omega_+$ and $\omega_-$ in this heterobilayer system are in analogy to the $A_{1g}$ (in-phase) and $B_{1u}$ (out-of-phase) oscillation modes usually defined in homobilayers [18]. In the case of naturally AB-stacked homobilayers, however, the $B_{1u}$ mode is Raman-inactive forbidden by its symmetry [18]. In contrast, both $\omega_+$ and $\omega_-$ are Raman active in our heterobilayers due to relaxation of the symmetry rule by the random stacking configurations in the WS2/MoS2 heterobilayers. However, it is interesting to note the opposite trends of intensity of the $\omega_+$ and $\omega_-$ peaks as seen in Fig. 2(a): As pressure increases, the intensity of $\omega_+$ is enhanced and that of $\omega_-$ is reduced. This can be attributed to a residual effect of the different degrees of Raman activity of the $A_{1g}$ and $B_{1u}$ modes in the homobilayers. We also note that a similar mode repulsion has been observed in bulk InSe crystals under hydrostatic pressures below $\sim$15 GPa [26,27]. However, we also note the difference: In our Rapid Communication, we probe vibration in membranes of truly atomic thicknesses, and the repulsion in our Rapid Communication is between two identical modes, which is possible in our experiments because they are from MoS2 and WS2, respectively, and are thus spectrally distinguishable.

In conclusion, using a diamond-anvil cell, the interlayer coupling between MoS2 and WS2 monolayers is mechanically modulated. As a result, the out-of-plane vibration of the system is strongly renormalized, resulting in two coherent vibration modes involving the sulfur atoms in the two monolayers to vibrate in and out of phase, respectively. The effect discovered here shows that the vibrational structure of layered materials can be artificially and reversibly modulated across the van der Waals gap, providing a means to probe dimensionality effects of 2D materials.
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