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Microsphere enabled subdiffraction-limited optical-resolution photoacoustic microscopy: a simulation study

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Abstract. Optical resolution photoacoustic microscopy (ORPAM) is a high-resolution hybrid imaging modality having potential for microscopic in vivo imaging. Optical diffraction limits the lateral resolution of ORPAM. A photonic nanojet (PNJ) was used to break this diffraction limit. A single round microsphere can generate a PNJ with subwavelength waist, but its short axial length limits its applications to surface imaging only. We investigate different sphere designs to achieve ultralong nanojets that will make the nanojet more viable in far-field applications, such as photoacoustic imaging. The PNJ properties, including effective length, waist size, working distance, and peak intensity, can be tuned and controlled by changing the sphere design and its refractive index. A truncated multilayer microsphere design could generate an ultraelongated PNJ with length larger than ~172λ (~138 μm) while retaining a large working distance ~32λ (~26 μm). Through simulation study, we observed ~11-fold enhancement in lateral resolution with 5 μm round sphere (refractive index 2.2) when used in a conventional ORPAM setup with NA = 0.1 and λ = 800 nm. © 2016 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JBO.22.4.045001]

Keywords: photoacoustics; microsphere; photonic nanojet; subdiffraction; super-resolution; micro-optics.

Paper 160554SSRR received Aug. 15, 2016; accepted for publication Nov. 7, 2016; published online Nov. 30, 2016.

1 Introduction

Photoacoustic microscopy (PAM) is a hybrid in vivo three-dimensional (3-D) imaging modality that can offer high-resolution images with optical absorption contrast.1 PAM has so far been applied to numerous applications such as vascular biology, oncology, neurology, ophthalmology, dermatology, gastroenterology, and cardiology.2,3 In PAM, short laser pulse energy is absorbed by an intrinsic absorber, such as hemoglobin or melanin in tissue, leading to local temperature rise, and due to thermoelastic expansion generates pressure waves in the form of ultrasound. The photoacoustic (PA) waves are detected with an ultrasonic transducer (UST). PAM has several advantages over pure optical methods:1 (a) PAM makes use of the weak light intensity compared to ultrasonic transducers, so it is highly scalable 3-D imaging modality, both imaging depth and resolution are scalable, (c) it provides optical absorption contrast, unlike confocal fluorescence microscopy and optical coherence tomography (OCT), which provides fluorescence and scattering contrast, (d) it directly images optical absorption with 100% relative sensitivity, and the sensitivity is two orders of magnitude greater than those of confocal microscopy and OCT;2 and (e) it can simultaneously image functional, structural, molecular, flow dynamic, and metabolic contrasts in vivo.2,7

In PAM, both the laser excitation and acoustic detection are focused (tightly or loosely depending on the configuration), and the dual foci are configured confocally to enhance measurement sensitivity. Depending on whether the acoustic focus or optical focus is finer, PAM is categorized into acoustic-resolution PAM (ARPAM)8 and optical-resolution PAM (ORPAM).9-12 In ARPAM, the acoustic focus is finer, and it could provide an axial resolution of 15 μm, and a lateral resolution of 45 μm at 3-mm depth with 0.44 NA, 50 MHz UST.8 In ORPAM, the optical focus is finer. So, the size of optical spot limits the imaging resolution in ORPAM. The diffraction-limited size of optical focus is R = 0.5λ/NA, where λ denotes the optical wavelength and NA is the numerical aperture of the optical objective.2 One way to reduce the spot size is to use high-NA objective. ORPAM systems with resolution 5 μm using 0.1 NA and λ = 590 nm,13 2.9 μm using 0.1 NA and λ = 570 nm,6.2 μm using 0.1 NA and λ = 1046 nm,14,15 2 μm using 0.47 NA and λ = 532 nm,15 and 0.22 μm using 1.23 NA and λ = 532 nm16 were demonstrated. Because of the high sensitivity and resolution, ORPAM has become an important tool for studying biological systems at the cellular and subcellular levels. In vivo label-free PAM of cell nuclei by excitation of DNA and RNA was demonstrated using UV light excitation.16 In all these techniques, the resolution is still limited by the optical diffraction. It is necessary to achieve imaging resolution beyond the diffraction limit to image subcellular structures, mitochondria, hemoglobin nanoclusters, and nanoparticles. In recent years, few attempts have been made to break the diffraction limit in ORPAM. A nonlinear super-resolution PAM was demonstrated for visualizing 10-nm gold nanoparticles in graphene and hemoglobin nanoclusters in live erythrocytes.17 A label-free photoacoustic nanoscopy (PAN) using optical saturation and polynomial fitting was demonstrated for imaging mitochondria in NIH 3T3 fibroblasts.18 Using a double-excitation process,

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subdiffraction-limited lateral resolution was demonstrated in photoimprint PAM. These approaches are either complicated or expensive or both.

Dielectric microspheres were widely used to break the diffraction limit in optical microscopy. It is simpler, cost-effective, and can provide far-field super-resolution. This microsphere approach can be implemented in conventional microscopy without modifying the system and it has gained enormous attention in the field of optical nanoscopy. Optical imaging at 50-nm resolution was achieved in white light microscopy using fused silica (SiO₂) microspheres with diameter in the range 4 to 9 μm in air medium. The super-resolution is due to a photonic nanojet (PNJ) generated by the microsphere. When the microsphere is illuminated by a light beam, a narrow (subwavelength waist), intense, nonevanescent, and low-diverging jet like optical beam is generated on the shadow side of the microsphere. Such a nanojet can break the diffraction limit in optical microscopy by greatly reducing the size of the excitation volume, and the signal generated in the excitation volume can still be collected in the far-field. Super-resolution imaging using a PNJ was successfully demonstrated in white light microscopy, fluorescence microscopy, and coherent anti-stokes Raman scattering microscopy.

A simulation study was reported on achieving super-resolution in ORPAM using PNJs. This study was focused on: (i) generation of PNJ by a round microsphere (RM) under tight optical focusing (using high-NA values 0.5, 0.7, and 1.0) condition in water, and (ii) one of the important PNJ parameters, its waist size, was studied by changing the incident wavelength (λ), numerical aperture (NA) of the objective, refractive index of the microsphere (n₁), and diameter of the sphere (D). It was observed that RM could generate a narrow jet, but its major drawback is its short length which limits its penetration depth. To control PNJ properties, several designs such as truncated microsphere (TM), microsphere with concentric rings (MCR), and multi-layer microsphere (MLM) have been reported till date.

In this work, we investigated all the important parameters of PNJs including “effective length” related to the penetration depth, “waist size” related to the lateral resolution, “working distance” related to the distance between the shadow-side surface of the sphere to the sample, and “peak intensity” related to the enhancement of the signal. We compared PNJ parameters for various sphere designs such as RM, TM, MCR, MLM, and a new design named truncated multi-layer microsphere (TMLM). Here, an 800 nm Gaussian beam was loosely focused (low NA) on the sphere to generate PNJ. The low NA (0.1) used in this work is also in line with the widely used NA in ORPAM studies. The TMLM design generated a PNJ with remarkable length ~138 μm (i.e., ~172λ, it is the longest nanojet achieved so far) and focal length ~26 μm (i.e., ~32λ, it is the longest focal length achieved with microsphere so far). We also observed that using RM with D ~ 5 μm and n₁ = 2.2 is possible to achieve ~11-fold enhancement in the lateral resolution in conventional ORPAM with 0.1 NA, λ = 800 nm.

2 Methods

COMSOL multiphysics is a finite element method (FEM)-based simulation software package for various physics and engineering applications. COMSOL software version 4.3b was used for generating thefluence maps for Gaussian focus and PNJs. These fluence maps were then exported to MATLAB for PA image simulation using the k-wave toolbox. k-wave is an open source acoustics tool box in MATLAB. It was designed for ultrasound and PA simulations in tissue media. For both COMSOL and k-wave-based simulations, a desktop with 64-bit windows 10 operating system, 3.7 GHz processor, and 16 GB RAM was used.

2.1 COMSOL-Based Simulation for Generating Focused Gaussian Beam

We designed a two-dimensional (2-D) FEM simulation geometry based on electromagnetic theory in COMSOL. The simulation model used is illustrated in Fig. 1(a). Area of simulation (20 μm × 250 μm) includes optical focusing, water medium, and microsphere. Perfect matching layer (PML) was used as a boundary condition. A focused beam was simulated by a Gaussian beam propagating along the +y-axis with a full width at half maximum (FWHM) beam waist diameter of λ/2 NA or an e² radius of λ/2.35 NA in intensity, where λ is the incident wavelength and NA is the numerical aperture of the objective. The beam waist was always centered laterally in the simulation area (x = 0), but the y-position varies for different simulations. In our simulations, we have generated a focusing Gaussian beam with λ = 800 nm and NA = 0.1, so its theoretical FWHM waist diameter would be 4000 nm.

2.2 Simulation of Photonic Nanojets in COMSOL

The FEM model shown in Fig. 1(a) was used for generating PNJs. The dielectric solid microsphere with diameter D and refractive index n₁ was immersed in water medium having refractive index n₀ = 1.33. In most of the previously reported PNJ simulations, the incident light was a plane wave. But in ORPAM, excitation beam is a focused Gaussian beam. Hence, in our simulations, we have used 800 nm Gaussian beam focused using an objective with NA = 0.1 as discussed in Sec. 2.1. A sphere with D = 5 μm was placed inside the Gaussian focus having an FWHM diameter of 3958 nm. Spheres with different designs and different materials were tested. The materials used for the spheres were all existing materials. We have carried out simulations for the following spheres: (i) RM: It was a perfectly round, solid sphere with a diameter, D = 5 μm. The material used for the sphere is n₁ = 1.45 (fused silica), n₁ = 1.58 (polystyrene), or n₁ = 2.2 (TiO₂–BaO–ZnO glass). This design was widely used for generating PNJs. (ii) TM: Through simulation studies, it was observed that PNJ parameters are significantly influenced just by truncating the RM. In our simulations, a D = 5-μm diameter RM made out of silica was cut by different thicknesses, T = 0.25D, 0.5D, 0.75D. So, the cutting thicknesses used here are T = 1.25, 2.5, 3.75 μm. (iii) MCR: Both experimental and simulation studies showed that the MCR on its shadow-side surface could modulate the PNJ generated. In our simulations, we have used a D = 5 μm silica sphere decorated with 2, 3, or 4 uniformly distributed rings. The depth and width of all the rings were 1.2 and 0.25 μm, respectively. The inner radius of the first ring was 0.5 μm and the distance between the adjacent rings was 0.25 μm. (iv) MLM: It was shown that using a two-layer microsphere configuration it is possible to enhance the length of the nanojet significantly. A PNJ with FWHM length of ~22λ (~14 μm), and a PNJ with decay length of ~1000λ (~40 μm) were achieved using a two-layer microsphere. In our simulations, we have used an MLM sphere consisting of an inner core of radius (r₁) and with a refractive index...
point detector (UST) was used to collect the A-lines and it was placed at a distance of 27 μm from the object. The center frequency and the fractional bandwidth of the detector were 375 or 1225 MHz and 80%, respectively. According to the simulations, 375 and 1225 MHz transducers can provide up to ~1- and ~0.1-mm depths, respectively, at ~20 dB signal attenuation.33–35 To simplify the simulations, we used a point detector. To collect forward PA signal over a length of 100 μm, a total of 1340 time steps with each step 50 ps was used. The PA signals were down sampled five times to mimic a data acquisition system with 4 GS/s sampling rate. To every PA signal, 1% noise was added, resulting in 40 dB signal-to-noise ratio level. The A-line PA data were stacked after taking Hilbert transform to form a B-scan PAM image. The simulations assumed a sound speed of 1500 m/s. For simplicity, the medium was considered acoustically homogeneous and there was no absorption or dispersion of sound.

3 Results and Discussion

3.1 Properties of Photonic Nanojets from Various Sphere Designs

As discussed in Sec. 2.1, a Gaussian focus was generated with NA = 0.1 and λ = 800 nm, and its FWHM waist diameter measured to be \( W_y = 3958 \) nm. As discussed in Sec. 2.2, the simulations were carried out to investigate PNJs for sphere designs RM, TM, MCR, MLM, and TMLM. The basic characteristics of PNJ are as follows: (i) effective length \( (L_p) \) is the distance of the point where the nanojet intensity drops by a factor of \((1/e)\) with respect to the peak intensity \( (I_p) \) in the axial profile (+x-axis), (ii) working distance (WD) is the distance from the sphere shadow-side surface to the point of peak intensity \( (I_p) \), (iii) waist of the nanojet \( (W_p) \) is the FWHM of the nanojet intensity profile (along +x-axis) at peak intensity \( (I_p) \), (iv) peak intensity \( (I_p) \) is the maximum intensity of the PNJ, (v) figure-of-merit or quality criterion “Q” is a measure of the quality of the PNJ, it combines the basic characteristics of PNJ, and is defined as \( Q = (L_p \times I_p)/W_p \). For a given microsphere, the PNJ was
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Fig. 2 Properties of PNJ generated by microspheres (with diameter, $D = 5 \mu m$) of different designs when illuminated with a focusing Gaussian beam (generated in water with 0.1 NA and $\lambda = 800 \text{ nm}$) in water medium ($n_0 = 1.33$). The FWHM waist diameter of Gaussian focus in water, $W_g = 3958 \text{ nm}$. The highlighted ones are the best values observed in our simulations. $N$—number of concentric rings. *The PNJs used for demonstrating PA imaging in k-wave simulations, where $L_p$ is the effective length, $W_p$ is the FWHM width, $WD$ is the working distance of the microsphere, $I_p$ is the peak intensity, and $Q$ is the quality criterion or figure-of-merit.
optimized based on the $Q$ value of the nanojet they produced. The sphere inside the focusing Gaussian beam was moved (along $y$-axis) to generate a PNJ with highest $Q$ value. The PNJ parameters, such as the effective length ($L_p$), FWHM waist size ($W_p$), working distance (WD), and peak intensity ($I_p$), and quality criterion ($Q$) of the PNJs generated when a 5 μm sphere was placed inside a focusing Gaussian beam with 3958 nm waist size, are listed in Fig. 2. The summary of the table is as follows:

(i) The PNJ properties are significantly affected by the design of the sphere under the same illumination conditions. (ii) FWHM widths of all the PNJs ($W_p$) are smaller than the Gaussian focus (i.e., $W_g = 3958$ nm). (iii) Increase in $n_1$ will reduce the PNJ width, length, and working distance. The PNJ is elongated at the expense of PNJ broadening and intensity lowering. (iv) RM design could generate a strong and narrow nanojet. With $n_1 = 2.2$, PNJ having peak intensity $I_p = 4.87$ and width $W_p = 360$ nm was observed. The ultranarrow nanojet having length $L_p \sim 1380$ nm ($\sim 1.73λ$) is shown in Fig. 3(a). The $n_1 = 2.2$ sphere generates a 360 nm spot from a 3958 nm Gaussian spot, i.e., ~11-fold enhancement in the resolution. But the drawback with the RM design is it could only generate a nanojet with short length and short working distance. (v) By cutting the silica sphere inside the focusing Gaussian beam was moved (along $y$-axis) to generate a PNJ with highest $Q$ value. The PNJ param-

\[ Q = \frac{I_p}{W_p} \]

shows the PNJ with the length of 74,314 nm obtained by truncating the silica sphere by $T = 0.75D$. (vi) In case of MCR design, the number of rings 2, 3, or 4 made on the round silica sphere does not influence the PNJ parameters much. However, the length and working distance are slightly better compared to the RM silica sphere ($n_1 = 1.45$). (vi) By properly selecting the sphere and layer materials, the MLM design is promising to generate an ultralong nanojet. Here, the layer thickness was optimized to generate PNJ with highest $Q$ value. With $n_1 = 1.51$, $n_2 = 1.38$, $r_1 = 2.4 \mu m$, $r_2 = 2.5 \mu m$, a 47-μm long nanojet was generated. (vii) The TMLM design with $n_1 = 1.51$, $n_2 = 1.38$, $r_1 = 2.4 \mu m$, $r_2 = 2.5 \mu m$, and cutting thickness $T = 0.75D = 3.75 \mu m$ generated PNJ with a remarkable extension of $\sim 138 \mu m$ ($\sim 1724$, it is the longest nanojet compared to the previously reported nanojet lengths$^{3,13}$) and long working distance $\sim 26 \mu m$ ($\sim 324$, it is the longest working distance compared to the previously reported values$^{23,26}$). The ultralong nanojet is shown in Fig. 3(e). The fluence maps for Gaussian focus, nanojets from RM design with silica sphere ($n_1 = 1.45$), and TMLM design with $n_1 = 1.45$, $n_2 = 1.51$, and $T = 0.75D$ are shown in Figs. 4(a)–4(c), respectively. Figure 4(d) shows the normalized FWHM line profiles showing the widths and lengths. The fluence maps for Gaussian focus and PNJs as shown in Figs. 4(a)–4(c) were then used for illuminating the object [Fig. 1(b)] in k-wave simulations in MATLAB to generate PA B-scan image.

### 3.2 Super-Resolution Photoacoustic B-Scan Imaging

The numerical phantom described in Fig. 1(b) was sequentially scanned by the fluence maps shown in Figs. 4(a)–4(c). Figures 5(a)–5(c) show the 2-D B-scan PAM images of the target excited with the Gaussian focused beam with FWHM 3958 nm [Fig. 4(a)] and excited with PNJs with FWHM 909 nm [Fig. 4(b)] and 1459 nm [Fig. 4(c)], respectively. The images shown in Figs. 5(a)–5(c) were acquired using 375 MHz UST. From the ORPAM image shown in Fig. 5(a), it is clear that the Gaussian beam illumination could provide information of all the targets at depth but it could not resolve the gap between the targets. It is because the Gaussian spot size ($W_g = 3958$ nm) is larger than the separation between the targets 1500 nm. Figure 5(b) shows that the narrow PNJ (909 nm) generated by RM could resolve the gap (1500 nm) between the targets but it could not image the targets beyond 10-μm depth. From Fig. 5(c), it is clear that the elongated PNJ ($\sim 77,614$ μm) generated using TMLM design could image all the targets and resolve the gap (1500 nm) between the targets. Here, the gap between the targets is clearly resolved, but the gap is not uniform along the depth. This is due to slight variation in the width along the length of the nanojet. Similar simulations were repeated using 1225 MHz transducer and the corresponding ORPAM images are shown in Figs. 5(d)–5(f). From these results, it is evident that the depth of super-resolution ORPAM can be enhanced with the help of ultralong PNJs.

These simulations promise that it is possible to control or tune the imaging depth, lateral resolution, and signal enhancement in the conventional ORPAM system just by changing the sphere design being used. Based on the requirement, the PNJ

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**Fig. 3** Fluence maps generated when a Gaussian beam with waist 3958 nm focused through (a) an RM with $D = 5 \mu m$ and $n_1 = 2.2$, (b) an RM with $D = 5 \mu m$ and $n_1 = 1.45$, and (c) intensity profile along the line shown in (b). Here, $D$—diameter of the sphere (5 μm), WD—working distance (~6.9 μm), $L_p$—effective length (~11.8 μm), (d) a TM with $D = 5 \mu m$, $n_1 = 1.45$, and $T = 0.75D = 3.75 \mu m$, and (e) a TMLM with $n_1 = 1.51$ and $n_2 = 1.38$. COMSOL simulations were carried out in 20 μm × 250 μm area. For clarity, the area around the nanojet is shown here. The area of images in (a) and (b) is 10 μm × 50 μm and the area of images in (c) and (d) is 20 μm × 170 μm.
**Fig. 4** Fluence maps for Gaussian focus and PNJs: (a) focusing Gaussian beam in water with \( \lambda = 800 \text{ nm} \), \( \text{NA} = 0.1 \). PNJs generated when the Gaussian beam (a) focused on (b) round sphere with \( D = 5 \mu \text{m} \) and \( n_1 = 1.45 \), (c) TMLM with \( D = 5 \mu \text{m} \), \( n_1 = 1.45 \), and \( n_2 = 1.51 \). Normalized line profiles showing (d) widths and (e) lengths. The area of images in (a)–(c) is 20 \( \mu \text{m} \times 180 \mu \text{m} \).

**Fig. 5** PAM B-scan images obtained by scanning the object [Fig. 1(b)] with Gaussian focus and PNJ: (a, d) images obtained with Gaussian focus shown in Fig. 4(a), (b, e) images obtained with short (~11,779 nm) PNJ shown in Fig. 4(b), and (c, f) images obtained with ultralong (~77,614 nm) PNJ shown in Fig. 4(c). Images were acquired using USTs (a–c) 375 MHz and (d–f) 1225 MHz.
parameters can be optimized by choosing the appropriate sphere and refractive index. Microsphere has already proven its potential in white light microscopy\textsuperscript{24} by imaging 50-nm features, and in fluorescence microscopy\textsuperscript{23} by imaging subcellular structures such as centrioles, mitochondria, and chromosomes, and also in Raman microscopy by imaging subdiffraction features of a Blu-ray disc.\textsuperscript{20} The simulation results in our work promise that by using an appropriate microsphere, conventional ORPAM could be transformed into PAN at virtually no extra cost. The PAN can be used in many biomedical imaging applications that demand high resolution. For example, cellular structures, vasculature, and microcirculation systems, \textit{in vivo} PAN, having high endogenous contrast, may help to monitor the molecular mechanism of blood vessel formation that can reveal the cause for angiogenesis. Currently, the diagnosis of melanoma is done based on invasive biopsy and inaccurate visual inspection. \textit{In vivo} PAN being noninvasive, noncontact, and label-free will help us to diagnose melanoma in the early stage, a key to successful treatment. The approach may allow ORPA imaging of biological entities, such as bacteria (\textasciitilde{}1 \mu m), large virus (0.05 to 0.4 \mu m) particles, labeled as nucleic acids, molecules, etc. In spite of its promises, there are challenges in implementing the microsphere approach in practice. Although, other optical imaging modalities have successfully demonstrated the use of PNJ to improve the imaging resolution by breaking the diffraction limit. The experimental validation of PNJ for PA imaging is yet to be demonstrated. Our future work is to experimentally validate the usefulness of this simple technique in optical-resolution PA imaging.

4 Conclusions

In this work, we investigated and optimized the main parameters of PNJ (length, width, working distance, and peak intensity) for various spheres under Gaussian illumination in water medium. The materials used for spheres are conventionally available in optical materials. The RM generates strong, short, and narrow nanojets, it can be used for super-resolution imaging of surface and nano/submicron features on the surface. With 5 \mu m RM having $nL = 2.2$, an ultranarrow nanojet having 360-nm width (\textasciitilde{}11-fold enhancement in lateral resolution) was observed. An elongated nanojet can be generated by simply truncating the microsphere by an appropriate thickness. The TMLM could generate PNJ with remarkable length (\textasciitilde{}138 \mu m) and working distance (\textasciitilde{}26 \mu m) Also, the TMLM design could generate PNJ with highest figure-of-merit, $Q \approx 78$, compared to the other designs. The method is simple, cost-effective, and allows far-field super-resolution \textit{in vivo} imaging.

Disclosures

No conflicts of interest, financial or otherwise, are declared by the authors.

Acknowledgments

The authors would like to acknowledge the financial support from the Tier 2 research grant funded by the Ministry of Education in Singapore (ARC2/15; M4020238). Authors have no relevant financial interests in the manuscript and no other potential conflicts of interest to disclose.

References


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