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# 1 **State-resolved attosecond reversible and irreversible dynamics in** 2 **strong optical fields**

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20  
21 **Strong-field ionization (SFI) is a key process for accessing real-time quantum dynamics of**  
22 **electrons on the attosecond ( $10^{-18}$  sec) timescale. The theoretical foundation of SFI was**  
23 **developed by pioneering work in the 1960s and later refined by various analytical models.**  
24 **While the asymptotic ionization rates predicted by these models have been tested and found**  
25 **to be in reasonable agreement for a wide range of laser parameters, predictions for SFI on**  
26 **the sub laser-cycle timescale are either beyond the scope of the models or exhibit strong**  
27 **qualitative deviations from full numerical quantum calculations. Here, we utilize the**  
28 **unprecedented state-specificity of attosecond transient absorption spectroscopy to follow**  
29 **the real-time, SFI process of the two valence spin-orbit states of Xe. The results reveal that**  
30 **the irreversible tunneling contribution is accompanied by a significant reversible electronic**

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31 **population that exhibits an observable spin-orbit state dependent phase delay. A detailed**  
32 **theoretical analysis attributes this observation to transient ground-state polarization, an**  
33 **unexpected facet of SFI that cannot be captured with existing analytical models that focus**  
34 **exclusively on the production of asymptotic electron/ion yields.**

35

36 Ionization in the presence of a strong laser field can either occur through a multi-photon  
37 mediated process, where the missing energy to surpass the ionization barrier is provided by the  
38 simultaneous absorption of multiple photons, or by tunneling through a field-induced potential  
39 barrier when the laser electric field significantly alters the atomic potential. The relative  
40 contribution of the two pathways to strong-field ionization (SFI) is commonly classified by the  
41 Keldysh parameter<sup>1</sup>  $\gamma = \omega_L \sqrt{2I_p m_e} / (eE_L)$ , where  $\omega_L$  is the frequency of the laser,  $I_p$  is the  
42 ionization potential,  $E_L$  is the laser electric field and  $e$ ,  $m_e$  are the charge and mass of the  
43 electron, respectively. The concept is based on the time the electron needs to tunnel through the  
44 combined Coulomb-laser potential barrier. For a low-frequency laser field with high intensities  
45 ( $\gamma \ll 1$ ), the ionization process can be regarded as *quasi-static*, giving the electron enough time  
46 to overcome the field-induced barrier before the potential significantly changes. In this regime,  
47 referred to as *adiabatic SFI*, tunneling dominates over multiphoton ionization. Conversely, in the  
48 limit of high frequencies and low intensities ( $\gamma \gg 1$ ), tunneling is suppressed by the decreased  
49 tunneling time window and the increased barrier width. In this regime, referred to as  
50 *nonadiabatic SFI*, ionization by the absorption of several photons is favored. A vast number of  
51 experiments<sup>2-5</sup> have been performed to study SFI in both regimes by measuring the asymptotic  
52 momentum distribution or the yield of freed electrons/ions upon ionization by a strong laser field.  
53 While these experiments provide evidence for the justification of various theoretical approaches,

54 it is paramount to access the real-time dynamics of the ionization process in order to gain a more  
55 comprehensive understanding of SFI. Since conventional strong-field laser systems have optical  
56 periods corresponding to a few femtoseconds, it is necessary to apply attosecond techniques to  
57 probe the sub-cycle ionization mechanisms.

58 From its initial realization, attosecond spectroscopy<sup>6,7</sup> has revolutionized time-resolved  
59 methods by fully capturing the dynamics of quantum systems ranging from nuclear motion  
60 within molecules and solids to more elusive dynamics of the constituent electrons in atoms,  
61 molecules and solid-state devices. In the last decade, attosecond spectroscopy has been  
62 extensively applied to study electronic motion in real-time, including the observation of a few-  
63 femtosecond Auger process<sup>6</sup>, unexpected ionization delays between electronic sub-shells in solid-  
64 state materials<sup>7,8</sup> and atoms<sup>9-11</sup>, and the first experimental evidence for ionization steps in field-  
65 induced tunnel ionization<sup>12</sup>. In more recent years, attosecond pulses have also been combined  
66 with the transient absorption technique<sup>13-15</sup>. This method probes the dynamics directly in the  
67 pump-probe interaction volume and tracks the occurrence of these dynamics through state-  
68 selected internal transitions. Following this route, Wirth *et al.*<sup>16</sup> presented a study on the time-  
69 dynamics of SFI in atomic Kr using a sub-cycle ionizing field. They were able to observe sub-  
70 femtosecond ionization confinement allowing them to launch a valence wavepacket with very  
71 high coherence. However, owing to the low polarizability of Kr ( $\alpha_0^{\text{Kr}} \approx 17$  a.u.)<sup>17,18</sup> and statistical  
72 errors, sub-cycle effects during SFI could not be clearly resolved, hence preventing the authors  
73 from achieving a comprehensive interpretation of the SFI process.

74 In the study presented here, the sensitivity of the attosecond transient absorption  
75 spectroscopy (ATAS) technique is used to systematically probe real-time, strong-field induced  
76 dynamics in the valence spin-orbit states of Xe ions. Due to its high static ground-state

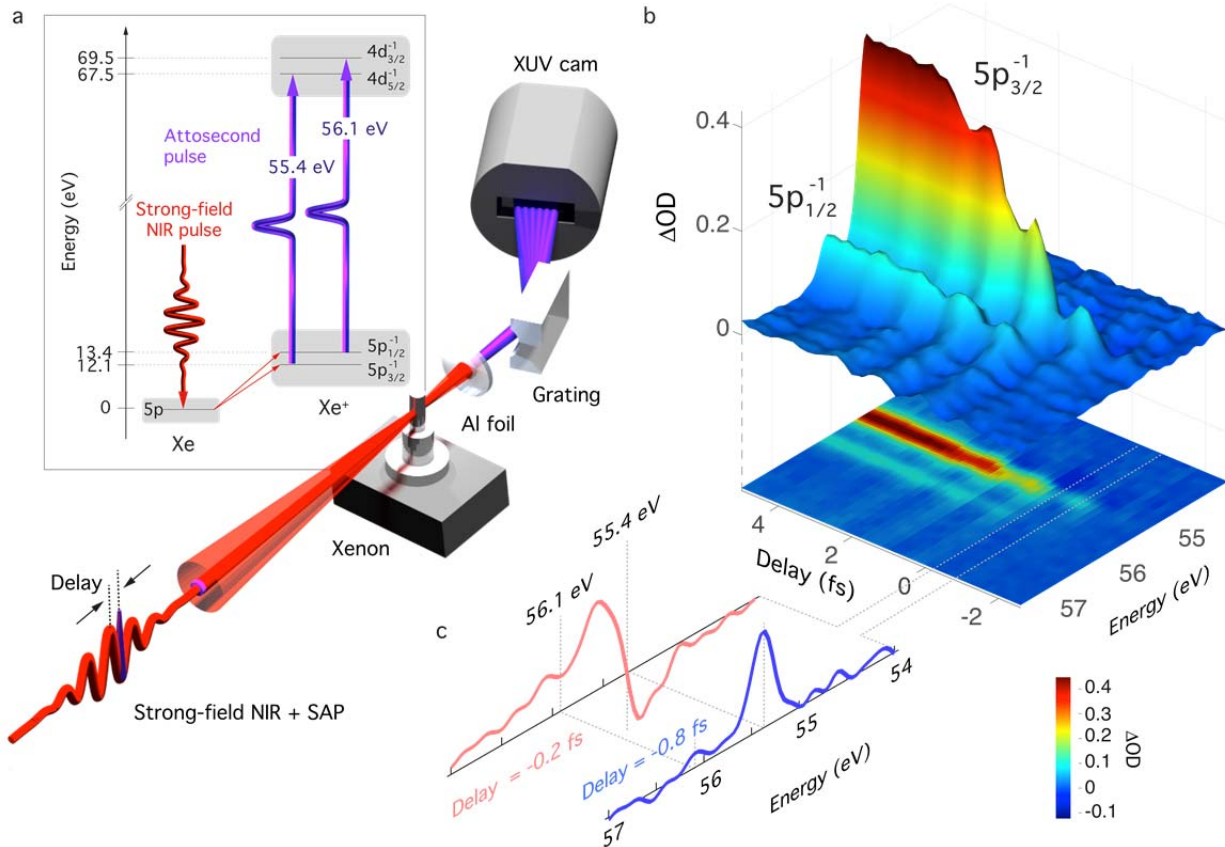
77 polarizability ( $\alpha_0^{\text{Xe}} \approx 27$  a. u.)<sup>17,18</sup>, Xe serves as an ideal experimental test system to study not  
78 only the response of valence electrons that results in irreversible ionization but also the temporary  
79 displacement of bound electrons giving rise to polarization. Indeed, numerical calculations<sup>19,20</sup>,  
80 based on solving the time-dependent Schrödinger equation, consistently predict strong  
81 polarization effects for Keldysh parameters accessible in experimental set-ups ( $\gamma \approx 1$ ). In this  
82 study, the strong-field interaction process is followed in real-time and the first experimental  
83 evidence is observed for such ultrafast field-induced polarization effects in a channel-resolved  
84 manner. The results are in excellent agreement with theoretical calculations obtained from the  
85 time-dependent configuration interaction singles (TDCIS) method<sup>21-23</sup>, a first-principles  
86 approximation scheme for solving the many-electron time-dependent Schrödinger equation in full  
87 spatial dimensionality, systematically taking into consideration competing ionization channels.

88

## 89 **Experiment and observations**

90 The principles of the ATAS experimental scheme are presented in Fig. 1a. A few-cycle,  
91 waveform-stabilized near infrared (NIR) pulse centered around 790 nm is focused into a gas cell  
92 filled with Xe gas. The interaction of the strong laser field with the Xe atoms results in the  
93 ionization of Xe to the two valence spin-orbit states,  $5p_{1/2}^{-1}$  and  $5p_{3/2}^{-1}$ . The peak intensity of the  
94 NIR pulse is varied between  $2.0 \times 10^{14}$  and  $3.2 \times 10^{14}$  W/cm<sup>2</sup>, corresponding to Keldysh parameters  
95 of  $\gamma \approx 0.72$  and 0.57, respectively. The ionization is therefore expected to be dominated by a  
96 tunneling type mechanism. To probe the creation of  $\text{Xe}^+$ , a time-delayed isolated attosecond pulse  
97 centered at near 60 eV is used to excite the  $5p_{1/2}^{-1}$  and  $5p_{3/2}^{-1}$  valence holes to the 4d inner-shell.  
98 The transitions introduce characteristic absorption lines in the spectrum of the attosecond pulse at

99



100 **Figure 1 Experimental scheme and results.** **a**, An intense waveform-stabilized, few-cycle near infrared (NIR) laser  
 101 pulse (red) induces SFI in Xe atoms, which creates a hole population in the spin-orbit split states,  $5p_{1/2}^{-1}$  and  $5p_{3/2}^{-1}$   
 102 of the emerging ions. A time-delayed single attosecond pulse (purple) is used to probe the population in both of the  
 103 states by promoting the valence holes into the  $4d_{3/2}^{-1}$  and  $4d_{5/2}^{-1}$  core states. The dynamics are recorded by measuring  
 104 the transient changes in the spectrum of the single attosecond pulse by means of an XUV spectrometer. **b**, Transient  
 105 absorption spectra measured as a function of the delay between the NIR and isolated attosecond pulse for a NIR  
 106 intensity of  $3.2 \times 10^{14} \text{ W/cm}^2$ . The upper panel shows a surface representation underlying the observation of half-cycle  
 107 overshoots whereas the projection depicted in the lower panel emphasizes changes in the lineshape. **c**, Snapshots of  
 108 the spectrum for two different delays at which the lineshape changes most drastically.  
 109

110  
 111 55.4 eV and 56.1 eV, corresponding to the two strongest hole transitions  $5p_{3/2}^{-1} \rightarrow 4d_{5/2}^{-1}$  and  
 112  $5p_{1/2}^{-1} \rightarrow 4d_{3/2}^{-1}$ , respectively. At the intensities applied in this study, the third dipole-allowed  
 113 transition corresponding to  $5p_{3/2}^{-1} \rightarrow 4d_{3/2}^{-1}$  does not provide a sufficiently high signal-to-noise  
 114 ratio to enable the observation of attosecond ionization dynamics. The interaction of the strong

115 NIR laser field with Xe atoms is captured by recording the transient absorption spectrum defined  
116 by the differential optical density, or  $\Delta OD(E, \tau) = -\ln[I_{\text{on}}(E, \tau)/I_{\text{off}}(E, \tau)]$ , as a function of the  
117 time delay  $\tau$  between the NIR-pump and XUV-probe pulses. Here,  $I_{\text{on}}$  and  $I_{\text{off}}$  represent the  
118 XUV spectra with and without the NIR pump field. For given target parameters,  $\Delta OD$  is directly  
119 proportional to the absorption cross-section which gives access to the real-time valence-hole  
120 population<sup>24</sup>. The results of the transient absorption experiment for an intensity of  $3.2 \times 10^{14}$   
121  $\text{W}/\text{cm}^2$  are shown in Fig 1b.

122 The data contains rich spectroscopic features for both of the spin-orbit channels imprinted  
123 by the underlying strong-field dynamics. Most importantly, the attosecond temporal resolution  
124 provides access to the natural time scale of the dynamics dictated by the strong laser field. As can  
125 be observed, in both of the spin-orbit states, ionization occurs with a characteristic step-like  
126 behavior, providing strong evidence for the tunneling nature of the measured process. As  
127 expected from both adiabatic<sup>1,25,26</sup> and nonadiabatic<sup>27</sup> SFI theories, tunneling mainly follows the  
128 oscillating laser field in a non-linear fashion. Thus, particularly around the nodes of the electric  
129 field, the ionic population is expected to form plateaus. However, both spin-orbit channels  
130 observed in Fig. 1b exhibit pronounced maxima that occur with a periodicity of 1.2 fs, which  
131 coincides with twice the laser frequency  $\omega_L$ . This deviation from the expected plateau structure  
132 will henceforth be referred to as overshoots. As will be demonstrated with the aid of theory, the  
133 overshoots are a direct signature of bound electron motion. A deeper look into the measured  
134 spectrogram also reveals strong periodic line deformations at  $2\omega_L$  frequency (Fig. 1c).  
135 Consequently, the population dynamics cannot be extracted directly from a simple lineout  
136 analysis. As has been demonstrated in previous experiments<sup>16,24,28</sup>, such NIR-induced lineshape  
137 modifications can be understood within an *oscillating dipole model*<sup>24</sup>. The coherent superposition

138 of the  $5p^{-1}$  and  $4d^{-1}$  states created by the XUV pulse generates an oscillating ionic dipole that  
139 decays with some characteristic lifetime due to the Auger decay of the  $4d^{-1}$  hole ( $\tau_{\text{Auger}} \approx 6$  fs  
140 <sup>29</sup>). Provided that the dipole subsequently undergoes field-free evolution, this decay will lead to a  
141 characteristic Lorentzian absorption profile. However, when the NIR and XUV pulses temporally  
142 overlap - as is the case in the experiment - the NIR pulse can still perturb the oscillating dipole  
143 before it damps out, leading to a phase shift in the dipole and giving rise to an asymmetric, Fano  
144 absorption profile.

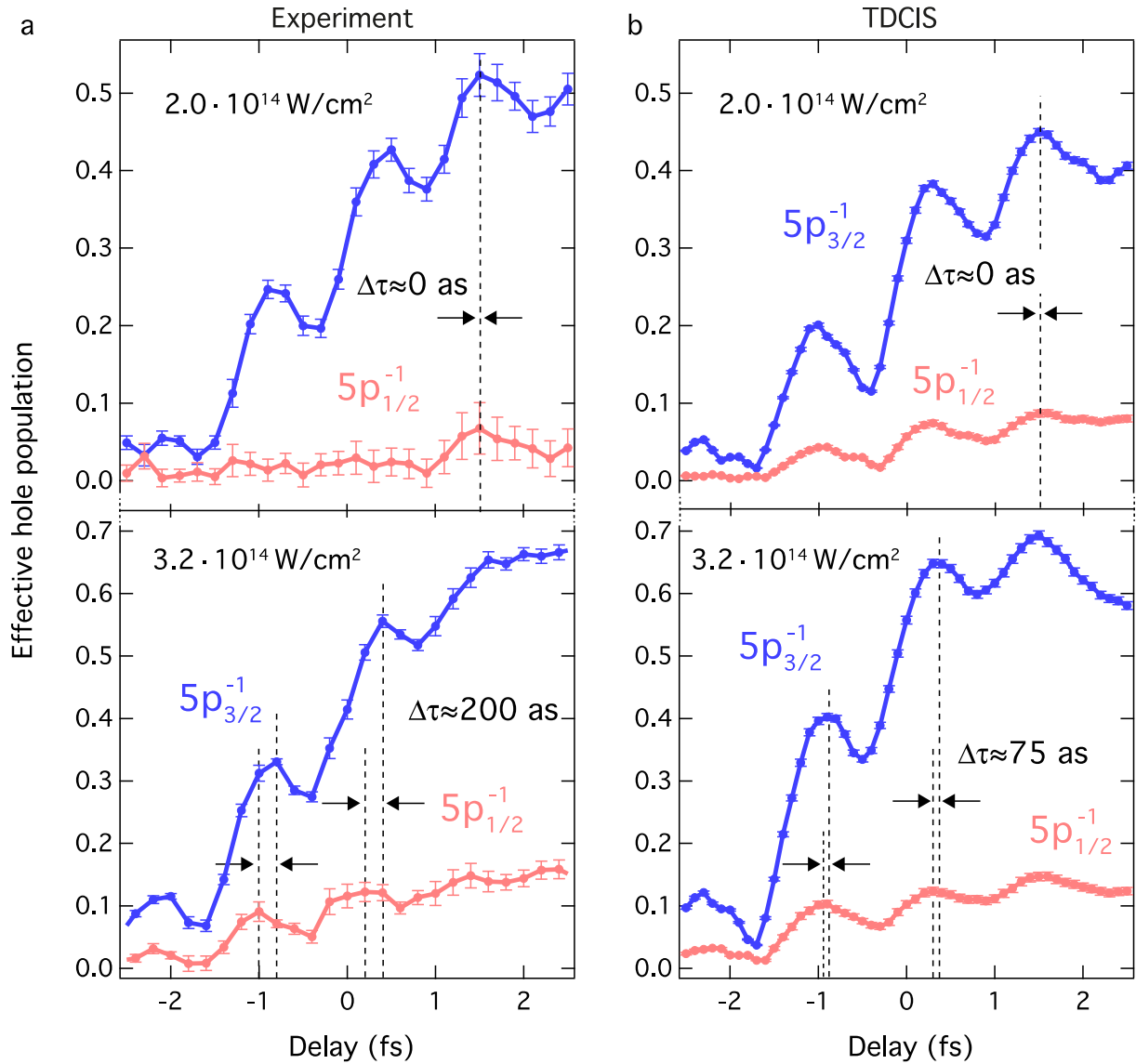
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### 146 **Extraction of the effective dynamics and comparison to theory**

147 To extract the time-dependent electron-hole populations and dipole phase modifications in the  
148 presence of lineshape modifications, we apply a fitting procedure based on the oscillating dipole  
149 model<sup>24</sup> (for details see Secs. 1.3 and 2.1 in the Supplementary Information). Figure 2a presents  
150 the *effective valence-hole populations* extracted from ATAS (solid lines), which are proportional  
151 to the fitting parameters, i.e. the effective dipole strengths, in the fitting procedure. The effective  
152 hole populations still exhibit the strong overshoots occurring at  $2\omega_L$  frequency. Furthermore, two  
153 important features are established. First, the overshoots are stronger for the lower NIR intensity.  
154 Second, phase delays are observed experimentally between the hole dynamics from the two spin-  
155 orbit channels. At the lower intensity, the phase delays are negligible and the populations are  
156 almost in phase. However, at higher intensities the  $5p_{3/2}^{-1}$  channel is clearly delayed with respect  
157 to the  $5p_{1/2}^{-1}$  by approximately 200 as.

158 To better understand the mechanisms underlying the experimental observations, ab-initio  
159 numerical calculations are performed for ATAS spectra of Xe under the experimental conditions  
160 (see Methods Section). The numerical calculations are based on the TDCIS method<sup>21-23</sup> and  
161 incorporate the effect of overlapping pump and probe pulses from first principles<sup>24</sup>. The same





162  
 163 **Figure 2 Reconstructing experimental and theoretical effective Xe<sup>+</sup> populations from ATAS spectrograms.** A  
 164 fitting procedure on the ATAS spectrogram based on the oscillating dipole model allows for the reconstruction of the  
 165 ionic populations in the  $5p_{1/2}^{-1}$  (red) and  $5p_{3/2}^{-1}$  (blue) states for the experimental (a) and theoretical (b) datasets. For a  
 166 more direct comparison between experimental and TDCIS datasets, the unknown offsets of the delay axes of the  
 167 experimental data in (a) have been shifted by +0.5 fs and -1.2 fs for the intensities of  $2.0 \times 10^{14}$  W/cm<sup>2</sup> and  $3.2 \times 10^{14}$   
 168 W/cm<sup>2</sup>, respectively. The trends in oscillation strengths and relative phases of the two ionization channels for both  
 169 intensities demonstrate the solid agreement between experiment and the TDCIS method. For the evaluation of the  
 170 relative phase delays, we only consider the overshoot positions where the experimental SFI dynamics provide  
 171 enough signal-to-noise and display noticeable oscillations. The error bars on the data represent the 95% confidence  
 172 interval resulting from the fitting procedure.

173

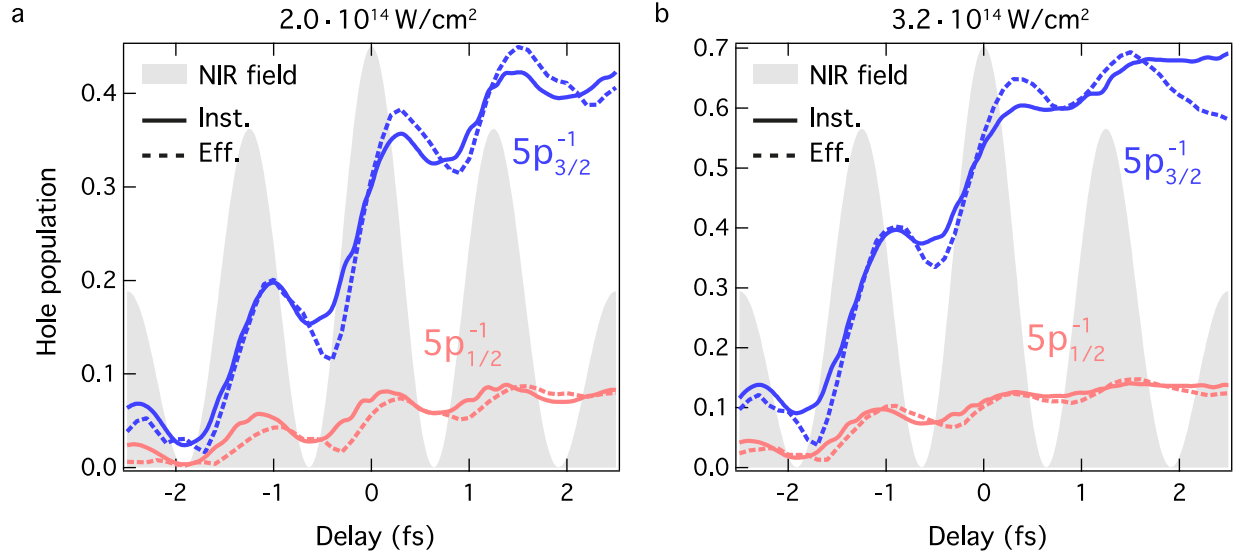
174 fitting procedure as mentioned above is then used to extract the effective hole population from  
175 the simulated ATAS spectra. These numerical reconstructions of the effective hole populations  
176 are shown in Fig. 2b. Both key features, the observation of overshoots as well as the trends in the  
177 oscillation strengths and phase delays between the two channels, are well reproduced by TDCIS.  
178 This comparison demonstrates that the TDCIS method is well suited to predict the SFI dynamics  
179 as observed in the experiment.

180

### 181 **Comparing effective to instantaneous dynamics**

182 After validating the theoretical model, we consider the analysis of the calculated effective hole  
183 dynamics extracted from ATAS. Within the oscillating dipole model, ATAS measures a signal  
184 over the lifetime of the core-hole—excited state, i.e., a signal that is inherently nonlocal in  
185 time<sup>30,31</sup>. When the pump and probe pulses overlap in time, the intense NIR field after the probe  
186 step has been demonstrated to impart a phase shift to the oscillating dipole, which leads to the  
187 aforementioned line deformations<sup>16,24,28</sup>. Hence, it is highly nontrivial to assess whether or not  
188 this mechanism can also modify the effective dipole strengths and consequently cause a deviation  
189 of the *effective* hole population from the *instantaneous values* at the exact arrival time of the  
190 probe pulse.

191 To answer this question, we directly compare the afore shown TDCIS effective hole  
192 population dynamics to the TDCIS instantaneous hole dynamics for the  $5p_{1/2}^{-1}$  and  $5p_{3/2}^{-1}$   
193 channels in Figs. 3a and 3b for the intensities of  $2.0 \times 10^{14}$  and  $3.2 \times 10^{14}$  W/cm<sup>2</sup>, respectively. The  
194 qualitative features of the instantaneous hole populations, including the existence of overshoots  
195 and the depth of oscillation, match quite well with those of the effective hole populations. A  
196 phase delay is also consistently predicted between the spin-orbit states for the instantaneous hole



197  
 198 **Figure 3 Comparing effective and instantaneous populations.** The panels analyze the relation between the  
 199 dynamics of the effective (dashed) and instantaneous (solid) hole populations given by TDCIS for intensities of  
 200  $2.0 \times 10^{14} \text{ W/cm}^2$  (a) and  $3.2 \times 10^{14} \text{ W/cm}^2$  (b). The good qualitative agreement demonstrates the ability of the ATAS  
 201 technique to track real-time, i.e. instantaneous SFI dynamics.

202  
 203 populations. Combining the results shown in Figs. 2 and 3, the joint experimental and theoretical  
 204 investigation provides evidence that ATAS in the case of overlapping pump and probe pulses is  
 205 able to map out the essential features of the instantaneous strong-field valence hole dynamics on  
 206 the sub-fs time scale. Particularly, the overshoots observed in the effective hole dynamics are an  
 207 intrinsic property of the SFI dynamics, and are not an artificial product of the ATAS technique.

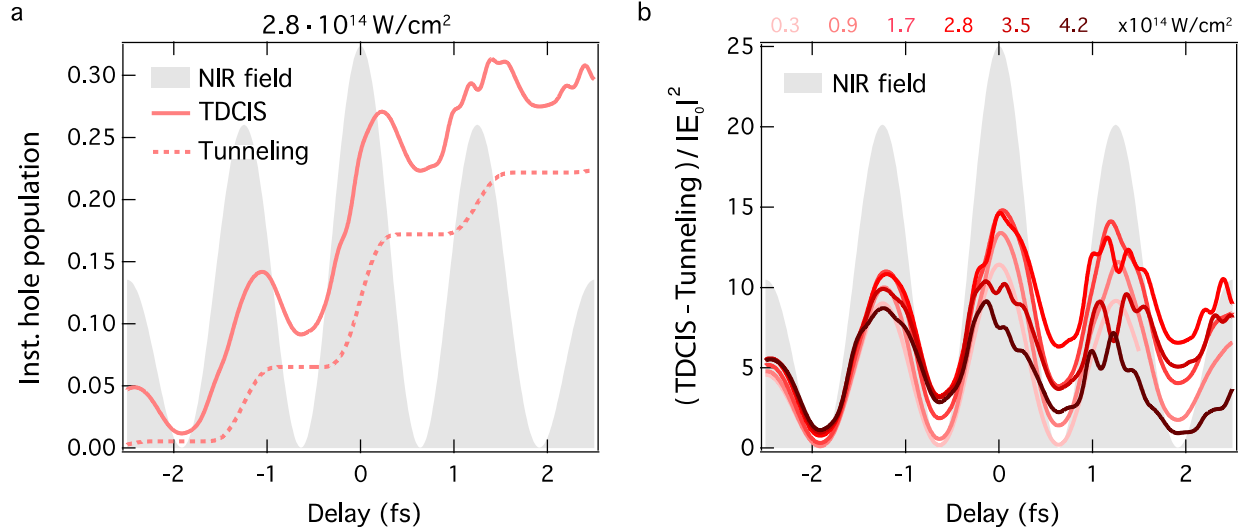
208 By tuning the Hamiltonian in the TDCIS calculations, it is found that many-electron  
 209 correlations only play a minor role in the SFI process (see Sec. 2.2 in Supplemental Information).  
 210 However, the long-range Coulomb ionic potential experienced by the excited electron has a vital  
 211 impact on the SFI hole creation process. In the absence of the long-range Coulomb tail, the  
 212 ionization steps and the asymptotic ion yields for both channels are much smaller. Also, the  
 213 delays of the overshoots are significantly underestimated (see Sec. 2.3 in Supplementary  
 214 Information). The findings are in accordance with a previous numerical study<sup>19</sup> which shows that

215 the commonly used SFI models, such as the standard strong field approximation (SFA), are  
216 insufficient for the quantitative or even qualitative description of sub-cycle SFI dynamics.

217

## 218 **Decomposing the strong-field dynamics: tunneling and polarization**

219 It is known that both adiabatic<sup>1,25,26</sup> and nonadiabatic<sup>27</sup> SFI theories predict ionization dynamics  
220 that grow monotonically in time. Hence, neither of the analytical models can account for the  
221 overshoots observed in the current study. To understand the origin of the overshoots, the  
222 instantaneous hole dynamics produced by pure tunnel ionization are computed based on a CIS  
223 tunneling rate calculation under the quasi-static approximation<sup>32</sup>. The results of the hole  
224 dynamics predicted by the tunneling model are presented in Fig. 4a, in comparison with the  
225 TDCIS full quantum wave-packet calculations. Using the tunneling dynamics as a baseline and  
226 subtracting it from the total TDCIS curve, a new type of strong-field behavior is obtained,  
227 uniquely different from tunnel ionization. The differences between the TDCIS and tunnel  
228 ionization curves for various intensities are plotted in Fig. 4b and exhibits  $2\omega_L$  oscillations in  
229 coarse synchrony with  $|\mathbf{E}(\mathbf{t})|^2$ . The oscillations scale almost linearly with  $|\mathbf{E}_0|^2$ , suggesting their  
230 origin is from the laser-induced dressing of the neutral ground state. In Ref. 19, it is also shown  
231 that this perturbation acts to coherently mix the ground state with numerous excited and  
232 continuum states. Consequently, this effect is referred to as *ground-state polarization*. This  
233 phenomenon reiterates the need for a long-range Coulomb potential between the strong-field-  
234 manipulated electron and the ion, since the Coulomb tail substantially increases the number of  
235 bound excited states in the atom, thus boosting the ground-state polarization process. Therefore,  
236 this combined experimental and theoretical study strongly suggests that, even in the presence of a  
237 strong laser field, the valence hole creation can be created not only from the commonly expected



238

239 **Figure 4 Decomposing the strong-field dynamics.** In order to investigate the origin of the oscillatory feature,  
 240 tunneling calculations are performed and plotted in **a** for  $(j,m_j)=(1/2,1/2)$  and an intensity of  $2.8 \times 10^{14} \text{ W/cm}^2$ . Panel **b**  
 241 shows population differences between the full wave-packet calculation using TDCIS and the tunneling calculations  
 242 for various intensities normalized by the corresponding peak intensity  $|\mathbf{E}_0|^2$ . The linear dependence of the  
 243 discrepancy between TDCIS and tunneling substantiates the interpretation of the oscillatory feature as being a  
 244 signature of reversible electronic population, or ground state polarization.

245

246 irreversible tunnel mechanism, but also from a reversible polarization pathway. While the latter  
 247 SFI process has been frequently overlooked in numerous theoretical<sup>1,25-27</sup> and experimental<sup>2-5</sup>  
 248 works that focus exclusively on the asymptotic electron/ion production, it unambiguously  
 249 manifests itself as one studies the hole production during the sub-cycle SFI dynamics, as can be  
 250 uniquely achieved by the ATAS technique.

251 Having understood the origin of the overshoots in the ionization signal, we would like to  
 252 discuss the origin behind the observed phase delay and the discrepancy between the  
 253 experimentally and theoretically extracted values. Given that the ionization signal is composed of  
 254 tunneling and polarization, a trivial contribution dubbed *apparent delay* can be already identified  
 255 as the phase delay created when the oscillatory signals (polarization) are added to two different

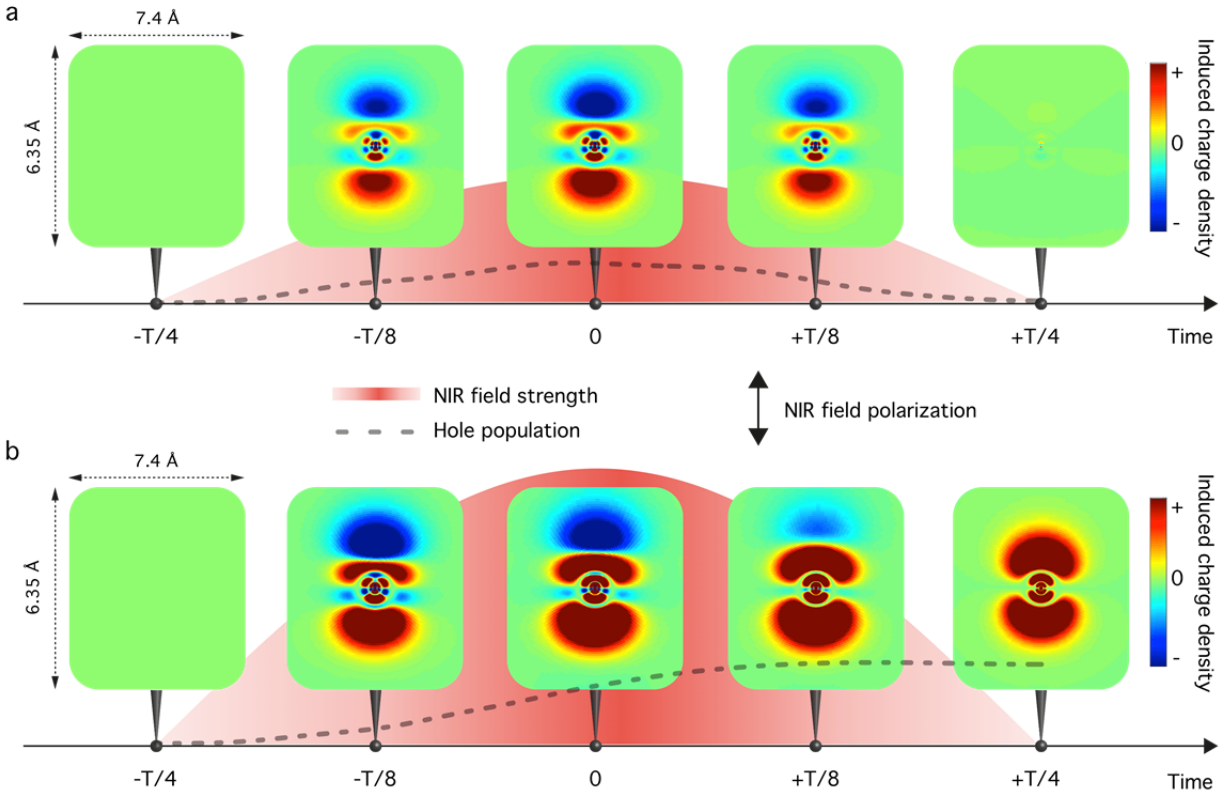
256 tunneling backgrounds (e.g. different rise times between two plateaus). But as can be seen from  
257 Fig. 4b, even when this contribution is removed, a remaining delay of nontrivial origin can be  
258 found. It is likely that this is a signature of the non-adiabaticity in the SFI processes: in the  
259 adiabatic representation, both the tunneling and polarization dynamics are decided by one single  
260 eigenstate that follows the instantaneous electric field strength. Under our experimental  
261 conditions, this picture is not entirely valid: transitions among adiabatic eigenstates can take  
262 place at avoided crossings<sup>32</sup>. Further studies on this non-adiabatic behavior and its channel  
263 dependence may shed light on the nontrivial origin of the observed phase delay.

264 In addition, while the TDCIS method is well suited to predict the SFI dynamics as  
265 observed in the experiment, there are ultimately still a few limitations that can cause the  
266 discrepancies observed in this study. First, some higher-order correlation effects such as those  
267 involving double excitation are not included in the TDCIS. Second, in the TDCIS approach  
268 applied here, relativistic effects (spin-orbit couplings) are only included for the hole orbitals on  
269 an ad-hoc basis<sup>24</sup> and are entirely ignored for the photoelectron. Finally, we assume the Beer-  
270 Lambert law for the calculation of the XUV spectra. In reality, the two pulses involved may get  
271 significantly distorted while propagating through the charged gas cell in the experiments.

272

## 273 **Visualizing the limits of pure tunneling and polarization dynamics**

274 With the aid of theory, the experimentally observed real-time dynamics of SFI have been  
275 successfully identified as two competing mechanisms corresponding to tunnel ionization  
276 (irreversible) and bound electronic motion (reversible). To better visualize these contributions,  
277 we present calculated snapshots of the induced charge density of the wave-packet in the case of a  
278 Xe atom within a half-NIR cycle using TDCIS (see Sec. 2.4 in the Supplementary Information).



279  
 280 **Figure 5 Time evolution of the charge density induced in Xe by a strong laser field in different intensity**  
 281 **regimes.** The induced charge density is defined as the charge density of the driven wave-packet minus the charge  
 282 density of the field-free ground state and is here depicted at five different times within a half laser cycle  $T/2$ . The  
 283 upper panel **a** depicts the evolution for  $I = 0.3 \times 10^{14} \text{ W/cm}^2$ . Here, the dominant hole creation process is given by  
 284 ground-state polarization which leads to reversible separation of positive and negative charges. When approaching  
 285 the end of the half-cycle, the electron cloud flows back and fills in the hole, i.e. the system relaxes back to its initial  
 286 configuration. For higher NIR intensities ( $I = 3.2 \times 10^{14} \text{ W/cm}^2$ ) shown in panel **b**, the dynamics are dominated by  
 287 irreversible processes governed by tunnel ionization. Hence, at the end of the half-cycle, the ground-state  
 288 configuration has an irrecoverable loss, leaving a permanent hole behind.

289  
 290 At low NIR intensities (Fig. 5a,  $I = 0.3 \times 10^{14} \text{ W/cm}^2$ ), the hole creation mechanism is governed  
 291 by reversible ground-state dressing, which separates the positive and negative charges within the  
 292 Xe atom. As the electron always stays near to the hole in this case, the wave function of the  
 293 electron and that of the hole strongly interfere, forming rich and compact structures in the  
 294 induced charge density. Close to the end of the half-cycle, the electron cloud flows back and fills

295 in the hole, so the hole population is restored to zero. At higher NIR intensities (Fig. 5b,  $I = 3.2 \times$   
296  $10^{14} \text{ W/cm}^2$ ), the dominant hole creation mechanism is the irreversible tunneling process. Starting  
297 from  $-T/8$ , the electric field quickly pulls the electron cloud away from the hole. The tunnel-  
298 ionized electron rapidly spreads out and disappears from the numerical box, leaving a permanent  
299 hole behind. The features in the wave-packet densities mainly arise from the individual  
300 contributions of the electron and the hole, while their interference does not play much of a role.  
301 In this irreversible hole-creation process, the hole population increases step-wise and  
302 monotonically during the NIR sub-cycle. For intermediate NIR intensities, as in most strong-field  
303 experiments, the hole creation process is a mixture of the two mechanisms.

304

### 305 **Discussion of previous experimental observations**

306 With a better understanding of the sub-cycle features observed in SFI, it is now possible to place  
307 previous experiments concerning time-resolved strong-field ionization and excitation in the  
308 context of this framework. In the pioneering experiment of Uiberacker *et al.*<sup>12</sup>, tunnel ionization  
309 was observed by detecting the  $\text{Ne}^{2+}$  yield from shake-up states of  $\text{Ne}^+$  that were populated by an  
310 attosecond XUV pulse. The authors observed ‘dips’ in the tunnel ionization steps. Nevertheless,  
311 as the magnitude of the dips was smaller than the measurement error bars, the authors could not  
312 explain the features within their non-adiabatic tunneling model. The occurrence of the dips in  
313 Ref. 12 arises from a similar mechanism that accounts for the overshoots in the experiment; it is  
314 launched by strong NIR polarization of the Ne ground-state, which subsequently modulates the  
315 shake up process through electronic correlations. Later experiments observed strong-field  
316 excitation dynamics in solid-state fused silica and silicon. In fused silica, Schultze *et al.* (2013)<sup>33</sup>  
317 observed reversible  $2\omega$  oscillations in synchrony with the electric field strength; however, in  
318 silicon, Schultze *et al.* (2014)<sup>34</sup> observed distinct excitation steps with a periodicity of  $2\omega$ . The



319 main difference in the two experiments was the band-gap of the material. The 9 eV band gap of  
320 fused silica resulted in a higher Keldysh parameter than the 3.2 eV band gap of silicon. As a  
321 result, driving fused silica with a strong electric field gave rise to a polarization response between  
322 conduction and valence bands while subjecting silicon to the same electric field strengths results  
323 in irreversible charge carrier excitation.

324

## 325 **Summary**

326 In conclusion, the results presented here extend the understanding of the interaction of strong  
327 laser fields with matter. It is often assumed that strong laser fields act to predominately ionize the  
328 ground state of a target system through a tunneling type mechanism, leading to a permanent loss  
329 of ground-state population. However, by applying ATAS to atomic Xe, the transient polarization  
330 of the ground-state electronic cloud is probed in real-time and in a state-resolved manner. This  
331 capability allows for the monitoring of not only the irreversible loss of ground-state population -  
332 dominantly produced by tunnel ionization - but also the part of the electronic cloud that  
333 reversibly flows back to the ionic core. Theoretical calculations based on the TDCIS method are  
334 in solid agreement with the experimental observations and substantiate the interpretation.  
335 Moreover, the state-specificity of the ATAS technique can clock the two ionization channels on  
336 the attosecond timescale, the observation being a non-vanishing and intensity-dependent phase  
337 delay. While the phase delays are in agreement with the theoretical calculations performed in this  
338 study, their interpretation remains non-trivial and is beyond the scope of this paper. The results  
339 presented here are particularly important to help establish a complete understanding of SFI,  
340 which constitutes the key process for high-harmonic generation<sup>35-37</sup> and for important topics in

341 the strong-field community including the controversially discussed tunneling time<sup>38-41</sup> as well as  
342 for high-harmonic spectroscopy<sup>42,43</sup> and photoelectron holography<sup>44,45</sup>.

343  
344

## 345 **Methods**

346

### 347 **Experimental approach.**

348 Attosecond XUV pulses are generated by means of high-harmonic generation in argon. For the  
349 proper isolation of a single sub-200 as pulse, the recently developed PASSAGE<sup>46</sup> technique is  
350 applied. The attosecond pulse is spatially and temporally overlapped with an intense few-cycle  
351 NIR laser pulse in an interferometric scheme that allows the precise control of the delay between  
352 the two pulses with a long-term accuracy of 80 as rms. A toroidal mirror is subsequently used to  
353 focus both pulses into a Xe-filled gas cell. The SFI induced by the NIR laser pulse is probed via  
354 absorption of the delayed attosecond pulse using an XUV photon spectrometer. For further  
355 details of the experimental setup, please refer to the Supplementary Information.

356

### 357 **Theoretical approach.**

358 The attosecond transient absorption spectra of atomic Xe and the effective hole dynamics thereof  
359 are obtained by numerical solutions of the N-electron time-dependent Schrödinger equation  
360 (TDSE) within the framework of TDCIS using our XCID package<sup>47</sup>. TDCIS is an ab-initio  
361 electronic-structure theory that is able to capture essential electronic correlation effects beyond  
362 the mean-field level<sup>21-23</sup>, and has been successfully applied to study diverse strong-field  
363 processes<sup>48</sup> including ATAS<sup>16,24</sup>. For each pump-probe delay, the TDCIS wave-packet is  
364 computed for an electric field profile containing the overlapping NIR pump and XUV probe. This  
365 explicitly takes into account the non-perturbative nature of the pump pulse. The ATAS spectrum

366 measured at the detector is constructed by Gaussian-convoluted Fourier transformation of the  
367 time-dependent ionic dipole moment assuming the Beer-Lambert law<sup>24,30</sup>.

368 The full instantaneous hole dynamics are calculated by solving the TDSE within TDCIS  
369 under the sole influence of the pump<sup>21</sup>. For the tunneling hole dynamics, the tunneling rates for  
370 each  $5p_{j,mj}$  channel at different instantaneous NIR intensities are acquired by numerical  
371 diagonalization of the CIS Hamiltonian subjected to a complex absorbing potential under the  
372 quasi-static approximation<sup>49,50</sup>. The tunneling rates at various DC field strengths are then fed into  
373 rate equations to predict the instantaneous hole populations due to the irreversible tunneling  
374 mechanism.

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