

Metal-Free Access to (Spirocyclic)Tetrahydro-β-carbolines in Water Using an Ion-Pair as a Superacidic Precatalyst

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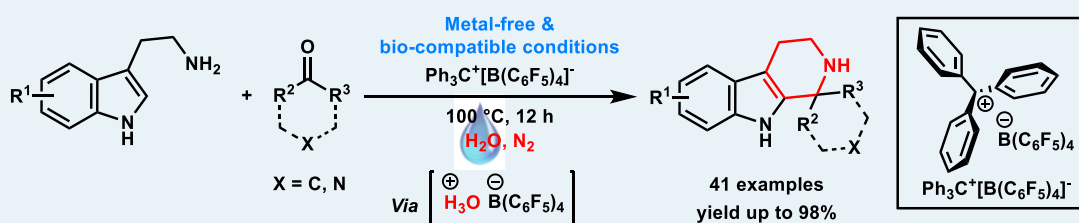
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Supporting Information



● Metal-free protocol ● Bio-compatible conditions ● Good functional group tolerance ● Superacidic pre-catalyst

ABSTRACT: The unprecedented triarylcarbonium ion-pair-catalyzed Pictet–Spengler reaction of tryptamines with aromatic aldehydes and cyclic ketones in water was disclosed. Under metal-free conditions, diverse tetrahydro-β-carbolines and spirocyclic tetrahydro-β-carbolines were obtained in good yields with excellent functional group tolerance, including late-stage modification of natural products and small molecular drugs. The practicability of this protocol is also characterized in the gram-scale synthesis of Komavine and several other functional compounds. Preliminary mechanistic studies indicated that in aqueous media the *in situ* generated superacidic species from the carbonium ion pair with water was crucial to promote the Pictet–Spengler reaction.

KEYWORDS: metal-free, (spirocyclic)tetrahydro-β-carboline, bio-compatible, ion-pair, superacidic species

Tetrahydro-β-carbolines moieties exist in a wide variety of biological activities, such as *anti-tumor*, *anti-Alzheimer*, *anti-HIV*, and so on.¹ Examples include the marketed drug Tadalafil, pharmaceutical agent AZD-9496 in clinical research and a series of compounds reported with potential antitumor activity, as well as the natural product Komavine (Figure 1).²

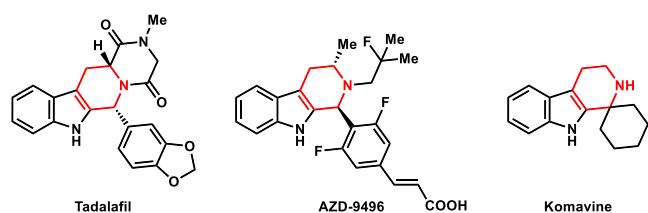
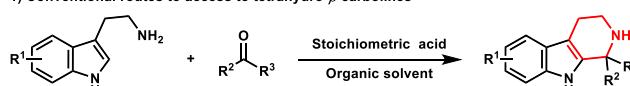


Figure 1. Presentative drugs and natural products containing tetrahydro-β-carbolines moieties.

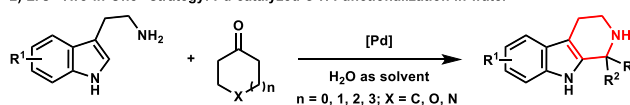
Traditionally, this skeleton of tetrahydro-β-carboline is achieved through the Pictet–Spengler reaction mediated by stoichiometric amounts of acids.³ Alternatively, the Li group reported a “Two-in-One” strategy of Pd-catalyzed C–H functionalization of tryptamines for the synthesis of (spirocyclic) tetrahydro-β-carbolines in water (Figure 2a).⁴ However, the utilization of acids inevitably produced chemical waste, and processes involving transition metals potentially

a. Previous work: Bio-incompatible conditions

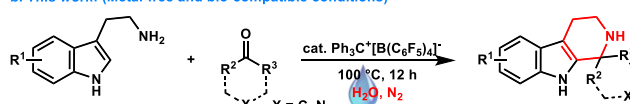
1) Conventional routes to access to tetrahydro-β-carbolines



2) Li's "Two-in-One" strategy: Pd-catalyzed C–H Functionalization in water



b. This work: (Metal-free and bio-compatible conditions)



● Metal-free protocol ● Good functional group tolerance ● Bio-compatible conditions ● Superacidic pre-catalyst 41 examples yield up to 98%

Figure 2. (A) Previous strategies to access the skeleton. (B) This work: Ion-pair-catalyzed protocol.

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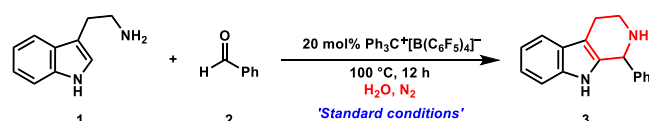
26 introduced toxic residues into the target compounds, which
27 need to be avoided in the sustainable process.

28 Since the discovery of trityl cation ($\text{Ar}_3\text{C}^+\text{X}^-$) by Norris,
29 Kehrman, and Wentzel in 1901, the applications of
30 triarylcation ion pairs as catalysts in organic synthesis
31 have attracted tremendous attention.⁵ This is probably due to
32 their many attractive features such as high catalytic reactivities,
33 metal-free nature as well as the possibilities of introducing
34 chiral moieties to either the cationic or the anionic component
35 for asymmetric catalysis. Accordingly, there has been much
36 work directed toward the design of new organic ion pair
37 species for various transformations including the design of
38 chiral versions for asymmetric catalysis. Pioneering work using
39 a triarylcation ion to catalyze the aldol reactions was first
40 reported by Mukaiyama.⁶ The asymmetric version first studied
41 by Chen and co-workers further spurred research in the
42 direction of designing chiral ion catalysts.⁷ Luo and Lv et al.
43 presented that carbonium ion pair could catalyze Friedel–
44 Crafts reaction, Diels–Alder reaction and 1,2-hydride
45 Migration process.⁸ Stokes, Yao, and Hashmi respectively
46 reported the intramolecular hydroarylation, intermolecular
47 hydroarylation, and [2 + 2+1] cycloaddition with trityl
48 tetrakis(pentafluorophenyl)-borate.⁹ Oestreich and Johan
49 Franzén et al. disclosed the novel carbenium-pair catalyzed
50 cycloaddition.¹⁰ Hou et al. utilized trityl tetrakis-
51 (pentafluorophenyl)-borate as the cocatalyst with transition
52 metals in the fields of polymerization, asymmetric catalysis, and
53 so on.¹¹ Our group has been interested in the development of
54 green and sustainable organic synthesis methodologies.¹² In
55 this paper, we explore the use of the carbonium ion-pair as a
56 water-tolerant precatalyst, probably producing the superacidic
57 species, to promote the Pictet–Spengler reaction under
58 biocompatible conditions.

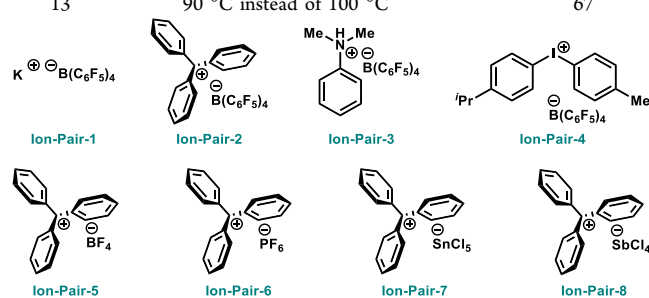
59 We started our investigation with tryptamine **1** and
60 benzaldehyde **2** as model substrates, and the influence of all
61 reaction parameters were systematically evaluated (see
62 Supporting Information for details). After intensive investi-
63 gations, we found that the desired tetrahydro- β -carboline
64 product **3** can be isolated in 86% yield when trityl
65 tetrakis(pentafluorophenyl)-borate (ion-pair-2) was used as
66 the catalyst and water as solvent at 100 °C under N_2
67 atmosphere was applied (Table 1, entry 1). A control
68 experiment indicated that ion-pair-2 was essential for the
69 success of this transformation (Table 1, entry 2). In the testing
70 of various ion-pairs, trityl tetrakis(pentafluorophenyl)-borate
71 was also found to be superior to the other tested ion pairs
72 (Table 1, entries 3–9), which indicated that the matching pair
73 of an anion and a cation may be necessary for the catalytic
74 process. With the lower catalytic loading of trityl tetrakis-
75 (pentafluorophenyl)-borate, the efficiency of the reaction was
76 decreased down to 81% yield (Table 1, entry 10). Using an
77 organic solvent instead of water, such as in dioxane, only a 10%
78 yield of the target product was obtained, which showed that
79 water was a significant biocompatible reaction medium (Table
80 1, entry 11). Notably, a 65% yield of **3** was afforded in air
81 (Table 1, entry 12). When the temperature was adjusted to 90
82 °C, **3** was obtained in 67% yield (Table 1, entry 13). The
83 remaining parameters were also screened and summarized in
84 Supporting Information (Table S1–S4).

85 With optimal conditions in hand, we subsequently explored
86 the scope of ion-pair-catalyzed Pictet–Spengler reaction in
87 water. As illustrated in Scheme 1, a broad range of aromatic
88 aldehydes were accommodated with tryptamine **1** to form the

Table 1. Investigation of the Reaction Conditions^{S,a}

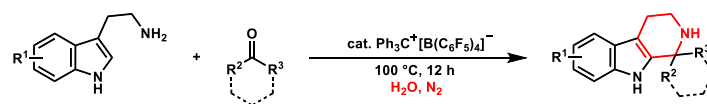


entry	change from the “standard conditions”	yield of 3
1	none	88 (86 ^b)
2	without ion-pair-2	n.d.
3	ion-pair-1 instead of ion-pair-2	58
4	ion-pair-3 instead of ion-pair-2	62
5	ion-pair-4 instead of ion-pair-2	61
6	ion-pair-5 instead of ion-pair-2	trace
7	ion-pair-6 instead of ion-pair-2	trace
8	ion-pair-7 instead of ion-pair-2	trace
9	ion-pair-8 instead of ion-pair-2	trace
10	15 mol % catalytic loading	81
11	dioxane instead of water	10
12	in air	65
13	90 °C instead of 100 °C	67

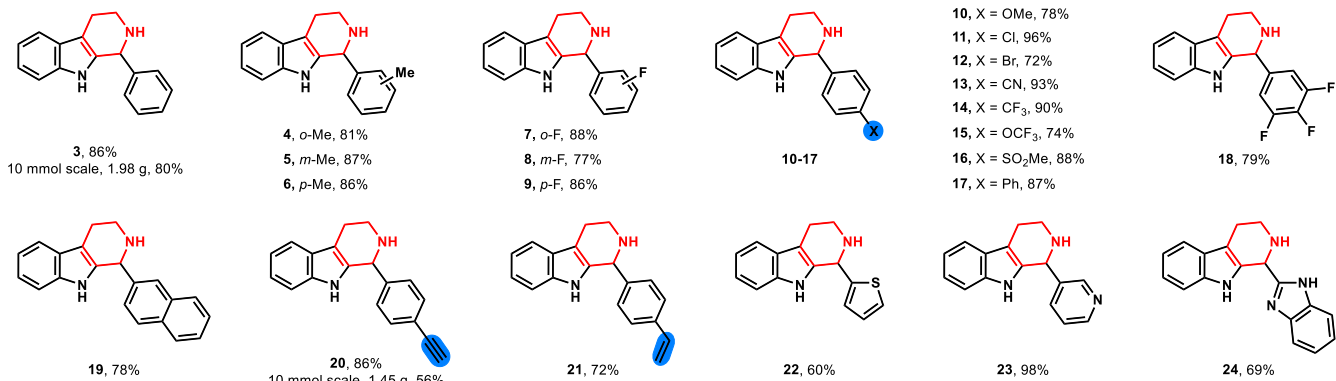


^SStandard conditions were carried out on a scale of 0.2 mmol of **1** and 0.3 mmol of **2** in the presence of 0.04 mmol $\text{Ph}_3\text{C}^+[(\text{C}_6\text{F}_5)_4]^-$ in 1 mL H_2O under N_2 atmosphere at 100 °C for 12 h. ^aYield is based on **1** and was determined by ^1H NMR analysis by using CH_3NO_2 as an internal standard. ^bIsolated yield.

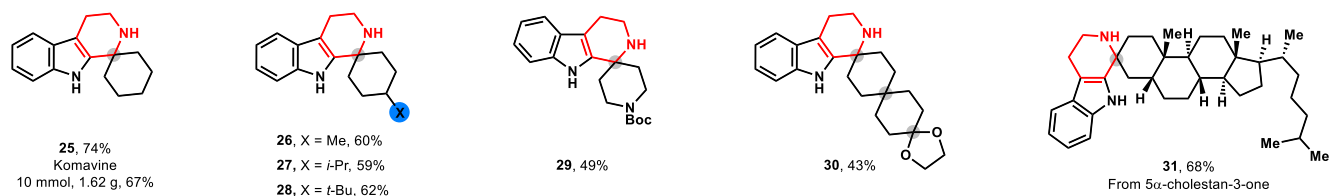
corresponding products in good to excellent yields (**3**–**24**). In
addition, the aldehydes bearing alkene, alkyne, halogen atoms, 90
and cyano groups were well tolerated. These functional groups 91
would provide avenues for further transformations of products. 92
The heterocyclic aromatic aldehydes were suitable substrates, 93
introducing thiophene, pyridine, and benzoimidazole moieties 94
into tetrahydro- β -carbolines (**22**–**24**). It is noteworthy that 95
cyclohexanone was also competent component to prepare the 96
natural product Komavine in 74% yield under metal-free 97
conditions in water, which was characterized with a spiro ring 98
and was generally synthesized involving transition metals (**25**). 99
Various para-substituted cyclohexanones were endured to 100
deliver the site-specific corresponding products (**26**–**28**). 101
Gratifyingly, Boc-protected piperidinone performed well in 102
this newly developed procedure, without any cleavage of the 103
Boc group (**29**). The consecutive spiro rings were successfully 104
constructed in 43% yield, while a potentially sensitive 105
protecting group was also compatible with this dehydration 106
process (**30**). Considering that the cyclohexanone motifs are 107
ubiquitous in natural complexes, the derivatization of 108
cholestanone with tryptamine at the late-stage demonstrated 109
the potential application in pharmaceutical field (**31**). 110
Subsequently, we investigated the scope of tryptamines bearing 111
diverse substitutes at specific positions with 4-cyano 112
benzaldehyde under identified conditions (**32**–**37**). When 113
electron-donating groups substituted at the 5 position of the 114
phenyl ring, the Pictet–Spengler reaction in water could 115

Scheme 1. Reaction Scope of Tetrahydro- β -Carboline^a

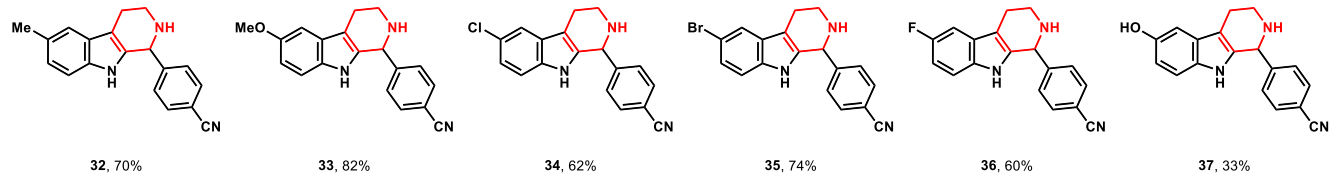
Aromatic aldehydes



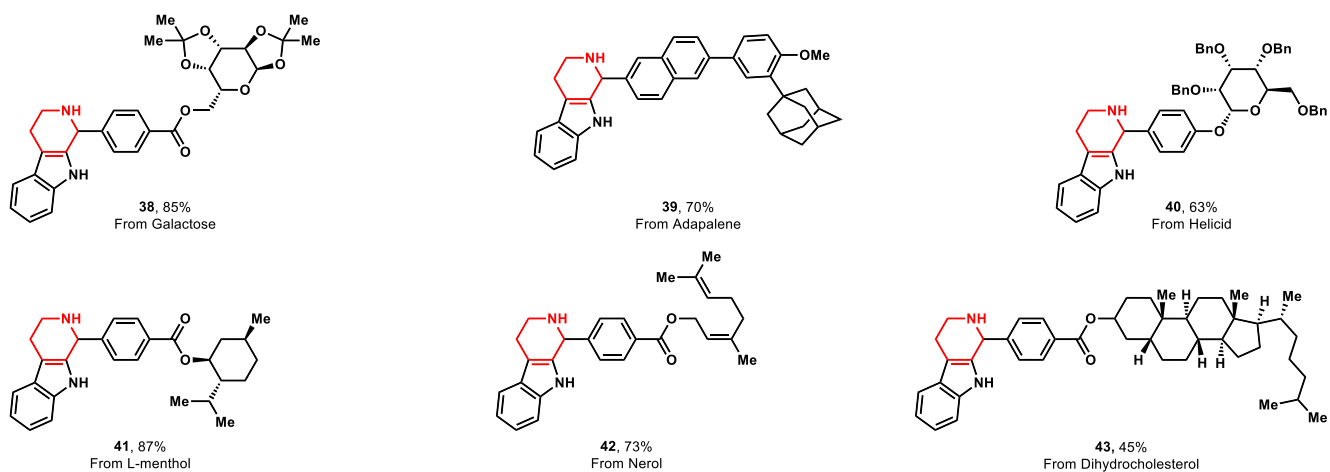
Cyclic ketones



Tryptamines



Natural complexes and drugs



^aGeneral reaction conditions: tryptamine substrate (0.2 mmol), carbonyl substrate (0.2 mmol), $\text{Ph}_3\text{C}^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$ (0.04 mmol), H_2O (1 mL), 100 °C, N_2 , 12 h. All yields are isolated yields.

116 proceed smoothly to give the corresponding products (32, 33).
117 The halogen groups, such as chloride (34), bromide (35) and
118 fluoride (36) were tolerated, which would be preinstalled with
119 handles for further manipulation of products. The serotonin
120 with unprotected hydroxyl group afforded the desired product,
121 albeit in low yield due to unidentified side reactions (37).
122 Furthermore, to evaluate the prospective utilization of this

123 protocol, the modification of natural complexes and small
124 molecular drugs were examined with tryptamine, affording the
125 corresponding derivatives in good to excellent yields (38–43).
126 To showcase the industrial potential of this metal-free
127 protocol, the synthesis of 3, 20 bearing a versatile alkynyl
128 functional group and natural product Komavine 25 was

129 selected to scale up at the gram level without obvious drop of
130 yields.

131 To gain insight into the mechanism of the metal-free Pictet–
132 Spengler in water, we carried out preliminary mechanistic
133 studies (Scheme 2 and Figure 3). Despite the results shown in

Scheme 2. Preliminary Mechanistic studies

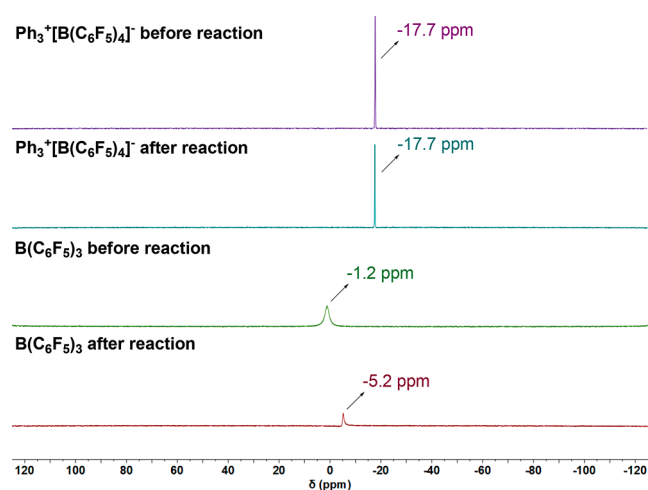
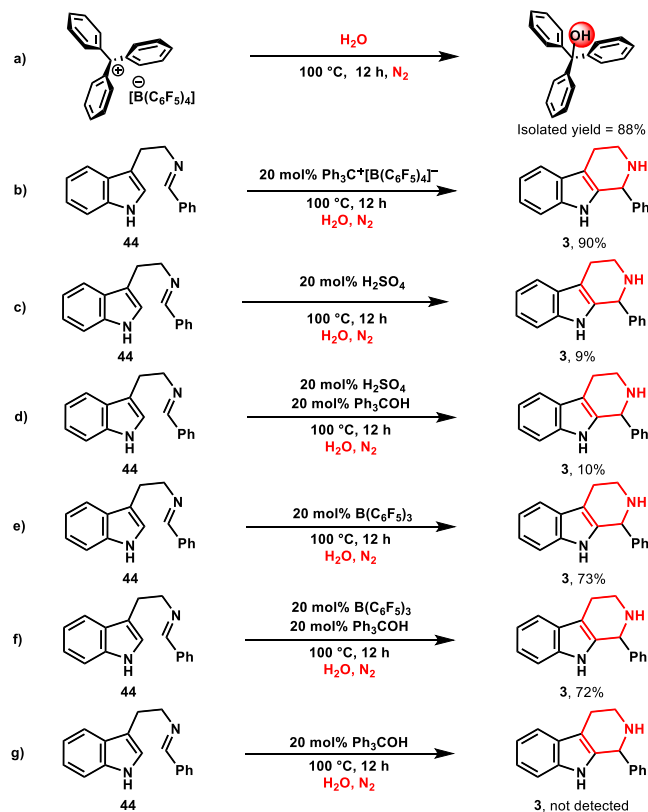


Figure 3. ^{11}B -NMR (CDCl_3) spectra of the reaction crude sample using $\text{Ph}_3\text{C}^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$ and $\text{B}(\text{C}_6\text{F}_5)_3$ as the catalyst, respectively, before and after the reaction at 22°C .

134 Table 1, the anion was shown to be crucial to the desired
135 transformation. When the stoichiometric trityl tetrakis-
136 (pentafluorophenyl)-borate was immersed in water under
137 100°C , the triphenylmethanol was isolated in 88% yield after
138 12 h (Scheme 2a). Meanwhile, the target product 3 was
139 obtained in 90% yield under standard conditions with the

imine 44 as the starting material (Scheme 2b). Compared with 140
conventional inorganic acids as the catalyst, such as sulfuric 141
acid (H_2SO_4), only a 9% yield of 3 was generated from 44 142
(Scheme 2c, for details, see the Supporting Information). Even 143
employing the combination of triphenylmethanol and H_2SO_4 , 144
3 was formed in 10% yield (Scheme 2d). One possibility was 145
that the boron Lewis acid $\text{B}(\text{C}_6\text{F}_5)_3$ dissociated from the anion 146
of $\text{Ph}_3\text{C}^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$ was treated as the catalytic species. 147
Interestingly, using 20 mol % $\text{B}(\text{C}_6\text{F}_5)_3$ in water, 44 was 148
converted into 3 in 73% yield (Scheme 2e). Additionally, 3 was 149
still afforded in 72% yield using the combination of 150
triphenylmethanol and $\text{B}(\text{C}_6\text{F}_5)_3$ (Scheme 2f). However, the 151
desired product 3 was not detected when only triphenylme- 152
thanol was employed as the catalyst (Scheme 2g). To further 153
elucidate the probable catalytic species, we conducted detailed 154
NMR studies and found that the ^{11}B -NMR and ^{19}F -NMR 155
spectra of the reaction crude sample using trityl tetrakis- 156
(pentafluorophenyl)-borate as the catalyst did not change 157
before and after the reaction at 22°C , whereas an obvious 158
change was observed in both ^{11}B -NMR and ^{19}F -NMR spectra 159
of the reaction crude sample using $\text{B}(\text{C}_6\text{F}_5)_3$ as the catalyst 160
before and after the reaction at 22°C (Figure 3 and Figure 4), 161 164

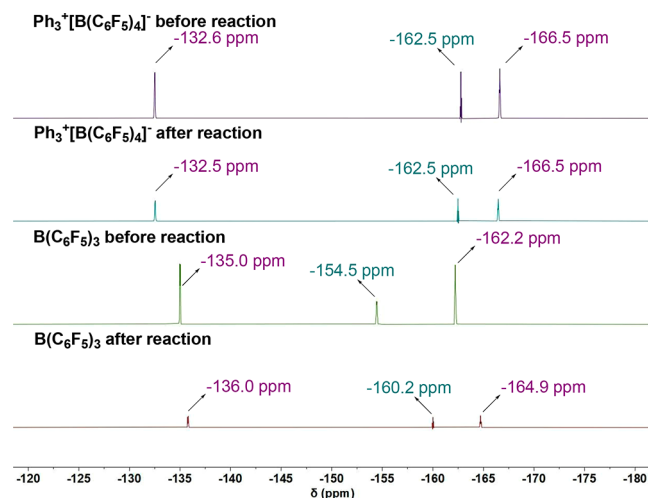
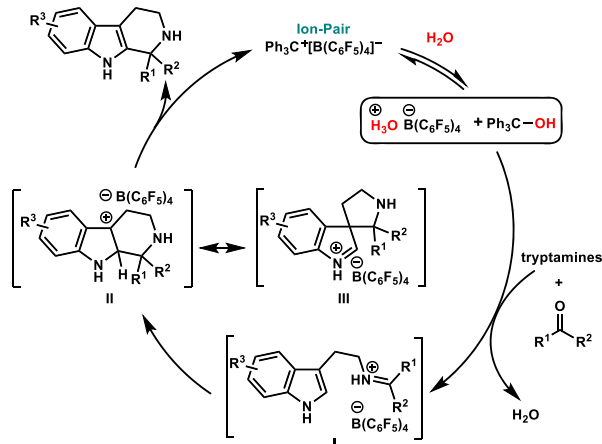


Figure 4. ^{19}F -NMR (CDCl_3) spectra of the reaction sample using $\text{Ph}_3\text{C}^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$ and $\text{B}(\text{C}_6\text{F}_5)_3$ as the catalyst, respectively, before and after reaction at 22°C .

indicating that $\text{B}(\text{C}_6\text{F}_5)_3$ was not likely the real species (for 162
details, see the Supporting Information).¹³ All the experimental 163
evidence indicated the catalytic species was probably from the 164
anion of trityl tetrakis(pentafluorophenyl)-borate and water. 165

On the basis of both precedent literatures and our 166
preliminary investigations, we assumed that the superacidic 167
species ($\text{H}_3\text{O}^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$) was probably involved, although 168
this type of superacid could be unstable under ambient 169
conditions or could not be isolated.¹⁴ As shown in Scheme 3, 170 173
the mechanism for the ion-pair-catalyzed Pictet–Spengler 171
reaction in water was proposed. The hydrolysis of trityl 172
tetrakis(pentafluorophenyl)-borate occurred in the presence of 173
water to form the superacidic species, which could activate the 174
carbonyl groups to generate the corresponding iminium ion (I) 175
with tryptamines. Subsequently, the intermediate (II) was 176
formed, which might tautomerize with the intermediate (III). 177
Finally, the tetrahydro- β -carboline products were achieved via 178
the deprotonation process.¹⁵ 179

Scheme 3. Proposed Mechanism



180 In summary, we have developed an efficient protocol using
 181 tetrakis(pentafluorophenyl)-borate to catalyze the Pictet–
 182 Spengler reaction under biocompatible conditions. The
 183 tetrahydro- β -carboline have diverse functional groups, and
 184 their scope is broad, including modifying natural products and
 185 small molecular drugs by our metal-free strategy. Trityl
 186 tetrakis(pentafluorophenyl)borate was employed as the pre-
 187 catalyst of the superacidic species that catalyzed the desired
 188 transformation. Further application and the detailed mechan-
 189 ism study are underway in our lab.

■ ASSOCIATED CONTENT

SI Supporting Information

192 The Supporting Information is available free of charge at
 193 <https://pubs.acs.org/doi/10.1021/acscatal.1c05546>.

194 Materials and chemicals, full experiments and character-
 195 ization data including ^1H and ^{13}C NMR spectra for the
 196 synthesized products (PDF)

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Notes

The authors declare no competing financial interest.

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