

17 **Abstract**

18 A scaled-up hollow fibre thin-film composite (TFC) module was successfully developed for
19 organic solvent forward osmosis (OSFO) application in the pharmaceutical industry. The
20 membrane consists of cross-linked P84 polyimide substrate with a polyamide selective layer,
21 formed via interfacial polymerisation. The performance of the lab-scale module was compared
22 to the scaled-up module in reverse osmosis and forward osmosis modes. The results from both
23 tests revealed that the solvent permeability of the scaled-up module decreased while its
24 selectivity increased slightly. This might be caused by the uneven distribution of liquids during
25 interfacial polymerisation, leading to varied membrane performance. The scaled-up module
26 was further tested for OSFO performance using a range of PEG-400 concentrations (0.5 – 2 M)
27 in acetone and isopropanol as draw solutions. At 2 M PEG-400 concentration, the module
28 demonstrated high isopropanol and acetone permeability of 0.63 LMH and 13.9 LMH,
29 respectively. Moreover, the reverse solute flux of PEG-400 in acetone and isopropanol were
30 relatively low at 0.188 g/l and 0.153 g/l, respectively. The module was further tested for OSFO
31 application in the pharmaceutical industry by concentrating levofloxacin in acetone, using 2 M
32 PEG-400 as draw solution. The process was able to concentrate levofloxacin from 1,000 ppm
33 to 16,000 ppm with very low reverse solute flux of 0.178 g/l. Therefore, this work presents a
34 scalable TFC membrane for OSFO application in the pharmaceutical industry.

35

36 **Key words:**

37 Organic solvent forward osmosis; thin-film composite membrane fabrication; scaled-up
38 module; concentrating levofloxacin in acetone.

39

40 **1. Introduction**

41 In the pharmaceutical industry, a large portion of capital is spent on operating separation
42 processes such as distillation for solvent swaps, dewatering and crystallisation of active
43 pharmaceutical ingredients (APIs) [1-6]. As such, there is an economic incentive for the
44 industry to look for alternative technology to replace current energy-consuming ones. The main
45 challenge of finding a separation technology suitable for pharmaceutical industry is that it
46 requires a robust process that can attain high product purity and yield [1, 3-5]. In addition, the
47 process should not alter or contaminate the solvent stream due to the sensitive nature of the
48 manufacturing process. Given these stringent criteria, organic solvent forward osmosis (OSFO)
49 process has recently been touted as a potential replacement or augmentation of existing
50 separation processes in the pharmaceutical industry [7-11]. Through recent studies, OSFO has
51 been demonstrated to be able to operate in organic solvent environments such as ethanol,
52 hexane, dimethylacetamide (DMAc) and dimethylformamide (DMF) [7-11]. In addition, the
53 membranes showed excellent selectivity for low molecular weight solutes (<500 Da), which is
54 required for pharmaceutical applications.

55

56 There are several advantages of using OSFO in various pharmaceutical manufacturing
57 processes. Compared to existing separation processes such as distillation, the lack of thermal
58 input in OSFO can potentially result in significantly lower energy consumption [12]. Moreover,
59 thermally sensitive compounds like APIs will not be damaged by this separation process [2].
60 Another benefit rendered by OSFO is that a suitable draw solution can be used to generate
61 sufficiently high osmotic pressure to concentrate APIs to high concentrations, which is difficult
62 to achieve with pressure-driven membrane processes such as organic solvent nanofiltration
63 (OSN) due to the excessively high operating pressure required to overcome the osmotic
64 pressure. Furthermore, OSFO operates at none or low hydraulic pressure, leading to lower

65 external concentration polarisation [13, 14]. However, as OSFO is still an emerging field, there
66 are numerous challenges that need to be addressed moving forward.

67

68 The challenges for OSFO process include several folds, one of which is associated with existing
69 issues of forward osmosis (FO). Most notably, dilutive internal concentration polarisation (ICP)
70 and reverse solute diffusion are the key issues that continue to impair the performance of
71 osmotically-driven processes. Therefore, attempts have been extensively focused on
72 addressing these issues in the past decade and research on OSFO can build on existing
73 knowledge [12, 13, 15-18]. On the other hand, the introduction of a wide variety of solvents
74 and solutes poses new challenges for researchers in the field of OSFO. Commonly used
75 polymers for making the substrates of thin-film composite FO membranes such as
76 polyethersulfone (PES) [14, 15, 19], polysulfone (PSf) [20, 21] and polyvinylidene fluoride
77 (PVDF) [22] have limited chemical stability in harsh organic solvents such as DMAc and DMF.
78 The excessive swelling or even dissolution of the polymer makes it unsuitable for OSFO
79 applications [23]. Another potential challenge is related to the solution properties of the solute-
80 solvent system. Solution properties such as viscosity, diffusivity and osmotic coefficients are
81 important parameters that influence the performance of osmotically-driven membrane
82 processes [17, 18]. This implies that the performances across different solute-solvent systems
83 in OSFO cannot be easily compared. Another issue with current OSFO studies is that small
84 membrane coupons of less than 10 cm² were normally used for performance tests [7-9, 11].
85 The performances of lab-scale coupons and scaled-up modules can be drastically different [24,
86 25]. This is especially relevant in osmotically-driven processes such as OSFO, where module
87 design has significant impacts on liquid flow at the shell side, potentially affecting solvent
88 permeation [16, 25].

89

90 This work aims to develop a new type of OSFO membrane and address some of the issues
91 pertaining to OSFO. A solvent resistant thin-film composite hollow fibre membrane was
92 developed for OSFO. Cross-linked P84 polyimide was chosen as the substrate due to its
93 excellent solvent resistance to various organic solvents [24, 26-28]. The fabrication of the
94 substrate was optimised to improve its structural parameter, thickness and porosity, which are
95 key parameters to consider when fabricating a high-performance FO membrane [15, 29]. A
96 polyamide active layer was applied on the lumen of the substrate through interfacial
97 polymerisation (IP) to enhance the selectivity of the membrane. Lab-scale and scaled-up
98 modules were fabricated and subjected to conventional reverse osmosis (RO) and FO tests to
99 evaluate the impact of scaling up on membrane performance. The lab-scale and scaled-up
100 modules possess effective membrane areas of 22 cm² and 4,145 cm², respectively.
101 Subsequently, the OSFO performance of the scaled-up module was evaluated using a non-toxic
102 draw solute (PEG-400) in various organic solvents. A model API, levofloxacin (361 Da in
103 molecular weight (MW)), in acetone was concentrated using the OSFO membrane to
104 demonstrate the feasibility of OSFO process for pharmaceutical concentration.

105

106 **2. Experimental**

107 *2.1 Materials and chemicals*

108 Polyimide (P84 Lenzing) was used to fabricate the substrate. 1-Methyl-2-pyrrolidinone
109 (NMP, >99%) purchased from Merck and diethylene glycol (DG, >99.5%) purchased from
110 Sigma Aldrich were used in the phase inversion process. Isopropanol (IPA, >99.5%),
111 polyethylene glycol 400 (PEG-400) and hexamethylene diamine (HDA, >98%) purchased
112 from Sigma Aldrich were used for post-treatment and storage of membranes. For the IP

113 reaction, m-phenylenediamine (MPD, >99%, Sigma Aldrich), cyclohexane (>99%, VWR) and
114 benzene tricarbonyl trichloride (TMC, >98%, Sigma Aldrich) were used.

115

116 To evaluate the selectivity of the TFC membranes, rose bengal (1017 Da in MW, >95%, Sigma
117 Aldrich), methyl red (269 Da in MW, Sigma Aldrich), magnesium chloride hexahydrate (>99%,
118 Merck), sodium chloride (>99%, Merck) and levofloxacin (361 Da in MW, >98%, Sigma
119 Aldrich) were used. Deionized (DI) water, acetone (>95%, Sigma Aldrich), ethanol (>99.5%,
120 Sigma Aldrich) and isopropanol (>99.5%, Sigma Aldrich) were used as solvents.

121

122 *2.2 Fabrication of thin-film composite hollow fibre membranes*

123 The hollow fibre substrates were fabricated via dry-jet wet spinning process. The dope was
124 prepared in a similar manner described in the previous work [24], but the dope composition
125 and spinning parameters were changed as illustrated in Table 1, to improve its performance for
126 use as FO substrate. The substrate was then cross-linked by immersing it into a solution of 20
127 g/l of HDA in IPA for 18 hours to achieve solvent resistance. The cross-linked membranes
128 were then stored in 40/60 wt% PEG-400/IPA solution to preserve the pores. The fibres were
129 subsequently potted into modules with dimensions as shown in Table 2.

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Table 1: Spinning parameters of hollow fibre substrate

	This work	Previous work [24]
Dope composition P84/DG/NMP (wt%)	16/8/76	20/8/72
Dope flow rate (ml/min)	3	3.5
Bore fluid	DI water	DI water
Bore fluid flow rate (ml/min)	3	3.5
External coagulant	Tap water	Tap water
Air gap (cm)	<1	5
Drum speed (cm/s)	6	3.75

137

138

Table 2: Dimensions of module for hollow fibre FO membranes

Module	Number of Fibres	Module length (cm)	Module diameter (inch)	Membrane Area (cm²)
P5	5	26	~ 3/8	22
P500	500	50	~ 2	4145

139

140 A polyamide selective layer was synthesized on the lumen side of the fibres through interfacial
 141 polymerisation. The MPD aqueous solution containing 1.3 wt% MPD and 0.12 wt% sodium
 142 dodecyl sulfate, and TMC solution with a concentration of 0.15 wt% in cyclohexane were
 143 prepared for the selective layer formation in the experiments. Depending on the module size
 144 (i.e., the number of fibers packed in the module, P5 or P500), a small variation in concentration
 145 of the MPD and TMC solutions was adjusted in order to achieve better performance. The
 146 membranes were first soaked in an aqueous solution containing MPD and then purged with
 147 cyclohexane to remove excess aqueous solution. Subsequently, a cyclohexane solution
 148 containing TMC was pumped through the lumens to initiate the IP reaction, forming a thin
 149 polyamide layer on the substrate. Afterwards, the reaction was quenched and the modules were
 150 stored in DI water before use.

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152

153 *2.3 Membrane characterisation and performance evaluation*

154 The chemical and physical properties of the hollow fibre substrate and the selective layer were
155 examined using a series of standardised characterisation tests. The cross-section and surface
156 morphologies of the polyimide substrate and TFC membrane were examined using Zeiss EVO
157 50 Scanning Electron Microscope (SEM). The prepared hollow fibre samples were coated with
158 platinum using EMITECH SC7620 sputter coater for the SEM preparation.

159

160 To evaluate the cross-linking of the polyimide substrate, Fourier Transform Infrared
161 Spectroscopy (FTIR) was carried out using Prestige-21 spectrophotometer from Shimadzu.
162 Polyimide bands at 1780 cm⁻¹ and 1711 cm⁻¹ (C=O), 1361 cm⁻¹ (C-N), and amide bands at 1634
163 cm⁻¹ (C=O) and 1525 cm⁻¹ (C-N) of the pristine and cross-linked substrate were compared to
164 evaluate the changes in the polymer structure. The porosity (ϵ) of the hollow fibre substrate
165 can be determined by gravimetric method [30]. The inner surface of the TFC membrane was
166 examined by X-ray photoelectron spectroscopy (XPS) using a Kratos AXIS Ultra to confirm
167 the presence of polyamide layer after IP.

168

169 A crossflow setup was used to test the membrane in RO mode. The permeability of water,
170 ethanol and acetone for the polyimide substrate and TFC membranes was evaluated by
171 pressurizing the solvents from the lumen side at 2 bar. A crossflow velocity of 0.6 m/s was
172 used. Measurements were taken after the system has stabilised and the performance were
173 calculated using equation (1):

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$$J_i = \frac{m_i}{\Delta P \times A \times \rho_i \times t} \quad (1)$$

175 where J_i ($1 \text{ m}^{-2} \text{ hr}^{-1} \text{ bar}^{-1}$) is the solvent permeability, m_i is the mass of solvent collected, ΔP
176 (bar) is the transmembrane pressure, A (m^2) is the effect area of the membrane, ρ_i (g cm^{-3}) is
177 the density of solvent, and t (h) is the time taken for permeate collection.

178

179 The molecular weight cut-off (MWCO) of the substrate was evaluated using standardised test
180 with a dextran aqueous solution [31]. The selectivity of the TFC membrane was determined
181 using aqueous salt solutions consisting of 2000 ppm NaCl and MgCl_2 . 50 ppm of rose bengal
182 and methyl red dissolved in ethanol and acetone, separately, were used to investigate its OSN
183 performance. The concentrations of salts and dyes were determined using a conductivity meter
184 (Myron L Company, Canada) and UV-1650 PC UV–Vis spectrophotometer (Shimadzu, Japan),
185 respectively [26, 32]. The rejection (R) of dextran, salts and dyes were determined using
186 equation (2):

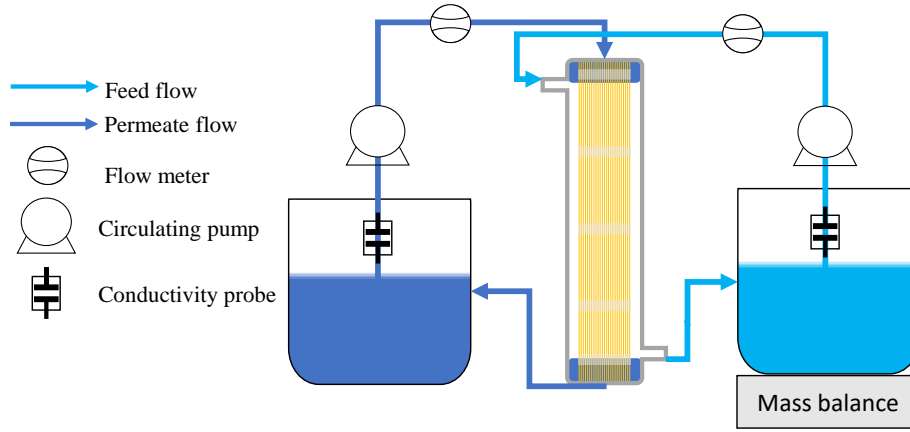
$$187 \quad R = \frac{C_f - C_p}{C_f} \times 100 \quad (2)$$

188 where C_f and C_p are the concentrations of the solutes in the feed and permeate, respectively.

189

190 A bench-scale FO setup was utilized to study the FO performance of the TFC membranes in
191 the active layer facing feed solution (AL-FS) orientation, as shown in Figure 1. Solutions with
192 0.5 – 1.5 M MgCl_2 were used as draw solutions while DI water was used as the feed.

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Figure 1: Schematic diagram of forward osmosis experimental setup

196

197 To determine the FO performance, the water flux J_w , ($1 \text{ m}^{-2} \text{ hr}^{-1}$, LMH) was determined using
 198 equation (3):

199

$$J_w = \frac{\Delta w}{A_m \rho_w \Delta t} \quad (3)$$

200 where Δw (g) is the change in feed mass over a time interval Δt (h), A_m (m^2) is the effective
 201 membrane area and ρ_w (g/l) is the density of water.

202

203 The reverse solute flux (RSF), J_s , ($\text{g m}^{-2} \text{ hr}^{-1}$, gMH) was examined according to equation (4):

204

$$J_s = \frac{C_t V_t - C_0 V_0}{A_m \Delta t} \quad (4)$$

205 where C_0 (g/l) and V_0 (l) are solute concentration and feed volume at time 0, C_t and V_t indicate
 206 the final solute concentration and feed volume over a time interval (Δt), respectively.

207 Subsequently, the specific RSF (J_s/J_w), (g/l), was plotted against draw solution concentration.

208 The performance of P5 and P500 modules were then compared to evaluate the scalability of
 209 the TFC membrane.

210

211 The structural parameter (S) of the TFC membrane was then measured using equation (5):

212
$$S = \frac{D_s}{J_w} \ln \left[\frac{A\pi_D + B}{A\pi_F + J_w + B} \right] \quad (5)$$

213 where D_s is the diffusion coefficient for MgCl_2 , approximated to $1.06 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$ [33], Π_D is
214 the osmotic pressure of the bulk draw solution, Π_F is the osmotic pressure of the feed solution
215 at the membrane surface. A and B are the pure water and salt permeability coefficients,
216 respectively.

217

218 *2.4 Organic solvent forward osmosis application*

219 The scaled-up (P500) module was tested for OSFO performance in the AL-FS orientation. The
220 setup illustrated in Figure 1 was used for the OSFO experiments by replacing the fittings and
221 tubes with solvent resistant ones. IPA and acetone were used as solvents and the respective
222 solvent fluxes were measured using 0.5 – 2 M PEG-400 as draw solutions. PEG-400
223 concentrations in the feed and draw solutions were measured using total organic carbon (TOC)
224 analyser (Shimadzu, Japan). A known volume of solution containing PEG-400 was first oven
225 dried at 60°C to completely remove the solvent and then diluted with water for TOC analysis.
226 The solvent fluxes, RSF and specific RSF were measured similarly as described in section 2.3.
227 A concentric cylinder (CC-27) rheometer from Anton Paar was used to examine the viscosities
228 of the draw solutions. A shear rate (τ) of 2000 s^{-1} was used to obtain the values.

229

230 To demonstrate the OSFO capability of the P500 module, a solution of levofloxacin in acetone
231 was concentrated. 2 M PEG-400 in acetone was used as the draw solution while 1000 ppm
232 levofloxacin dissolved in 6 l of acetone was used as the feed solution. The feed and draw
233 solutions were circulated in the AL-FS orientation and the draw solution was constantly dosed
234 to maintain its concentration. The concentration of levofloxacin in the feed was determined

235 using UV-1650 PC UV–Vis spectrophotometer (Shimadzu, Japan) at a wavelength of 292 nm
236 [34]. The solution was appropriately diluted before measuring to obtain an accurate reading.

237

238 3. Results and discussion

239 3.1 Characterisation of polyimide substrate

240 P84 polyimide hollow fibre substrate was spun in-house and cross-linked with HDA to achieve
241 organic solvent resistance. The MWCO of the cross-linked substrate was then determined to
242 be about 90 kDa using a dextran standard solution. Subsequently, the physical properties of the
243 membrane after cross-linking were measured and shown in Table 3. Compared to the substrate
244 fabricated in the previous work, the inner diameter, outer diameter and thickness of the
245 membrane were significantly reduced. This was achieved by reducing the polymer
246 concentration in the dope and increasing the uptake speed of the drum [29]. The lower polymer
247 concentration reduces the viscosity of the dope solution, thereby having a less pronounced die
248 swelling at the exit of the spinneret. The higher drum uptake speed also increased the elongation
249 of the fibre, further reducing the fibre dimension. In addition, the decrease in bore and dope
250 flow rates also contributed to a thinner fibre obtained for this study [35, 36].

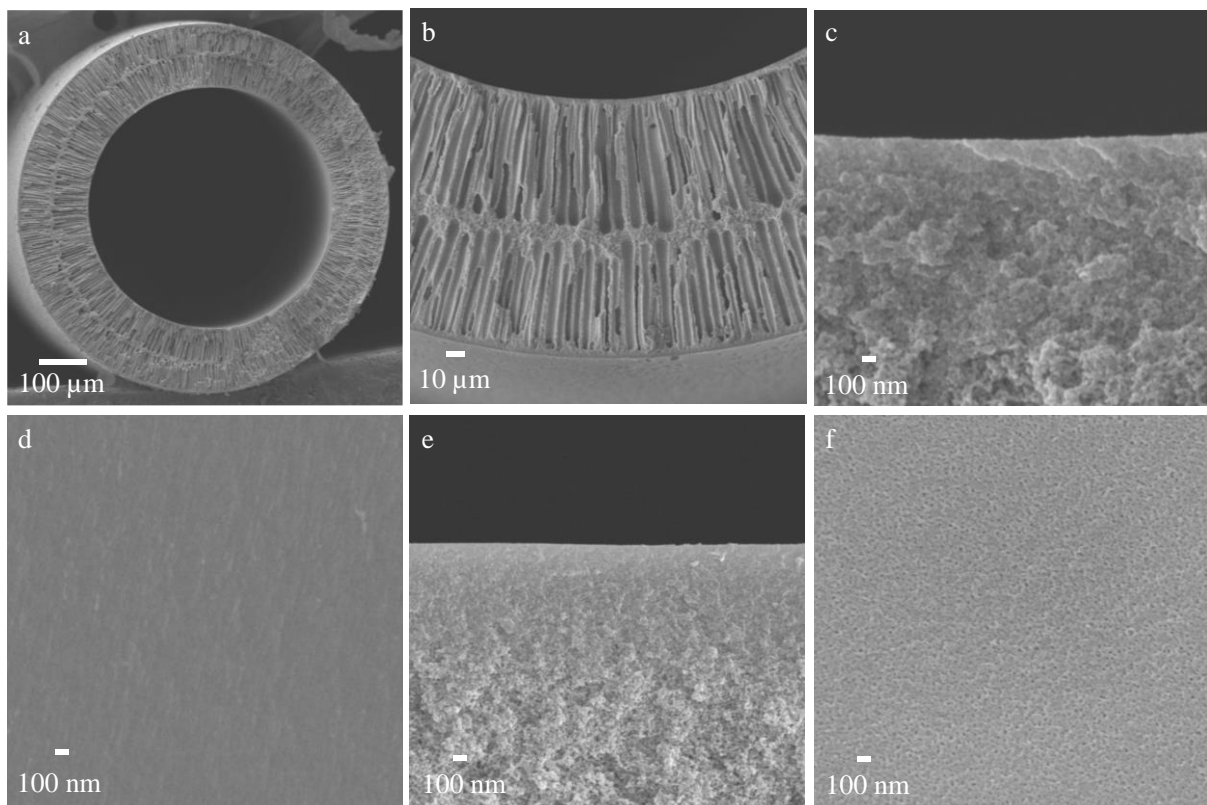
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252 Table 3: Physical properties of polyimide substrate before and after cross-linking

	This work	Previous work [24]
Inner diameter (μm)	573.7 ± 8.7	640.5 ± 5.2
Outer diameter (μm)	823.6 ± 10.8	920.4 ± 12.3
Thickness (μm)	125.0 ± 4.1	139.9 ± 7.7
Porosity (%)	79	68

253

254 The morphology of the cross-linked substrate was also examined using SEM imaging as shown
255 in Figure 2. It can be observed that a thin skin layer (Figure 2 (c & e)) was formed on the outer
256 and inner surfaces of the membrane through rapid phase inversion via using hard bore fluid
257 and external coagulant [15, 36]. The thin skin layer allows for a defect-free polyamide selective
258 layer to be synthesized onto the surface while reducing the overall intrinsic membrane
259 resistance for higher solvent permeability [20, 37]. It can also be seen that the finger-like
260 structures developed extensively towards the centre of the membrane, separated by a sponge-
261 like polymer matrix as shown in Figure 2 (b). This was achieved by using a hard bore fluid and
262 lower air gap (see Table 1) [15, 36]. The extensive finger-like structures resulted in an increase
263 in porosity from 68% to 79%. Consequently, the thinner and more porous membrane would
264 improve the structural parameter of the membrane and reduce the effects of ICP [15, 38].
265 Overall, the fabricated hollow fibre substrate showed desirable characteristics of a FO
266 membrane.
267



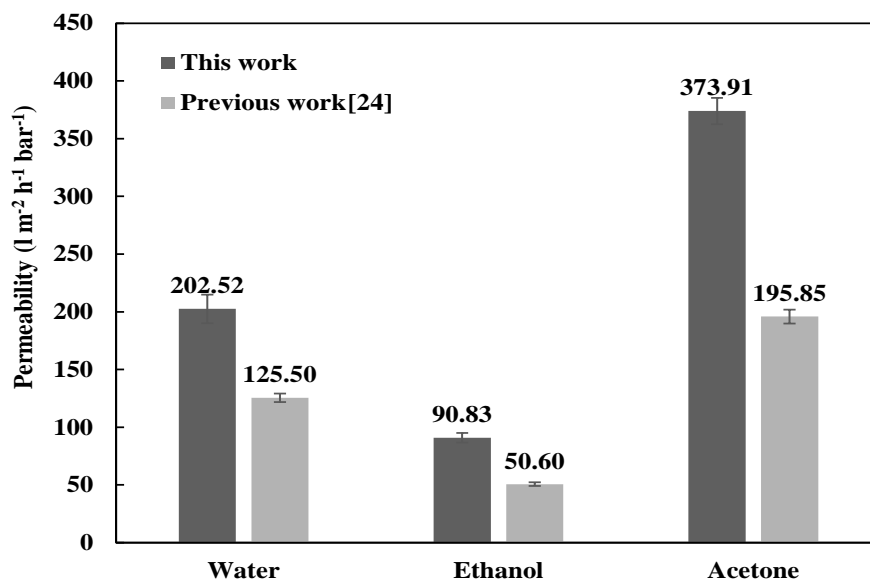
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269 Figure 1: SEM images of cross-linked hollow fibre substrate. (a) Cross-section; (b) enlarged
270 cross-section; (c) cross-section of inner surface; (d) inner surface; (e) cross-section of outer
271 surface; (f) outer surface

272

273 Figure 3 illustrates the solvent permeability of the membrane fabricated in this study and the
274 previous work [24]. It was found that the solvent permeability of the membrane fabricated in
275 this work is much higher than that obtained from the previous work. The permeability for water,
276 ethanol and acetone improved by about 61%, 79% and 91%, respectively. The improvements
277 were mainly attributed to the reduction in membrane thickness and the increase in the porosity
278 of the hollow fibre substrate. Overall, the substrate fabricated in this work showed significant
279 improvement in its physical properties that are potentially beneficial in FO process.

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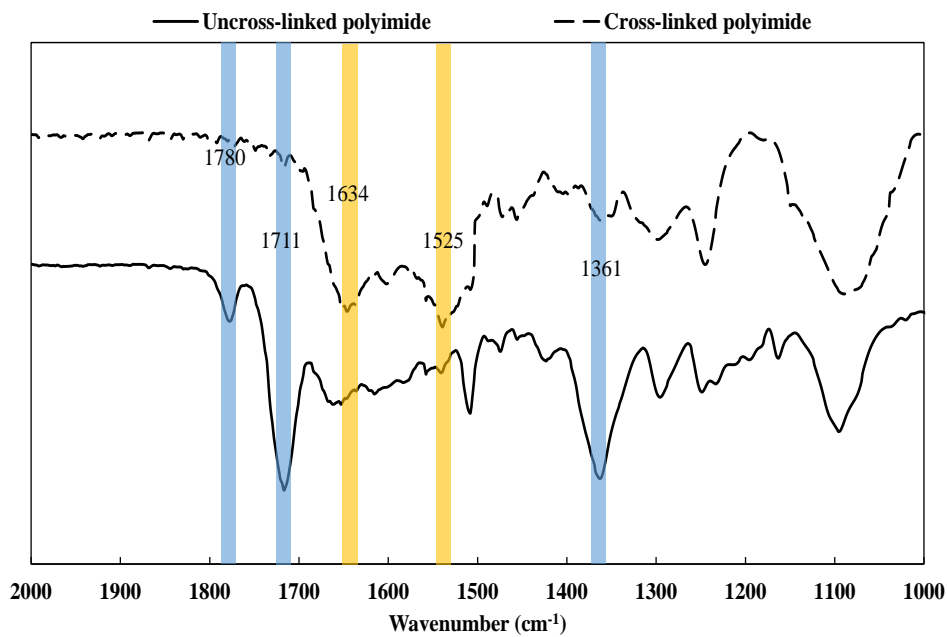


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282 Figure 3: Solvent permeability of hollow fibre substrates in various solvents. (Operating
283 pressure: 2 bar, crossflow velocity: 0.6 m/s)

284

285 The chemical composition of the substrate before and after cross-linking were also analysed
286 by FTIR as presented in Figure 4. The characteristic peaks of imide groups at 1361 cm^{-1} (C=O),
287 1711 cm^{-1} (C=O) and 1780 cm^{-1} (C-N) diminished significantly after cross-linking. On the
288 other hand, the amide peaks, 1634 cm^{-1} (C=O) and 1525 cm^{-1} (C-N), became more intense
289 through the formation of amide bonds. These observations are consistent with previous works
290 and thus, the solvent resistance of the fabricated substrate should perform similarly [24, 26].
291 The hollow fibre substrate was potted into two types of modules, P5 and P500, and used for
292 subsequent experiments.
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294

295 Figure 4: FTIR spectrum of uncross-linked and cross-linked polyimide substrates.

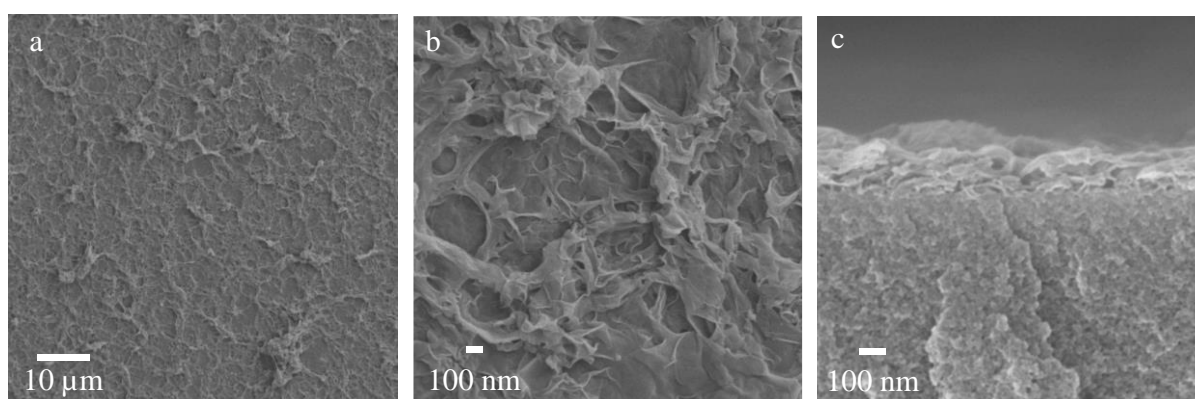
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297 3.2 Characterisation of the selective layer

298 A polyamide selective layer was synthesized on the lumens of the hollow fibre substrates in P5
299 and P500 modules via interfacial polymerisation. The SEM images in Figure 5 show the
300 morphology of the inner surface and cross-section of the membrane after IP. As observed in

301 Figure 5 (a), the inner surface of the membrane was covered by a ridge-and-valley like texture,
302 the characteristic of a typical MPD-based polyamide layer. Looking at Figure 5 (c), the cross-
303 section shows a selective layer thickness of about 150 nm. A thinner selective layer could
304 potentially improve solvent permeability. However, as the OSFO membrane was used to
305 concentrate high value pharmaceutical products, a TFC membrane with higher selectivity was
306 favoured.

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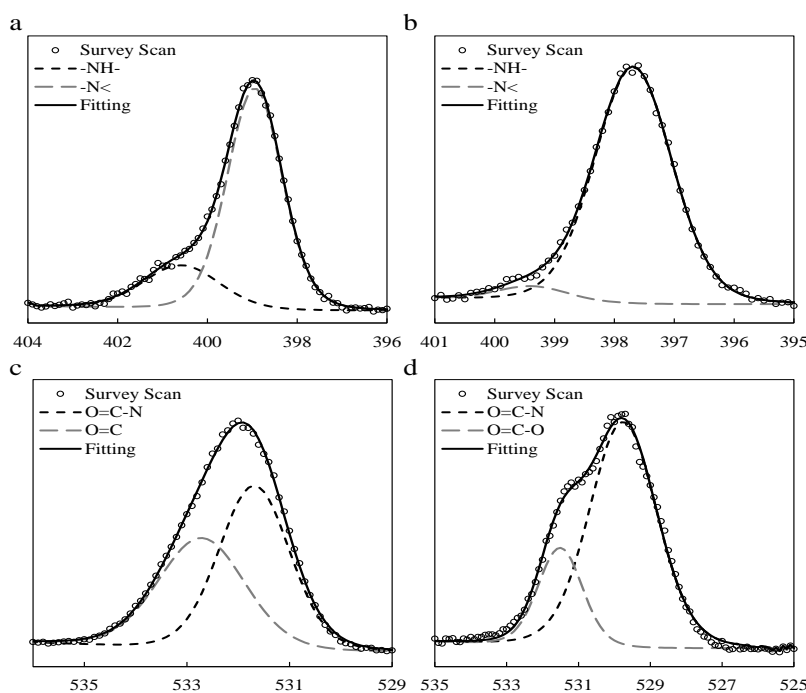
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309 Figure 5: SEM images of thin-film composite hollow fibre membranes, (a) inner surface; (b)
310 enlarged inner surface; (c) cross-section

311

312 The changes in surface chemistry of the membrane after IP were analysed using XPS. The N1s
313 and O1s peaks of the polyimide substrate and TFC were deconvoluted respectively and
314 illustrated in Figure 6. As observed from Figure 6 (a and b), the amide bonds increased after
315 the selective layer was synthesized onto the substrate. From Figure 6 (c and d), it can also be
316 seen that the deconvoluted O1s peaks for the membrane changed. The C=O peak of the
317 substrate was no long present and a new O=C-O peak was detected. These observations were
318 also made in previous works and thus suggests that the polyamide selective layer was
319 successfully synthesized onto the substrates [24, 26].

320



321

322 Figure 6: XPS spectra of (a) substrate N1s, (b) TFC N1s, (c) substrate O1s and (d) TFC O1s

323 The OSN performance of P5 and P500 TFC modules were evaluated using a cross-flow setup

324 and the results are illustrated in Figure 7 and Figure 8, respectively. Ethanol permeability of

325 P5 module was measured at $1.65 \text{ l m}^{-2} \text{ hr}^{-1} \text{ bar}^{-1}$ while the corresponding permeability for P500

326 module was lower at $1.04 \text{ l m}^{-2} \text{ hr}^{-1} \text{ bar}^{-1}$. This trend is similar to that reported in the previous

327 work, where the scaled-up membrane suffered from lower solvent permeability due to possible

328 non-uniform IP layer coating [24]. The increase in module length (from 30 cm to 50 cm) and

329 enlarged module cross-sectional area (from 3/8 inch to 2 inch) might have caused uneven

330 distribution of liquids during the IP process and formed less ideal selective layer. On the other

331 hand, the selectivity of P500 module was very similar to P5 module, which indicates that the

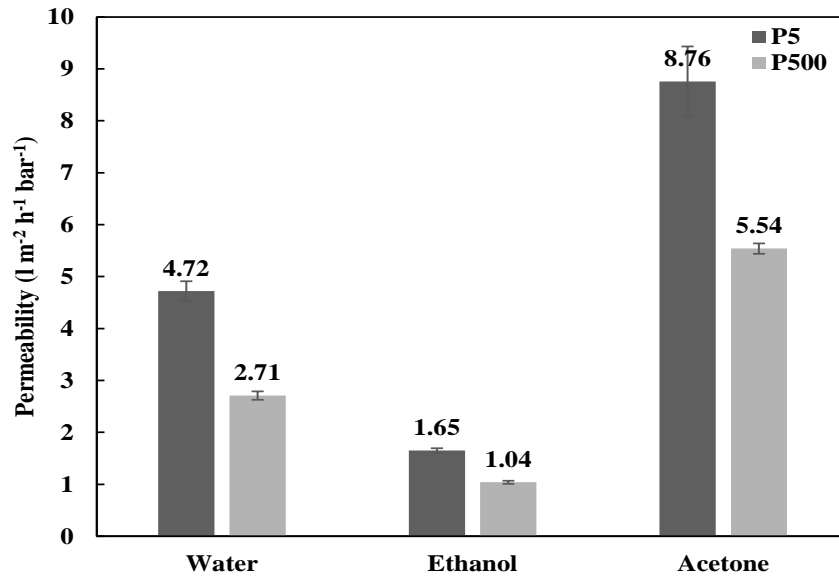
332 selective layer was synthesized onto the membrane without any defects. As observed from

333 Figure 8, P500 module showed slightly higher salt rejections of MgCl_2 and NaCl at 99.1% and

334 95.4%, respectively. The membranes also achieved excellent methyl red rejection of over 92%.

335 This suggests that the selective layer can serve well as a RO-like barrier for small solutes in

336 FO operation.



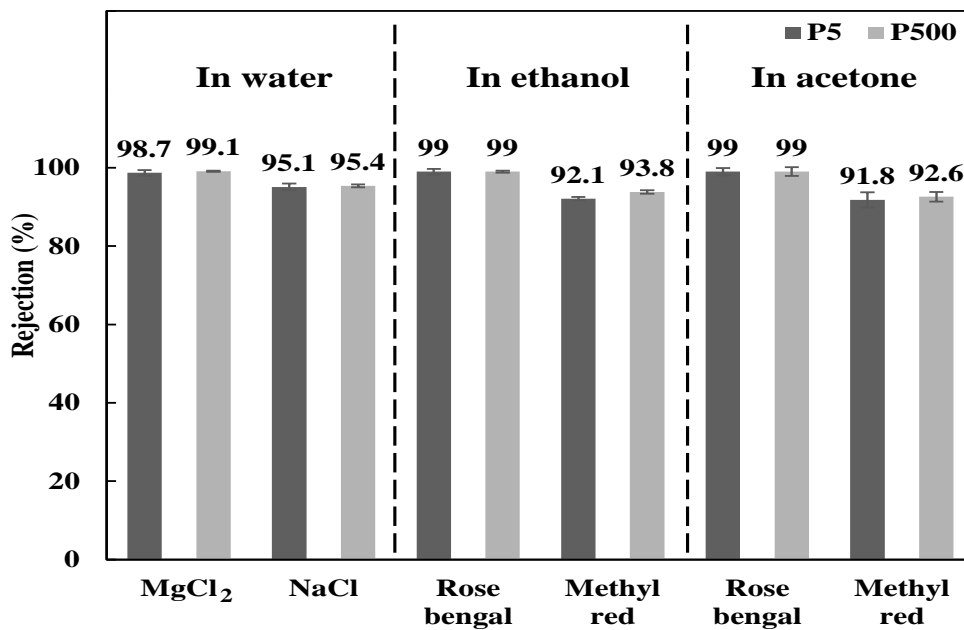
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Figure 7: Solvent permeabilities for P5 and P500 thin-film composite modules in various

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solvents (Operating pressure: 2 bar, crossflow velocity: 0.6 m/s)



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Figure 8: Solute rejections of P5 and P500 modules in various solvents (Operating pressure: 2

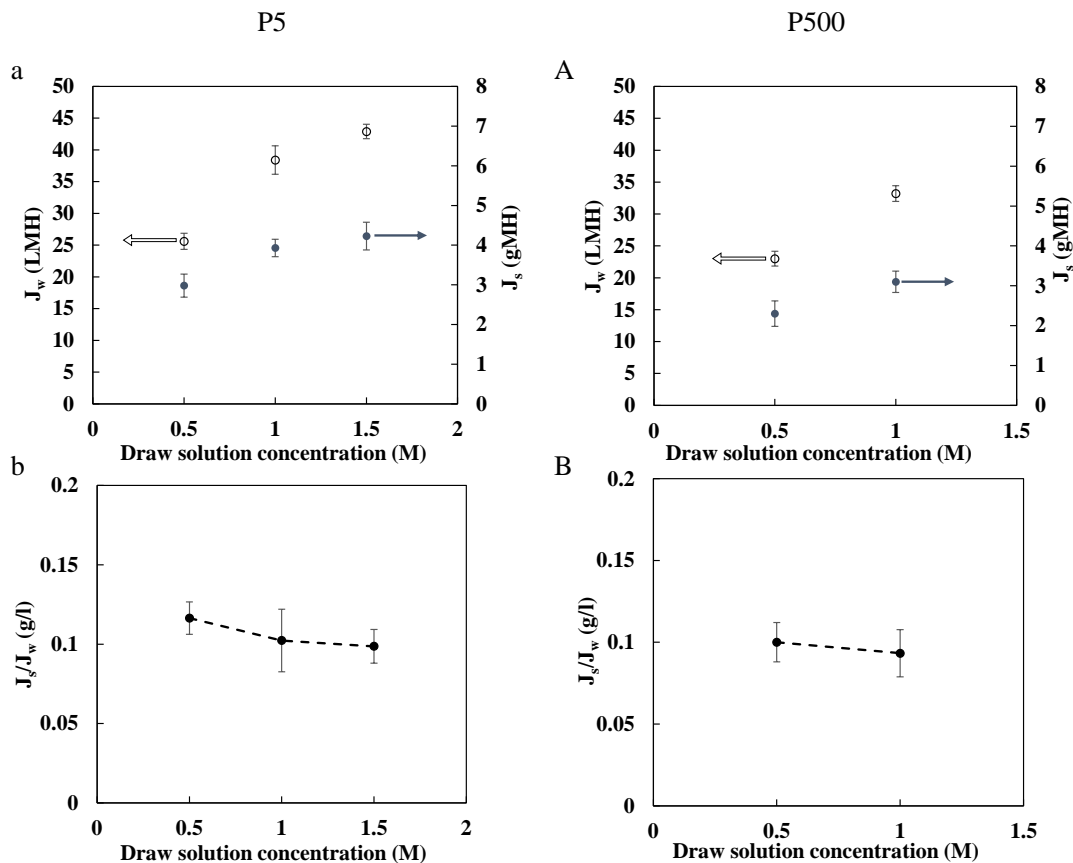
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bar, crossflow velocity: 0.6 m/s)

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344 3.3 FO performance of lab-scale and scaled-up modules

345 The modules were tested for their FO performances in the AL-FS orientation using the setup
346 illustrated in Figure 1. Varied concentrations of MgCl_2 were used as the draw solution while
347 DI water was used as the feed solution. The water flux (J_w), RSF (J_s) and calculated specific
348 RSF (J_s/J_w) were obtained and reported in Figure 9. As seen from Figure 9 (a), the water flux
349 of P5 module increased from 25.6 LMH to 42.9 LMH when the concentration of MgCl_2 in the
350 draw solution increased from 0.5 M to 1.5 M. On the other hand, P500 module achieved water
351 flux of 23.0 LMH and 33.2 LMH when 0.5 M and 1.0 M of MgCl_2 was used (Figure 9 (A)).
352 The comparatively lower J_w of P500 module can be attributed to its relatively lower intrinsic
353 water permeability than P5 module, which is expected from the solvent permeability test in
354 Figure 7. It can also be observed from Figure 9 (a) that there is a diminishing increase in flux
355 when the concentration of draw solution further increased. This suggests that dilutive ICP is
356 much more noticeable at a higher concentration of draw solution [39, 40]. As seen from Figure
357 9 (b and B), the estimated specific RSF of P5 module was higher than P500 module. This
358 suggests that the polyamide layer synthesized on P500 module was more selective than that on
359 P5 module. This observation can also be verified by the higher solute rejections as illustrated
360 in Figure 8. Nevertheless, both P5 and P500 modules exhibited excellent selectivity with very
361 low specific RSF values. It is interesting to see that the specific RSFs of P5 and P500 modules
362 at 0.5 M, 1.0 M and 1.5 M MgCl_2 draw solutions were relatively constant. This phenomenon
363 is consistent with several FO studies, where the specific RSF was found to be independent of
364 the concentration of draw solution [40, 41]. Overall, the performance of the membrane in FO
365 mode demonstrated consistent trends with that reported in RO mode.



366

367 Figure 9: FO performance of P5 and P500 modules in AL-FS orientation. (a) and (A) Water

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flux (J_w) and RSF (J_s); (b) and (B) Specific RSF (J_s/J_w)

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3.4 OSFO performance tests for P500 module

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The organic solvent forward osmosis performance of P500 module was evaluated with a

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number of solvents and draw solutions. 0.5 – 2M of PEG-400 were used as draw solutes to

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investigate acetone and IPA fluxes of the module in the AL-FS orientation. Figure 10 illustrates

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the solvent fluxes and RSF when varied concentrations of draw solution were used. As

374

observed from Figure 10 (a), the acetone flux increased steadily from 5.1 LMH to 13.9 LMH

375

when the concentration of PEG-400 draw solution increased from 0.5 M to 2 M. The RSF of

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PEG-400 also increased as a function of draw solution concentration. This phenomenon is in

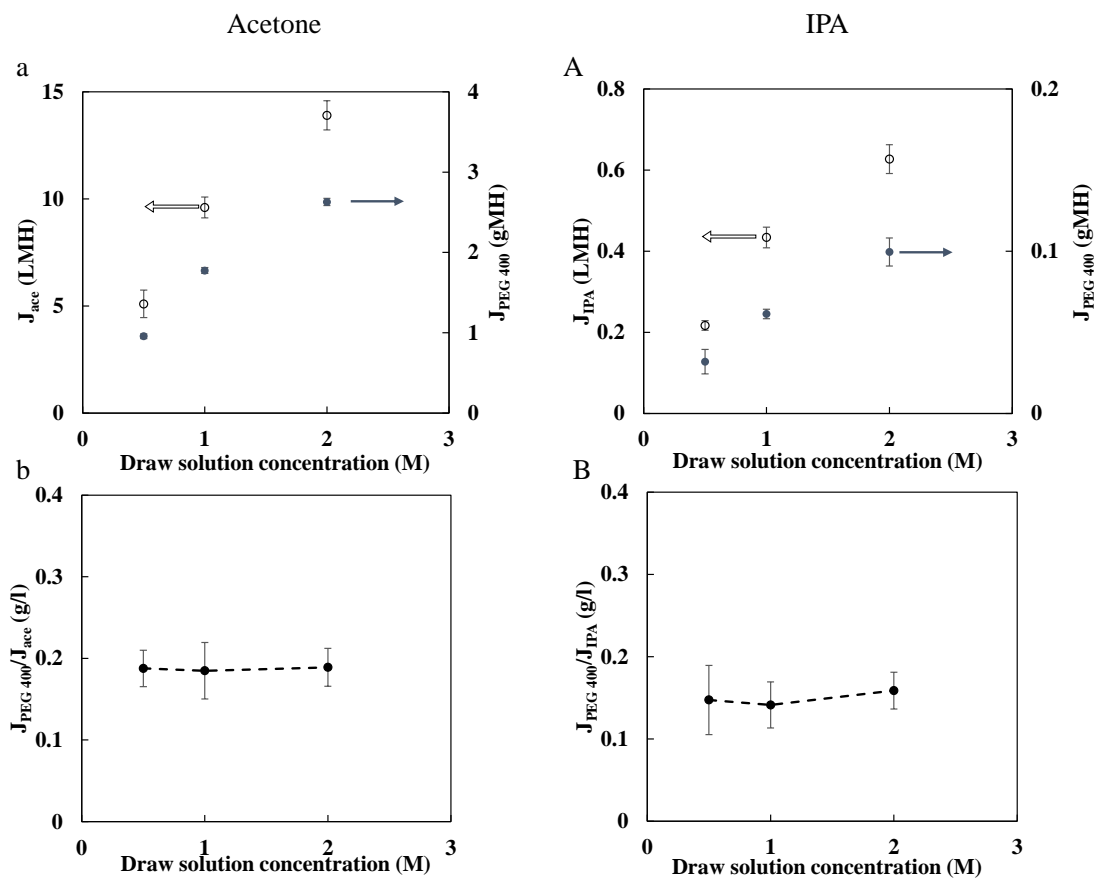
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line with that observed in recent studies on OSFO [9, 11]. In addition, this observation is also

378

similar to that obtained in the FO study, which suggests that the solute transport mechanism

379 for OSFO is similar to FO process [42]. From Figure 10 (A), the IPA flux and RSF also
 380 increased with increasing concentration of draw solution. However, IPA fluxes (0.22 LMH to
 381 0.63 LMH) were much lower than acetone even though the same concentrations of draw
 382 solutions were used. This is consistent with OSN experiments, where ethanol and IPA were
 383 reported to have much lower permeability than acetone [24, 26]. One possible explanation is
 384 that IPA has significantly higher viscosity (8.15 mPa.s) compared to acetone (2.24 mPa.s) as
 385 shown in Table 4. The hydrophilic membrane also has a higher affinity to the more polar
 386 acetone [43]. In addition, the difference in Hansen solubility parameter (R_a) of acetone to the
 387 substrate ($5.04 \text{ MPa}^{0.5}$) is smaller than IPA to the substrate ($11.57 \text{ MPa}^{0.5}$), which also
 388 contributed to a comparatively higher mass transport of acetone [44].
 389



390

391 Figure 10: Effect of draw solution concentration on organic solvent forward osmosis
 392 performance. (a) Acetone flux (J_{ace}) and RSF ($J_{PEG\ 400}$); (A) IPA flux (J_{IPA}) and RSF (J_{PEG
 393 $_{400}$); (b) and (B) Specific solute flux of PEG-400

394

395

Table 4: Viscosity of different solutions

Solvent	Draw solute	Viscosity (mPa.s)
Acetone	Pure solvent	2.24
	0.5 M PEG-400	4.79
	1 M PEG-400	7.70
	2 M PEG-400	20.0
IPA	Pure solvent	8.15
	0.5 M PEG-400	13.1
	1 M PEG-400	17.8
	2 M PEG-400	26.3

396

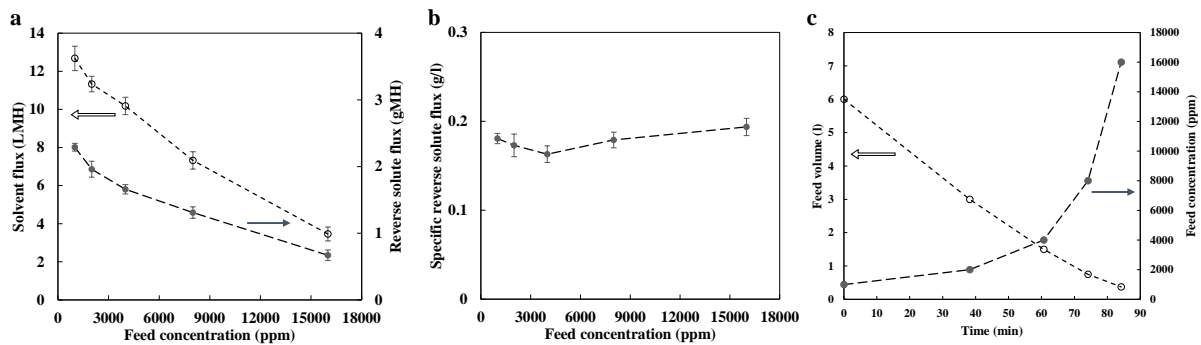
397 The solvent flux and RSF also increased at a diminishing rate as the concentrations of draw
 398 solutions increased. This is even more apparent when IPA was used as the solvent. As the
 399 membrane was tested in the AL-FS orientation, this suggests that there were significant ICP
 400 occurring when higher concentrations of draw solutions were used [39]. This might be caused
 401 by substantially higher viscosities of the draw solutions when higher concentrations of PEG-
 402 400 were used, as shown in Table 4. The increase in viscosity can potentially inhibit the
 403 diffusion of the bulk draw solution into the substrate due to a thicker boundary layer, which
 404 resulted in a more severe ICP phenomenon [17, 45]. As shown in Figure 10 (b and B), the
 405 specific RSF of PEG-400 in acetone (~ 0.1877 g/l) is higher than IPA (~ 0.1527 g/l). Since
 406 specific RSF is an intrinsic parameter of the selective layer, this indicates that the selectivity
 407 of the membrane changed in different organic solvents. One possible explanation is that
 408 acetone caused the substrate and selective layer to swell slightly, which reduced its selectivity

409 [46]. Similar observations were made in a previous OSN study, where solute rejections of
410 StarmemTM 122 and PuramemTM 280 were dependent on the extent of membrane swelling and
411 solute solubility parameter in the particular solvent [47]. Studies have also shown that the
412 diffusivity of solutes are influenced by solvent properties [48, 49]. Consequently, the type of
413 solvent used in a draw solution will affect the reverse solute diffusion [41, 42]. This finding
414 suggests a new challenge for OSFO research such that the performance obtained for different
415 solute-solvent systems might not be comparable, adding to the complexity of reviewing
416 literature for analysis.

417

418 The P500 module was subsequently used to concentrate levofloxacin using 2 M PEG-400 as
419 draw solution. Acetone was used as solvent for both the feed and draw solutions. The solvent
420 flux and RSF were plotted against feed concentration as illustrated in Figure 11. The initial
421 levofloxacin feed of 1000 ppm was gradually concentrated to approximately 16,000 ppm, a
422 concentration factor of about 16. As observed from Figure 11 (a), the initial acetone flux is
423 slightly lower than that when pure acetone was used as feed. It also decreased at an increasing
424 rate when the feed got more concentrated. This might be caused by the increase in severity of
425 concentrative external concentration polarisation (ECP), where levofloxacin built up on the
426 membrane surface at the feed side [39, 41]. This phenomenon can be diminished by increasing
427 the cross-flow velocity of the feed stream, thereby reducing the effect of ECP on OSFO
428 performance. Studies have also shown that an FO membrane with high permeate flux can
429 intensify the phenomenon of ECP [16, 39]. Thus, a draw solution with lower osmotic pressure
430 can be used to minimize this effect. Similarly, the RSF decreased as the concentration of feed
431 gradually increased. This is expected as RSF is proportional to solvent flux [41, 42]. From
432 Figure 11 (b), the specific RSF stayed relatively constant when the feed was gradually
433 concentrated. This implies that this parameter is independent of external factors such as feed

434 concentration and draw solution concentrations. Instead, it was shown to be dependent on the
435 intrinsic selectivity of the membrane for a particular solute-solvent system [41, 42].



436

437 Figure 11: Concentration of levofloxacin in AL-FS orientation. Effects of feed concentration
438 on (a) solvent and RSF; (b) specific RSF; (c) Change in feed volume and feed concentration

439

440 The levofloxacin concentration process was listed together with existing membrane-based
441 concentration experiments in solute-solvent systems reported in literature (Table 5). As
442 publications on OSFO applications are very limited, the concentration applications by OSN
443 were also included for illustration. From the table, the closest reference that could be made is
444 an OSFO study by Chung et al [9]. In the study, tetracycline (444 Da in molecular weight)
445 dissolved in ethanol was concentrated from 1000 ppm to 10,000 ppm using 2 M LiCl as draw
446 solution. On the other hand, the OSFO study conducted in current work concentrated
447 levofloxacin (361 Da in molecular weight) in acetone to a concentration of 16,000 ppm,
448 showing promising performance of this process. However, it is clear that OSFO is still at a very
449 early stage of study. The real feeds in pharmaceutical industry are much more sophisticated
450 than single solute-solvent systems, which pose great challenges to OSFO for practical
451 application. Thus, future work should focus on testing OSFO membranes with a feed that
452 closely resembles the pharmaceutical feed streams. Likewise, the challenge of draw solution
453 regeneration should also be addressed in order for OSFO systems to be competitive to existing
454 purification processes.

Table 5: Concentrating feed in solvent streams using membrane-based separation processes

Process	Feed system	Driving force	Feed concentration	Final concentration	Ref Year
3-stage OSN	Rosmarinic acid in ethanol	20 – 40 bar	0.118 g/l	0.346 g/l	[50] 2011
2-stage OSN	Roxithromycin in methanol	30 bar	~ 120 ppm	~ 210 ppm	[51] 2014
OSFO	Tetracycline in ethanol	2 M LiCl in ethanol	1000 ppm	10,000 ppm	[9] 2018
OSN	Levofloxacin in acetone	<10 bar	500 ppm	20,000 ppm	[24] 2021
OSFO	Levofloxacin in acetone	2 M PEG-400 in acetone	1000 ppm	16,000 ppm	This work

457 **4. Conclusions**

458 In this work, a scaled-up thin-film composite hollow fibre membrane module with a membrane
459 surface area of 4,145 cm² was developed for organic solvent forward osmosis process. The
460 spinning parameters and dope composition used for membrane substrate fabrication were
461 optimised to decrease the mass transport resistance of the substrate with high porosity and low
462 structural parameter. Subsequently, a polyamide selective layer was synthesized on the lumen
463 of the substrate. The following conclusions can be made via this study:

- 464 • The resultant P500 module showed promising OSFO results with high IPA and acetone
465 fluxes of 0.63 LMH and 13.9 LMH, respectively, using 2 M PEG-400 as draw solute.
- 466 • The membrane achieved low specific RSF using PEG-400 as draw solute for both
467 solvent systems, which indicated that the selectivity was maintained in both organic
468 solvent environments.
- 469 • Levofloxacin in acetone was concentrated with 2 M PEG-400 as draw solution using
470 P500 module. A concentration factor of 16 can be achieved while maintaining high
471 selectivity.

472
473 This study demonstrated promising potential of OSFO to be used in the pharmaceutical
474 industry for API concentration. Further studies should focus on using feeds with compositions
475 similar to real pharmaceutical feeds to evaluate the feasibility of using OSFO process in the
476 pharmaceutical industry. In addition, identifying suitable draw solutes for the myriad of solute-
477 solvent combinations present in the pharmaceutical industry is also an important task.

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481

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486

487 **5. References**

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