

1 **Mixture Design Approach to optimize the rheological properties**
2 **of the material used in 3D cementitious material printing**

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8
9 **Abstract:** The Mixture Design Approach was adopted in this report to formulate the
10 correlation between the cementitious material components and material rheological
11 properties (static yield stress, dynamic yield stress) and identify the optimal material
12 composition to get a balance between high cementitious material static yield stress and low
13 dynamic yield stress. Cement, sand, fly ash, water and silica fume were blended to form
14 the test materials according to mixture design and the responses (static yield stress,
15 dynamic yield stress) were logged by the Viskomat. Two non-linear mathematic models for
16 responses were experimentally validated based on the ANOVA (Analysis of Variance)
17 analysis. The results indicated that the optimal replacement of supplementary cementitious
18 materials can be determined according to static yield stress and dynamic yield stress based
19 on the ternary components. The Mixture Design Approach is then proven to be an effective
20 method of optimizing the cementitious materials used in 3D cementitious material printing
21 (3DCMP) application.

22 **Key words:** Additive manufacturing, 3D cementitious material printing, Mixture Design
23 Approach, rheological properties, Multi-objectives optimization, large scale printing

24 **1. Introduction**

25 Additive manufacturing (AM), also known as 3D printing, is gaining more attention
26 from various industries including building and construction due to its advantages: high
27 efficiency, cost effectiveness, labor saving and high freedom of designing [1]. 3D
28 cementitious material printing (3DCMP), which includes Contour Crafting [2], D-Shape
29 [3] and Concrete printing [4], builds the structure without any formwork or mold making,
30 and hence attracts much attention from both the industry and academy sectors in recent
31 years. The fresh rheological properties (static yield stress, dynamic yield stress) of the
32 cementitious material are crucial in 3DCMP field. They can influence the pumpability and
33 buildability of the mixture significantly [5-7]. Cementitious material can only be
34 transported by the delivery system smoothly and then be deposited layer by layer firmly
35 when the pumpability and buildability are appropriate. Hence, various materials have been
36 developed for the 3DCMP by Panda et.al [5, 8-16]. The fresh rheological properties of the
37 developed materials can meet the special requirements of the cementitious material used in
38 3DCMP. However, few of them investigated the effects of the composites on the fresh
39 properties in a systematic method.

40 With regard to high flowable material, a huge number of investigations have been
41 conducted to study the effects of the constituents on cementitious material rheological
42 properties [17-26]. Chen et al. [27] reported that the effects of the fine cement on the
43 rheological properties depends on the water cement ratio significantly. According to Jiao
44 [17], the fly ash has a close relationship with rheological properties. The effect of the fly
45 ash on cementitious material fresh rheological properties varies a lot with the change of the
46 shape and the diameter of the material constituents [23, 28]. In most of the cases, material
47 yield stress and plastic viscosity increase with the addition of fly ash content. However,

48 Park et al. [21] reported that the yield stress of the material without fly ash is higher than
49 that with fly ash content. The lubrication effect, which is important for the pumping of the
50 cementitious material, can be improved by adding silica fume. While most studies show
51 that yield stress and plastic viscosity increase with the addition of silica fume [29-31],
52 Zhang et al [19] showed that silica fume decreases yield stress and plastic viscosity. Ahari
53 et. al [18] reported that silica fume can increase yield stress while reduce plastic viscosity.
54 The reasons for the difference might be twofold: firstly, the surface properties and volume
55 fraction of silica fume in the mixture is various; secondly, the effect of the interaction
56 between the silica fume and content affects the rheological properties [17]. Aggregates
57 affect the rheological properties greatly [10, 26, 32, 33]. Typically, the influence of the
58 composites on rheological properties cementitious material is quite complex. Hence, it is
59 necessary to understand the interaction between the composites when investigating the
60 effects of the composites on the rheological properties in 3DCMP.

61 Although various experiments have been conducted to investigate the effects of
62 composites on rheological properties, few were conducted in a systematic way in 3DCMP
63 [5, 16, 34, 35]. Hence, a proper model should be constructed through limited experiments.
64 The DoE (Design of Experiments) was adopted to explore individual effects and associate
65 interaction of the cementitious material composites in the mixture on the rheological
66 properties of the cementitious material. It has been successfully used in various fields [36-
67 41]. As one of the most powerful technique of DoE, the Mixture Design Approach was
68 applied in this study. The reasons to select the Mixture Design Approach are threefold:
69 firstly, the sum of the input variables, in this case the volume fraction of individual
70 composites, must be unity. This restricts the range of the component fraction to fit the

71 model to predict the trend of the rheological properties. Secondly, the rheological
72 properties are assumed to depend on the ingredients fraction rather than the absolute
73 quantity of each component. Thirdly, it can determine the optimal expression of the
74 compound [42].

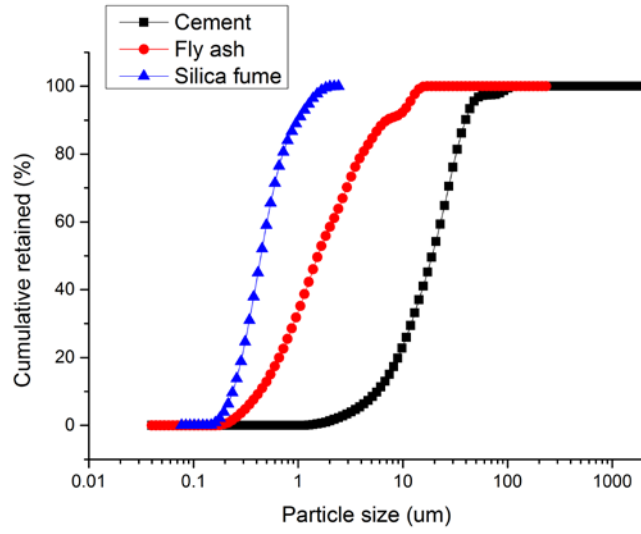
75 In this study, the commercial software, Design Expert, was adopted to accomplish the
76 experiments design. The predicted models fit the experimental results with a statistically
77 significance as demonstrated in the ANOVA (Analysis of Variance). The study shows that
78 the Mixture Design Approach is an effective method of understanding the volume fraction
79 of the components on the cementitious material rheological properties used in 3DCMP.

80 **2. Material and methods**

81 **2.1 Material formulation**

82 As shown in previous work [43, 44], the cementitious material used in 3DCMP
83 consists of five groups of compounds, Ordinary Portland Cement (OPC, ASTM type I,
84 Grade 42.5), silica fume (SF, undensified, Grade 940, Elkem company), sieved sand, fly
85 ash (FA, Class F) and water. 6g Superplasticizer (SP, MasterPozzolith-R168 from BASF)
86 was adopted to reduce water consumption in each experiment. Fig. 1 illustrates the particle
87 size distribution of cement, SF and FA, which were analyzed by Mastersizer 2000. Fig. 2
88 presents the particle size distribution of sand, which was analyzed by the sieving machine.
89 Table 1 illustrates the chemical composition of OPC and FA, respectively [43]. The range
90 of the volume fraction of cement is 12%-16%, while the range of the volume fractions of
91 other 4 components were 21%-26%, 25%-30%, 33%-35%, 2%-4% respectively [43, 45].

92



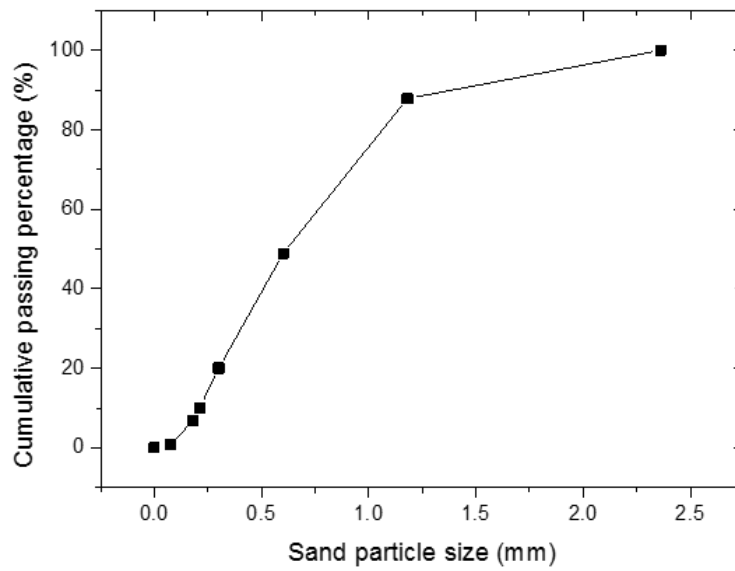
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Fig. 1 Particle size distribution of OPC, FA and SF

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Fig. 2 Sand size distribution

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Table 1 Chemical composition of fly ash and Ordinary Portland Cement (OPC)

Formula	Concentration / %	
	Fly ash	Cement
SiO ₂	58.59	24.27
Al ₂ O ₃	30.44	4.56
Fe ₂ O ₃	4.66	3.95
TiO ₂	2.02	0.55
K ₂ O	1.51	0.61
CaO	1.21	62.2
MgO	0.776	3.34
P ₂ O ₅	0.531	0.15
Na ₂ O	-	0.21
SO ₃	0.0914	-
ZrO ₂	0.04	-
MnO	0.0351	-
Cr ₂ O ₃	0.027	-
CuO	0.0254	-
ZnO	0.0229	-

106

107 2.2 Mixture design DoE

108 Due to the variability of the cementitious material properties, it is crucial to design the
 109 experiments suited to obtain the feasible compound with various ingredients and accessing
 110 their effects on rheological properties. Generally, a series of experiments ought to be conducted
 111 by changing the various deliberately one by one. Hence, conclusions can be obtained based on

112 the experimental results. Assume n factors exist in an engineering experiment and three
113 control levels for per factor, usually at least 3^n (5 factors and 243 experiments in this study)
114 experiments need to be carefully conducted before the optimal process can be determined
115 based on the traditional experimental process. A scientific approach based on DoE ought
116 to be considered [46], so as to reduce the tedious and costly tests while still allowing
117 insights to be made on overall effects of the variables on the outputs. Only a few
118 experiments that are systematically chosen need to be conducted to separate the individual
119 effects. The theories behind this approach were discussed in [47, 48].

120 In this study, the mixture design DoE was adopted to investigate the effects of input
121 variables (volume fraction of components) on the response (rheological properties). In the
122 mixture design DoE, the response is assumed to depend only on the volume fraction of the
123 components and not on the mass of the ingredients. Mixture designs are constructed based
124 on the upper and lower limit restricted to each component proportion x_i which is defined
125 as a_i (lower limit) and b_i (upper limit)

$$126 \quad 0 \leq a_i \leq b_i \leq 1, \quad \sum_{i=1}^n x_i = 1 \quad (1)$$

127 where $i=1,2,3\dots n$. The response Y is a function of the variables x_i .

$$128 \quad Y = f(x_1, x_2, \dots, x_n) \quad (2)$$

129 The designed experiments in the Mixture Design Approach satisfy the quadratic
130 model

$$131 \quad Y = \sum_{i=1}^n \beta_i x_i + \sum_{1 \leq i < j}^n \beta_{ij} x_i x_j \quad (3)$$

132 where β_i and β_{ij} are constants, which can be determined by data fitting [49]. n is the
 133 number of the compositions.

134 Typically, the mix design DoE process is listed as follows [42]:

- 135 1. Depending on the bound restriction ranges of the variables, pick a suitable mixture
 136 design DoE;
- 137 2. Determine the name, unit and restrictions of mixture ingredients and the response(s).
- 138 3. Propose an appropriate model to correlate the response and the mixture components.
- 139 4. Conduct the experiments in order as suggested by the run orders in the model.
- 140 5. Input the response values from the experimental results and analyze the responses
 141 and variables.

142 As a powerful statistic method, the testing points were determined in accord with the
 143 extreme vertices design method [50], They include the vertices, centers of the plane, bodies
 144 and edges of the polyhedron. The determination of the vertices with lower and upper limits
 145 were as follows:

146 a) the maximum range of the variables was calculated by

147
$$R = 1 - \sum_{i=1}^n a_i$$

148 b) the upper limit of the quasi component was calculated by

149
$$b_i'' = \min \left\{ \left(b_i' - a_i \right) / R, 1 \right\}$$

150 c) $b_i'' = 1, (0, 0 \dots 1, 0 \dots 0)$ was set as the vertices

151 d) when $b_i'' < 1, b_i'' + b_j'' > 1 (i \neq j)$, $\left(0, \dots, \overset{i}{b_i''}, 0, \dots, \overset{j}{b_j''}, 0, \dots, 0 \right)$ were the vertices.

152 e) $b_i'' + b_j'' \leq 1, b_i'' + b_j'' + b_k'' > 1, (i \neq j \neq k)$, $\left(0, \dots, \overset{i}{b_i''}, 0, \dots, \overset{j}{b_j''}, 0, \dots, 0, 1 - \overset{i}{b_i''} - \overset{j}{b_j''}, 0 \dots 0 \right)$

153 were the vertices as well

154 The center of the polyhedron was calculated based on the vertices. More details can
 155 refer to [49]. Twenty-two experiments were determined as shown in Table 2.

156 Table 2 The designed cementitious material and specific volume fractions

No.	Cement (%) (x_1)	Sand (%) (x_2)	Fly ash (%) (x_3)	Water (%) (x_4)	Silica fume (%) (x_5)
1	0.160	0.210	0.280	0.330	0.020
2	0.120	0.230	0.300	0.330	0.020
3	0.120	0.210	0.300	0.350	0.020
4	0.120	0.240	0.250	0.350	0.040
5	0.120	0.210	0.280	0.350	0.040
6	0.160	0.210	0.260	0.350	0.020
7	0.140	0.226	0.266	0.339	0.029
8	0.160	0.240	0.250	0.330	0.020
9	0.160	0.210	0.250	0.340	0.040
10	0.140	0.210	0.300	0.330	0.020
11	0.120	0.260	0.250	0.350	0.020
12	0.160	0.210	0.260	0.330	0.040
13	0.120	0.260	0.250	0.330	0.040
14	0.160	0.220	0.250	0.330	0.040
15	0.160	0.210	0.250	0.350	0.030
16	0.140	0.260	0.250	0.330	0.020
17	0.120	0.210	0.300	0.330	0.040
18	0.120	0.260	0.270	0.330	0.020

19	0.160	0.220	0.250	0.350	0.020
20	0.150	0.210	0.250	0.350	0.040
21	0.140	0.226	0.266	0.339	0.029
22	0.140	0.226	0.266	0.339	0.029

157

158 Twenty-two cementitious materials were determined according to table which was
 159 designed by the mixture design DoE algorithm. The center point, mix design No.7 in Table
 160 2, was replicated three times to provide information about reproducibility [18]. The
 161 coefficients of model were determined through the least square method [42] and the
 162 prediction models were obtained and they can be used to predict the responses for new
 163 observation [49].

164 **2.3 Mixing and testing procedure**

165 Material rheology is greatly influenced by mixing time, rotation speed of the probe in
 166 the mixture and temperature [51, 52]. Hence, the same mixing process and measurement
 167 were applied for all the batches to keep the rheological properties comparable. The sample
 168 volume used for each experiment was 3L and a Hobart mixer was applied in this
 169 experiment.

170 The mixing procedure can be summarized as follows:

- 171 1. Dry mixing all the powder ingredients at the speed I for 3 minutes;
- 172 2. Remixing water with superplasticizer (6g each batch) and adding them into the
 173 mixture. Mixing procedure last 1 minutes at speed II, followed by 2 minutes, at both
 174 speed III and IV;
- 175 3. Mixing the fresh cementitious material for 1 minutes at the speed of III.

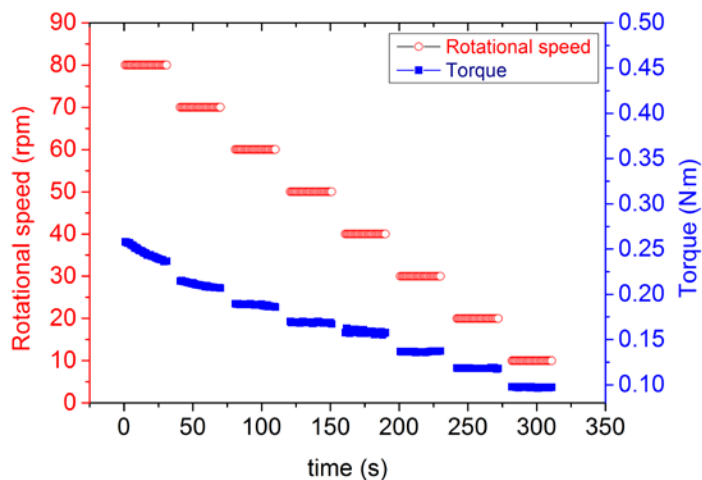
176 The Viskomat XL200, which was also used in authors' previous work [43], was
 177 applied in experiments to measure the properties (static yield stress, dynamic yield stress)
 178 of the material. To avoid the slippage of material at the surface of the outer cylinder,
 179 protruding vanes were fitted at the inner surface of the outer cylinder. For a viscoplastic
 180 material, the material is held in the space of the vanes blades and the inner vanes is assumed
 181 to be a rigid cylinder. Experimental results in references [53-55] support this assumption.
 182 Hence, the assumption was applied in this experiment to derive the rheological properties
 183 of the cementitious material as well. The testing procedure is as follows: the material was
 184 pre-sheared for 3 minutes, during which the rotational speed gradually increasing from 0
 185 rpm to 80 rpm (the pre-sheared stage is essential according to Heirman et. al [56, 57]),
 186 followed by a ramp decrease from 80 rpm to 10 rpm (8 steps, each step 30 s) and the
 187 transition time between two rotational speeds is 10 s. Rotational speed and torque data
 188 points of the viskomat are shown in Fig. 3. It presents the typical sample profile after the
 189 cementitious material have been fully pre-sheared. The average torque and rotational speed
 190 within each rotational speed step can be one data point in the future regression analysis.
 191 The fresh rheological properties (dynamic yield stress) of the cementitious material can be
 192 calculated based on Eq. (4) [58]

$$193 \quad T = \frac{4\pi h \ln\left(\frac{R_o}{R_i}\right)}{\left(\frac{1}{R_i^2} - \frac{1}{R_o^2}\right)} \tau_0 + \frac{2\pi^2 h}{15 \cdot \left(\frac{1}{R_i^2} - \frac{1}{R_o^2}\right)} k \cdot N \quad (4)$$

194 The static yield stress can be computed by

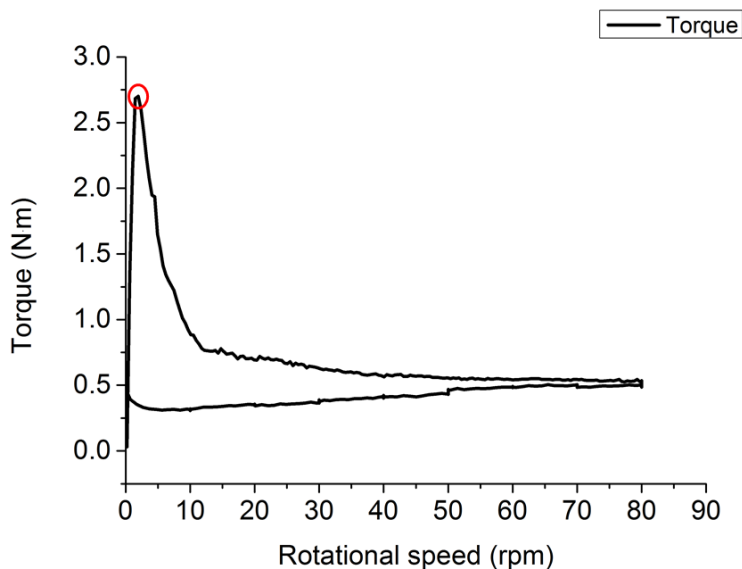
$$195 \quad \tau_s = \frac{T_{\max}}{2\pi R_i^2 h} \quad (5)$$

196 For example, the maximum torque is shown in Fig. 4 for Material No.12 (marked out
 197 by the circle). Where T is torque measured at inner cylinder (N·m), N is rotational speed of
 198 outer cylinder (rpm), h is the height of the fluid in the viscometer, R_i and R_o are the radii of
 199 inner and outer cylinder respectively.



200
 201

Fig. 3 Rotational velocity and torque data points of rheological tests



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Fig. 4 Torque vs rotational speed for Material No.12

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3. Results and discussion

3.1 Measurement of the cementitious material fresh rheological properties

206 The derived rheological properties are listed in Table 3.

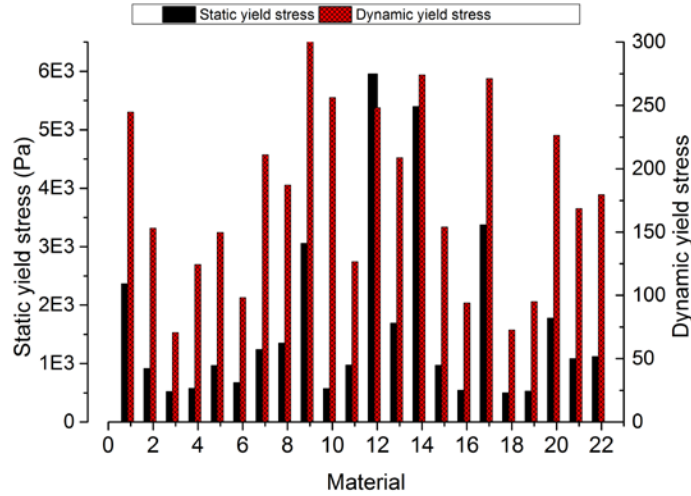
207 Table 3 The fresh rheological properties of the cementitious material

No.	Material	Static yield stress τ_s (Pa)	Dynamic yield stress τ_0 (Pa)
1	M1	2363.13	244.81
2	M2	913.88	153.16
3	M3	517.19	70.53
4	M4	576.91	124.41
5	M5	962.93	149.90
6	M6	672.88	98.26
7	M7	1237.27	211.00
8	M8	1346.46	187.22
9	M9	3057.11	322.23
10	M10	572.11	256.26
11	M11	969.86	126.55
12	M12	5952.11	248.16
13	M13	1687.37	208.80
14	M14	5392.64	274.12
15	M15	965.60	154.09
16	M16	540.65	94.07
17	M17	3373.43	271.33
18	M18	497.46	72.67
19	M19	526.25	95.19
20	M20	1775.26	226.39

21	M21	1082.26	168.61
22	M22	1117.42	179.49

208
209 Generally, higher water content produces lower static yield stress and dynamic yield
210 stress [17], which is also shown in our experimental results (see Fig. 5, M3, M4, M5, M6,
211 M11, M15, M19, M20). Higher silica fume content generates higher static yield stress,
212 dynamic yield stress as water is absorbed by the silica fume [19]. Among the experimental
213 results, material 12 (M12) and 14 (M14) yield notably higher static yield stress as compared
214 with other materials. The higher static yield stress trend can be attributable to their high
215 silica fume content, which is 4% in volume. However, M4, M5, M9, M13, M17 and M 20
216 do not produce the high static yield stress even with 4% in volume silica fume. Similarly,
217 M9, M14 and M17 generate higher dynamic yield stress as compared to other materials.
218 For these materials, the higher dynamic yield stress tendency may due to the high silica
219 fume content as well, in this case, 4% in volume fraction. M4, M5, M12, M13 and M20 do
220 not generate high dynamic yield stress even with high volume silica fume yet. It may
221 contribute to the fact that if water or silica fume is the only variable in the experiments, the
222 higher water content produces lower static yield stress and dynamic yield stress, while
223 higher silica fume generates higher static yield stress and dynamic yield stress as the water
224 is absorbed by the silica fume. However, for the experiments in this paper, the total volume
225 of the material is a constant and the individual composite was replaced by each other, which
226 means the volume fraction of each composite changes dependently. At this condition, there
227 is no clear correlation between static yield stress, dynamic yield stress and individual
228 components. Moreover, cement, sand and fly ash did not exhibit clear suppressive effect
229 on static yield stress and dynamic yield stress. This means that the interaction between the

230 individual components may play an important role on the cementitious material fresh
231 rheological properties.



232 Fig. 5 Rheological properties of various materials

233 3.2 Analysis of variance (ANOVA) of DoE and fresh rheological models

234 The quadratic models were adopted to describe the cause and effect correlations
235 between the independent factors (volume fractions of components) and responses
236 (rheological properties) mathematically and statistically. Some insignificant terms were
237 deleted to improve the soundness of the quadratic models. The ultimate results are listed in
238 Table 4. R1, R2 refer to the static yield stress and dynamic yield stress, while $x_1, x_2, x_3, x_4,$
239 x_5 represent the volume fraction of cement, sand, fly ash, water and silica fume
240 respectively. $x_1x_2, x_1x_3, x_1x_5 \dots x_3x_5$ refer to the interaction between the individual
241 components.

242 In ANOVA, P-value and F-value are crucial for evaluating the significance of the DoE
243 proposed model and individual parameters. The P-value is the probability of achieving the
244 F-value. A small P-value implies that the effects of the parameters are statistically
245 significant, while the high F-value indicates the variation reported by the model is

246 significantly larger than that inherent in the process [37]. Table 4 shows the significance
 247 of the proposed models with a high F value (72.4 for R1) while very low P-value (<0.0001
 248 for R1). This means that there is only a less than 0.01% chance that high F-value of 72.4
 249 due to noise. The large P-values (0.079, 0.3736 for R1, R2 respectively) for the lack of fit
 250 mean that the model error is not greater than replicate error [38]. Furthermore, high Adeq.
 251 precision (>4) indicates that the proposed models incorporating individual components and
 252 DoE proposed models for R1, R2 are accurate and statistically significant [36].

253 The ANOVA analysis for the proposed models is shown in Table 4. Some
 254 insignificant terms (x_1x_2 , x_1x_3 , x_1x_5 , x_3x_5 for R1; x_2x_5 , x_1x_5 , x_3x_5 for R2) were removed from
 255 the model deliberately to improve the significance of the proposed model. According to
 256 Table 4, x_1x_4 , x_2x_3 , x_2x_4 , x_2x_5 , x_3x_4 , x_4x_5 and x_1x_2 , x_1x_3 , x_1x_4 , x_2x_3 , x_2x_4 , x_3x_4 , x_4x_5 , which
 257 represent the interactive effects on responses, are regarded as significant terms for static
 258 yield stress and dynamic yield stress respectively, except for all the individual components.

259 Table 4 ANOVA table of the mixture design study

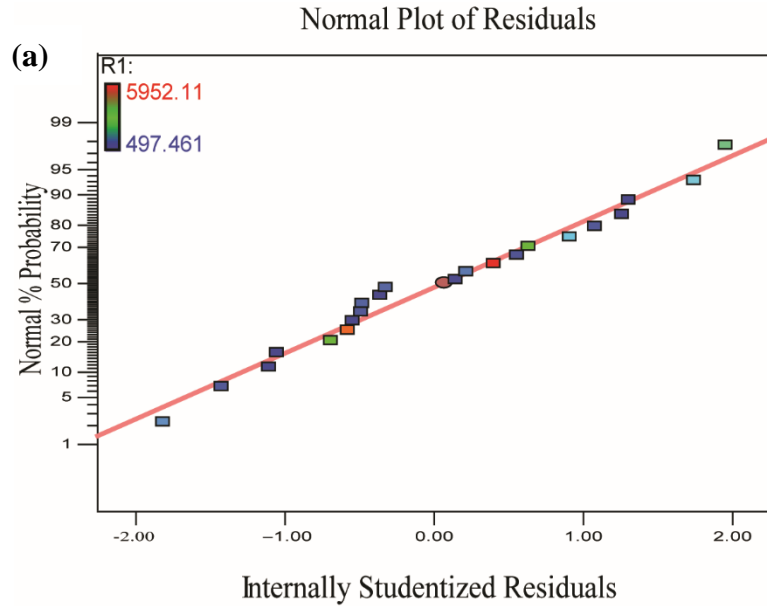
Factors	R1		R2	
	F-value	P-value	F-value	P-value
Model	72.35	<0.0001	10.39	0.0004
Linear mixture	128.81	<0.0001	22.76	<0.0001
x_1x_2			5.97	0.0347
x_1x_3			4.35	0.0636
x_1x_4	40.06	<0.0001	9.29	0.0123
x_2x_3	23.45	0.0005	9.09	0.013
x_2x_4	18.68	0.0012	11.95	0.0062

x_2x_5	17.04	0.0017		
x_3x_4	24.23	0.0005	8.26	0.0166
x_4x_5	77.51	<0.0001	10.48	0.0089
Lack of fit	12.17	0.078	2.02	0.3736
R ²	0.985		0.919	
Adj R ²	0.971		0.8311	
Adeq. precision	30.268		11.437	

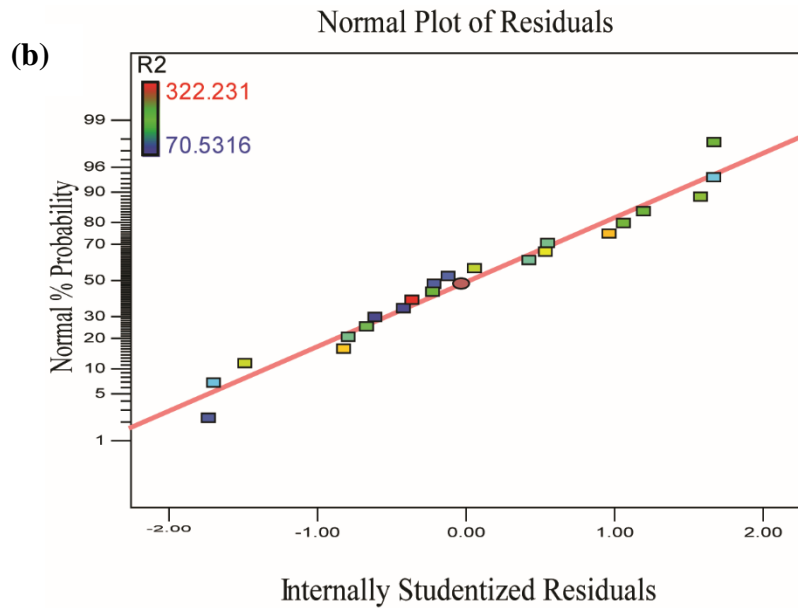
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261 Fig. 6 shows the normal probability of the internally studentized residuals for R1, R2
262 respectively in statistics. Studentized residuals is one kind of residuals which can quantify
263 how large the residuals are in standard deviation units. If a testing point has a studentized
264 residual that is larger than 3 (in absolute value), it can be concluded that the testing point
265 is unacceptable. In this work, the points scatter along a straight line, without much
266 deviation. This indicates that the DoE proposed models are accurate even when changing
267 the formation of the models [59].

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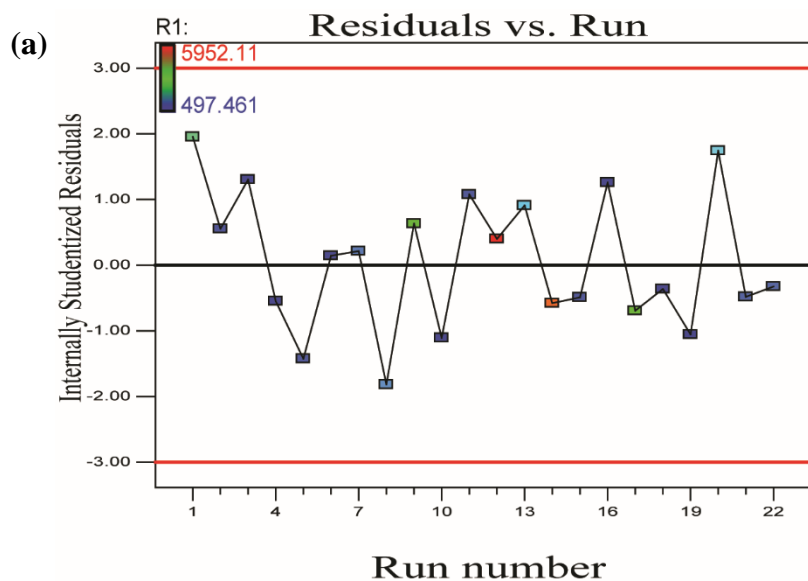


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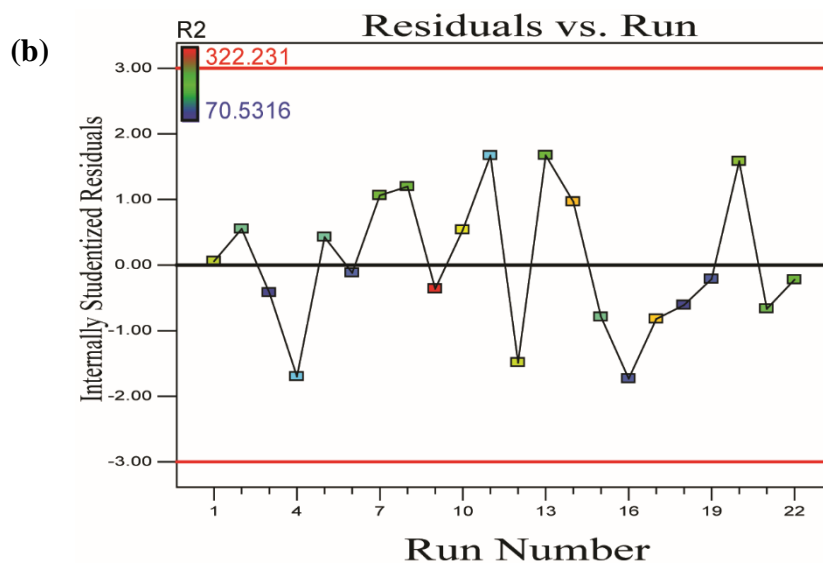
271 Fig. 6 Normal probability plots of the residuals for (a) static yield stress and (b) dynamic
 272 yield stress

273 To exclude the effects of run order on the presented DoE models, the correlation
 274 between the model errors and run order need to be confirmed as well. Fig. 7 shows the
 275 residuals versus run orders. No notable trends or groupings were observed and the residuals

276 scatter randomly around the center line. This indicates that the residuals are independent
277 from one another and this confirms the accuracy of the model [37].



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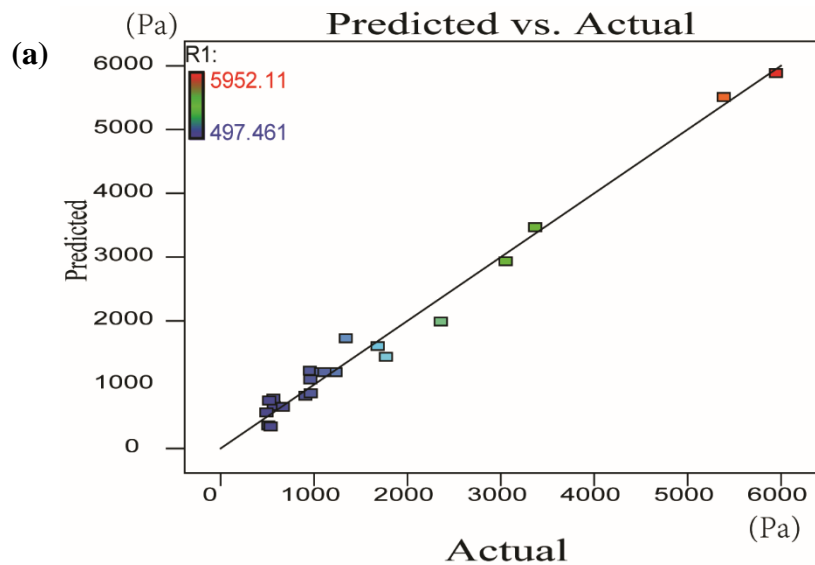


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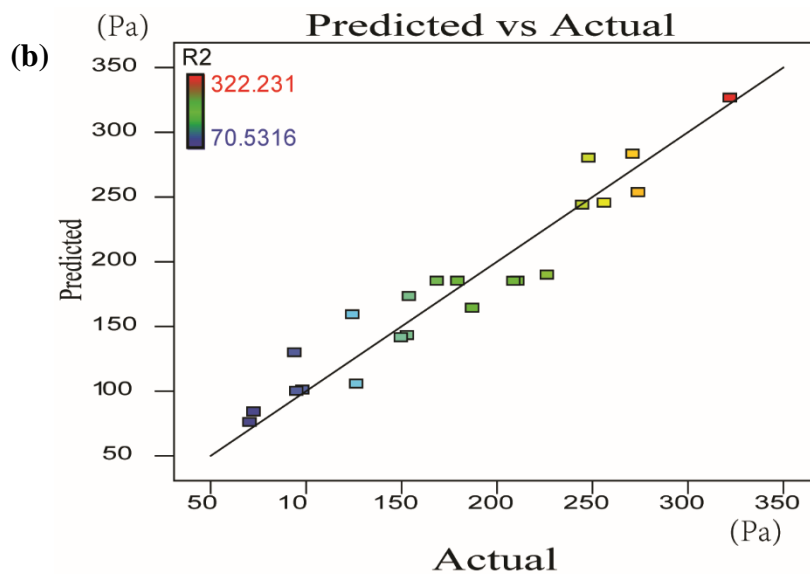
280 Fig. 7 The relationship between residuals and the run order in which the experiments
281 were performed for (a) static yield stress and (b) dynamic yield stress

282 Fig. 8 shows the predicted rheological properties (computed from the DoE model) and
283 actual rheological properties (obtained from experiments). The function of the straight line
284 is $y(\text{predicted value})=x(\text{actual value})$. The function can capture the experimental results

285 well with R^2 are 0.985, 0.919 respectively, which means the relationship between
286 rheological properties and material components were captured successfully by the
287 proposed models.



288



289

290 Fig. 8 Predicted rheological properties versus actual rheological properties ((a) static
291 yield stress, (b) dynamic yield stress)

292 According to ANOVA, the derived rheological models are sound from the statistic
293 perspective. ANOVA confirms the availability of the DoE proposed models, and quantified

294 causes (volume fraction of each composite) and responses correlations (rheological
295 properties) will be discussed in the next section.

296 The ultimate models for static yield stress and dynamic yield stress with respect to the
297 five individuals can be expressed as follows:

$$298 \quad R1 = 10^6(1.78x_1 + 0.19x_2 + 0.54x_3 + 3.59x_4 + 3.83x_5 - 10.28x_1x_4 + 1.42x_2x_3 \\ - 6.68x_2x_4 - 1.43x_2x_5 - 7.62x_3x_4 - 15.3x_4x_5) \quad (6)$$

$$299 \quad R2 = 10^5(-0.32x_1 - 0.64x_2 - 0.28x_3 - 3.23x_4 - 1.62x_5 - 1.16x_1x_2 \\ - 0.99x_1x_3 + 6.72x_1x_4 - 1.43x_2x_3 + 7.65x_2x_4 + 6.37x_3x_4 + 0.91x_4x_5) \quad (7)$$

300 **4. Optimizing cementitious material components based on rheological** 301 **properties**

302
303 Multi-objectives optimization is popular in industry and academic areas [60-62] and
304 will be adopted in 3DCMP area to optimize the rheological properties of the cementitious
305 material as well. Approximate static yield stress and dynamic yield stress are associated
306 with specific cementitious material type and corresponding application. For self-
307 compacting cementitious material, the dynamic yield stress should be low enough to keep
308 material in high flowability. In 3D cementitious material printing area, various factors, such
309 as structuration rate [63], thixotropy [64], stability failure [14, 65, 66] and static yield
310 stress of the cementitious material [43], affect the buildability of the material. According
311 to Perrot et. al [63], while the buildability is proportional to the static yield stress and the
312 geometry factor, the structuration rate of the material affects buildability significantly by
313 increasing the static yield stress when the printing process lasts dozens of minutes.
314 Similarly, the high thixotropy of material can increase the yield stress within short time
315 [64], which then increases the material buildability. When the slenderness ratio is high

316 enough that the stability failure is dominating, the older stage specimens expand little in
317 lateral direction, however, have a more distinct failure plane with the increase of load in
318 vertical direction due to the relatively high elastic modulus [65, 66]. In the authors'
319 previous work [43], the geometry factor of hollow cylinder has been derived and the
320 buildability for low slenderness ratio structures with short print time was proven to be
321 positive correlated to the static yield stress. Hence, the static yield stress can be used as one
322 of the indicators to characterize the buildability of the fresh cementitious material in 3D
323 cementitious material printing process. However, according to Weng et al.[43],
324 pumpability of the cementitious material is crucial for 3DCMP as well and inversely
325 proportional to the dynamic yield stress. To improve the pumpability of the cementitious
326 material, dynamic yield stress should be as low as possible while remaining good
327 buildability.

328 **4.1 Desirability function approach**

329 Desirability is an objective function, which can reflect the desirable range for each
330 response. It ranges from zero outside the limits to one at the goal. The optimization is to
331 maximize the desirability function. It has been adopted in industry and academic areas to
332 optimize the multi-objectives simultaneously [60, 61]. In this approach, each objective y_i
333 is converted into individual desirability function d_i . If y_i is the most desired value, $d_i=1$ and
334 $d_i=0$ when objective is outside the acceptable range. In the case of maximization of the
335 response, desirability could be characterized quantitatively based on higher-the-better
336 criteria,

337

$$d_i = \begin{cases} 0 & y_i < L \\ \left(\frac{y_i - L}{T - L}\right)^r & L \leq y_i \leq T \\ 1 & y_i > T \end{cases} \quad (8)$$

338 If the response is minimization type, the desirability could be calculated quantitatively
 339 based on lower-the-better criteria,

340

$$d_i = \begin{cases} 0 & y_i > L \\ \left(\frac{y_i - L}{T - L}\right)^r & L \leq y_i \leq T \\ 1 & y_i < T \end{cases} \quad (9)$$

341 Where r is individual weight parameter related to the objectives and depending on the
 342 importance of the objective. L and T refer to the lower limit and upper limit of the response
 343 respectively. The lower and higher value assigned to the static yield stress are 497.46 Pa
 344 and 5952 Pa respectively and the lower and higher value assigned to the dynamic yield
 345 stress are 72.67 Pa and 322.23 Pa.

346 After calculating the desirability of each objectives, the overall desirability is obtained
 347 by combining the individual desirability

348

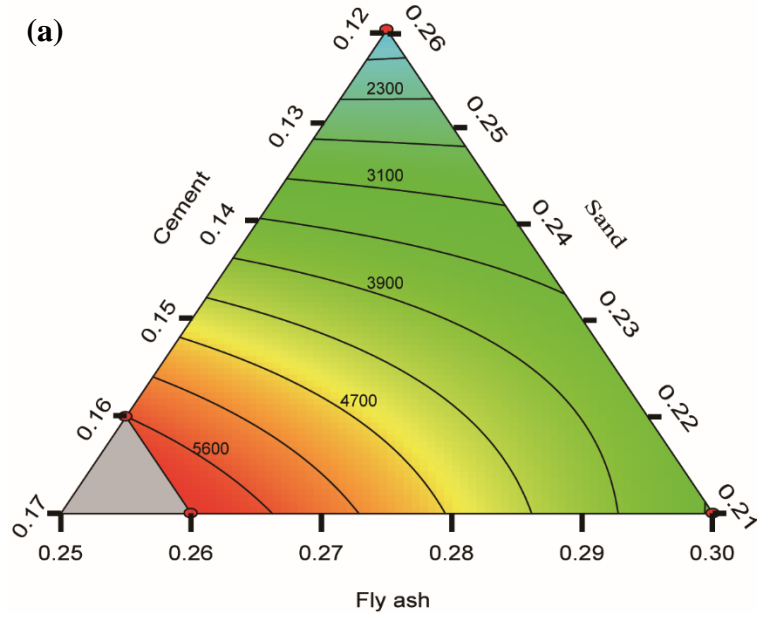
$$D = (d_1 d_2 \dots d_n)^{\frac{1}{n}} \quad (10)$$

349 where n is the number of responses in the mixture.

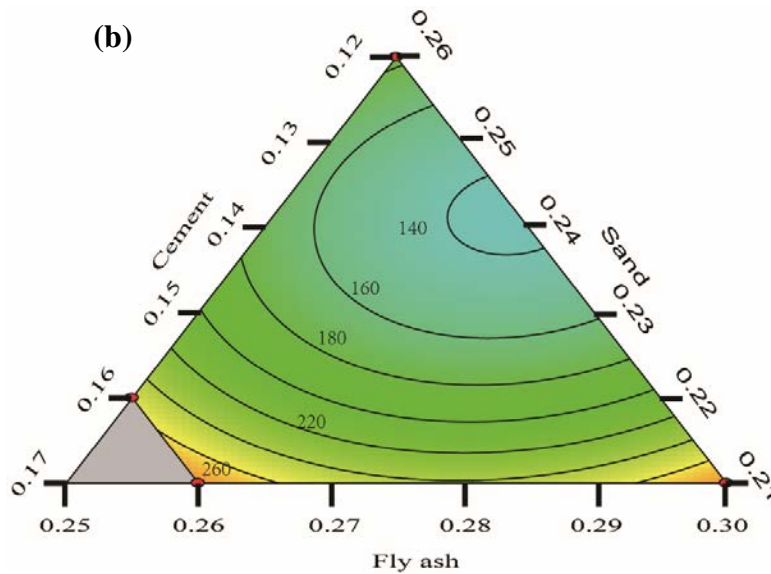
350 **4.2 Effects of components on 3DCMP material rheological properties**

351 The weight parameters are related to the objectives and depending on the importance
 352 of the objectives. The greater weight parameter of the objective gives more emphasize to
 353 the goal. In this work, static yield stress is much more important during the optimization,

354 and three different weights parameters were selected for preliminary test. If the weight
355 parameters of static yield stress and dynamic yield stress were 0.8 and 0.2 respectively, the
356 printed filament was discontinuous due to the low pumpability. However, if the weight
357 parameters of them were 0.6 and 0.4 respectively, the buildability tended to be low. Hence,
358 the weight parameters of the static yield stress and dynamic yield stress were set to be 0.7
359 and 0.3 respectively. In this case, the optimal volume fractions of the five composites
360 (cement, sand, fly ash, water and silica fume) are 0.148, 0.221, 0.261, 0.33 and 0.04
361 respectively. The desirability is 0.83, which is promising according to Sarteshnizi et al.
362 [67]. At this condition, the static yield stress is 4880 Pa and the dynamic yield stress is 201
363 Pa. The higher water content produces lower static yield stress and dynamic yield stress
364 while higher silica fume generates higher static yield stress and dynamic yield stress as the
365 water is absorbed by the silica fume. The purpose of the optimization is to generate the
366 high static yield stress, hence the lowest water level and the highest level silica fume were
367 set. Then the effects of the replacement of sand, silica fume and fly ash on the rheological
368 properties were investigated at this condition. When the water content and silica fume
369 content were fixed at 0.33 and 0.04 respectively, the responses (static yield stress and
370 dynamic yield stress) can be plotted on a ternary diagram (an equilateral triangle) with
371 three axes representing the volume fraction of cement, sand and fly ash respectively, as
372 shown in Fig. 9.



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Fig. 9 The contours for (a) static yield stress and (b) dynamic yield stress

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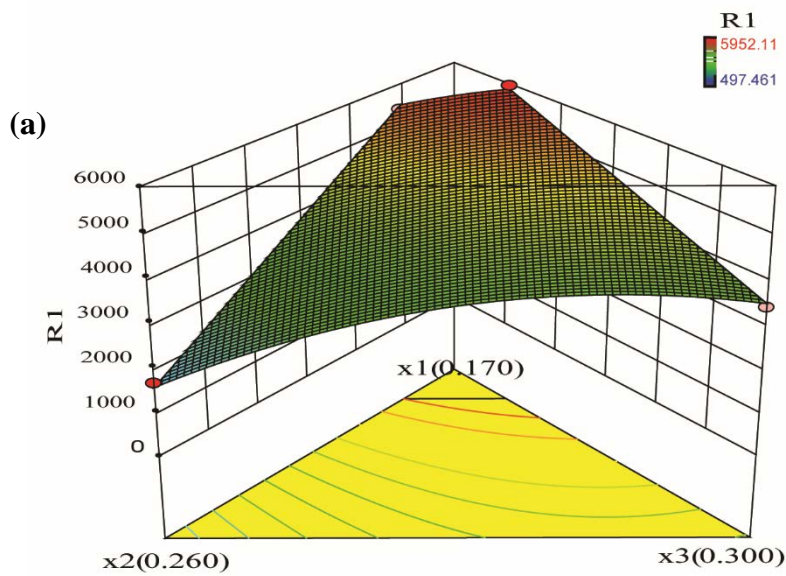
The static yield stress and dynamic yields stress contours of cementitious material with cement, sand and fly ash ternary components at given water and silica fume volume fraction were plotted in Fig. 9 (a) and (b), respectively. It is clear from Fig. 9 (a), at the sand volume content higher than 0.235, that the static yield stress contour lines were approximately parallel to the bottom line. This means that for a given high sand volume content, fly ash can be replaced by the same volume of cement with only a slight influence

382 on static yield stress. In other words, cement and fly ash contribute to the static yield stress
383 similarly when the sand volume fraction is higher than 0.235. The key factors that affects
384 the static yield stress is the friction resistance and interlocking actions. When sand volume
385 fraction is higher, the interlocking and friction resistance are the main factors that
386 contributes to the static yield stress. When the volume fraction of sand is lower than 0.235,
387 material static yield stress increases gradually with the increase of the cement or decrease
388 of fly ash. It seems that cement is more significant than the fly ash in increasing the static
389 yield stress due to the intensive contours at the left bevel. It may due to the fact that with
390 the decrease of the volume fraction of sand, the geometry and rough surface of the cement
391 reduce the particle sliding and increase the friction force, leading to the increase of the
392 static yield stress [68]. From Fig. 9 (a), for example, the static yield stress raises from
393 approximately 4700Pa to 6000Pa with the cement replacement level from 0.15 to 0.16.

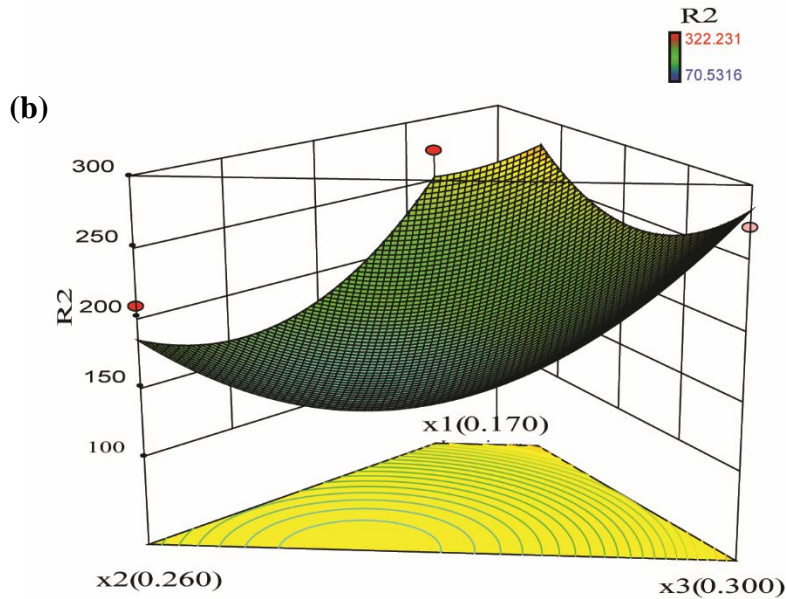
394 From Fig. 9 (b), cementitious material dynamic yield stress decreases with the
395 replacement level of sand less than 0.24 and increases with the rising replacement of fly
396 ash with sand (higher than 0.24). This means that the low volume of sand replacement has
397 a negative effect on dynamic yield stress, while there is an opposite effect when volume of
398 sand replacement is higher than 0.24. This may contribute to the fact that when sand volume
399 fraction is higher than 0.24, with the decrease of the sand volume, the paste volume
400 increases, which can improve the lubrication effect and decrease the dynamic yield stress
401 [68]. The dynamic yield stress increase of the cementitious material may due to the
402 absorption of the unburnt carbon when the sand volume fraction is lower than 0.24.

403 Apart from that, material dynamic yield stress decreases with the replacement of fly
404 ash up to around 0.28, and then increases with the replacement of fly ash rising. Typically,

405 when the replacement of fly ash was 0.26-0.27 and the replacement of sand was around
406 0.24, the lowest dynamic yield stress was obtained from the ternary components contour,
407 where its value is about 140 Pa. In order to aid the visual presentation of the corresponding
408 responses, 3D surface figures were plotted, as shown in Fig. 10. For example, from Fig. 10
409 (a), the static yield stress rises greatly as the components approach the apex 'x₁', which
410 means that the increase of the cement can lead to a rise of the static yield stress.



411



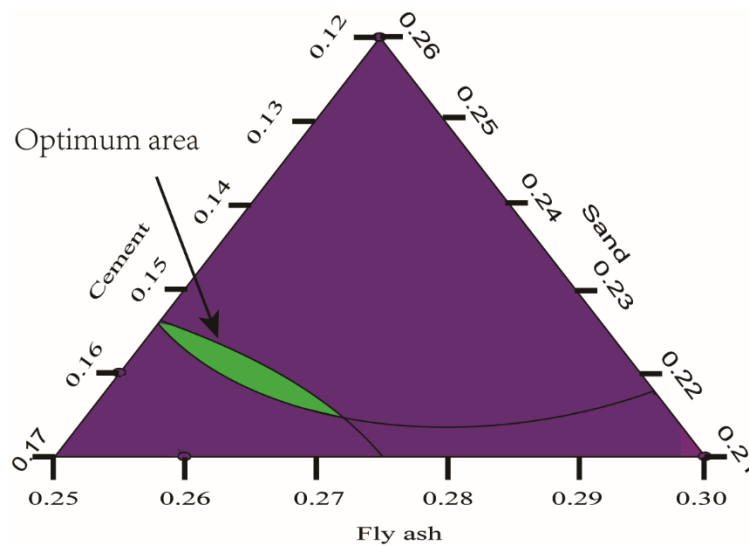
412

413 Fig. 10 Response surface diagram in 3D for (a) static yield stress and (b) dynamic yield
 414 stress with fixed water volume of 0.33 and silica fume volume of 0.04

415 4.3 Optimization of composite formulation

416 From the contours of the static yield stress, when the sand volume fraction is lower
 417 than 0.235, the increase of the replacement of the cement or the decrease of the replacement
 418 of fly ash can increase the static yield stress. Hence, to make the static yield stress higher
 419 than aforementioned optimized static yield stress, the volume fraction of the cement should
 420 be higher than 0.148, while volume fraction of the fly ash should be lower than 0.261. From
 421 contours of the dynamic yield stress (Fig. 9 (b)), it decreases with the rising of the
 422 replacement of fly ash until the replacement reaches 0.28, and decreases with replacement
 423 of cement. Hence, to reduce the dynamic yield stress, the range of volume fraction of fly
 424 ash should be around 0.28, while the replacement of the cement should be as low as
 425 possible. There is a dilemma when increasing the static yield stress and lowering the
 426 dynamic yield stress. Hence a compromise needs to be made when trying to capture the
 427 low dynamic yield stress and high static yield stress simultaneously.

428 To ensure the good buildability of the material used in 3DCMP, the static yield stress
 429 is set to be higher than 4880Pa. While keeping sufficient pumpability, the dynamic yield
 430 stress is set to be lower than 220Pa. According to the analysis of contours, the critical lines
 431 of each response can be plotted to meet the requirement, as shown in Fig. 11. The overlap
 432 area (Green part) in Fig. 11 is regarded as the optimal components of the cementitious
 433 material used in 3DCMP, i.e cement and sand in this study are 0.150-0.155 and 0.215-
 434 0.230, respectively.



435

436 Fig. 11 Optimization mixture for the material used in 3DCMP

437 5. 3D printing of a large-scale model

438 According to the discussion above, static yield stress and dynamic yield stress are
 439 necessary for 3D cementitious material printing application. The production of a full-size
 440 printing is essential to demonstrate the optimized mix design is suitable in practical
 441 application.

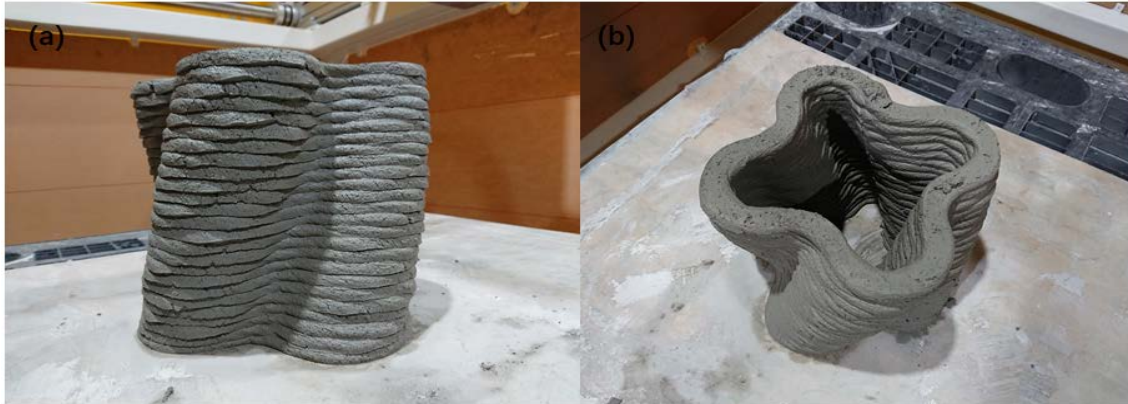
442 Fig. 12 and 13 show the components that were printed using a 4-axis gantry concrete
 443 printer. They were deliberately designed to show the reliability of using optimized
 444 cementitious material for 3DCMP application. The failure printing is shown in Fig. 12. The

445 material selected from zone outside of the optimum area are cement, sand, fly ash, water
446 and silica fume (0.12, 0.25, 0.26, 0.33, 0.04 in volume fraction). Printing failure happened
447 at the 9th layer for this kind of material which indicates that material static yield stress is
448 insufficient to keep the printed layers standing firmly and it is not suitable for 3D
449 cementitious material printing. The successful printing is shown in Fig. 13. It consisted of
450 25 layers with 15 mm thickness for each layer. The length of each printing path is
451 approximately 565.2 mm and nozzle travel speed is 100 mm/s, hence the layer interval time
452 is approximately 5 s. The optimized material, which was randomly selected from the
453 optimum area, used for the large-scale printing material are cement, sand, fly ash, water
454 and silica fume (0.15, 0.22, 0.26, 0.33, 0.04 in volume fraction).



455 Fig. 12 Failure printing with material selected out of the optimum area (only 9 layers)

456



457
458 Fig. 13 Successful printing with material selected from optimum area for 25 layers of
459 spiral structure (a) side view, (b) top view

460 The results show that the Mixture Design Approach is an effective method to optimize
461 the combination of the cementitious material based on fresh rheological properties. In
462 addition, some other cementitious material rheological properties crucial for 3DCMP, such
463 as setting time, open time and plastic viscosity, thixotropy and construction rate can be
464 considered when optimizing the compositions of the cementitious material.

465 **6. Conclusions**

466 In this study, the Mixture Design Approach was proposed to optimize the mixture
467 design of the material used in 3DCMP based on fresh rheological properties. The effects
468 of the ternary system (sand, cement and fly ash) on static yield stress and dynamic yield
469 stress have been evaluated. Based on the specific requirement of 3DCMP, optimization
470 was conducted to determine the acceptable components of the cementitious material. The
471 main conclusions can be summarized as follows:

- 472 1. When the volume content of sand higher than 0.235, fly ash can be replaced by the
473 same volume of cement with a slight influence on static yield stress. Material static
474 yield stress increases gradually with an increase of the cement or decrease of fly ash,
475 when the volume fraction of sand is lower than 0.235. However, cement is more

476 significant than fly ash in increasing the static yield stress due to the intensive contours
477 at the left bevel as shown in Fig. 9 (a).

478 2. Low volume of sand replacement has a negative effect on dynamic yield stress while
479 there is an opposite effect at high volume of sand replacement (high than 0.24). Apart
480 from that, material dynamic yield stress decreases with the replacement of fly ash up
481 to around 0.28, and then increases with the increase of cement content.

482 3. When the replacement of cement is 0.26-0.27 and the replacement of sand is around
483 0.24, the lowest dynamic yield stress is obtained from the ternary components contour,
484 where its value is about 140 Pa.

485 4. The optimized content of cement and sand in this study are 0.150-0.155 and 0.215-
486 0.230 respectively to make the static yield stress higher than 4880Pa, with the dynamic
487 yield stress kept lower than 220Pa in 3DCMP area.

488 5. The optimized material adopted for the large-scale printing material were cement,
489 sand, fly ash, water and silica fume (0.15, 0.22, 0.26, 0.33, 0.04 in volume fraction)
490 and large-scale printing showed the reliability of using optimized cementitious
491 material for 3DCMP application.

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