

1 **Designing Spray-based 3D Printable Cementitious Materials with Fly Ash**

2 **Cenosphere and Air Entraining Agent**

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9 **Abstract:**

10
11 3D printing is a novel construction method, which utilizes sequential deposition of printable material to
12 build structures. It contributes to the automation in civil engineering and offers advantages of design,
13 greenness and efficiency. Similarities between conventional spray technology and 3D printing indicate
14 the feasibility of spray-based 3D printing, which could enhance the automation in vertical and overhead
15 construction. However, low dimensional accuracy of sprayed profiles with conventional materials greatly
16 affects the quality of spray-based 3D printing. This study contributes to the development of cementitious
17 material to improve the dimensional accuracy of spray-based 3D printing. In this study, fly ash
18 cenosphere and air entraining agent were introduced to obtain the optimal mixture design considering
19 the delivery and deposition requirements. Subsequent spray tests show that the optimal mixture has the
20 smallest splash width and most uniform material distribution among all the designed mixtures. Analysis
21 of deposition process reveals that the distribution of sprayed material is closely related with its
22 rheological properties, which could guide the future research work on spray-based printing of
23 cementitious materials.

25 **Key words:**

26
27 Spray-based 3D printing; Cementitious material; Rheology; Fly ash cenosphere; Air entraining agent

29 **1. Introduction**

30

31 3D printing, also known as Additive Manufacturing (AM), is a method to build designed structures with
32 sequential deposition of printable materials [1]. The 3D printing technology has quickly expanded to
33 many industries, including building and construction in recent years [2-4]. Compared with conventional
34 construction methods, 3D printing contributes to the automation in civil engineering and offers more
35 design freedom, less waste material and less labor costs [5].

36

37 Spray of cementitious materials is a conventional construction technology in civil engineering. With the
38 injection of high-pressure compressed air, the cementitious material is sprayed on substrates and
39 gradually builds up [6]. Compared with the casting of material, spray offers an easier approach in vertical
40 and overhead construction. The sprayed material could build up to certain thickness on vertical and
41 overhead substrates, and it has good adherence to these substrates [7]. These advantages lead to many
42 engineering applications of spray, e.g. tunnel lining, infrastructure repairment and slope retainment [7-
43 9].

44

45 The spray technology shares many similarities with 3D printing of cementitious materials. Spray of
46 cementitious materials could be divided into delivery and deposition phases. In the delivery phase, the
47 material needs to be pumped to the spray nozzle and entangle with injected compressed air to spray. In
48 the deposition phase, the newly sprayed material additively builds on the previously sprayed material to
49 reach the designated build-up thickness. Similarly, 3D printing process also consists of delivery and
50 deposition phases. In the delivery phase, the cementitious material is pumped to printing nozzle through
51 the hose. In the deposition phase, the cementitious material is deposited layer-by-layer with the controlled
52 movement of printing nozzle [10-13]. These similarities indicate the feasibility of spray-based 3D
53 printing, which could further improve the degree of automation in vertical and overhead construction.

54

55 However, low dimensional accuracy of sprayed profiles with conventional materials greatly affects the
56 quality of spray-based 3D printing. The sprayed cementitious materials have non-uniform distribution,
57 and build-up thickness varies greatly at different spots of sprayed regions [8]. As a result, the cross
58 sections of the sprayed structure are irregular. Necessary post-processing such as manual scraping is

59 required for rectification [8], which greatly increases labor costs and construction time. The low
60 dimensional accuracy issue further limits utilization of spray in the construction of vertical decorative
61 structure, where high precision is crucial.

62

63 In spray-based 3D printing, the accuracy issue of spray might be solved by system control and material
64 development. The system control could be achieved by feedback-oriented adaptive control algorithm
65 [14]. After the material is sprayed, the information of material distribution is detected by sensors to
66 construct the real-time profile. It can then be compared with the designed profile to calculate the location
67 and amount of additional material required. Afterwards, the information is further utilized to adjust
68 printing parameters such as robotic arm movement. In addition to the system control, developing suitable
69 cementitious material for spray-based 3D printing is also an option, which motivates the research of this
70 study. Compared with the system control solution which requires sophisticated sensors and feedback
71 control system, material-based approach may provide an alternative and cost-effective solution. With
72 improved accuracy, it is possible to utilize spray to build decorative structure without post-processing,
73 as can be seen in Fig. 1.

74



75

76 Fig. 1 NTU logo manufactured by overhead spray-based 3D printing

77

78 The paper discusses the material solution for spray-based 3D printing. As sprayed material needs to resist
79 gravity-induced shear to build up, reducing fresh density should be the direct way to improve the
80 distribution of sprayed material. The reduction of material density could be achieved by the addition of
81 air entraining agent (AEA), incorporation of lightweight aggregate or elimination of fine aggregate in

82 the mixture [6]. Considering the size limitation of aggregates in 3D printing [15], the elimination of fine
83 aggregate to form no-fine concrete is not applicable. In this study, AEA and fly ash cenosphere (FAC)
84 were introduced for density reduction. FAC is a hollow spherical lightweight aggregate, which could be
85 sourced from fire power plants [16, 17]. FAC could effectively decrease the material density and was
86 widely used as lightweight filler in the previous studies [16, 18, 19].

87

88 The developed material also needs to meet the rheological requirements of delivery and deposition phases.
89 Previous studies of 3D printing and spray have illustrated rheological requirement of delivery phase [20,
90 21]. However, there is limited study for deposition phase of spray. While there are some reports on the
91 influence of rheology on maximum build-up thickness, very limited study is carried out to investigate
92 the effect of rheological properties on material distribution. On the other hand, previous studies of
93 rheological effect of AEA have conflicting results, and there is limited study of rheological effects of
94 FAC. Therefore, rheological assessment is necessary for the mixtures with AEA and FAC incorporation.

95

96 This study develops a suitable cementitious mixture for spray-based 3D printing with uniform material
97 distribution. Firstly, the mixtures with different AEA and FAC incorporation were designed. Fresh
98 density and rheological properties were assessed, followed by a selection of optimal mixture with the
99 consideration of delivery and deposition requirements. Afterwards, spray tests were carried out to study
100 the material distribution. Based on the material performance in delivery and deposition phase, a suitable
101 mixture for spray-based 3D printing was proposed. The mechanism of uniform distribution was discussed
102 through the analysis of material deposition process.

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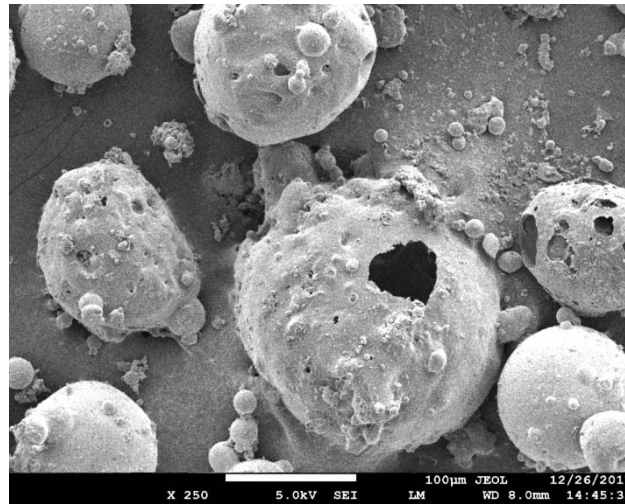
104 **2. Experiment Procedure**

105

106 **2.1 Material Preparation**

107 Fig. 2 shows the scanning electron microscope (SEM) image of FAC. The true density of FAC is 0.80
108 g/cm³. In the experiment design, silica sand was partially or fully substituted by FAC. As Table 1 shows,
109 the substitution percentages were classified into three levels, i.e. 0, 50% and 100%. The AEA used in the
110 research study is EMAL 10N (Sodium lauryl sulphate), which is in powder form and fully soluble to
111 water. Similarly, the dosage of AEA was classified into three levels, i.e. 0, 0.1 g/L and 0.2 g/L. The

112 naming code is adopted in the following format: M-<FAC substitution percentage>-<dosage of AEA>,
113 e.g. M-50%-0.1 refers to the mixture with 50% FAC substitution and 0.1 g/L AEA. Mix proportion of
114 other ingredients was kept the same among all the mixtures. Particle size distribution of FAC, silica sand,
115 cement, fly ash and silica fume can be found in Fig. 3. The superplasticizer used in this study was ADVA-
116 181N from Grace Pte. Ltd.
117



118
119 Fig. 2 SEM image of fly ash cenosphere (FAC)
120

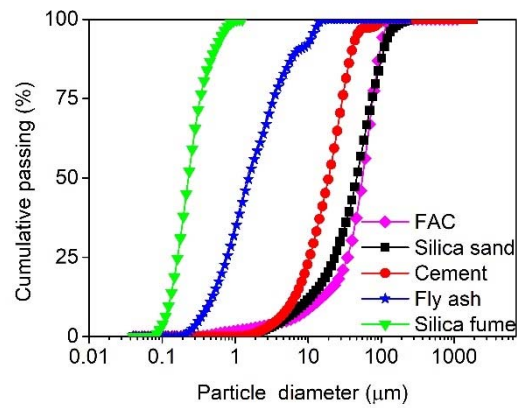


Table 1 Mass proportion of mixtures

Mix	FA / C	SF / C	W / B	Agg. / B	Sp. / B	FAC / Agg.	AEA (g/L)
M-0-0						0%	0
M-50%-0						50%	0
M-100%-0						100%	0
M-0-0.1						0%	0.1
M-50%-0.1	0.50	0.05	0.40	0.29	0.35%	50%	0.1
M-100%-0.1						100%	0.1
M-0-0.2						0%	0.2
M-50%-0.2						50%	0.2
M-100%-0.2						100%	0.2

128 * Abbreviation: Agg.: aggregate (including silica sand and fly ash cenosphere); B: binder (including
 129 cement, fly ash, silica fume); FA: fly ash; C: cement; SF: silica fume; W: water; Sp.: superplasticizer;
 130 FAC: fly ash cenosphere; AEA: air entraining agent.

131

132 The material preparation process is illustrated as follows. Firstly, AEA is dissolved in the weighed water.
 133 All dry powder ingredients are mixed at low speed for 3 min. Then water (with AEA) is added and mixed
 134 at low speed for another 3 min. Superplasticizer is added afterwards, followed by the low-speed mixing
 135 for 1.5 min and high-speed mixing for 3 min. After completion of the aforementioned mixing process,
 136 the fresh material is ready for subsequent tests.

137

138 2.2 Material Characterization

139 2.2.1 Fresh density

140 As the material needs to resist the gravity-induced shear when sprayed on vertical walls or ceilings, it is
 141 critical to assess the fresh density. After material preparation, the fresh mixtures were filled into cubic
 142 moulds and weighed instantly. The fresh density values were calculated based on the measured weights
 143 and the volume of cubic moulds. For each mixture, three samples were assessed to obtain the average
 144 value and standard deviation of fresh density.

145

146 **2.2.2 Workability**

147 Flow table test is a frequently used method to intuitively assess the workability of extrusion-based 3D
148 printable cementitious materials, which can be characterised by slump and flow diameter of the material
149 [22, 23]. The flow table test was carried following ASTM C 1437 [24]. The fresh mixture was filled in
150 the mini-slump cone, and the cone was quickly lifted to measure the slump of the mixture. Then the
151 mixture was struck for 25 times to measure the flow diameter. Slump and flow diameter were measured
152 every 15 minutes in an hour to track the time dependency of workability. Each test was repeated three
153 times, based on which the average values and standard deviations of slump and flow diameter were
154 calculated.

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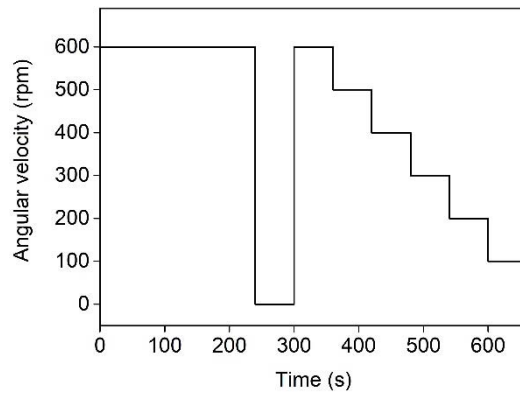
156 **2.2.3 Rheological properties**

157 The rheological properties of the fresh mixtures were measured using the Anton Paar Modular Compact
158 Rheometer 102 (MCR 102). The protocols were designed to examine the plastic viscosity, dynamic yield
159 stress and static yield stress of the fresh mixtures. A four-blade stirrer probe with a diameter of 30 mm
160 and a height of 40 mm was used. The construction cell has a diameter of 70 mm. Each test was carried
161 three times to obtain the average rheological parameters and corresponding standard deviations.

162

163 After material preparation, the fresh material was poured into the construction cell. Before each test, the
164 material is hand tamped for 1 minute using a small whisk. Then the vane was quickly put in position
165 and lowered to the designated position. The shearing protocols used in this study are presented in Fig. 4
166 and Fig. 5. After pre-shearing at 600 rpm for 240 s and resting for 60 s, either stepping down from 600,
167 500, 400, 300, 200 to 100 rpm for 60 s each or maintaining constant angular velocity at 0.1 rpm for 600
168 s was applied. The step-down protocol was used to measure the plastic viscosity and dynamic yield stress
169 [25, 26] and the constant shear rate at 0.1 rpm was to measure the static yield stress [27]. The torque was
170 recorded at 4 data points per second.

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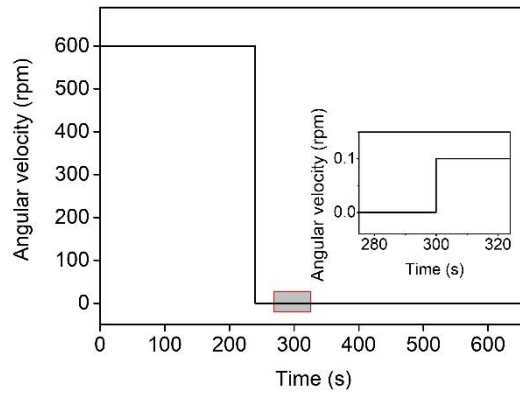


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Fig. 4 Step-down shearing protocol



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Fig. 5 Shear protocol to measure static yield stress

178 Using the step-down protocol, the equilibrium flow curve was thus obtained as the equilibrium torque
 179 vs. angular velocity. The equilibrium torque and angular velocity are commonly transferred to shear
 180 stress and shear rate respectively. In this study, the Reiner-Riwlin equation assuming Bingham behaviour
 181 for flow was adopted to obtain the equilibrium shear stresses and shear rates [28]. In the experiments, it
 182 was revealed that the equilibrium flow curves were quite linear and could fit well with Bingham model,
 183 as expressed by Eq. (1).

$$184 \quad \tau = \tau_0 + \mu \dot{\gamma} \quad (1)$$

185 where τ and $\dot{\gamma}$ are shear stress and shear rate respectively; τ_0 is the dynamic yield stress; μ is the plastic
 186 viscosity.

187

188 Under constant shear rate, the torque/shear stress increases to a peak value, then decay to an equilibrium
189 value [29]. The peak value is assumed to be the static yield stress [27]. It has been shown in many 3D
190 printing literatures that dynamic yield stress and plastic viscosity are related to pumpability; while static
191 yield stress is related to buildability [30].

192

193 **2.3.4 Spray performance**

194 Spray performance of cementitious materials could be greatly affected by process-related parameters,
195 e.g. the distance between the nozzle and substrate [31]. As this research study focuses on the development
196 of materials, it is necessary to keep constant process-related parameters to exclude their influence on
197 delivery and spray performance. In the spray tests, the air injection pressure was kept at 0.5 bar. The
198 MAI pictor pump was used with the constant pumping rate of 900 rpm (flow rate $Q = 3.78$ L/min). The
199 spray nozzle was connected to the pump by a hose of 2.5 m in length and 25.4 mm in diameter. After
200 material preparation, the fresh material was filled in the MAI pictor pump instantly. The material was
201 delivered through hose to the spray nozzle, and finally sprayed onto the substrates with the injected
202 compressed air. A six-axis robotic arm was introduced in the spray test to control the movement of the
203 nozzle. Setup of spray tests is displayed in Fig. 6.

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Fig. 6 Setup of spray tests: (a) equipment setup; (b) details of spray nozzle

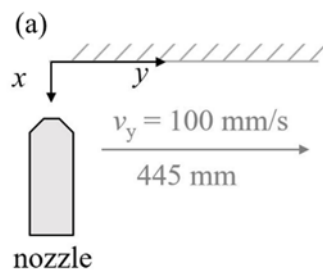
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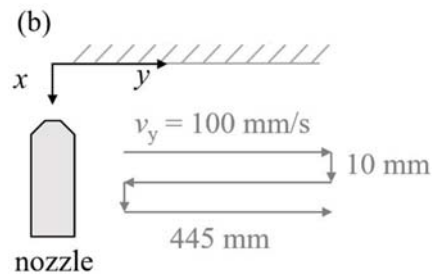
210 Fig. 7 illustrates the relative position of the spray nozzle and substrate. The spray nozzle was placed
 211 horizontally and perpendicular to the vertical substrate (yz -plane). Two types of spray tests were carried,
 212 i.e. single-layer spray and multiple-layer spray. The initial distance between the nozzle and the substrate
 213 was 50 mm. The mounted nozzle travelled along the y -axis for 445 mm at a speed of 100 mm/s to
 214 complete a single-layer filament. In the multiple-layer spray, the robotic arm quickly shifted backwards
 215 for 10 mm after completion of each layer, then moved in the opposite direction at the same speed to
 216 complete another layer. In this study, the number of layers in the multiple-layer spray is kept at three.
 217 After completion of designated layers, the sprayed filaments were covered with plastic sheets for 1 day.
 218 The filaments were scraped off from the substrate afterwards and cut to expose the cross-sections. Then
 219 the specimens were kept curing in the lab environment (temperature: 22.5 °C, relative humidity: 58%).
 220 Due to the acceleration and deceleration of the robotic arm near the endpoints of the filaments, the cross
 221 sections were cut at $y = 100$ mm, 150 mm and 200 mm respectively. The morphology and build-up
 222 thickness distribution were analyzed based on the three cross sections to assess the spray performance of
 223 the material.

224



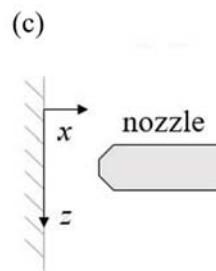
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230 Fig. 7 Relative positions of spray nozzle and substrate: (a) top view of single-layer spray; (b) top view
231 of multiple-layer spray; (c) side view of single-layer and multiple-layer spray

232

233 3. Assessment of Fresh Properties of Materials

234

235 3.1 Fresh Density

236 Fig. 8 shows the fresh density of designed mixtures. It could be found that the fresh density decreases
237 with the increase in FAC substitution and dosage of AEA. The decreasing amounts are smaller when
238 FAC substitution increases from 50% to 100%, or when the dosage of AEA rises from 0.1 g/L to 0.2 g/L.
239 The significantly reduced fresh density illustrates the effectiveness of introducing FAC and AEA. In this
240 study, with the combined incorporation of FAC and AEA, the fresh density could be reduced up to 38.5%.

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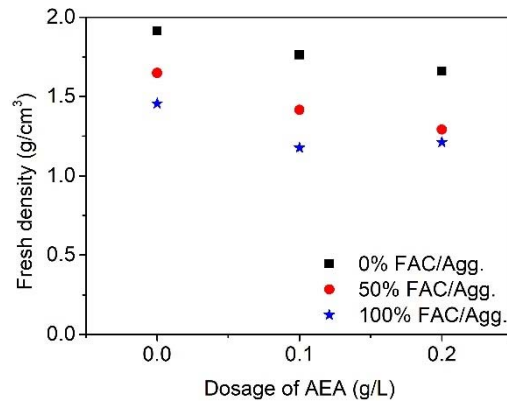


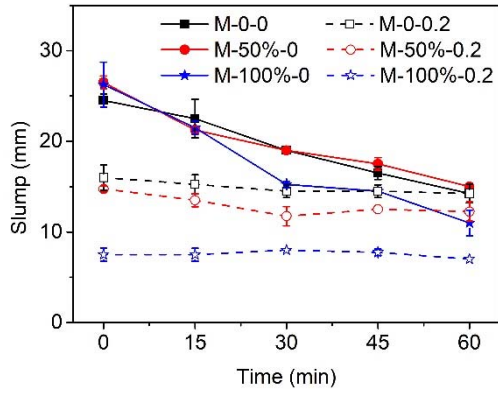
Fig. 8 Fresh density of designed mixtures
(the error bars are too small to be displayed)

3.2 Workability

The results of slump can be referred to in Fig. 9 and Fig. 10, while the results of flow diameter could be referred to in Fig. 11 and Fig. 12. It is revealed that the introduction of FAC and AEA leads to low slump values and spread diameter of fresh cementitious materials. This suggests the material could have better ability to retain the deposited shape [32] and hence possibly contributes to more uniform distribution of sprayed profile. However, the reduced slump values and spread diameter could also lead to poor pumpability of delivery [6]. The conflict in delivery and deposition performances requires further optimization and selection of suitable mixtures.

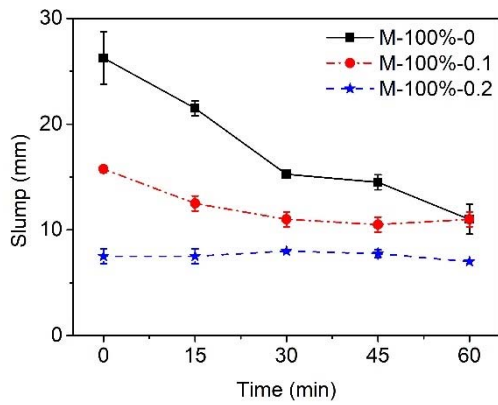
Furthermore, AEA tends to result in gentler decreasing or even stabilizing slump value/spread diameter with time. At the dosage of 0.2 g/L, the slump value/spread diameter almost remains constant within one hour. In contrast, large slump reduction could be observed in the mixtures without AEA. These mixtures show more than 40% and 16% reduction of initial slump and spread diameter after one hour, indicating the workability has high time dependence. The high time dependence of workability in the mixtures without AEA could affect the accuracy of printed profile, e.g. non-consistent dimensions of printed filament. Necessary real-time feedback-oriented adaptive adjustments are required, e.g. variable pumping rates to maintain the constant flow rate of the material for accuracy consideration and discontinuity prevention. However, using feedback-oriented adaptive spray printing system is not economical and even not applicable in some engineering applications. The mixture without AEA has

265 high time dependence of workability and hard to control, therefore no spray work was carried out for
 266 these mixtures.
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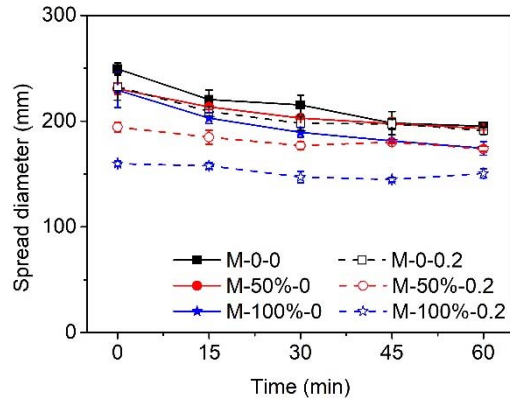
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Fig. 9 Slump of mixtures with different FAC substitution percentage
 (Dosage of AEA: 0 g/L; 0.2 g/L)



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Fig. 10 Slump of mixtures with different dosage of AEA
 (FAC substitution percentage: 100%)



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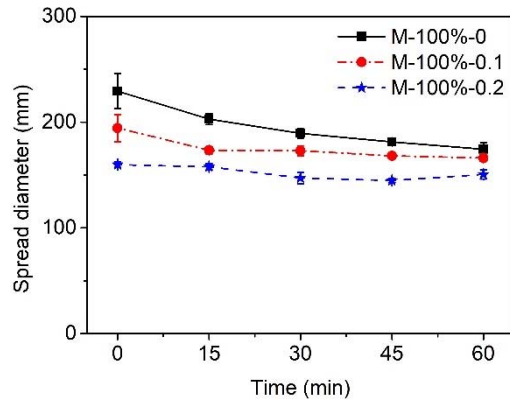
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Fig. 11 Spread diameter of mixtures with different FAC substitution percentage

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(Dosage of AEA: 0 g/L; 0.2 g/L)

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280

281

Fig. 12 Spread diameter of mixtures with different dosage of AEA

282

(FAC substitution percentage: 100%)

283

284 3.3 Rheological Properties

285

286 Fig. 13 and Fig. 14 describe dynamic yield stress and plastic viscosity with respect to FAC substitution

287 level and dosage of AEA. It is revealed that with the existence of AEA, the mixtures with 100% FAC

288 substitution percentage has the lowest dynamic yield stress and plastic viscosity respectively. However,

289 the effect of AEA on dynamic yield stress or plastic viscosity is inconclusive. For mixtures with 0% or

290 100% FAC substitution, dynamic yield stress decreases and then increases as the dosage of AEA

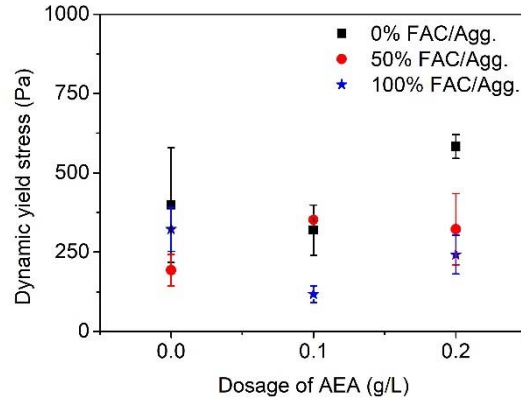
291 increases from 0 to 0.2 g/L. In contrast, mixtures with 50% FAC substitution show the opposite trend.

292 The plastic viscosity values of mixtures with 50% FAC substitution remain nearly constant, while

293 mixtures with 0% or 100% FAC substitution show non-consistent trends with increasing dosage of AEA.

294 Among all the mixtures, M-100%-0.1 has the lowest dynamic yield stress and plastic viscosity.

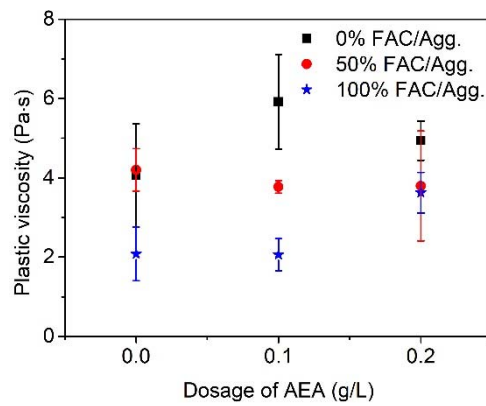
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296

297 Fig. 13 Dynamic yield stress of designed cementitious materials

298



299

300 Fig. 14 Plastic viscosity of designed cementitious materials

301

302 Fig. 15 shows static yield stress with respect to FAC substitution level and dosage of AEA. It suggests

303 that increasing substitution percentage of silica sand by FAC greatly decreases static yield stress. With

304 FAC substitution percentage increasing from 0%, 50% to 100%, the increase of AEA generally leads to

305 lower static yield stress. The decreasing trend is most pronounced with 100% FAC substitution.

306

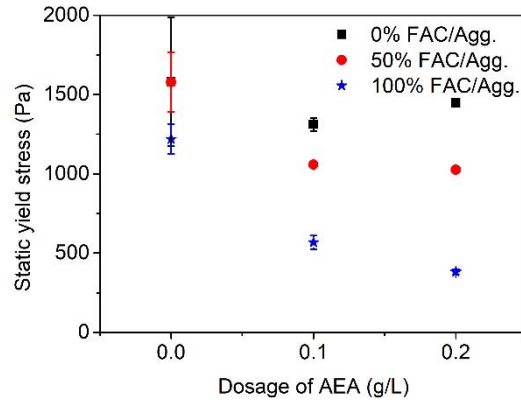


Fig. 15 Static yield stress of designed cementitious materials

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310 3.4 Discussions

311 3.4.1 Analysis of fresh properties of materials

312 The information of dynamic yield stress and plastic viscosity could be further utilized to predict the
 313 pumping pressure in the delivery phase of spray-based 3D printing. Small pumping pressure indicates
 314 that the material is easier to be delivered. In contrast, large predicted pumping pressure indicates the
 315 requirement of more powerful pumping equipment and the printed profile may have discontinuities. With
 316 the constant flow of material in the hose, there exists pressure drop due to the internal friction and the
 317 friction between the material and the wall of hose. The relationship between pumping pressure P (Pa),
 318 radius of the hose R (m), length of the hose L (m) and volumetric flow rate Q (m³/s) could be described
 319 as follows [33]:

$$320 \quad P = \left(\frac{8\tau_0}{3R} + \frac{8\mu}{\pi R^4} Q \right) L \quad (2)$$

321 When pumping the material at constant flow rate Q , either increasing dynamic yield stress τ_0 or increasing
 322 plastic viscosity μ leads to higher pumping pressure, which is not desirable from the viewpoint of printing
 323 operation.

324

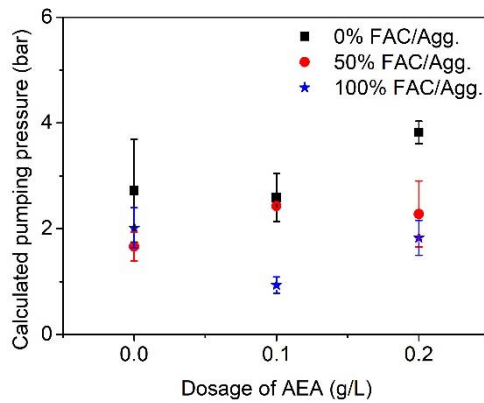
325 Based on Eq. (2), the pumping pressure for different mixtures was calculated to reflect their respective
 326 pumpability. The calculation has been carried out with the following parameters: $R = 0.0127$ m (0.5 inch);
 327 $L = 2.5$ m; $Q = 3.78$ L/min. All these parameters have been adopted in the subsequent spray test. The
 328 calculated pumping pressure is shown in Fig. 16. By comparing the trends when the dosage of AEA
 329 increases in Fig. 13, Fig. 14 and Fig. 16, it could be seen that the calculated pumping pressure is largely

330 hinged on the dynamic yield stress of the material. Alternatively, the same observation could be made
 331 using the following equation generated by Eq. (2), where all the coefficients have been calculated:

$$332 \quad P = 0.00525\tau_0 + 0.15417\mu \quad (3)$$

333 where P , τ_0 and μ are in the unit of bar, Pa and Pa·s, respectively. With the variation of τ_0 , P varies for
 334 about 2.44 bar. In contrast, with the variation of μ , P only varies for about 0.89 bar. This observation is
 335 contradictory to the case of extrusion-based 3D printable cementitious materials, where the pumping
 336 pressure is largely hinged on the plastic viscosity of the material [21]. The discrepancy could be attributed
 337 to the relatively small plastic viscosity of designed sprayable mixtures. Among all the designed mixtures,
 338 M-100%-0.1 has the lowest calculated pumping pressure.

339



340

341 Fig. 16 Calculated pumping pressure of the designed mixtures

342

343 Recent studies revealed that static yield stress contributes to the buildability of deposited material [21,
 344 34]. The maximum build-up thickness H (m) of extruded or sprayed material is found to have linear
 345 relationship with the ratio of static yield stress τ_s (Pa) to the product of fresh density ρ (kg/m^3) and
 346 gravitational acceleration g_0 (m/s^2) [20, 34], i.e.:

$$347 \quad H \propto \frac{\tau_s}{\rho g_0} \quad (4)$$

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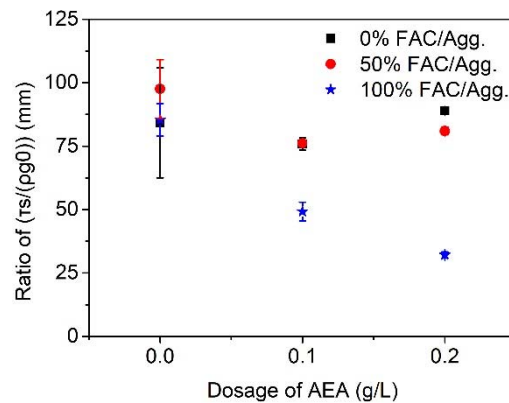
349 Eq. (4) illustrates that the material with higher static yield stress and lower fresh density has higher
 350 maximum build-up thickness, indicating more layers could be printed and thus better buildability. Hence,
 351 in case of extrusion-based 3D printable cementitious materials, it is necessary to improve the ratio of
 352 $(\tau_s/(\rho g_0))$. In comparison, while it is also necessary to achieve high maximum build-up thickness in spray-

353 based 3D printing, the material distribution after deposition is more important. However, there is limited
 354 study on the relation between the distribution of sprayed material and rheological properties [20, 35]. As
 355 the material distribution focuses on the build-up thickness values over the spray range, it is reasonable
 356 to examine this ratio. It is inferred that material with the large ratio may have more uniform build-up
 357 thickness distribution and more regular cross section, as the sprayed material with higher buildability
 358 could better resist gravity-induced shear and does not influence the adjacent region.

359

360 Fig. 17 shows the ratios of $(\tau_s/(\rho g_0))$ for mixtures with AEA. It should be noted that although the mixtures
 361 with 0% FAC substitution percentage have high static yield stress, their relatively large density values
 362 bring down the ratios. In comparison, as mixtures with 50% and 100% FAC substitution percentages
 363 have very similar density, their ratios follow the trend of static yield stress.

364



365

Fig. 17 Ratio of $(\tau_s/(\rho g_0))$

366

367

3.4.2 Selection of the optimal mixture

368

369 Based on the necessity of low time dependence of workability which has been illustrated in Section 3.2,
 370 mixtures without AEA has been excluded from material selection. From the pumpability evaluation, M-
 371 100%-0.1 has the lowest calculated pumping pressure in the delivery phase. However, it also has a
 372 relatively small ratio of $(\tau_s/(\rho g_0))$, which could compromise the spray performance in the deposition phase.
 373 In comparison, M-0-0.1, M-50%-0.1, M-0-0.2 and M-50%-0.2 have large ratios of $(\tau_s/(\rho g_0))$, but they
 374 have much higher calculated pumping pressure than M-100%-0.1. As the material should achieve good
 375 performance in both delivery and deposition phases, a comprehensive material index Γ was proposed.

376

377 The material index Γ for each mixture is calculated in two steps. The first step is to normalize the
 378 calculated pumping pressure and the ratio of $(\tau_s/(\rho g_0))$. The normalization process follows a log-scale
 379 normalization procedure described as below [36]:

$$380 \quad \tilde{A}_i = \frac{9}{\log \frac{A_{\max}}{A_{\min}}} \cdot \log \frac{A_i}{A_{\min}} \quad (5)$$

381 where \tilde{A}_i and A_i are the normalized and original test results; A_{\max} and A_{\min} are the maximum and minimum
 382 test results. The second step is to assign weights and calculate the material index Γ . The weights are
 383 assigned 0.5 for each phase assuming equal importance for material performance in both delivery and
 384 deposition phases. As smaller pumping pressure is preferred, the coefficient of the calculated pumping
 385 pressure is set to be negative. Thus, the material index Γ_i for each mixture is calculated as follows:

$$386 \quad \Gamma_i = -0.5 \tilde{A}_{i,P} + 0.5 \tilde{A}_{i,ratio} \quad (6)$$

387 where $\tilde{A}_{i,P}$ and $\tilde{A}_{i,ratio}$ are the normalized values for calculated pumping pressure and the ratio of $(\tau_s/(\rho g_0))$
 388 respectively. Corresponding results are shown in Table 2.

389

390

Table 2 Material index Γ for mixtures with AEA

Mix	P (Pa)	Normalized P	$(\tau_s/(\rho g_0))$ (mm)	Normalized $(\tau_s/(\rho g_0))$	Γ
M-0-0.1	2.56	6.47	75.78	7.59	0.56
M-50%-0.1	2.43	6.14	76.19	7.64	0.75
M-100%-0.1	0.92	0	49.22	3.78	1.89
M-0-0.2	3.82	9	88.88	9.00	0
M-50%-0.2	2.22	5.57	80.97	8.18	1.30
M-100%-0.2	1.81	4.28	32.09	0.00	-2.14

391

392 From the table, it could be clearly seen that M-100%-0.1 has the largest material index value. Hence, it
 393 is regarded as the optimal material for spray-based 3D printing among all the mixtures. The material
 394 distribution of M-100%-0.1 was further assessed in the spray test. For comparison, the mixtures with
 395 small positive material index (M-0-0.1 and M-50%-0.1) and negative material index (M-100%-0.2) were
 396 selected for spray tests. In addition, M-0-0.1 and M-50%-0.1 have larger ratios of $(\tau_s/(\rho g_0))$, while M-
 397 100%-0.2 has smaller ratio of $(\tau_s/(\rho g_0))$.

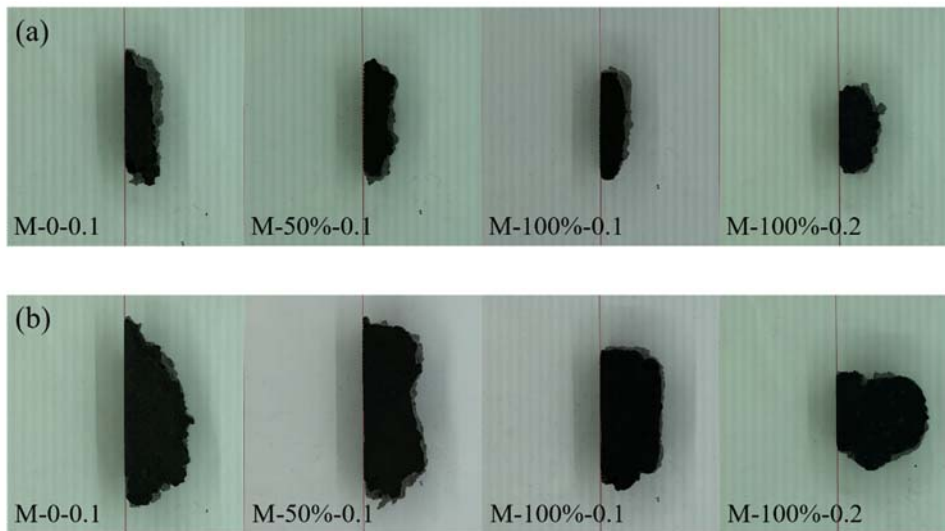
398

399 **4. Spray Performance Assessment**

400 **4.1 Morphology of Cross Sections**

401 The morphology of cross sections was compared among the sprayed mixtures to offer the qualitative
402 assessment of material distribution. Fig. 18 shows the representative cross sections of each mixture (cross
403 sections cut at $y = 150$ mm of each filament). The cross sections were dyed with ink to highlight their
404 morphology.

405



406

407

408 Fig. 18 Morphology of the representative cross sections of each mixture: (a) single-layer spray; (b)
409 multiple-layer spray

410

411 It could be found that the mixture M-100%-0.1 has the most regular cross sections, especially in the
412 multiple-layer spray. In comparison, other mixtures have distinctive irregular cross sections and non-
413 uniform material distribution. The cross sections of M-0-0.1 and M-100%-0.2 show that the mixtures
414 have significant offset to the lower side. In multiple-layer spray, the sprayed material of M-0-0.1 shows
415 severe overall offset, while the sprayed material of M-100%-0.2 shows severe offset of the middle layer.
416 The cross sections of M-50%-0.1 show concave curves near the centre in both single-layer spray and
417 multiple-layer spray.

418

419 **4.2 Build-up Thickness Distribution of Sprayed Filaments**

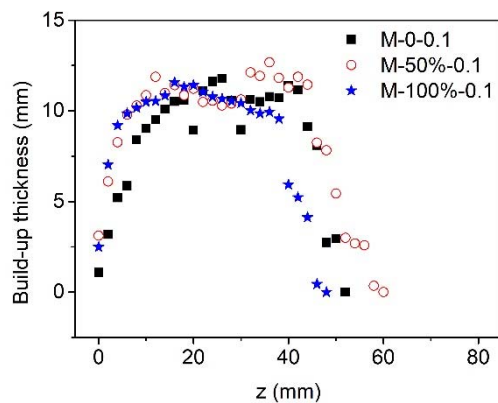
420 The analysis of build-up thickness distribution was based on image processing of exposed cross sections
421 [37]. It is complementary to the qualitative morphology assessment and offers a quantitative assessment
422 of material distribution. However, the assessment of build-up thickness distribution cannot be applied to

423 M-100%-0.2 due to the offset-induced overhanging (see Fig. 18). For other mixtures, the build-up heights
424 were measured at different locations indicated by z values. Zero z value is corresponding to the upper
425 boundary of the sprayed filament, and the positive z -direction is pointing downwards on actual substrates.
426 The build-up thickness distribution was depicted in Fig. 19 and Fig. 20.

427

428 Fig. 19 and Fig. 20 show the average material distribution of M-0-0.1, M-50%-0.1 and M-100%-0.1 in
429 single-layer and multiple-layer spray respectively. M-100%-0.1 has slightly lower maximum build-up
430 thickness compared with M-0-0.1 and M-50%-0.1, but the build-up thickness distribution of the material
431 is more uniform, especially for multiple-layer spray. In multiple-layer spray, the build-up thickness
432 distribution of M-100%-0.1 is more approaching isosceles trapezoid. A wide flat zone could be observed
433 near the centre, where the build-up thickness varies very little. In contrast, the distribution of M-0-0.1
434 and M-50%-0.1 shows significant offset with more materials at the lower side. The build-up thickness
435 has large variations near the centre in the mixture M-0-0.1 and M-50%-0.1. The improvement in material
436 distribution could be further reflected by flat zone percentage and the standard deviation of thickness in
437 the flat zone through least square analysis, as shown in Fig. 21. The average flat zone percentage in M-
438 0-0.1 (multiple-layer spray) is 44.01% and the standard deviation of thickness in the flat zone is 1.87
439 mm. The average flat zone percentage in M-50%-0.1 (multiple-layer spray) is 73.46% and corresponding
440 standard deviation is 2.77 mm. In comparison, the average flat zone percentage in M-100%-0.1 (multiple-
441 layer spray) is 72.00% and the standard deviation of thickness in the flat zone is 1.01 mm. Hence the
442 mixture M-100%-0.1 has the most uniform material distribution.

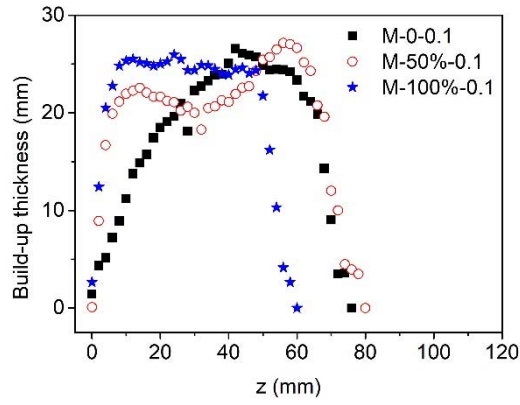
443



444

445

Fig. 19 Average material distribution of mixtures in single-layer spray

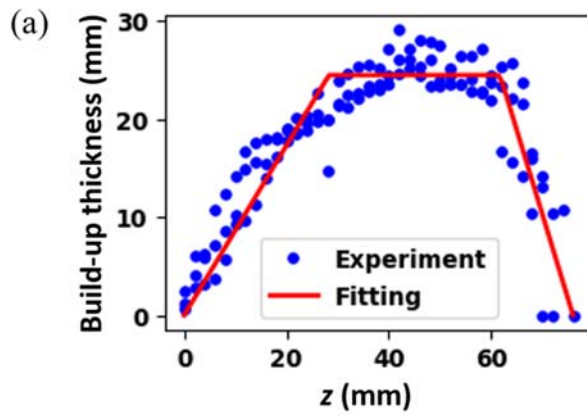


446

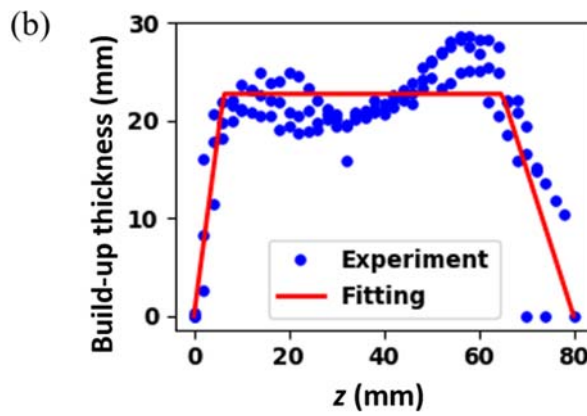
447

Fig. 20 Average material distribution of mixtures in multiple-layer spray

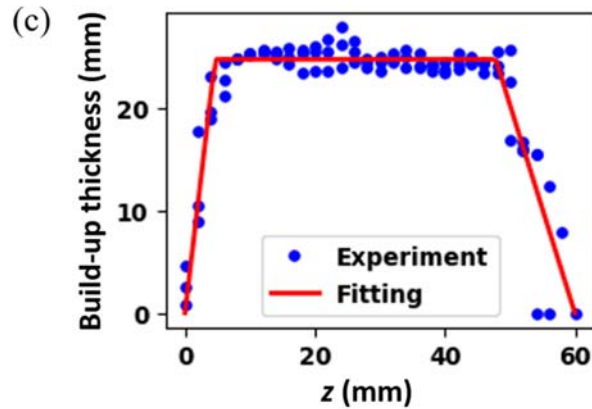
448



449



450



451

452 Fig. 21 Least square analysis of material distribution (multiple-layer spray): (a) M-0-0.1; (b) M-50%-
 453 0.1; (c) M-100%-0.1

454

455 With the investigation of the morphology of cross sections and build-up thickness distribution, it is
 456 revealed that the mixture M-100%-0.1 has the best deposition performance. The sprayed filaments of the
 457 mixture have the most regular cross sections and most uniform build-up thickness distribution. In contrast,
 458 the sprayed filaments of other mixtures have severe offset, leading to irregular cross sections and non-
 459 uniform build-up thickness distribution.

460

461 The results do not fully agree with the assumption that material with larger $(\tau_s/(\rho g_0))$ has better spray
 462 performance. The mixture M-100%-0.2 has a lower ratio of $(\tau_s/(\rho g_0))$, which shows less uniform material
 463 distribution. The mixture M-0-0.1 and M-50%-0.1 have higher ratios of $(\tau_s/(\rho g_0))$, but also have less
 464 uniform distribution than M-100%-0.1. The discrepancy between the assumption and test results of
 465 material distribution is discussed in the following Section 4.3.

466

467 In the material selection, the mixture M-100%-0.1 with the largest material index is predicted to achieve
 468 the best balance between the delivery and deposition requirements, but not necessarily the best individual
 469 performance in both. However, the mixture shows the best performance in both delivery and deposition
 470 phases. The mixture M-100%-0.1 is confirmed the optimal mixture for spray-based 3D printing among
 471 all the mixtures in this study.

472

473 **4.3 Discussions**

474 The discrepancy in uniform material distribution should refer to the consideration of spray process. In
 475 the spray process, the material is projected at high speed on the substrate. The material may be compacted
 476 in the delivery and deposition, which lead to the change of actual volumetric flow rate. On the other hand,
 477 the deposition phase needs to be analysed, as the receiving impact pressure of projected material could
 478 lead to the change of material distribution.

479
 480 The influence of compaction could be clearly seen in the analysis of build-up thickness. By comparing
 481 Fig. 19 and Fig. 20, it could be found that the average build-up thickness from multiple-layer spray was
 482 smaller than three times that of the single-layer spray. Table 3 shows the density of sprayed filaments of
 483 M-0-0.1, M-50%-0.1 and M-100%-0.1. It could be found that the 3-day density values of M-0-0.1 and
 484 M-50%-0.1 were smaller than their fresh density values, while M-100%-0.1 showed the opposite trend.
 485 In general, with the evaporation of water, the density in the lab environment should be smaller than fresh
 486 density. However, with the effect of pumping pressure, the material could be compacted and densified
 487 [20]. The compressibility of each mixture could be inferred by comparing the relative change of density,
 488 which is expressed in the following proposed equation:

489
$$\Psi = \frac{\rho' - \rho}{\rho} \quad (7)$$

490 where Ψ is defined as compressibility index, ρ' is the average value of 3-day density, and ρ is the average
 491 value of fresh density. High compressibility index suggests the material has been largely compacted. The
 492 compressibility index values were calculated and shown in Table 3. On the other hand, the actual
 493 volumetric flow rate could also be calculated by multiplying the cross section area of the sprayed filament
 494 and robotic arm moving speed in the single-layer spray. The actual volumetric flow rate was also included
 495 in Table 3.

496

497

Table 3 Density and compressibility index

Mixtures	M-0-0.1	M-50%-0.1	M-100%-0.1
3-day density (g/cm ³)	1.71 ± 0.12 (S)	1.23 ± 0.02 (S)	1.25 ± 0.07 (S)
	1.62 ± 0.11 (M)	1.34 ± 0.07 (M)	1.26 ± 0.01 (M)
Fresh density (g/cm ³)	1.76 ± 0.01	1.42 ± 0.02	1.18 ± 0.01

Compressibility index Ψ	-0.05	-0.10	0.06
Actual volumetric flow rate (L/min)	2.62	2.92	2.26

498 * Annotation: S: single-layer spray; M: multiple-layer spray. The 3-day density is measured in the lab
 499 environment (temperature: 22.5 °C, relative humidity: 58%).

500

501 The calculation of compressibility index reveals that M-50%-0.1 has the lowest compressibility index,
 502 while M-100%-0.1 has the highest compressibility index. Therefore, the actual volumetric flow rate of
 503 M-50%-0.1 was much larger than that of the M-0-0.1 and M-100%-0.1. With further regards to Fig. 13,
 504 the compressibility of the material seems to have the negative correlation with dynamic yield stress.

505

506 Fig. 22 shows the speed profile with spray angle α and an infinitesimal annulus at radius r on the substrate.
 507 For the speed profile, v_x is the speed in the direction perpendicular to the substrate and v_r is the speed in
 508 the direction paralleling to the substrate. The area of the infinitesimal annulus is $2\pi r dr$. During the
 509 infinitesimal time dt , the mass through this annulus section dm could be calculated by:

$$510 \quad dm = \rho \cdot 2\pi r dr \cdot v_x dt \quad (8)$$

511 The impulse of sprayed material dI could be further expressed as:

$$512 \quad dI = v_x dm = \rho v_x^2 \cdot 2\pi r dr dt \quad (9)$$

513 Hence, the impact pressure by the material p could be calculated by:

$$514 \quad p = \frac{dI}{2\pi r dr dt} = \rho v_x^2 < \tau_s \quad (10)$$

515

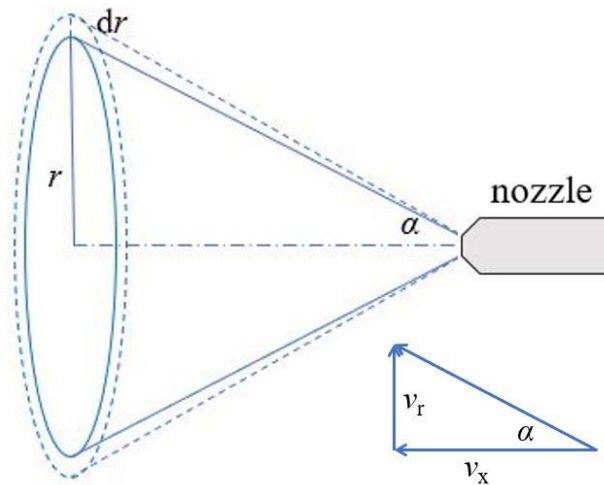


Fig. 22 Speed profile and locus of sprayed material

516

517

518

519 The impact pressure is balanced by the stress in the sprayed material. The lower volumetric flow rate of
 520 the material contributes to lower v_x and resultant lower impact pressure. Thus, M-100%-0.1 has the
 521 lowest v_x and impact pressure. Although M-50%-0.1 has higher static yield stress, the resultant higher
 522 impact pressure by the highest volumetric flow rate and density might exceed this value. In this situation,
 523 the sprayed material cannot preserve the original distribution and was forced to move. As a result, the
 524 distribution of M-50%-0.1 has the concave profile near the centre.

525

526 The sprayed filaments of M-0-0.1 does not have the concave profile, which may be attributed to its higher
 527 static yield stress and higher compressibility than those of M-50%-0.1. However, the material distribution
 528 of M-0-0.1 is also non-uniform. It could be found that more material tends to accumulate in the centre,
 529 and the filament also shifts a bit downwards. Some research studies suggested that the material with
 530 larger viscosity contributes to smaller spray angle [38, 39]. Hence, the material accumulation near the
 531 centre may be attributed to the small spray angle of M-0-0.1, while the examination of spray angle is
 532 required in further study.

533

534 The poor material distribution of M-100%-0.2 is due to the low ratio of $(\tau_s/(\rho g_0))$. As can be seen in the
 535 multiple-layer spray in Fig. 18, the sprayed filament has irregular cross section and non-uniform
 536 distribution. Other multiple-layer sprayed filaments of M-100%-0.2 also show the same trend. With a
 537 low $\tau_s/(\rho g_0)$, insufficient static yield stress cannot balance the gravity of large build-up.

538

539 With the analysis in this study, the selection criteria for spray-based 3D printable cementitious materials
540 could be constructed. From the discussions in Section 3.4, low plastic viscosity and dynamic yield stress
541 are preferred for the delivery phase of spray-based 3D printing. On the other hand, the material should
542 have low plastic viscosity, dynamic yield stress, fresh density and high static yield stress for uniform
543 material distribution.

544

545 **5. Conclusions**

546

547 The adoption of 3D printing contributes to automation, design freedom, greenness and efficiency in civil
548 engineering. Conventional spray technology shares a number of similarities with 3D printing, indicating
549 the feasibility of spray-based 3D printing. However, low dimensional accuracy of sprayed profiles with
550 conventional materials greatly affects its quality, the error is typically in centimeter levels and necessary
551 manual post-processing such as scraping must be applied [8]. This hinders the application of spray-based
552 3D printing and further automation in the building and construction field. The paper offers feasible
553 material solution to improve its accuracy by incorporating fly ash cenosphere (FAC) and air entraining
554 agent (AEA) in mixture design. The accuracy improvement of sprayed profile makes the designed
555 mixture feasible for spray-based 3D printing, which could be further utilized for decorative structure
556 without post-processing.

557

558 The assessment of fresh density and workability of designed mixtures reveals the effectiveness of
559 introducing FAC and AEA. It is found that FAC and AEA could effectively reduce the fresh density of
560 the mixture. In addition, increasing FAC substitution from 0 to 100% or increasing dosage of AEA from
561 0 to 0.2 g/L leads to smaller slump and flow diameter. The decrease of slump and spread diameter
562 indicates the improved buildability with the incorporation of FAC and AEA in this study.

563

564 The addition of AEA tends to result in gentler decreasing or even stabilizing slump/spread diameter with
565 time. At the dosage of 0.2 g/L, the slump/spread diameter remains nearly constant within one hour from
566 mixing. In comparison, the mixtures without AEA show large decrease of slump/spread diameter,
567 indicating high time dependency of workability. These mixtures were hard to control and thus not
568 applicable for spray-based 3D printing assuming a feedback control system is not readily available.

569

570 Rheological tests were carried out to further predict the pumpability and deposition performance of
571 designed mixtures. The results show that the mixture with 100% FAC substitution percentage and 0.1g/L
572 AEA (referred to as M-100%-0.1) has the lowest dynamic yield stress and plastic viscosity, yet not too
573 low static yield stress. Subsequent calculations point out the mixture has the lowest required pumping
574 pressure, while it may compromise the deposition performance. A material index was proposed to
575 evaluate the performance in both of delivery and deposition phases. The mixture with the highest material
576 index is inferred as the optimal mixture for spray-based 3D printing, which should achieve the best
577 balance between the requirements of delivery and deposition. Through the analysis of cross sections of
578 sprayed filaments and build-up thickness distribution, the optimal mixture M-100%-0.1 was found to
579 have the most uniform material distribution. In multiple-layer spray, the mixture M-100%-0.1 has large
580 flat zone percentage (72.00%) with the lowest standard deviation of thickness in the flat zone (1.01 mm).
581 In comparison, the mixture M-0-0.1 has much smaller flat zone percentage (44.01%) while the mixture
582 M-50%-0.1 has much higher standard deviation of thickness in the flat zone (2.77 mm). It reveals that
583 the optimal mixture could achieve the best performance in delivery and deposition respectively, rather
584 than compromising each other.

585

586 The discussion of material deposition process reveals that the material distribution can be affected by
587 many rheological properties. Through the comparison of changes in density, the material with lower
588 dynamic yield stress seems to have higher compressibility. The optimal mixture M-100%-0.1 was mostly
589 compacted in the spray process, leading to the lowest actual volumetric flow rate. The lowest resultant
590 impact pressure of the optimal mixture explains its best deposition performance. The mixture with large
591 plastic viscosity is found to obviously accumulate more material near the centre, which may be attributed
592 to the induced small spray angle. In addition, the mixture with low ratio of static yield stress to the
593 product of fresh density and gravitational acceleration ($\tau_s/(\rho g_0)$) has poor material distribution. The
594 phenomenon could be attributed to the insufficient static yield stress for balancing the gravity of large
595 build up.

596

597 With the analysis of delivery and deposition phases, the material design criteria for spray-based 3D
598 printing were proposed. The suitable material should possess low plastic viscosity, dynamic yield stress

599 for better delivery performance and more uniform distribution of sprayed material; in addition, high static
600 yield stress and low density are also required for good deposition performance. The proposed optimal
601 mixture M-100%-0.1 in this study is suitable for spray-based 3D printing, which adopts 0.1 g/L AEA
602 and 100% substitution of silica sand by FAC.

603

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605

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609

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