

# 1 Hydroclimate variability of western Thailand during the last 1400 years

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23

## 24 Abstract

25 Mainland Southeast Asia is located on the moisture transport route of the Indian  
26 summer monsoon (ISM) where hydroclimate records from speleothems have rarely  
27 been investigated. Here, we present a new multi-proxy ( $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ , trace elements,  
28 and grayscale) data set of stalagmite KPC1 from Khao Prae cave in western Thailand  
29 spanning most of the last 1400 years (500–1900 CE; CE, the Common Era). This multi-  
30 proxy data reveal a high variability between the wet and dry periods during 500–850  
31 CE and 1150–1350 CE, a stable climate condition in 850–1150 CE, and an overall dry  
32 trend since 1350 CE. The  $\delta^{13}\text{C}$  values, trace elements concentrations, and grayscale  
33 values show centennial-scale fluctuations, which were probably driven by local  
34 hydrological process. In contrast, variations in the stalagmite  $\delta^{18}\text{O}$  reflect integrated  
35 changes in rainfall amount from the ISM. In comparison with other Asian Monsoon

36 records for the last millennia, the KPC1 record agrees with the speleothem  $\delta^{18}\text{O}$  records,  
37 as well as the lake multi-proxy and tree-ring PDSI data from Mainland Southeast Asia,  
38 but diverges from records from the Indo-Pacific equatorial regions and the western  
39 Pacific. We conclude that hydroclimate variability at the western side of Mainland  
40 Southeast Asia is mainly driven by changes in moisture transport of the ISM and  
41 modulated by expansion and contraction of the Intertropical convergent zone (ITCZ).  
42 However, the Pacific Walker Circulation (PWC) may have been the overriding control  
43 on precipitation on the eastern sides of Mainland Southeast Asia located close to the  
44 western Pacific. On socially relevant timescales, the KPC1 data set shows that a period  
45 of stable rainfall (850–1150 CE) coincides with the early success of the early empires  
46 (e.g. Pagan, Angkor, and Dai Viet) in Mainland Southeast Asia. In line with previous  
47 studies in the region, we speculate that the high variability in rainfall between 1150–  
48 1350 CE and droughts during 1350–1550 CE played a significant role in the demise of  
49 ancient societies in Southeast Asia.

50

51 **Keywords: Stalagmite, Thailand, Southeast Asia, Indian summer monsoon, multi-**  
52 **proxy record**

53

#### 54 **Highlights**

- 55 • Stalagmite multi-proxy record of Thailand covering the last 1400 years.
- 56 • Unstable condition with long varying dry and wet phases during 500–850 CE  
57 and 1150–1350 CE.
- 58 • Stable rainfall in 850–1150 CE and dry conditions since 1350 CE.

59

#### 60 **1. Introduction**

61 Mainland Southeast Asia is the continental part of Southeast Asia, including the  
62 countries of Myanmar, Thailand, peninsular Malaysia, Laos, Cambodia, and Vietnam.  
63 This region is bordered by the Indian Ocean in the west and the Pacific Ocean in the  
64 east. Mainland Southeast Asia is home to over 300 million people, who are highly reliant  
65 on farming. The regional social-economic health is, therefore, highly vulnerable to  
66 various hazards induced by hydroclimate changes, such as droughts and floods  
67 (Thirumalai et al., 2017; Waibel et al., 2018). The climate system in Mainland Southeast  
68 Asia is characterized by the interactions between different Asian monsoon subsystems [

69 the Indian summer monsoon (ISM), the East Asian summer monsoon (EASM), and the  
70 Western North Pacific monsoon (WNPM)] (Buckley et al., 2014). In addition, the  
71 regional hydroclimate is also modulated by the El Niño-Southern Oscillation (ENSO)  
72 (Mann and Jones, 2003; Räsänen et al., 2016; Singhrattna et al., 2005; Yamoah et al.,  
73 2016a), the Indian Ocean Dipole (IOD) (Ding et al., 2010; Ummenhofer et al., 2013),  
74 and the movement of the Intertropical convergent zone (ITCZ) (Chawchai et al., 2015;  
75 Tierney et al., 2010). Due to this complexity, our current understanding of hydroclimate  
76 changes in Southeast Asia is still limited. In addition, paleoclimatic records from the  
77 region are spatially unevenly distributed and the influence of climate mechanisms, such  
78 as the ITCZ (Denniston et al., 2016; Eroglu et al., 2016; Haug et al., 2001; Sachs et al.,  
79 2009; Yan et al., 2015), ENSO (Tan, 2016; Xu et al., 2019; Yan et al., 2011) and Pacific  
80 Decadal Oscillation (Cook et al., 2010; D'Arrigo and Ummenhofer, 2015), during  
81 historical times are controversially discussed. Therefore, robust temporal and spatial  
82 hydroclimate records from Mainland Southeast Asia are important, as they will allow  
83 evaluation of the climate forcing and help us to better understand the regional  
84 hydroclimate changes.

85 In recent years, stalagmites have provided robust hydroclimate information with  
86 precise age controls from  $^{230}\text{Th}/\text{U}$  dating. Typical hydrological proxies used in  
87 stalagmite research are stable isotopes ( $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ ) (Hendy, 1971; McDermott, 2004;  
88 Wong and Breecker, 2015), trace element concentrations (Fairchild et al., 2006;  
89 Fairchild and Treble, 2009a), and/or annual laminae (Baker et al., 2008; Shopov et al.,  
90 1994; Tan et al., 2006). For Asian monsoon regions, the observed changes in stalagmite  
91  $\delta^{18}\text{O}$  values and their relationship to the past hydroclimate appear to be different at each  
92 study site and are interpreted in various ways related to the monsoon timing, frequency,  
93 and strength (Caley et al., 2014; Li et al., 2019; Liu et al., 2015, 2014; Pausata et al.,  
94 2011a). The factors that control stalagmite  $\delta^{18}\text{O}$  signals are still under considerable  
95 debates, such as changes in local or regional precipitation amounts (Berkelhammer et  
96 al., 2010; Sinha et al., 2011a), precipitation seasonality (Wang et al., 2001), atmospheric  
97 circulation (Chiang et al., 2015), and the degree of upstream rainout during transport  
98 (Pausata et al., 2011a; Wang et al., 2019).

99 Most speleothem studies that focused on the recent 2000 years are from China  
100 (Dykoski et al., 2005; Tan et al., 2017, 2011, 2009; Wang et al., 2005; Zhang et al.,  
101 2008), India (Denniston et al., 2000; Kathayat et al., 2017; Sinha et al., 2011a, 2011b,  
102 2007), and Indonesia and Borneo, Malaysia (Griffiths et al., 2016; Partin et al., 2007;

103 Wurtzel et al., 2018). Stalagmite  $\delta^{18}\text{O}$  values are generally interpreted as reflecting  
104 variations in precipitation amount and changes in monsoonal rainfall intensity.  
105 Stalagmite  $\delta^{18}\text{O}$  records from China and India suggest a strong coupling between the  
106 Asian monsoon and climate variability in the Northern Hemisphere as well as potential  
107 links to changes in solar activity and/or oceanic and atmospheric circulation (e.g., Sinha  
108 et al., 2011a, 2011b; Zhang et al., 2008). However, modern precipitation data shows no  
109 significant correlation between sites that are hundreds of kilometers apart (Conroy and  
110 Overpeck, 2011; Dayem et al., 2010; Raghavan et al., 2018). A new speleothem  $\delta^{18}\text{O}$   
111 record from northern Laos (close to southern China) revealed changes in rainfall  
112 upstream and exhibited a positive correlation with solar activity (Wang et al., 2019).  
113 Whereas, the  $\delta^{18}\text{O}$  records from equatorial regions (Flores Island and Sulawesi,  
114 Indonesia), suggest rainfall changes resulted from shifts in the ITCZ and the Pacific  
115 Walker circulation (PWC) (Griffiths et al., 2016; Krause et al., 2019). A recent  
116 stalagmite  $\delta^{18}\text{O}$  record from Klang cave on the Thai-Malay peninsula, between the  
117 tropics and higher latitudes of Mainland Southeast Asia, suggested that both the ITCZ  
118 and the ENSO have influenced rainfall variability in this region (Tan et al., 2019).

119 Few speleothem studies from Southeast Asia have focused on additional proxies,  
120 such as  $\delta^{13}\text{C}$  values and trace element concentrations. Recent studies from southern  
121 China and Laos reported that changes in speleothem  $\delta^{13}\text{C}$  values were associated with  
122 regional hydrological changes (Liu et al., 2016; Wang et al., 2019). Hence, multi-proxy  
123 records preserved in speleothems can add valuable hydroclimate information for this  
124 region.

125 Western Thailand (Fig. 1A, B) is in the path of the ISM where moisture is sourced  
126 from the Bay of Bengal. In this region, paleoenvironmental and paleoclimatic records,  
127 especially those from stalagmites, have rarely been investigated so far (Cai et al., 2010;  
128 Chawchai et al., 2018; Muangsong et al., 2014). The scarcity of these studies limits the  
129 understanding of the spatial variability of the Asian summer monsoon. Here, we present  
130 a new stalagmite multi-proxy hydroclimate record ( $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values, trace element  
131 concentrations, and gray scale values) from Khao Prae cave, western Thailand,  
132 covering the last 1,400 years. Multi-proxy data sets can provide a robust base for  
133 discussing the response of different proxies used to infer hydroclimate conditions,  
134 regional patterns, and leads or lags in response to past rainfall variability. Our record  
135 can, therefore, provide important information for discussing potential forcing  
136 mechanisms of the monsoon in Southeast Asia and facilitate the construction of a

137 synchronized historical narrative for mainland Southeast Asia.

138

## 139 **2. Cave and Climate Settings**

140 Khao Prae cave (15°05' N, 99° 25' E; 420 m above mean sea level) is in Uthai Thani  
141 province, approximately 240 km northwest of Bangkok and about 160 km from the  
142 Andaman coast (Fig. 1B). The host rock of this cave is argillaceous Ordovician  
143 limestone (Department of Mineral Resources (DMR), 2009). The vegetation is quite  
144 dense and the soil thickness above the cave is about 1 m. The cave has only one  
145 entrance, and the main passage is approximately 200 m long. Stalagmite KPC1 was  
146 collected in a chamber about 100 m from the cave entrance. Air temperature inside the  
147 cave, recorded from January to December 2017, was quite stable and ranged from 23.5–  
148 25.2 °C with a relative humidity of > 97 % (supplementary data Table1).

149 The study site is mainly influenced by the southwest ISM (Fig. 1A, B), with 105–  
150 110 rainy days and a mean annual precipitation of 1100–1200 mm. Maximum and  
151 minimum temperatures between 1951–2016 were 34.4 °C (April) and 20.2 °C  
152 (December), respectively, (Thai Meteorological Department; TMD). During the rainy  
153 season (May to October), the summer monsoon delivers about 80 % of the annual  
154 rainfall with a peak precipitation of 240 mm in September (Fig. 1C). From the records  
155 of the last 68 years, tropical cyclones from the South China Sea contribute additional  
156 precipitation, particularly during September and October (TMD). The northeast (NE)  
157 winter monsoon causes dry conditions between November and April (Fig. 1C).

158

## 159 **3. Material and Methods**

### 160 **3.1 X-ray computed tomography (CT scan) and gray scale values**

161 Sample KPC1 had a nearly symmetrical candle shape with a length of 20.6 cm  
162 and a diameter of 6–8 cm. The outer surface was smooth and showed no indication of  
163 dissolution features. Before cutting, KPC1 was subjected to a CT scan (GE Discovery  
164 750 HD) (Fig. 2A) at King Chulalongkorn Memorial Hospital, Bangkok, Thailand. The  
165 CT instrument was operated at a tube voltage of 140 kV and a current intensity of 80  
166 mA with the scanner was set to a 0.625 mm slice thickness and a 0.4 mm overlay  
167 between slice spaces. Reconstructed images were exported as Digital Imaging and  
168 Communications in Medicine (DICOM) files. CT image processing and data analysis  
169 were performed using MeVisLab software (ver. 2.6.2). The CT image is shown in  
170 grayscale images of axial sections in Fig. 2A, which indicate the stalagmite's density;

171 the denser the scanned stalagmites, the brighter the images and vice versa. The CT scan  
172 technique has previously been used in studying speleothem features, including porosity  
173 (Mickler et al., 2004), macro-holes and post-depositional off axis holes (Zisu et al.,  
174 2012), calcite density (Vanghi et al., 2015; Walczak et al., 2015), and indication of  
175 diagenesis in speleothems (Bajo et al., 2016). In this study, the visualization of the CT  
176 image grayscale was used for selecting cutting sections before proxy analysis.

177 The cut and polished section of the stalagmite was scanned using a high-  
178 resolution scanner (Microtek, RGB/3200dpi; Fig. 2B). The laminae gray scale values  
179 were measured using the IMAGEJ software (<https://imagej.net/Welcome>). The gray  
180 scale values are obtained from the average values, which were calculated using the  
181 intensities of red (R), green (G), and blue (B) light. The gray scale values were set range  
182 from 0 (black) to 250 (white).

183

### 184 **3.2 $^{230}\text{Th}/\text{U}$ dating**

185 Age control for stalagmite KPC1 was based on eighteen  $^{230}\text{Th}/\text{U}$  ages (Table 1),  
186 for which subsamples were drilled along the growth axes (Fig. 3A). Procedures for U  
187 and Th chemical separation and purification were similar to those previously described  
188 in Chiang et al. (2019), Edwards et al. (1986), and Shen et al. (2012), in a class-1000  
189 chemistry cleanroom at the Earth Observatory of Singapore (EOS), Nanyang  
190 Technological University (NTU) of Singapore. The U and Th isotopic ratios were  
191 measured by a Thermo Scientific Neptune Plus multi-collector inductively coupled  
192 plasma mass spectrometer (MC-ICP-MS) in the Environmental Geochemistry  
193 Laboratory at EOS. Intensities of all the uranium and thorium isotopes were measured  
194 with a peak-jumping protocol on a secondary electron multiplier equipped with a  
195 retarding potential quadrupole lens to improve abundance sensitivity, except for those  
196 of  $^{238}\text{U}$  and  $^{232}\text{Th}$ , which were measured on Faraday cups. The  $^{230}\text{Th}/\text{U}$  dating results  
197 are summarized in Table 1. All the uncertainties are presented at the  $2\sigma$  level or two  
198 standard deviations of the mean ( $2\sigma_m$ ) unless otherwise noted. Ages are given in  
199 thousands of years before present (ka BP; where “present” is defined as CE 1950). Two  
200 age models (Fig. 3B, C) were constructed using the StalAge (Scholz and Hoffmann,  
201 2011) and BACON (Blaauw and Christen, 2011) age models.

202

### 203 **3.3 Trace elements composition and X-ray diffraction (XRD) analysis**

204 Elemental abundances were determined by laser ablation (LA)- ICP-MS, at the

205 Institute for Geosciences, Johannes Gutenberg University Mainz, Germany, using an  
206 ESI NWR193 ArF excimer LA system equipped with the TwoVol<sup>2</sup> ablation cell,  
207 operating at 193 nm wavelength, coupled to an Agilent 7500ce quadrupole ICP-MS.  
208 Ablation was performed in the line scan mode and surfaces were pre-ablated prior to  
209 each line scan to prevent potential surface contamination. Line scans (Fig. 4A) were  
210 performed at a scan speed of 10  $\mu\text{m/s}$ , using a spot size of 110  $\mu\text{m}$  and a laser repetition  
211 rate of 10 Hz. Laser energy on the samples was about 3  $\text{J/cm}^2$ . Measured ion intensities  
212 were monitored in time-resolved mode and background intensities were measured for  
213 15 s. Synthetic glass NIST SRM 612 was used to calibrate element concentrations  
214 applying the preferred values given in the GeoReM database ([http://georem.mpch-](http://georem.mpch-mainz.gwdg.de/)  
215 [mainz.gwdg.de/](http://georem.mpch-mainz.gwdg.de/), application version 18; Jochum et al., 2011, 2005).

216 Quality control materials (QCMs) (USGS MACS-3 and USGS BCR-2G) were  
217 used to monitor the accuracy and precision of the LA-ICP-MS analysis and calibration  
218 strategy. Raw data were processed using TERMITE (Mischel et al., 2017), an R script  
219 for data reduction. <sup>43</sup>Ca was used as an internal standard and applied at a sample Ca  
220 concentration of 390,000  $\mu\text{g/g}$  and the values reported in the GeoReM data base for the  
221 QCMs. Element concentrations determined for the QCMs mostly agreed within 15 %  
222 of the published values for USGS MACS-3 (Jochum et al., 2012) and the preferred  
223 values of the GeoReM database for USGS BCR-2G, and had a precision of < 0.02 %  
224 (1 relative standard deviation; R.S.D.). For data processing, principal component  
225 analysis (PCA) was performed to identify of variables whose behavior was similar and  
226 so controlled by the same process.

227 For mineralogical determination, eight selected dark layers (Fig. 2B) were  
228 drilled and ground for XRD (Bruker model D8 advance) analysis at the Department of  
229 Geology, Chulalongkorn University. The sample scans were run from 5–50° 2 $\theta$  with  
230 0.01 steps of 1 second/step scan. To identify the mineral composition, Bruker's EVA  
231 software was used.

232

### 233 **3.4 Stable Isotope Ratios**

234 The oxygen ( $\delta^{18}\text{O}$ ) and carbon ( $\delta^{13}\text{C}$ ) isotope profiles for KPC1 were based on  
235 150 measurements (1 mm resolution; 15 cm from the top) of transect drilled parallel to  
236 the trace elements analysis (distance  $\leq$  5 mm) (Fig. 4A). Stalagmite KPC1 was drilled  
237 using a New Wave Research MicroMill following the protocol of Dettman and  
238 Lohmann (1995) and samples were analyzed with a Thermo Delta V mass spectrometer

239 equipped with a GASBENCH II preparation device at the Max Planck Institute for  
240 Chemistry, Mainz, Germany. Isotope ratios are reported as  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values  
241 relative to Vienna Peedee Belemnite (VPDB). The reproducibility of a routinely  
242 analyzed lab  $\text{CaCO}_3$  reference material was better than 0.1‰ for both  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$   
243 values (1 standard deviation; S.D.).

244

## 245 **4. Results**

246

### 247 **4.1 Chronology**

248 The results of  $^{230}\text{Th}/\text{U}$ -dating are shown in Table 1.  $^{238}\text{U}$  concentrations range  
249 from 100 to 500 ng/g, and  $^{232}\text{Th}$  concentrations range from a few ng/g to tens of ng/g.  
250 The  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratios range from 3 to  $26 \times 10^{-6}$ . Generally, if the  $^{230}\text{Th}/^{232}\text{Th}$   
251 atomic ratios are larger than  $1 \times 10^{-3}$  (or  $^{230}\text{Th}/^{232}\text{Th}$  activity ratios to be larger than  
252  $\sim 200$ ), the contribution of initial detrital  $^{230}\text{Th}$  is considered insignificant (Richards and  
253 Dorale, 2003). However, for KPC1, the low  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratios, which result  
254 largely from high  $^{232}\text{Th}$  concentrations and the young age of the samples, require a  
255 careful correction of the initial detrital  $^{230}\text{Th}$ . Indeed, the uncorrected ages are not in a  
256 stratigraphic order, and those containing high  $^{232}\text{Th}$  concentrations are apparently  
257 “older”, indicating significant detrital contamination.

258 The common *a priori* estimation of the initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio is  $4.4 \times$   
259  $10^{-6}$  (Wedepohl, 1995), the value for a material at secular equilibrium assuming a  
260  $^{232}\text{Th}/^{238}\text{U}$  weight ratio of 3.8, based upon a tonalitic composition of the bulk  
261 continental crust with Th and U concentrations of 6.4  $\mu\text{g/g}$  and 1.7  $\mu\text{g/g}$ , respectively.  
262 However, such a value is clearly too high for KPC1, because the initial  $^{230}\text{Th}/^{232}\text{Th}$   
263 atomic ratio should be smaller than the smallest measured  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio  
264 ( $3.1 \pm 0.1 \times 10^{-6}$ ).

265 In this study, we re-calculated the initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio for KPC1 to  
266 be  $2.7 \times 10^{-6}$ , based on the assumption that the ages of two subsamples at 1.5 mm  
267 distance from the top must be equal. Following this reasonable assumption, we then  
268 assign the commonly used value of 50% to the uncertainty of the calculated initial  
269  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio (i.e.  $2.7 \pm 1.4 \times 10^{-6}$ ). We consider the calculation robust,  
270 because most of the corrected ages are in a stratigraphic order within their age  
271 uncertainties (Hellstrom, 2006). Four corrected ages at 87, 135 and 185 mm,  
272 respectively (Table 1), have large uncertainties and are even not in stratigraphic order.

273 Therefore, these dates were excluded from the final age model.

274 Age-depth models were constructed using both the StalAge and BACON age  
275 models (Fig. 3B, C). The blue shapes show the dates with two standard deviations, the  
276 green line indicates the most likely age model, and the red lines show the 95 %  
277 confidence limits of the age models (Fig. 3B and C). StalAge and BACON gave similar  
278 results, which show that the conclusions of this study are not sensitive to the choice of  
279 the specific age model. Herein, we report the ages derived from the BACON age model.

280 The KPC1 record spans the period between 500 BCE and 1900 CE. Based on  
281 the physical properties of the stalagmite and chronological uncertainty, we therefore  
282 focus the further interpretation on the top 15 cm of the stalagmite (c. 500–1900 CE),  
283 which is above a possible hiatus, visible by a less dense layer in the CT image (arrow  
284 in Fig. 2A) and a porous and white layer in the scan picture (arrow in Fig. 2B).

285

#### 286 **4.2 Multi-Proxies**

287 The CT images are displayed in grayscale (Fig. 2A), where lower densities are  
288 observed in areas with a high porosity, between 15 and 16 cm from the top of KPC1  
289 (Fig. 2A). There is little variation in the CT scan numbers except for distinctly lower  
290 values at 15.6 cm. The cut and polished sample (Fig. 2B) show growth layers with  
291 distinctly darker bands interlayered with lighter bands. Small cavities are observed at  
292 the bottom of the sample, between 15.8 and 15.6 cm, and at 6.8 and at 5.8 cm from the  
293 top (Fig. 2B). The gray scale values range from 34–237 with a mean value of 127 (S.D.  
294 = 25). Darker layers exhibit lower gray scale values (< 100). These alternate with  
295 intervals of higher gray scale values (brighter color layers) (Fig. 4A, B).

296 The XRD data indicate that KPC1 is composed of calcite. For trace element  
297 composition, nine elements were monitored (Mg, Sr, Ba, Pb, Al, Mn, Fe, Cu, and Zn).  
298 Each elemental profile is plotted and visually compared with each other, and then PCA  
299 is performed (Supplementary Fig. S1). The commonly investigated elements Mg, Sr,  
300 Ba display low correlation and the concentrations of Pb and Al are mostly below  
301 detection limits. While the elemental profiles of Fe, Mn, Cu and Zn show similar  
302 patterns (Fig. 4C–F) with a correlation of  $R^2 > 0.6$  ( $n = 46,538$ ). Higher elemental  
303 concentrations (Fe, Mn and Zn) are observed with lower gray scale values/darker  
304 colored layers (Fig. 4).

305 The  $\delta^{13}\text{C}$  values of KPC1 ranged from -12.4 to -8.7 ‰ with a mean value of -  
306 10.4 ‰ (S.D. = 0.8‰; Fig. 5C). Lower  $\delta^{13}\text{C}$  values are observed in some layers with

307 higher trace element concentrations during 500–850 CE and ~1150–1250 CE (Fig. 5A–  
308 C). The  $\delta^{13}\text{C}$  values show oscillation around a long-term mean with centennial-scale  
309 variations and a millennium-long negative trend from 500–1250 CE, and positive trend  
310 from ~1350 to 1900 CE. The KPC1  $\delta^{18}\text{O}$  values range from -7.5 to -11.9 ‰ with a  
311 mean value of -9.2 ‰ (S.D. = 0.8‰; Fig 5D). The  $\delta^{18}\text{O}$  values exhibit centennial-scale  
312 fluctuations with large amplitude changes observed during 500–850 CE and 1150–1350  
313 CE (Fig. 5D).

314

## 315 **5. Discussions**

316

### 317 **5.1 Interpretation of paleoclimate proxies**

318

#### 319 *Trace elements, gray scale values and Stalagmite $\delta^{13}\text{C}$*

320 Trace elements in speleothems can be transported from particles, colloids, and  
321 solutes in karstic waters (Fairchild and Treble, 2009a). For KPC1, a strong correlation  
322 ( $R^2 > 0.6$ ) among trace elements (Fe, Mn, Cu, and Zn) suggests that one main  
323 geochemical process has a first-order control on these elements. In contrast to the  
324 solution-preference of divalent cations, metal elements (Fe, Mn, Cu, and Zn) have a  
325 tendency to show a strong sorption behavior in soils and organic ligands (Blaser et al.,  
326 2000; Hartland and Zitoun, 2018). Higher concentrations of such elements in  
327 speleothems would be expected in water associated with transport of organic molecules,  
328 colloidal and particle phases during periods of strong water flow/stronger rainfall  
329 (Borsato et al., 2007; Fairchild and Treble, 2009b; Zhou et al., 2008). Thus, the  
330 elemental peaks in the dark colored layers of KPC1 (Fig. 4) likely reflect stronger  
331 rainfall at the cave site.

332 Several studies indicate that speleothem  $\delta^{13}\text{C}$  are controlled by local processes  
333 at cave sites, such as changes in local hydrology, soil activity, vegetation density and  
334 composition, and effective infiltration (Baker et al., 1997; Breitenbach et al., 2015;  
335 Fairchild and Treble, 2009b; Fohlmeister et al., 2011). For the darker layers of KPC1,  
336 we observe a negative correlation between  $\delta^{13}\text{C}$  and the trace elements compositions  
337 (lower  $\delta^{13}\text{C}$  values, higher trace element concentrations). Previous studies have  
338 suggested that stronger rainfall conditions enhance vegetation density and soil  
339 microbial productivity can lead to lower stalagmite  $\delta^{13}\text{C}$  values (Cheng et al., 2016;  
340 Deininger et al., 2012; Fohlmeister et al., 2011; Tan et al., 2015). Both the high trace

341 elements and lower  $\delta^{13}\text{C}$  values observed in the KPC1 darker layers suggest strong  
342 rainfall. Therefore, the trace elements and  $\delta^{13}\text{C}$  records of KPC1 suggest high  
343 variability and frequent pluvial events between 500–850 and 1150–1250 CE.

344

#### 345 *Climatic significance of $\delta^{18}\text{O}$*

346 It is important to test whether speleothem carbonate is deposited under isotopic  
347 equilibrium conditions before attempting any climatic interpretation of stalagmite  $\delta^{18}\text{O}$ .  
348 We first calculated the correlation factors ( $R^2$ ) between  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  for KPC1  
349 (Supplementary data Fig. S2); the correlation factor ( $R^2 = 0.27$ ) argues against  
350 disequilibrium driven isotope fractionation during speleothem growth (Hendy, 1971).  
351 We further calculated rainfall  $\delta^{18}\text{O}$  from the near-modern portion of speleothem  $\delta^{18}\text{O}$   
352 ( $\sim 9\text{‰}$  VPDB, Fig. 5), using the equation provide by O'Neil et al. (1969), and an annual  
353 mean cave temperature of 24.3 °C. The calculated rainfall  $\delta^{18}\text{O}$  ( $\sim -6.9\text{‰}$  VSMOW) is  
354 in an agreement with amount-weighted annual rainfall  $\delta^{18}\text{O}$  near the cave site ( $\sim -6.6$   
355  $\text{‰}$  VSMOW; Fig. 6A), which further suggests that KPC1 was deposited under isotopic  
356 equilibrium conditions, with particularly the near-modern speleothem  $\delta^{18}\text{O}$  values  
357 being robust.

358 The variations of KPC1  $\delta^{18}\text{O}$ , therefore, capture the variations of  $\delta^{18}\text{O}$  in the rainfall  
359 above the cave, and cave temperature. Considering that the temperature changes are  
360 likely to be within 1 °C during the growth period of KPC1 (Moberg et al., 2005), with  
361 a small temperature-dependent fractionation factor of  $\sim 0.25\text{‰}/\text{°C}$ , (O'Neil et al.,  
362 1969), we conclude that the KPC1  $\delta^{18}\text{O}$  changes ( $\sim 2\text{--}4\text{‰}$ ) largely result from the  
363 variations in the rainfall  $\delta^{18}\text{O}$  at the cave site.

364 The  $\delta^{18}\text{O}$  inferred from speleothems in Asian monsoon regions is commonly  
365 interpreted as an indicator of the monsoon intensity through a variety of proposed  
366 mechanisms, with lower  $\delta^{18}\text{O}$  values reflecting wetter conditions/stronger monsoon and  
367 higher values reflecting drier conditions/weaker monsoon (Cheng et al., 2016; Dykoski  
368 et al., 2005; Sinha et al., 2011a; Wang, 2001; Zhang et al., 2008). Such interpretation,  
369 however, is subject to severe debates (Beck et al., 2018; Caley et al., 2014; Clemens et  
370 al., 2010; Liu et al., 2014; Pausata et al., 2011b). Therefore, a better understanding of  
371 speleothem  $\delta^{18}\text{O}$  requires the examination of the rainfall  $\delta^{18}\text{O}$  under the present day  
372 climate (Breitenbach et al., 2010; Dayem et al., 2010; Johnson and Ingram, 2004; Ruan  
373 et al., 2019).

374 Here, we analyzed a 2-year time series of monthly rainfall  $\delta^{18}\text{O}$  values ( $\delta^{18}\text{O}_p$ )  
375 between 2016 and 2017 from Uthai Thani province (approximately 50 km from the  
376 study site). The data show a broadly negative correlation between the monthly mean  
377  $\delta^{18}\text{O}_p$  values and rainfall amount (Fig. 6A;  $R^2 = -0.71$ ,  $P = 12$ , S.D. = 0.1‰), in  
378 accordance with a recent study in the region (Tan et al., 2019), suggesting that the local  
379 “amount effect” (Dansgaard, 1964) plays a crucial role in the rainfall  $\delta^{18}\text{O}$  values.

380 In addition to the local rainfall amount, changes in the rainout prior to the region or  
381 the upstream “amount effect” may also influence the local rainfall  $\delta^{18}\text{O}$  (Raghavan et  
382 al., 2018; Wei et al., 2018; Yang et al., 2016). We, therefore, further evaluated the  
383 contributions from different moisture sources by analyzing local rainfall  $\delta^{18}\text{O}$  values  
384 ( $\delta^{18}\text{O}_p$ ) together with air mass back-trajectories retrieved from NOAA's Hybrid Single  
385 Particle Lagrangian Integrated Trajectory (HYSPLIT4) model with the NCAR/NCEP  
386 Reanalysis 2.5 deg gridded data set. We calculated 6-day back trajectories daily from  
387 the site at 15°05' N, 99°25' E for the period from 2000–2009 (Fig. 6B). The rain-bearing  
388 trajectories (> 8.5 mm/day) were then selected based on rainfall amounts derived from  
389 the ERA-Interim data downloaded from the European Centre for Medium-Range  
390 Weather Forecasts public datasets and clustered into three main rain-bearing trajectory  
391 sources. The HYSPLIT model shows that the rainfall moisture comes predominately  
392 (> 85%) from the Indian Ocean (May–September), and the rest from the Western  
393 Pacific (15%) generally during October–December (Fig. 6B). Based on data from the  
394 TMD (1962–2017), Thailand is influenced by tropical storms from the South China Sea  
395 during September and October. This may contribute to the 15% of rainfall from the  
396 western Pacific to the study site.

397 Increased (decreased) rainfall upstream at the tropical Indian Ocean could transport  
398  $^{18}\text{O}$  depleted (enriched) moisture towards the western Thailand, and so contribute to a  
399 negative (positive) shift of speleothem  $\delta^{18}\text{O}$ . In consideration of both local, upstream  
400 amount effects, and atmospheric conditions, we interpret the speleothem  $\delta^{18}\text{O}$  changes  
401 at our study site as a record of integrated changes in the rainfall amount from the ISM  
402 with minor contributions from other different moisture pathways. The lower stalagmite  
403  $\delta^{18}\text{O}$  values reflect more rainfall and vice versa. Thus, the KPC1  $\delta^{18}\text{O}$  record suggests  
404 a high variability in rainfall during 500–900 CE and 1150–1350 CE; a stable condition  
405 between 900–1150 CE, and a dry condition during 1350–1550 CE with a multi-  
406 centennial-scale dry trend from ~1350 to 1900 CE.

407 Furthermore, replication of speleothem  $\delta^{18}\text{O}$  records from different caves in the  
408 region is important to test whether the KPC1  $\delta^{18}\text{O}$  reflects ISM rainfall variability. We  
409 compared KPC1  $\delta^{18}\text{O}$  with the speleothem  $\delta^{18}\text{O}$  record from Klang cave, west coast of  
410 southern Thailand (Tan et al., 2019). On a multi-centennial scale, the two records show  
411 a good deal of consistency, e.g., frequent wet conditions during 500–900 CE and dry  
412 trends since 1350 CE (Fig. 7 a, b). The two records however slightly differ on a multi-  
413 decadal time scale, possibly due to the coarser resolution and relatively large age  
414 uncertainties of the KPC1 record. Nevertheless, the accordance of the two records  
415 supports our interpretation of the KPC1  $\delta^{18}\text{O}$  as a proxy for ISM rainfall variability,  
416 particularly on centennial time scale.

417 The relationship between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  in KPC data varies through time. They are  
418 positively correlated during 500–1150 CE and 1350–1900 CE, but they show opposite  
419 trends during 1150–1350 CE. The  $\delta^{13}\text{C}$  values and trace element records suggest strong  
420 local rainfall during 1150–1250 CE. In contrast, higher  $\delta^{18}\text{O}$  values indicate a reduction  
421 of the integrated ISM rainfall. We also compared KPC1  $\delta^{13}\text{C}$  data with the recent  
422 speleothems  $\delta^{13}\text{C}$  record from Tham Doun Mai cave, Laos (Wang et al., 2019). On a  
423 multi-centennial scale, KPC1  $\delta^{13}\text{C}$  indicates wet conditions from 500–900 CE and dry  
424 trends since 1300 CE, which are comparable with the TM-17  $\delta^{13}\text{C}$  record from Laos  
425 (Fig. 7 c, d).

426 These findings emphasize the importance of using multi-proxy geochemical  
427 tracers to reconstruct hydroclimate at the cave site. The  $\delta^{18}\text{O}$  values probably reflect  
428 the influence of large-scale ocean-atmospheric processes rather than the total amount  
429 of precipitation at the site. Similarly, if the ISM begins earlier, more rainfall (e.g. lower  
430  $\delta^{18}\text{O}$  values) would be recorded (as opposed to an increased ‘intensity’). In contrast,  
431 higher  $\delta^{18}\text{O}$  values may signify more frequent monsoon breaks or a later onset of the  
432 ISM. This may explain the leads and lags between  $\delta^{18}\text{O}$  and other proxies in response  
433 to past rainfall variability.

434

## 435 **5.2 Comparison with other paleoclimatic records from Asian monsoon** 436 **regions**

437 To better understand spatial patterns of hydroclimate variability, we compared  
438 the KPC1 multi-proxy record with other paleoclimatic records (Fig. 8): (1) stalagmite  
439  $\delta^{18}\text{O}$  data from Dandak cave, India (Berkelhammer et al., 2010; Sinha et al., 2011b),  
440 (2) Palmer Drought Severity Index (PDSI) based on tree-ring data from southeast Asia

441 (Cook et al., 2010), (3) a multi-proxy record of Lake Pa Kho, northeastern Thailand  
 442 (Chawchai et al., 2015; Yamoah et al., 2016a, 2016b), (4) sediment data from Angkor  
 443 Wat and Angkor Thom reservoir in Cambodia (Day et al., 2012; Penny et al., 2019),  
 444 (5) stalagmite  $\delta^{13}\text{C}$  from Tham Doun Mai cave, Laos (Wang et al., 2019), (6)  $\delta^{18}\text{O}$  data  
 445 of ostracods from Lake Ao Tiên, Vietnam (Stevens et al., 2018), (7) grain size records  
 446 from Cattle Pond on Dongdao Island, South China Sea (Yan et al., 2011), (8) stalagmite  
 447  $\delta^{18}\text{O}$  data from Klang cave, southern Thailand (Tan et al., 2019), (9) a terrestrial plant  
 448 leaf wax ( $\delta\text{D}_{\text{wax}}$ ) record of marine sediments from the Makassar Strait, southwest  
 449 Sulawesi (Tierney et al., 2010) and (10) a PCA of  $\delta^{18}\text{O}$  stalagmite LL<sub>pc1</sub> from Liang  
 450 Luar cave, Flores, Indonesia (Griffiths et al., 2016).

451 The wetter and drier intervals (more/less rainfall) presented in Fig. 8 follow the  
 452 interpretations in the original publications. Among these records, the multi-proxy  
 453 record established from Lake Pa Kho, stalagmite data from Tham Doun Mai cave,  
 454 Klang cave and Liang Luar cave, and the leaf wax ( $\delta\text{D}_{\text{wax}}$ ) record from Southwest  
 455 Sulawesi extend back to the last 2,000 years. Stalagmite  $\delta^{18}\text{O}$  of Dandak cave in India  
 456 covers the last 1,400 years. The last millennia records are from Cattle Pond and Angkor  
 457 Wat reservoir, and the past 700–800 years of the Southeast Asia tree-ring data set and  
 458 the Lake Ao Tiên record from Vietnam.

459

#### 460 ***500–850 CE***

461 The multi-proxy data of KPC1 suggest that on a centennial timescale, conditions  
 462 alternate between wet and dry phases during 500–850 CE. This finding is consistent  
 463 with the fluctuating hydroclimate conditions during 600–900 CE observed in the  
 464 stalagmite  $\delta^{18}\text{O}$  data from Dandak cave, India, and during 500–700 CE in Pa Kho,  
 465 northeastern Thailand inferred from biomarker  $\delta\text{D}_{\text{wax}}$  values. Stalagmite  $\delta^{13}\text{C}$  data from  
 466 Tham Doun Mai cave, Laos showed more negative values associated with wetter  
 467 conditions from c. 50 BCE to 750 CE. Stalagmite  $\delta^{18}\text{O}$  data from Klang cave indicated  
 468 an overall trend towards dry conditions for the last 2700 years, with wetter conditions  
 469 from 800 BCE–900 CE. For equatorial regions, the  $\delta\text{D}_{\text{wax}}$  record from southwest  
 470 Sulawesi showed a continuously strong rainfall from 450 to 1000 CE. Stalagmite  $\delta^{18}\text{O}$   
 471 data from Liang Luar cave also suggested wetter conditions between 400–1000 CE.

472

#### 473 ***850–1350 CE: Medieval Climate Anomaly (MCA)***

474 The multi-proxy data of KPC1 indicate two-stages of hydroclimate changes in

475 western Thailand. During the first stage (850–1150 CE), the trace elements  
476 concentrations were low and the gray scale values were above average. However, the  
477  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values remained close to the average value and did not show large  
478 changes in mean hydroclimate state. During the second stage (1150–1350 CE), the  $\delta^{13}\text{C}$   
479 and  $\delta^{18}\text{O}$  values show opposite trends. The low  $\delta^{13}\text{C}$  values and high trace element  
480 contents suggested a strong local rainfall during 1150–1250 CE, while the high  $\delta^{18}\text{O}$   
481 values indicate a reduction of integrated rainfall along ISM trajectory. Therefore, the  
482 relatively low  $\delta^{13}\text{O}$  values and high  $\delta^{18}\text{O}$  values at this stage likely indicate a wet  
483 condition at the cave site but dry condition at the ocean moisture source region.

484 During MCA, wet conditions were inferred from stalagmite  $\delta^{18}\text{O}$  at Dandak cave, India;  
485 biomarker  $\delta\text{D}_{\text{wax}}$  of Pa Kho, Thailand; and sediments from Angkor Wat reservoir,  
486 Cambodia. This contrasts with the southern Thailand stalagmite  $\delta^{18}\text{O}$  of Klang cave,  
487 which showed dry conditions during the MCA. However, the  $\delta\text{D}_{\text{wax}}$  record from  
488 southwest Sulawesi also indicated drier conditions between 1000–1350 CE and a lower  
489 precipitation over Dongdao Island in the South China Sea between 1000–1400 CE.  
490 Stalagmite records from Flores, Indonesia also feature a decreased rainfall during the  
491 period of 1000–1400 CE.

492

#### 493 ***1350–1550 CE: Transitional period and 1500–1900 Little Ice Age (LIA)***

494 Centennial-long dry conditions were observed in the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  records of KPC1  
495 during 1350–1550 AD and in the  $\delta^{18}\text{O}$  records of Dandak cave between 1300–1450 CE.  
496 Other lines of evidence came from the PDSI index record from Southeast Asia tree-  
497 ring during 1340–1370 AD and 1400–1425 CE, as well as the lake records from  
498 northeastern Thailand, Cambodia, and Vietnam during 1300–1450 CE. The driest  
499 period was also registered at Tham Douang Mai cave, Laos during 1280–1480 CE, as  
500 inferred from the  $\delta^{13}\text{C}$  data. All published records in Southeast Asia showed uniformly  
501 dry conditions during the MCA–LIA transitional period.

502 On a multi-centennial scale, the KPC1 multi-proxy data showed oscillations around  
503 the mean but an overall trend towards dry conditions since 1350 CE. The biomarker  
504  $\delta\text{D}_{\text{wax}}$  values of Pa Kho also suggested a long-term drying trend after 1350 CE.  
505 Stalagmite  $\delta^{13}\text{C}$  data from Laos indicated overall drier conditions during the LIA. The  
506 stalagmite  $\delta^{18}\text{O}$  of Klang cave showed dry trends after the MCA. In contrast, the  $\delta^{18}\text{O}$   
507 data of ostracods from Lake Ao Tiën, Vietnam suggested overall wetter conditions  
508 since 1500 CE. The  $\delta\text{D}_{\text{wax}}$  from Sulawesi indicated a stronger rainfall after 1700 CE,

509 while the stalagmites  $\delta^{18}\text{O}$  records from Liang Luar cave suggested wetter conditions  
510 during 1400–1900 CE.

511

512 In general, hydroclimate records from Southeast Asia support wet conditions from  
513 500–800 CE (before MCA). For the last 1,200 years, the opposing hydroclimate  
514 patterns between records from India and Mainland Southeast Asia in the north and the  
515 equatorial region in the south have been explained by their locations relative to the  
516 migration of the ITCZ (Sinha et al., 2011b; Tierney et al., 2010). A strengthened Asian  
517 summer monsoon in combination with a weak Australian monsoon, would have led to  
518 a shift of the tropical rain belt northward of Indonesia, leading to droughts in equatorial  
519 regions during the MCA (Griffiths et al., 2016, 2009). The opposite would have been  
520 the case when the Asian summer monsoon winds was weaker, and the mean position  
521 of the tropical rain belt shifted over Indonesia during the LIA. Similar conclusions have  
522 been drawn based on a record from the central equatorial Pacific during 1400–1900 CE  
523 (Griffiths et al., 2016; Sachs et al., 2009).

524 However, the north-south migration of the ITCZ cannot explain the similar pattern  
525 of the records from the western Pacific and the tropics. Yan et al. (2015) suggested a  
526 latitudinal contraction in the range over which the ITCZ seasonally migrated during the  
527 LIA. Denniston et al. (2016) proposed that the ITCZ/tropical rain belt expanded and  
528 contracted in some regions over the last three millennia, resulting in symmetrical  
529 interhemispheric changes in rainfall (i.e., drier in both the northern and southern  
530 hemispheres during periods of reduced northern hemisphere temperature) rather than  
531 an anti-phased response. Although, the modeled contraction of the ITCZ can explain  
532 both the dry conditions at Klang cave (8° N, southern Thailand) and equatorial regions  
533 during the MCA, discrepancies between records from the western side of Mainland  
534 Southeast Asia (such as KPC1 and Klang cave) and from the eastern side of Mainland  
535 Southeast Asia (such as Vietnam) and the South China Sea are observed during the  
536 LIA. The modeled contraction of the ITCZ/tropical rain belt, as proposed by Denniston  
537 et al. (2016), cannot describe this east-west opposite pattern. We suggest that the ISM  
538 is the dominant control on precipitation variability in the western part of Mainland  
539 Southeast Asia (Myanmar and Thailand), but the PWC may have been the overriding  
540 control on precipitation on the sites located closest to the EASM and WNPM (Vietnam)  
541 as proposed by Yan et al. (2011) and Stevens et al. (2018).

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### 5.3. Comparison to historical records

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Several assumptions on the waxing and waning of the kingdoms in Southeast Asia, especially “Angkor”, have been formulated related to monsoon rainfall (Buckley et al., 2014, 2010; Day et al., 2012; Lieberman and Buckley, 2012; Vickery, 1998). It has been speculated that a decrease in rainfall and multiple extreme droughts contributed to their demise (Buckley et al., 2010, 2014; Cook et al., 2010; Day et al., 2012). Here, we discuss the KPC1 data together with historical records, within the context of hydrological changes, during the major time events of the Late Iron Age (c. 200–600 CE), Pluvial Period (c. 850–1150 CE), and Elevated Hydroclimate Variability (1150–1350 CE) (Fig. 5).

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In northeastern Thailand, archeologists found a significant number of agricultural tools dating back to the Late Iron Age (200–600 CE; Fig. 5), which indicate the formation of complex societies (Higham et al., 2011, 2019; Higham and Higham, 2009). Moated settlements surrounded by multiple banks and channels are an important feature of the Late Iron Age in the Mun River Valley, which is approximately 360 km east from the KPC1 study site (Boyd, 2008; O’Reilly, 2008; Scott and O’Reilly, 2015). It has been suggested that moats were made in response to a less reliable water supply, droughts, and competition over resources (Boyd, 2008; O’Reilly, 2008; Scott and O’Reilly, 2015). This represents a human response to water availability (McGrath et al., 2008). For the abandonment of Late Iron Age sites (500–550 CE), Boyd (2008) suggested that the social and environmental changes were a response to an increasingly variable and less reliable water supply. Wohlfarth et al. (2016) have proposed that the weakening of the summer monsoon stimulated a positive adaptive response involving the establishment of irrigated agriculture. These historical records related to water availability are comparable to the hydroclimate fluctuations inferred from multi-proxy of KPC1 during 500–850 CE (Fig.5).

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A period of ample rainfall, which seems to coincide with the MCA (900–1300 CE), contributed to the early success of the ‘charter states’ in Mainland Southeast Asia (Buckley et al., 2010, 2014; Evans et al., 2013a; Lieberman and Buckley, 2012). The multi-proxy record of KPC1 suggests stable climate conditions from 850–1150 CE (Fig. 5), which would support the establishment and expansion of the agrarian economy that assisted the rise of the Ancient societies in Southeast Asia.

576

The KPC1 record shows a high variability in rainfall between 1150–1350 CE and

577 droughts in the late phase of MCA/transition to LIA (since 1350 CE), which is  
578 consistent with the new paleobotanical and stratigraphic records from sediments at  
579 Angkor Thom, Cambodia (Penny et al., 2019). This strong variability in hydroclimate  
580 conditions and long dry conditions would have executed a significant stress on human  
581 societies in the past. Together with urbanization, this may have stretched the  
582 hydrological systems to their limit. We speculate that high variability in rainfall may  
583 have played a significant role in the demise of ancient societies in Southeast Asia in the  
584 mid-15<sup>th</sup> century (Evans et al., 2013b; Lieberman and Buckley, 2012).

585

## 586 **6. Conclusions**

587 We present the first multi-proxy stalagmite record from western Thailand that  
588 allows a reconstruction of the hydroclimate variability over the last 1,400 years. The  
589 KPC1 multi-proxy data set reveals a high variability between wet and dry periods  
590 during 500–850 CE and 1150–1350 CE, a stable condition during 850–1150 CE, and  
591 an overall trend towards dry conditions since 1350 CE. The new KPC1 multi-proxy  
592 stalagmite data from Thailand fills a prior gap in the spatial coverage between China  
593 and Indonesia and advances our understanding of spatial patterns of Asian monsoon  
594 variability. Our study suggests that the ISM is the dominant controlling factor on  
595 precipitation variability in western Thailand. The wetness/dryness seen in India and  
596 Mainland Southeast Asia is likely due to a strengthening/weakening of the mean  
597 westerly moisture transport from the Indian Ocean. However, the PWC may have been  
598 the overriding control on precipitation at the sites located closed to the EASM and  
599 WNPM (such as the eastern sides of Mainland Southeast Asia and South China Sea).  
600 This study allows us to examine the history of civilization changes in Southeast Asia  
601 for the last 1,400 years in the context of varying hydroclimate conditions. We note that  
602 the extreme climate variability and droughts occurring at the end of ancient civilizations  
603 response to rainfall variability is consistent with the findings of previous studies based  
604 on tree-rings, lake sediments, and historical documents in Southeast Asia.

605

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620

### 621 **Figure captions**

622 **Fig. 1(A)**. Annual total precipitation (mm, GPCP). **(B)** Locations of Khao Prae cave  
623 (white star), western Thailand. **(C)** Mean monthly rainfall and temperature (1962–2017  
624 AD) for Uthai Thani province, which is situated 50 km NE to Khao Prae cave. GPCP  
625 Precipitation data are provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado,  
626 USA, from their Web site at <https://www.esrl.noaa.gov/psd/>

627

628 **Fig. 2 (A)** Two-dimensional images of the KPC1 sample from a CT scan in grayscale.  
629 Lower densities are indicated by a darker grayscale color and vice versa. **(B)** Halved  
630 sections of stalagmite KPC1. Red squares show the locations for XRD analysis. Red  
631 arrows show possible location of a hiatus.

632

633 **Fig. 3 (A)** Halved sections of the stalagmite with locations for dating and the results  
634 from the **(B)** StalAge and **(C)** BACON age models. The blue shapes show the dates  
635 with two standard deviations, the green line indicates the likely age model, and the red  
636 lines show the 95% confidence ranges of the age models. See Table 1 for U-Th ages.

637

638 **Fig. 4 (A)** Transect sections of stalagmite KPC1, where the red line shows transect  
639 drilled for trace element analysis and blue dotted line for  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  analysis **(B)**  
640 Gray scale values and elemental profiles of **(C)** Fe, **(D)** Mn, **(E)** Zn, and **(F)** Cu.

641

642 **Fig. 5** Time series of **(A)** elemental profile of Fe, **(B)** gray scale values, **(C)**  $\delta^{13}\text{C}$  values,  
643 and **(D)**  $\delta^{18}\text{O}$  values. Gray color bars represent intervals of higher trace element

644 compositions. Blue color reflects wet conditions/strong rainfall and brown color  
645 represent dry conditions at the cave site.

646

647 **Fig. 6 (A)** Monthly mean  $\delta^{18}\text{O}$  values and monthly mean amount of rainfall from 2016  
648 to 2017 in Uthai Thani province **(B)** NOAA's Hybrid Single Particle Langarian  
649 Integrated Trajectory (HYSPLIT4) model with the NCAR/NCEP Reanalysis 2.5 deg  
650 gridded data set. HYSPLIT4 was used to analyze daily 6-day back trajectories from  
651 the site at 15°05' N, 99°25' E from 2000–2009. The rain-bearing trajectories (> 8.5  
652 mm/day) were selected based on rainfall amounts derived from ERA-Interim data  
653 downloaded from the ECMWF public datasets and clustered into three main rain-  
654 bearing trajectory sources.

655

656 **Fig. 7** Comparison of the KPC1 record with other speleothem records from  
657 Mainland Southeast Asia (a) Stalagmite TM-17  $\delta^{13}\text{C}$  from Tham Doun Mai cave, Laos  
658 (Wang et al., 2019), (b) Stalagmite KPC 1  $\delta^{13}\text{C}$  and (c) KPC 1  $\delta^{18}\text{O}$  (this study), and  
659 (d) Stalagmite TK from Klang cave, southern Thailand (Tan et al., 2019). Blue color  
660 bar reflects wet conditions/strong rainfall and brown color bar represents dry  
661 conditions.

662

663 **Fig. 8** Location of Asian monsoon paleo-records for the last 2000 years. Spatial and  
664 temporal variability of the paleoclimatic reconstructed from: (1) stalagmite  $\delta^{18}\text{O}$  data  
665 from Dandak cave, India (Berkelhammer et al., 2010; Sinha et al., 2011b), (2) Palmer  
666 Drought Severity Index (PDSI) based on tree-ring data from southeast Asia (Cook et  
667 al., 2010), (3) a multi-proxy record of Lake Pa Kho, northeastern Thailand (Chawchai  
668 et al., 2015; Yamoah et al., 2016a, 2016b), (4) sediment data from Angkor Wat and  
669 Angkor Thom reservoir in Cambodia (Day et al., 2012; Penny et al., 2019), (5)  
670 stalagmite  $\delta^{13}\text{C}$  from Tham Doun Mai cave, Laos (Wang et al., 2019), (6)  $\delta^{18}\text{O}$  data of  
671 ostracods from Lake Ao Tiên, Vietnam (Stevens et al., 2018), (7) grain size records  
672 from Cattle Pond on Dongdao Island, South China Sea (Yan et al., 2011), (8) stalagmite  
673  $\delta^{18}\text{O}$  data from Klang cave, southern Thailand (Tan et al., 2019), (9) a terrestrial plant  
674 leaf wax ( $\delta\text{D}_{\text{wax}}$ ) record of marine sediments from the Makassar Strait, southwest  
675 Sulawesi (Tierney et al., 2010), and (10) a PCA of  $\delta^{18}\text{O}$  stalagmite LL<sub>pc1</sub> from Liang  
676 Luar cave, Flores, Indonesia (Griffiths et al., 2016).

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679

680 **Table captions**

681

682 **Table 1** U and Th isotopic compositions ( $^{238}\text{U}$ ,  $^{234}\text{U}$ ,  $^{232}\text{Th}$ , and  $^{230}\text{Th}$ ) and  $^{230}\text{Th}/\text{U}$  ages  
 683 for subsamples of stalagmites KPC1. U decay constants:  $\lambda_{238} = 1.55125 \times 10^{-10}$  (Jaffey  
 684 et al., 1971) and  $\lambda_{234} = 2.82206 \times 10^{-6}$  (Cheng et al., 2013). Th decay constant:  $\lambda_{230} =$   
 685  $9.1705 \times 10^{-6}$  (Cheng et al., 2013).  $^*\delta^{234}\text{U} = ([^{234}\text{U}/^{238}\text{U}]_{\text{activity}} - 1) \times 1000$ .  $^{**}\delta^{234}\text{U}_{\text{initial}}$   
 686 was calculated based on  $^{230}\text{Th}$  age (T), i.e.,  $\delta^{234}\text{U}_{\text{initial}} = \delta^{234}\text{U}_{\text{measured}} \times e^{\lambda_{234} \times T}$ . Corrected  
 687  $^{230}\text{Th}$  ages assume the initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio of  $2.73 \pm 0.21 \times 10^{-6}$ .  $^{***}\text{B.P.}$   
 688 stands for “Before Present” where the “Present” is defined as the year 1950 CE.

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Figure 1

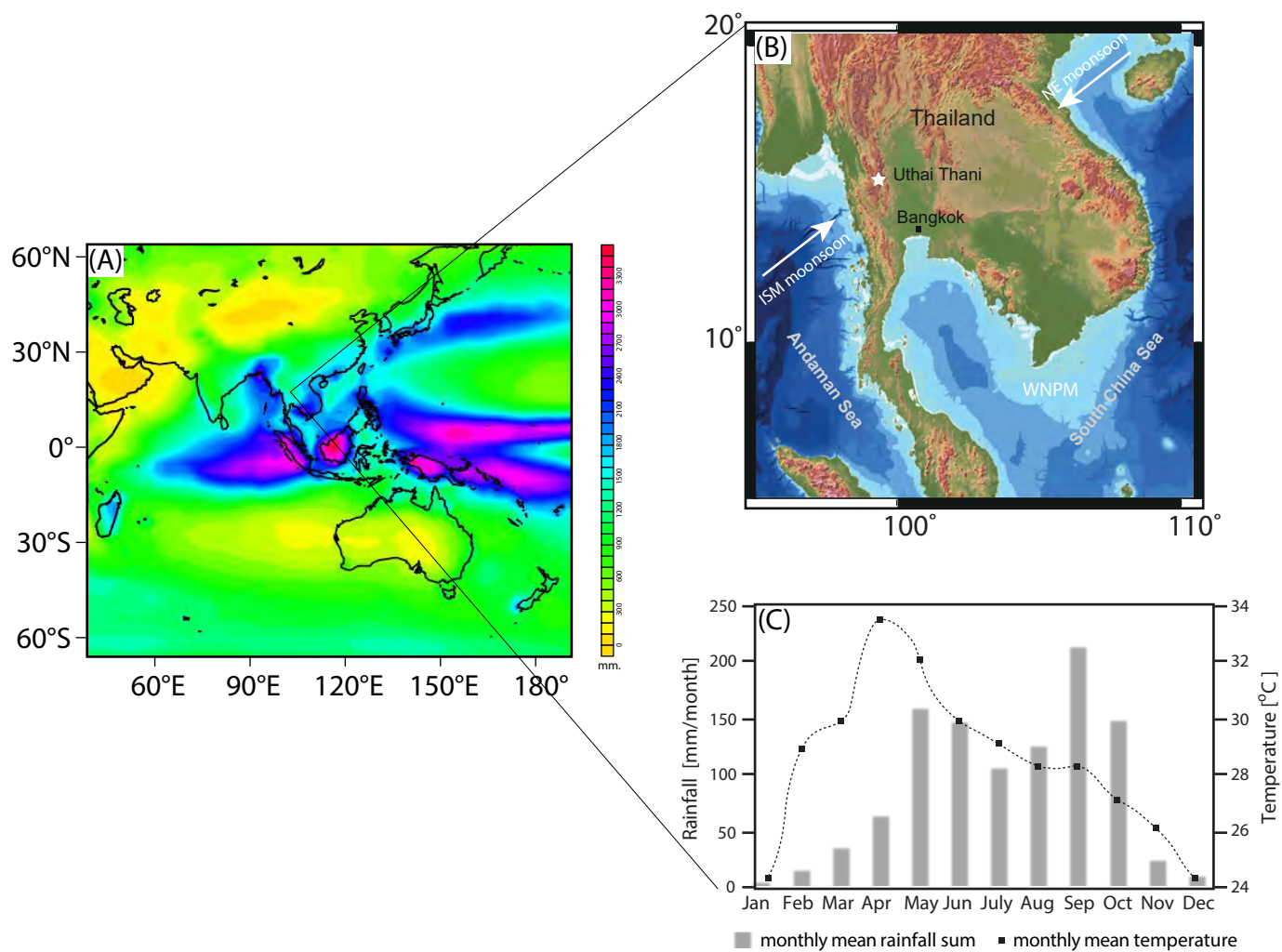


Figure 4

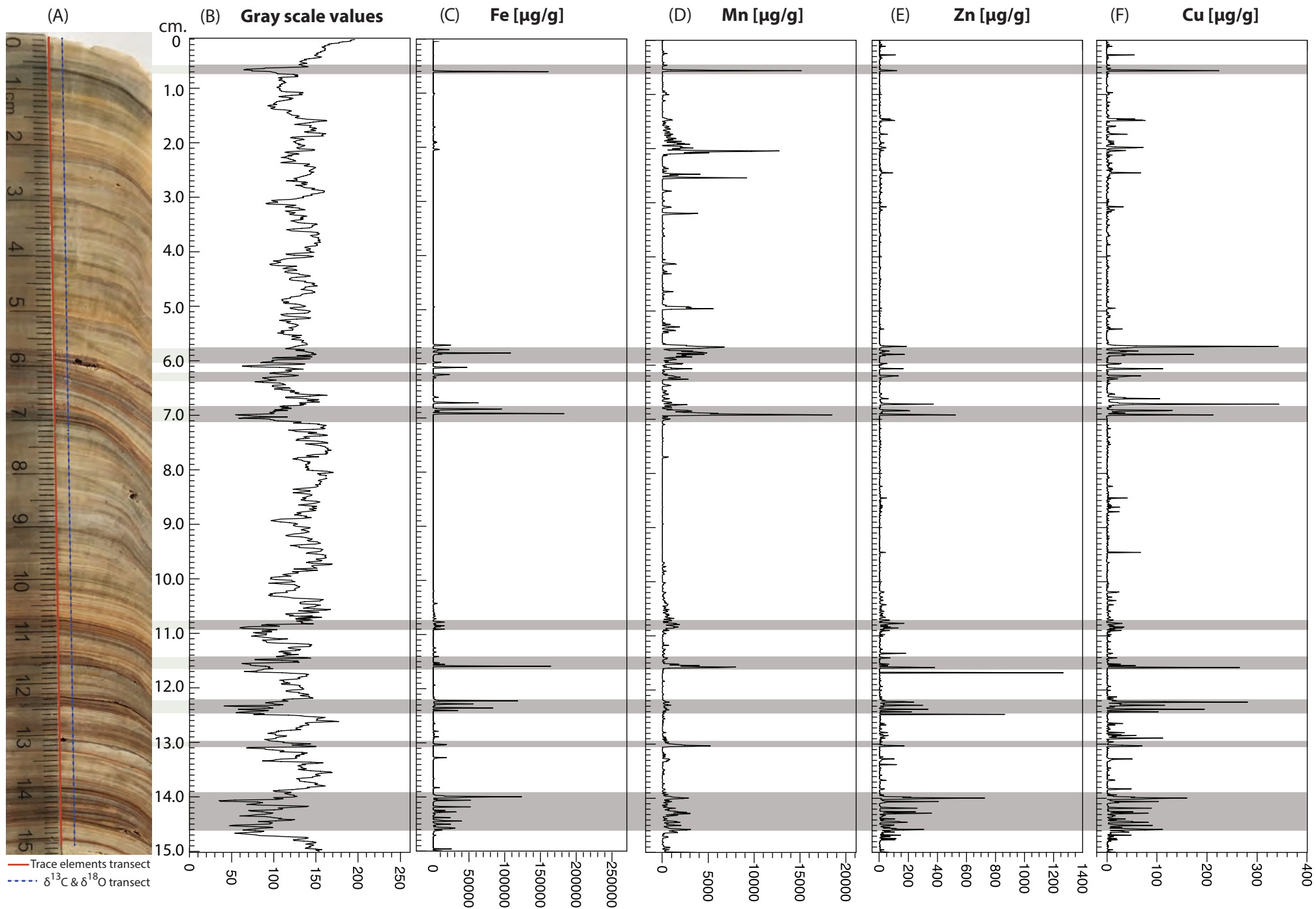


Figure 5

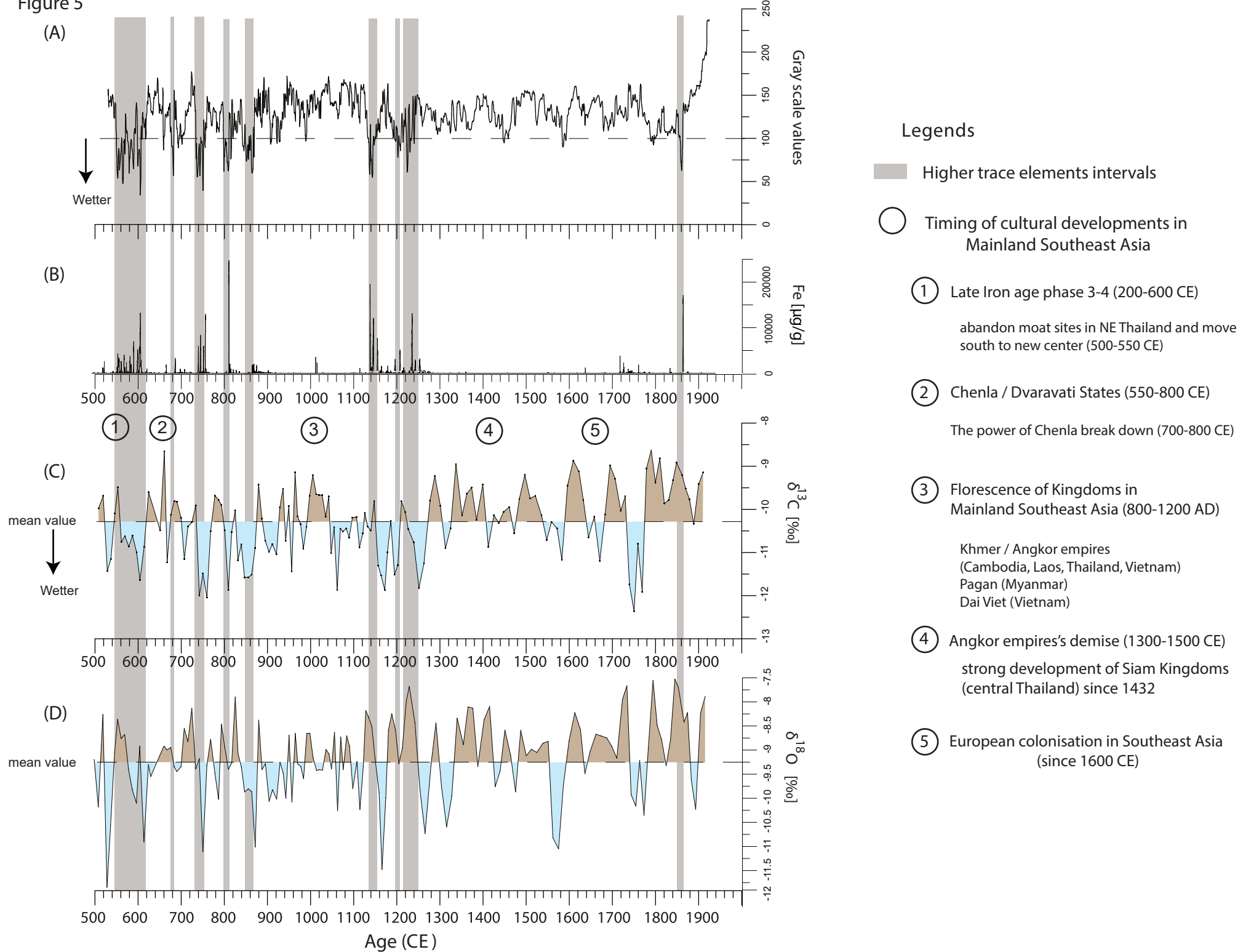


Figure 6

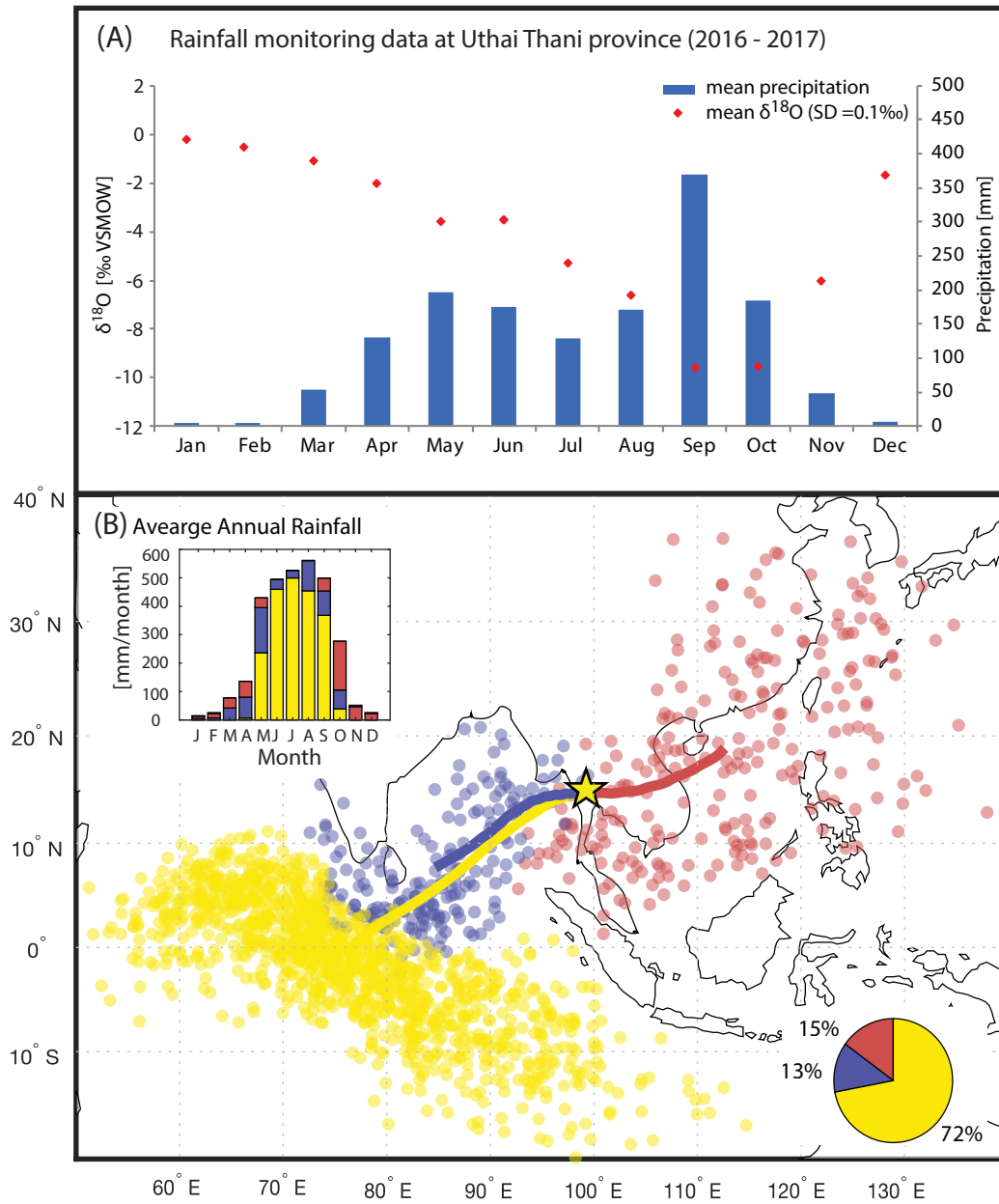


Figure 7

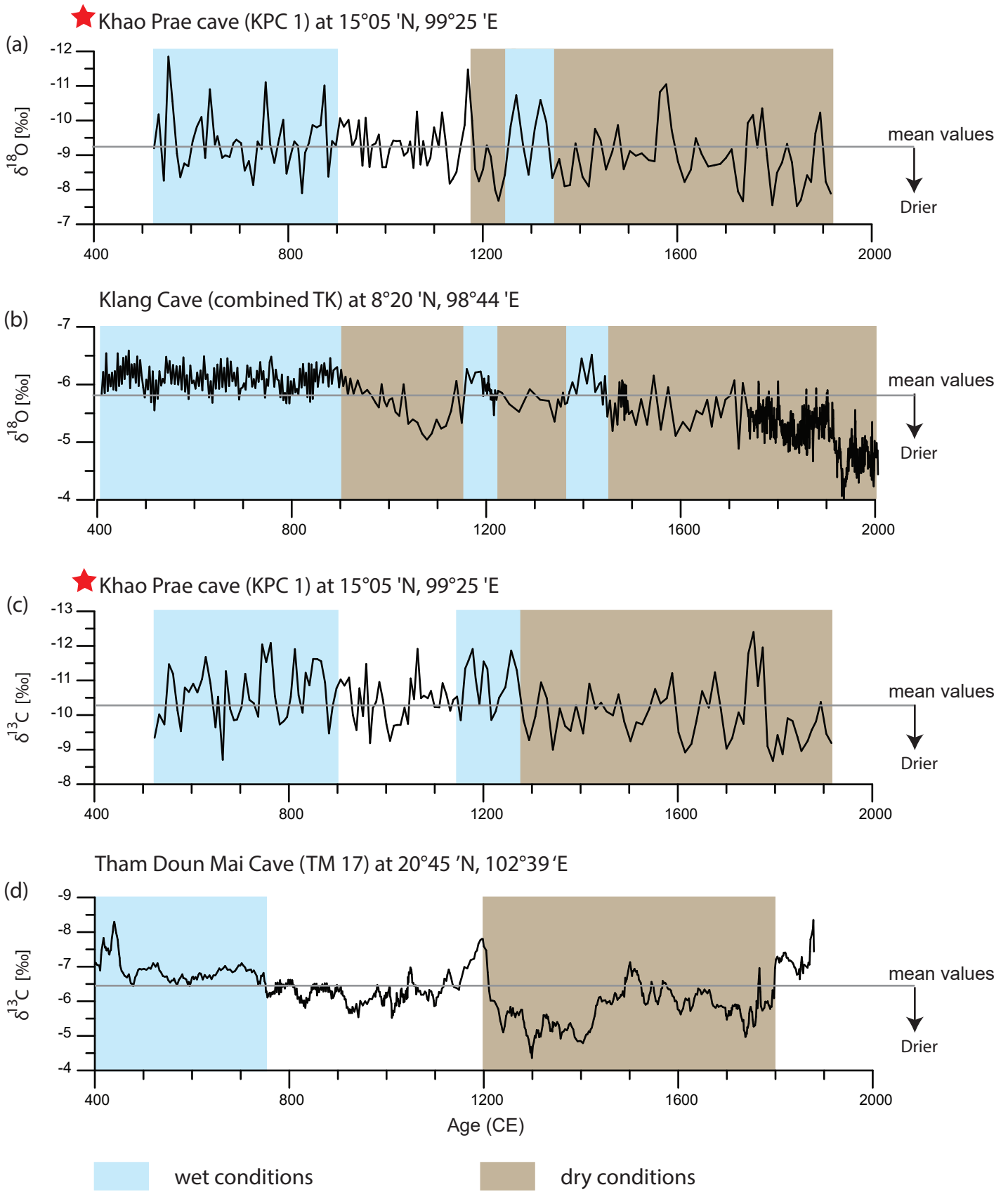
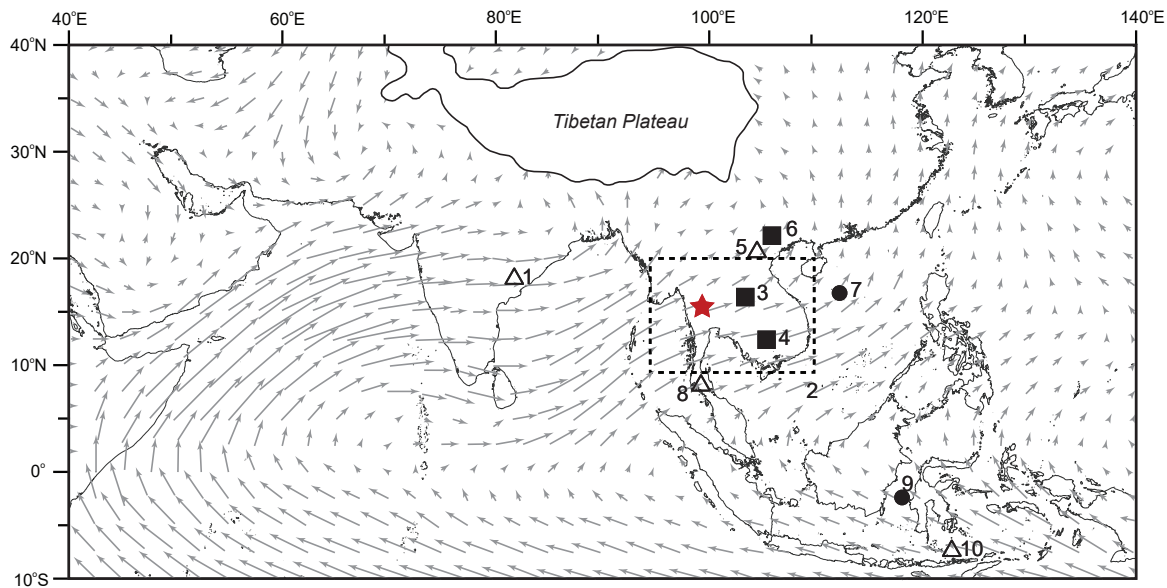
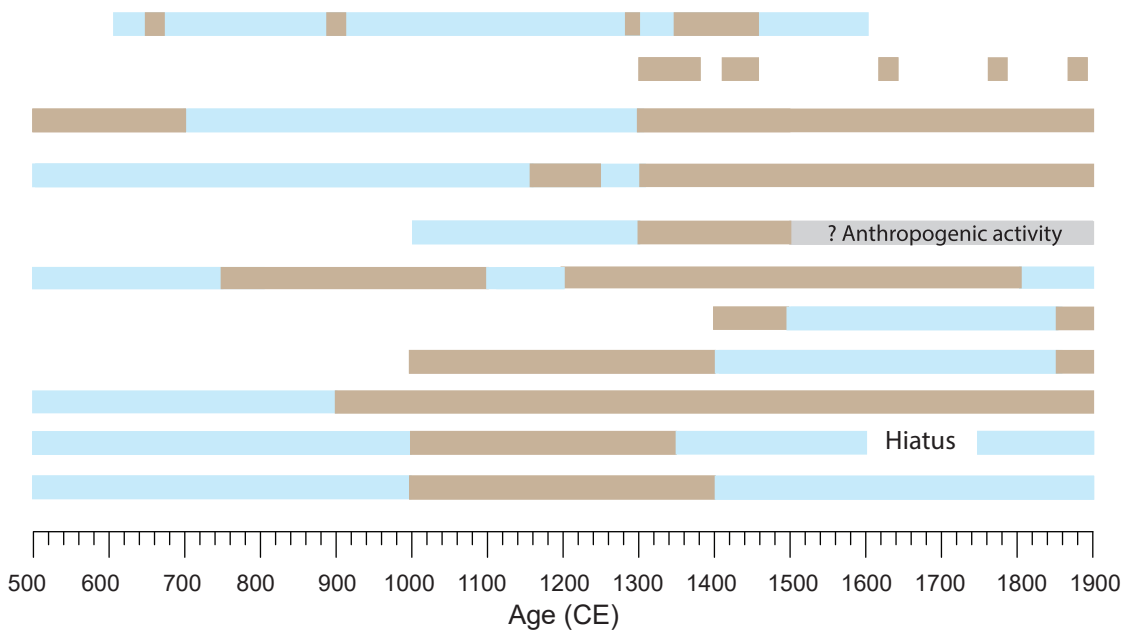


Figure 8



**Legends**

- Marine records
- △ Speleothem  $\delta^{18}\text{O}$  records
- ★ KPC1 This study
- Lake/reservoir records
- ⋯ Tree-ring data SE Asia
- Wetter condition
- Drier condition



- (1) Dandak cave
- (2) Tree-ring SE Asia
- (3) Lake Pa Kho
- ★ KPC1
- (4) Angkor Wat reservoir
- (5) Tham Doun Mai cave
- (6) Lake Ao Tiên
- (7) Cattle pond
- (8) Klang cave
- (9) SW Sulawesi
- (10) Liang Luar cave