

A bilayer swellable drug-eluting ureteric stent: Localized drug delivery to treat urothelial diseases

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Abstract

A bilayer swellable drug-eluting ureteric stent (BSDEUS) is engineered and implemented, as a sustained drug delivery platform technology that enhances localized drug delivery to the highly impermeable urothelium, for the treatment of urothelial diseases such as strictures and carcinomas. On deployment, the device swells to co-opt with the ureteric wall and ensure drug availability to these tissues.

BSDEUS consists of a stent spray-coated with a polymeric drug containing polylactic acid-co-caprolactone (PLC) layer which is overlaid by a swellable polyethylene glycol diacrylate (PEGDA) based hydrogel.

In-vitro quantification of released drug demonstrated a tunable time-profile, indicating sustained delivery over 1-month. The PEGDA hydrogel overlayer enhanced drug release and transport into explanted porcine ureteric tissues *ex-vivo*, under a simulated

33 dynamic fluid flow. A preliminary pilot *in-vivo* feasibility study, in a porcine model,
34 demonstrated that the swollen hydrogel co-apts with the urothelium and thus enables
35 localized drug delivery to the target tissue section. Kidney functions remained
36 unaffected and device did not result in either hydronephrosis or systemic toxicity.

37 This successful engineering of a bilayer coated stent prototype, demonstrates its
38 feasibility, thus offering a unique solution for drug-based urological therapy.

39 *Keywords:* drug-eluting stent; urological diseases; hydrogel; localized delivery

40 **1. Introduction**

41 The human ureter is a dynamic tubular structure that conveys urine from the kidney to
42 the bladder. Upper tract urothelial carcinomas and strictures, either iatrogenic or
43 lithogenic in nature, form the two most common disease states that plague the ureter.
44 The current standard of care for both conditions is endoscopic surgery, usually with
45 laser energy devices. However, surgical treatment is currently imperfect and has
46 sparked strong interest for adjuvant drug delivery systems in the upper urinary tract to
47 improve treatment outcomes for both conditions.

48 In low-risk upper tract urothelial carcinoma, organ-preservation with endoscopic
49 treatment is an option; however recurrences are common and for high risk cases of
50 carcinoma-in-situ occurring in the ureter, there is a dearth of optimal methods for drug
51 delivery. Current methods of retrograde bolus instillation of chemotherapy and
52 immunotherapy agents into the bladder are sub-optimal: Yossepowitch *et al.* [1]
53 demonstrated that only 59% of patients have adequate vesico-ureteric reflux hence it is
54 difficult to rely on clinical intravesical chemotherapy for bladder cancer treatment to
55 manage carcinoma in the upper tract. Percutaneous antegrade instillation through the
56 kidney on the other hand causes significant patient morbidity.

57 Ureteric strictures are highly prevalent in cases of stone disease. Between 14 to 24% of
58 patients with impacted ureteric stones develop post-operative ureteric strictures[2]. Re-
59 stricture rates after endoureterotomy can be as high as 47%[3], prompting utilization of
60 adjuvant topical anti-fibrotic agents such as mitomycin C, a drug which has been in
61 clinical use with encouraging results to mitigate the fibroblastic reaction in esophageal
62 and urethral strictures[4, 5].

63 The unmet challenges with the current treatment and management of these diseases
64 have prompted the urology community to innovate in the area of ureteric stent,
65 particularly in its drug-eluting stent (DES) technology. Ureteric stents are commonly
66 polyurethane-based tubular scaffolds placed within the ureter post-surgery to facilitate
67 ureteric dilation and healing. These stents are commonly inserted by the urologist

68 during surgery, and removed easily from the bladder via a cystoscope in the clinic
69 weeks later.

70 The use of ureteric DESs follows the same concept as those used in coronary
71 vessels[6-10]; The drug-eluting platform coated on the stent allows a controlled release
72 of drugs which limits the fibro-proliferative reaction and potentially minimizes re-stenosis
73 rates in the ureter[11]. Some examples include Urogen Pharma's thermo-reversible
74 hydrogel[12] that produces mitomycin C and Boston Scientific's Lexington® ketorolac-
75 eluting stent and Triumph® triclosan-eluting stent which aim to alleviate stent-related
76 symptoms such as pain and biofilm formation, respectively[13, 14]. In addition, A.A.
77 Barros *et al.* reported on a biodegradable drug-eluting ureteric stent for the treatment of
78 upper tract carcinoma[15-17]. However, to date, there has not been any commercially
79 available drug-eluting ureteric stents on the market.

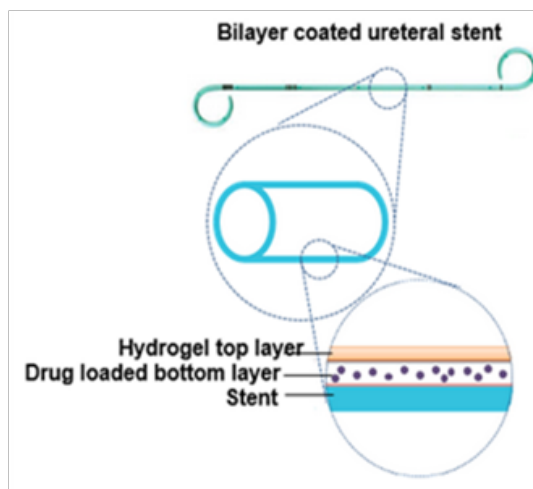
80 It is speculated that a major challenge for optimum localized delivery of
81 chemotherapeutics in the ureter is to do with the dynamic nature of fluid flow in the
82 lumen as well as the high drug impermeability of the urothelium. In contrast to the
83 bladder which functions mainly as a reservoir, the ureter serves as a dynamic conduit
84 for the transport of urine which is continuously produced by the kidney. Drug delivery is
85 impeded by a small calibre of the ureteric lumen (3 – 5 mm internal diameter) with
86 regular antegrade peristaltic waves that render constant stable contact with any stent-
87 based drug delivery platform impossible. In addition, the constant flow of urine within the
88 ureter dilutes and washes away any drug being eluted from the stent. In addition, the
89 urothelium comprising of three layers: a basal cell layer attached to a basement
90 membrane, an intermediate layer, and a superficial apical layer with large hexagonal
91 cells (diameters of 25–250 µm), makes it one of the most impermeable membrane
92 barriers in the human body. A synergy of tight junctions, uroplakin plaques, and a dense
93 layer of glycosaminoglycan (GAG) on the apical surface confers resilience and
94 impermeability to the urothelium, rendering it difficult for substances to pass [18-22].

95 There is no available clinical technique in practice that can deliver therapeutic agents
96 locally to the targeted sites in the urinary tract with significant challenges to deliver
97 drugs across the highly impermeable urothelium. However, studies have shown that the
98 increase of drug residence time on the urothelium can enhance the drug permeation
99 and augment treatment efficacy [23]. Drug eluting balloons (DEBs) are emerging as
100 alternatives to drug-eluting stents (DES) in the field of interventional cardiology[24, 25].
101 For instance, Liatsikos *et al.* demonstrated that a drug-eluting balloon could enhance
102 the delivery of paclitaxel through the urothelium following 12 hours of close contact
103 between the inflated device surface and tissues [26].

104 Herein, we propose a novel bilayer swellable hydrogel-based drug-eluting ureteric stent
105 which aims to deliver the drug of interest locally to the desired ureteric diseased site in a

106 sustained manner over the indwelling stent duration, which may be as long as 4 - 6
107 weeks, to treat urothelial diseases. The bilayer coatings, that are applied on a bare
108 polyurethane ureteric stent, comprise two layers: a biodegradable polymer (70/30 poly-
109 L-lactide-co-caprolactone, PLC) loaded with a model anti-proliferative drug mitomycin C
110 (MMC) and a layer of hydrogel, polyethylene glycol diacrylate (PEGDA) (Fig i). It is
111 expected that the drug is released from the PLC coating in a sustained fashion and
112 transported across the hydrogel coating to the urothelium as the hydrogel swells upon
113 urine absorption and achieves stable apposition with the ureteric wall for as long as the
114 stent remains in-situ. The hydrogel is necessary for two reasons. Firstly, the hydrogel
115 closes up any possible gap distance between the stent surface and the urothelium as
116 the ureter is commonly wider (3 - 4 mm inner diameter in the middle section) than the
117 stent (1.6 - 2.3 mm outer diameter) and so enables the drug to diffuse laterally across
118 the hydrogel into the tissues before being washed away by the urine flow. Secondly, the
119 co-aptation of the hydrogel with the urothelium helps to extend the drug residence time
120 on the targeted diseased site thereby enhancing the transfer of the drug through the
121 urothelium of high permeability barrier. We hypothesize that this novel technique of drug
122 elution from an indwelling ureteral stent will find useful clinical application in treating
123 both benign ureteric strictures as well as malignant diseases in the ureter.

124 Even though commercially available ureteric stents such as Cook Medical Universa®
125 Soft stent may have a hydrophilic coating made of hydrogel, this coating does not swell
126 as the dimension remains constant before and after contacting with water. Such coating
127 is usually made of poly vinyl alcohol (PVA) and when hydrated, becomes lubricious to
128 ease the stent implantation procedure by reducing friction [27, 28].



129

130

Fig i. Schematic diagram for bilayer coated ureteric stent

131

132

133 **2. Materials and methods**

134 **2.1 Materials**

135 Polyurethane double pig-tailed ureteric stent (Universa® Soft) was purchased from
136 Cook Ireland Ltd (Limerick, Ireland). Mitomycin C was purchased from Euroasian
137 Chemicals Pte Ltd (Mumbai, India). 70/30 Poly-L-lactide-co-caprolactone (PLC) (Mw
138 202 000 g/mol) was purchased from Purac Biochem BV (Gorinchem, The Netherlands).
139 The numbers following the copolymer represent the molar ratio of L-lactide/caprolactone
140 monomers respectively. Poly(ethylene glycol)-diol (PEG-OH), acryloyl chloride,
141 phosphate buffer saline tablets (PBS, pH = 7.4), and Irgacure-2959, also known as 2-
142 hydroxy-1-[4-(hydroxyethoxy)phenyl]-2-methyl-1-propanone, were purchased from
143 Sigma-Aldrich (Singapore, Singapore). Primary human bladder stroma fibroblasts
144 (HBdSF), fibroblast basal media, fibroblast growth supplement (FGS) (1% v/v), fetal
145 bovine serum (FBS) (2% v/v) and penicillin/streptomycin (1% v/v) were purchased from
146 Sciencell Research Laboratories (CA, USA). The ultraviolet (UV) lamp (365 nm, 4-5
147 mW/cm²) was purchased from Vilber Lourmat (Paris, France).

148

149 **2.2 Preparation and characterization of hydrogels**

150 Polyethylene glycol diacrylate (PEGDA) was synthesized from the reaction between
151 PEG-OH and acryloyl chloride in an anhydrous condition[29]([Refer to Supplementary](#)
152 [Section, Part I](#)). Photocross-linking of PEGDA hydrogel was performed[30] by
153 dissolving PEGDA powder in deionized (DI) water to make either 5%, 7.5% or 10% w/v
154 solution and the Irgacure-2959 photo-initiator in ethanol was added to the PEGDA
155 solution (0.1% w/v). This mixture was exposed to UV-irradiation for 10 min (365 nm, 4-5
156 mW/cm²) and immersed in deionized (DI) water overnight to remove any unreacted
157 PEGDA chains and photo-initiator. The hydrogels were then dried in vacuum oven at
158 37°C for 1 week to evaporate all the water content before being individually immersed in
159 vials containing phosphate buffer saline (PBS, pH = 7.4). These vials were incubated at
160 37°C and samples removed for characterization at different pre-determined time points
161 over a 4-week study. Equilibrium water content and swelling ratio were derived to
162 evaluate the swelling property while mass loss and oscillatory rheological analysis of
163 elastic (storage) modulus measured to evaluate degradation.

164 **2.2.1 Equilibrium water content (%) and swelling ratio**

165 To assess the equilibrium water content (%) and the swelling ratio, the samples were
166 removed from PBS solution at different time points, the surface water on the hydrogel
167 wiped off, and the wet mass (W_{wet}) was recorded. The dry mass (W_{dry}) was also
168 recorded initially.

169 Equilibrium water content, W_{eq} (%) is calculated by: $W_{eq} = \frac{W_{wet} - W_{dry}}{W_{wet}} \times 100$ (1.1)

170 Swelling ratio, Q is calculated as: $Q = \frac{W_{wet} - W_{dry}}{W_{dry}}$ (1.2)

171 **2.2.2 Mass loss**

172 For the mass loss measurement, after the samples were taken out at the respective
173 time point, they were rinsed with water to remove PBS salts and then dried in vacuum
174 oven at 37°C for 1 week before the final dry masses (W_t) were recorded and then
175 compared with the initial dry weights (W_0).

176 Mass loss, M_o is calculated as: $M_o = \frac{W_0 - W_t}{W_0} \times 100$ (1.3)

177 **2.2.3 Storage modulus**

178 The oscillatory rheological analysis was performed with rheometer (Anton Paar Physica,
179 MCR 501) using a 10 mm parallel plate geometry to obtain the elastic (storage)
180 modulus of the hydrogels (2 mm height × 6 mm width). Frequency-sweep
181 measurements were performed at 2% strain amplitude and at an oscillation frequency of
182 0.1 - 5 Hz within the linear viscoelastic region. All test samples were tested only after
183 the hydrogel swelling attained equilibrium state.

184 **2.3 Preparation and characterization of bilayer coated stent prototype**

185 The fabrication method of bilayer coated stent is described in the Patent “A stent
186 assembly and method of preparing the stent assembly” (WO2016/148648)[31]. The
187 method is briefly described here. For the first layer, varying amounts of 70/30 Poly-L-
188 lactide-co-caprolactone (PLC) pellets and mitomycin C (MMC) were dissolved in
189 dichloromethane and stirred continuously overnight until homogeneous polymer
190 solutions were obtained. The drug/polymer solution was coated onto the stent section
191 with a spray-coater (Sono-tek Corporation, MediCoat I) at a constant flow rate of 0.05
192 mL/min[32]. The stents were transferred into the 37°C vacuum oven for 7 days.

193 For the second layer, following the coating of the first layer of drug/polymer, the stent
194 was plasma-treated with oxygen for 5 min at power = 100W (Femto Science, Covance-
195 2MP/RF) to impart wettability to the surface before an additional layer of hydrogel was
196 coated on the stent via UV-irradiated photo-crosslinking method with the use of a
197 customized plastic tubing mold (6 mm outer diameter) ([Refer to Supplementary](#)
198 [Section, Part II](#))[30]. The hydrogel-coated section herein can swell up to 7 mm in outer
199 diameter after 1 day immersion in phosphate buffered saline (PBS, pH = 7.4) at 37°C
200 and remain constant even after continuous exposure to PBS over 28 days; The size of
201 the coating can be tuned by varying the diameter of the plastic tubing mold.

202 Thermogravimetric Analyzer TGA (TA Instruments Q500) results showed less than 1
203 wt% residual solvent.

204 **2.3.1 Surface morphology and cross-section analysis**

205 The surface morphology of the coated stent was analyzed by SEM (JSM, 6360, Tokyo,
206 Japan) at 5 kV before and after release study. The test samples post-study was first
207 rinsed with water to remove PBS salts and then dried completely before analysis.

208 **2.3.2 Static water contact angle measurement**

209 To assess the improvement of surface hydrophilicity due to plasma treatment, PLC was
210 spin-coated onto glass slide for contact angle measurements. PLC (1% w/v) was
211 dissolved in DCM homogenously overnight before the solution (50 μ L) was drop-casted
212 onto a slide measuring 1 \times 1 cm, using a spin-coater (Sawatec) rotating at 4000 rpm.
213 The coated slides were dried in 37°C vacuum oven for 7 days.

214 The spin-coated films were then treated with plasma (Femto Science, Covance-
215 2MP/RF) using oxygen at a flow rate of 30 sscm, 100 W and at varying plasma times.
216 The surface was then characterized for wetting properties with Contact Angle (Data
217 Physics) using distilled water. A control was also assessed where no plasma was
218 performed. All samples were evaluated in sets of five (n = 5).

219 **2.3.3 Atomic Force Microscopy (AFM)**

220 Atomic force microscopy was carried out to investigate the effect of plasma treatment
221 times on surface roughness. The surface topography of the plasma-treated spin-coated
222 samples was analyzed using a Nanoscope IIIa Atomic Force Microscope (AFM) (Digital
223 Instruments, Santa Barbara, CA). The images were captured in the tapping mode with
224 the use of monolithic silicon NCH-50 Point Probe (NanoWorld AG, Neuchatel,
225 Switzerland). The scan size was set at 15 \times 15 μ m and the surface was scanned at the
226 rate of 1 Hz using 256 \times 256 pixels of resolution. Surface roughness measurements
227 (RMS value) and the 3-dimensional topographical images were recorded using
228 Nanoscope image processing software version 5.12r5 (Digital Instruments). 5 sets of
229 measurements were done for each plasma time (n = 5).

230 **2.3.4 X-ray Photoelectron Spectroscopy (XPS)**

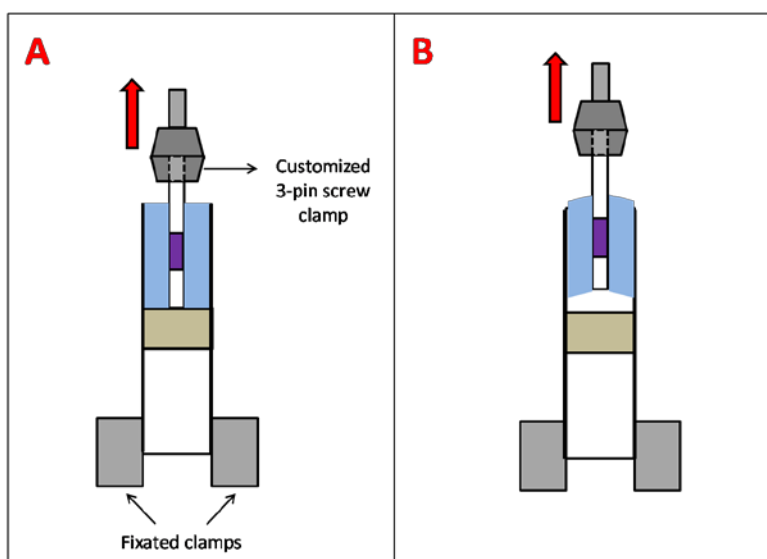
231 XPS measurements were performed on the drug-and-PLC coated stents to evaluate the
232 effect of plasma treatment times on the surface oxidation. Measurements were carried
233 out in a Thermo Fisher Scientific Theta Probe system equipped with a monochromatic,
234 micro-focused Al K α (1486.6 eV) X-ray source and XPS spectra were recorded at a
235 detection angle (θ) of 50°, with respect to the sample surface. The spectra were
236 analyzed using the Avantage software, combining Gaussian (70%) and Lorentzian

237 (30%) line shapes and subtracting the secondary electron background with a Shirley
238 function. The lowest number of component spectra was used to obtain acceptably low
239 residual values. Quantitative analysis, including element/bond ratio determination, was
240 achieved using relative sensitivity factors and algorithms embedded in the Avantage
241 software. To eliminate any positive charge induced binding energy shift, besides a low
242 energy electron flood gun was used for charge compensation during spectrum
243 acquisition, the XPS spectra reported here are referenced to C 1s at 285.0 eV.

244 **2.3.5 Shear adhesion delamination test**

245 Shear adhesion failure analysis experiment was conducted to elucidate the effects of
246 plasma treatment on interfacial adhesion. 5 sets of measurements were done for each
247 plasma time (n = 5).

248 After the drug-and-PLC coated stents were plasma treated, they were placed in a tubing
249 mold. A steel rod was placed within the hollow stent lumen and mounted onto a rubber
250 support while one end of the stent was clamped by a customized 3-pin screw clamp (Fig
251 ii). The PEGDA and photo-initiator solutions were injected and UV cross-linked for 10
252 min. After the hydrogel was cured, the shear stress before the point of adhesive failure
253 was recorded by TCD110 Series Force Measurement System (Chatillon Force
254 Measurement Products, USA) with a maximum load of 10N while moving upwards at a
255 controlled strain rate of 5 mm/min. The value recorded was the maximum force required
256 for the complete detachment of hydrogel from the surface and this value was then
257 divided by the interfacial area contacting the hydrogel and stent surface, denoted by $\pi \times$
258 $0.167 \text{ cm} \times 2 \text{ cm} = 1.05 \times 10^{-4} \text{ m}^2$ in order to derive the force of adhesion per unit area
259 between the hydrogel and stent interface in N/m^2 . 5 sets of measurements were done
260 for each parameter (n = 5).



262 **Fig ii. Schematic diagram for the experimental set-up of shear adhesion**
263 **delamination test.**(A) Before pull-off begins, (B) After pull-off completes

264
265 **2.4 In vitro drug release study**

266 **2.4.1 Effect of polymer thickness and drug loading on drug release under**
267 **static condition**

268 The samples listed in Table 1, (in each group, n = 3) were immersed in a vial containing
269 5 mL PBS (pH = 7.4) and incubated at 37°C throughout the release study. At each
270 predetermined time point over a 4-week study, 1 mL of the release medium was drawn
271 and its mitomycin C concentration was measured with reversed-phase High
272 Performance Liquid Chromatography (HPLC, Agilent 1100). The release medium was
273 then replaced with fresh 5 mL volume of PBS (pH = 7.4) and the measurement was
274 repeated at subsequent time points. Concentrations of mitomycin C were measured at
275 365 nm using a ZORBAX Eclipse XDB-C18 column (250 mm × 4.6 mm, pore size of 5
276 μm). The isocratic mobile phase consisted of 75% (v/v) water, 25% (v/v) methanol,
277 0.5% (v/v) acetic acid and 0.02 M ammonium acetate, with a flow rate of 1 mL/min.
278 Mitomycin C retention time is about 11 - 12 min. The cumulative drug release (in % and
279 μg) was calculated and plotted ([Refer to Supplementary Section, Part III](#)).

280 **Table 1**

281 Effect of polymer thickness and drug loading on drug release (static)

Sample name	MMC loading (% w/w)	Thickness of coating (μm)	Amount of MMC loaded (μg)
A	5	50	360 ±20
B	5	120	990 ± 10
C	5	240	2000 ± 30
D	2.5	50	150 ± 5
E	5	50	400± 20
F	7.5	50	560 ± 60

282

283 **2.4.2 Effect of PEGDA hydrogel on the in-vitro drug release and ex-vivo**
284 **drug transfer under static and dynamic fluid flow conditions**

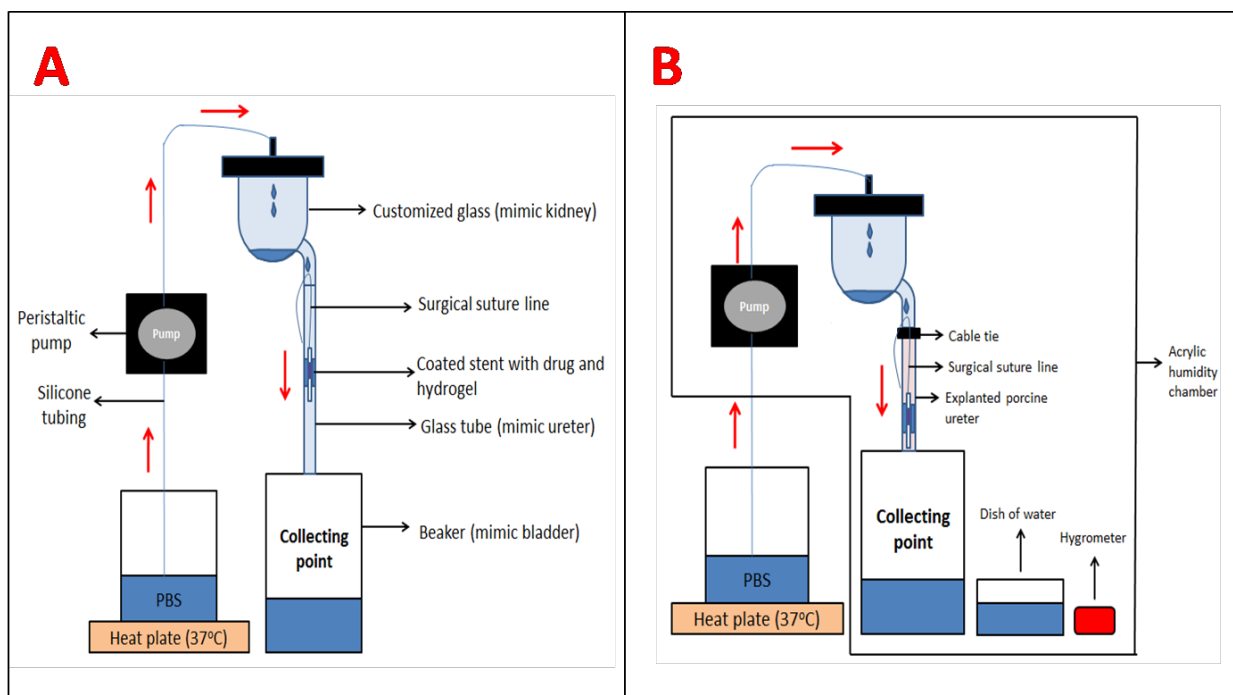
285 The samples listed in Table 2 were assessed under two different conditions. Firstly, the
286 effect of PEGDA hydrogels on drug release was assessed in a static condition over 4
287 weeks [using HPLC (Samples G - H) to quantify drug] and in a dynamic fluid flow
288 condition for 1 week [using Liquid chromatography mass spectroscopy (LCMS)
289 (Samples I - J) to quantify drug]. The dynamic fluid flow experiment was set up using a

290 customized glass apparatus design to mimic an adult human physiological urinary
291 system at physiological urine flow rate of 0.5 mL/min (Fig iii)[33, 34](Refer to
292 [Supplementary Section, Part IV](#)).

293 Next, the *ex-vivo* drug transfer was evaluated under dynamic fluid flow conditions
294 through an explanted porcine ureter, over 2 days (Samples K - L). At the end of
295 experiment, the ureter was removed and drugs extracted using solid phase extraction
296 (SPE) techniques and then quantified using LCMS.

297 The SPE method was performed as follows: 1 mL SPE tube, Strata™-X, 33 µm
298 polymeric reversed phase (surface modified styrene divinylbenzene), polymeric sorbent
299 mass = 30 mg/mL (Phenomenex), was used. The SPE tubes were first connected to a
300 vacuum manifold which allowed for controlled solvent flow rate. Next, the tubes were
301 conditioned using methanol (2 mL), followed by ultrapure water (2 mL). After that, 1 mL
302 of the samples were added to the packing and allowed to elute slowly (< 1mL/min). A
303 series of washing steps were performed in the order: Water (1 mL) × 2, 90% water/10%
304 methanol (1 mL) × 1, and 70% water/30% methanol (0.2 mL) × 1. The samples were
305 then allowed to dry with nitrogen for 5 min. Finally, the analyte retained on the packing
306 was eluted twice using 70% water/30% acetonitrile (0.5 mL × 2) at a controlled flow rate
307 (<1 mL/min). The drug extracted was filtered using 0.22 µm membrane filter (Durapore)
308 and then quantified using LCMS (Waters).

309 The LCMS method was as follows: For the LC method, Acquity UPLC H Class (Waters)
310 and Acquity UPLC BEH C18 column (50 mm× 2.1 mm × 1.7 µm) (Waters) were used
311 and column temperature set to 40°C. The mobile phase comprised isocratic elution of
312 90% water and 10% acetonitrile with 0.1% (v/v) acetic acid, at a flow rate of 0.2 mL/min
313 for run time of 5 min. For the MS method, Acquity Xevo TQ-S Micro MS detector
314 (Waters) was used. The electrospray was operated in the positive ionization mode
315 (ESI+) and the MMC and IS were identified in the multiple reaction monitoring (MRM)
316 mode. The following tuning parameters were employed: Capillary voltage (2.80 kV),
317 desolvation temperature (450°C), desolvation gas flow (800 L/h), cone gas flow (70 L/h)
318 and source temperature (150°C). The parent [MMC+H]⁺ ion generated two daughter
319 ions at m/z 214.756 (cone voltage = 6V, collision energy = 28V) and m/z 242.064 (cone
320 voltage = 6V, collision energy = 8V), while the parent [PFM+H]⁺ ion generated two
321 daughter ions at m/z 241.032 (cone voltage = 8V, collision energy = 26V) and m/z
322 256.057 (cone voltage = 8V, collision energy = 10V). The monitoring ions of MMC and
323 PFM were m/z 242.064 and m/z 256.057, respectively, which were used for the
324 quantification of drug in the tissue samples.



325
 326 **Fig iii. Schematic diagram for the dynamic fluid flow model.**(A) For drug release
 327 study, (B) For drug transport study

328

329 **Table 2**

330 Effect of PEGDA hydrogel on drug release (static and dynamic) and drug transfer

Sample name	MMC loading (% w/w)	Thickness of coating (μm)	PEGDA (% w/v)	Amount of MMC loaded (μg)
G	5	50	-	360 ± 30
H	5	50	10	340 ± 30
I	5	50	-	180 ± 10
J	5	50	10	160 ± 10
K	5	50	-	200 ± 20
L	5	50	10	160 ± 20

331

332 **2.5 Effect of drug released from bilayer coated stents on cell proliferation**

333 HBdSF cells were seeded into 24-wells plate with approximately 2 mL (~12,000) cells in
 334 each well. Cells in passage 7 were used in the experiment. The cells were allowed
 335 twenty-four hours for attachment onto the walls of the wells before the experiment
 336 began. All the fabricated stents were first sterilized using ethylene oxide gas and then

337 placed in the well using a permeable Transwell® cell culture insert (8 µm pore size)
 338 (Falcon, USA), so that the stents were not in direct contact with the seeded cells. 2 mL
 339 of 0.5 µg/mL of mitomycin C was also added as a control to investigate the effect of the
 340 drug-eluting stent on the cells. Following incubation at 37°C / 5% CO₂ for 3 days, the
 341 cell culture media was removed and the wells were rinsed with 1x DPBS thrice. To
 342 study cell proliferation behavior, Prestoblu® cell viability reagent was used. 900 µL cell
 343 culture medium was pipetted into each well and then 100 µL of Prestoblu® reagent
 344 was added. The plate was re-incubated for 30 min under 37°C / 5% CO₂. Subsequently,
 345 70 µL of the culture medium/viability reagent was transferred to a black microplate for
 346 analysis. Table 3 lists the test conditions (in each group, n = 3). All assays were read
 347 using a multi-well plate reader (Tecan, Infinite® 200) using fluorescence excitation
 348 wavelength of 560 nm and emission wavelength of 590 nm.

349 **Table 3**

350 Transwell migration experiment

Sample name	Presence of stent	MMC loading	Durations of plasma treatments (min)	Duration of UV irradiation (min)	PEGDA (% w/v)	Irgacure-2959 (% w/v)
M	No	-	-	-	-	-
N	No	0.5 µg/mL	-	-	-	-
O	Yes	-	5	10	10	0.1
P	Yes	5% w/w (360 ±20µg)	5	10	10	0.1

351

352 **2.6 In-vivo feasibility study**

353 A study aimed at evaluating the feasibility of the device prototype to swell and deliver
 354 drugs to the urothelium was conducted on 1 adult female pig weighing 34 kg (*Sus*
 355 *scrofa*, bred at National Large Animal Research Facility (NLARF) for research and
 356 training purposes in Singapore) in adherence with National Advisory Committee on
 357 Laboratory Animal Research (NACLAR) guidelines, with approval from Institutional
 358 Animal Care and Use Committee (2016/SHS/1237). The animal study was carried out at
 359 SingHealth Experimental Medicine Centre (SEMC) on Singapore General Hospital
 360 (SGH) Academia campus.

361 The purpose of this study was to evaluate the feasibility of the device prototype to swell
 362 in ureter to co-opt with the urothelium in order to deliver drugs locally to the targeted
 363 site, mainly the middle ureter section *in-vivo*. This was a proof of concept feasibility
 364 study in a porcine model using one animal. The porcine model was selected due to the

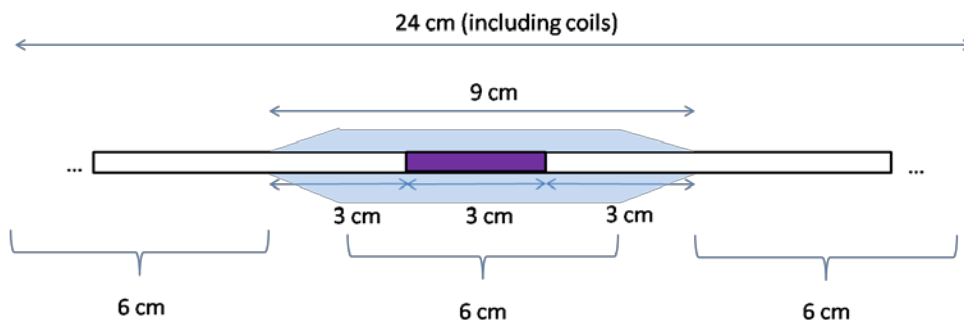
365 physiological resemblance of porcine ureter with human ureter in terms of physiology
366 and physical dimensions[35]. The animal was anesthetized using TKX cocktail (0.05
367 mL/kg, IM) and atropine sulphate (50µg/kg, IM). Before the implantation, the animal was
368 administered with analgesics (Tramadol, 2-4 mg/kg, IM) and antibiotics (Synulox, 0.05
369 mL/kg, IM) once. Intra-operatively, the anesthesia was maintained using 1-3%
370 Isoflurane. Electrocardiogram (ECG), respiration rate, heart rate and oxygen saturation
371 were also monitored and recorded during the operation.

372 A bilayer coated stent (with base ureteric stent of outer diameter 6 Fr and 24 cm in
373 length) was fabricated in the laboratory and was implanted into the right ureter while a
374 bare stent (6 Fr) of the same length was implanted in the contralateral ureter as control.
375 The test stent was coated with 7.5% w/w MMC over 3 cm in the middle (400 µg) pre-
376 treated with plasma (100W, 5 min) and then a layer of 10% w/v PEGDA hydrogel was
377 coated over 9 cm of its middle section with the use of a plastic mold tubing with 6 mm
378 internal diameter. Both stents were sterilized with ethylene oxide (ETO) before use. It
379 has been reported that the mechanical performance of PEGDA hydrogels was
380 unaffected by ETO sterilization process[36]. To facilitate stent insertion and
381 maneuverability, the hydrogel layer was designed to comprise 2 tapered ends. The
382 outer diameter of the coated section in the dry state was around 2.8 mm and can swell
383 to approximately 7 mm when fully swollen after 1 day immersion in phosphate buffered
384 saline (PBS).

385 An intravenous urogram (IVU) was carried out to image the urinary tract by injecting 100
386 mL of Omnipaque contrast (300 mg I/ mL) via the auricular ear vein. This was followed
387 by cystoscopy and identification of the ureteric orifice. The Seldinger technique for stent
388 insertion was employed over a guidewire. Before device implantation, the coated stent
389 was immersed in Omnipaque™ contrast (300 mg I/ mL) for 2 min and then briefly in
390 normal saline to promote X-ray visualization and to offer lubricity for ease of stent
391 placement, respectively. The stent placement was performed via the retrograde method,
392 in which a hydrophilic guidewire (Terumo, Radiofocus® Guidewire M angled 0.035°)
393 was first inserted into the tract using a cystoscope (Karl Storz) and the device prototype
394 railroaded through the wire before being implanted into position with the aid of a pusher.
395 The wire was withdrawn thereafter and device then left in animal for a day. Twenty four
396 hours after stent insertion, another IVU was performed to ascertain whether the
397 hydrogel had achieved close apposition with the urothelium. Euthanasia using
398 pentobarbital sodium (300 mg/mL) via intravenous route was then performed. Following
399 this, a laparotomy was performed by diathermy (Valleylab, Force FX) to expose the
400 bilateral ureters before the latter were harvested and divided into 3 segments,
401 comprising of proximal, middle and distal sections, each of 6 cm in length.

402 The tissue samples were analyzed for presence and quantity of MMC using LCMS.
403 Blood (10 mL) and serum (10 mL) were also collected into EDTA tubes before the

404 device implantation on day 0 and after animal sacrifice on day 1. These were analyzed
405 to evaluate any signs of systemic toxicity and kidney damage. Tissue and blood
406 analysis were performed using SPE and LCMS (*Refer to Supplementary Section,*
407 *Part V*). Serum creatinine levels were assessed using spectrophotometry.



408

409 **Fig iv. Schematic diagram for the coated stent prototype in in-vivo feasibility**
410 **experiment**

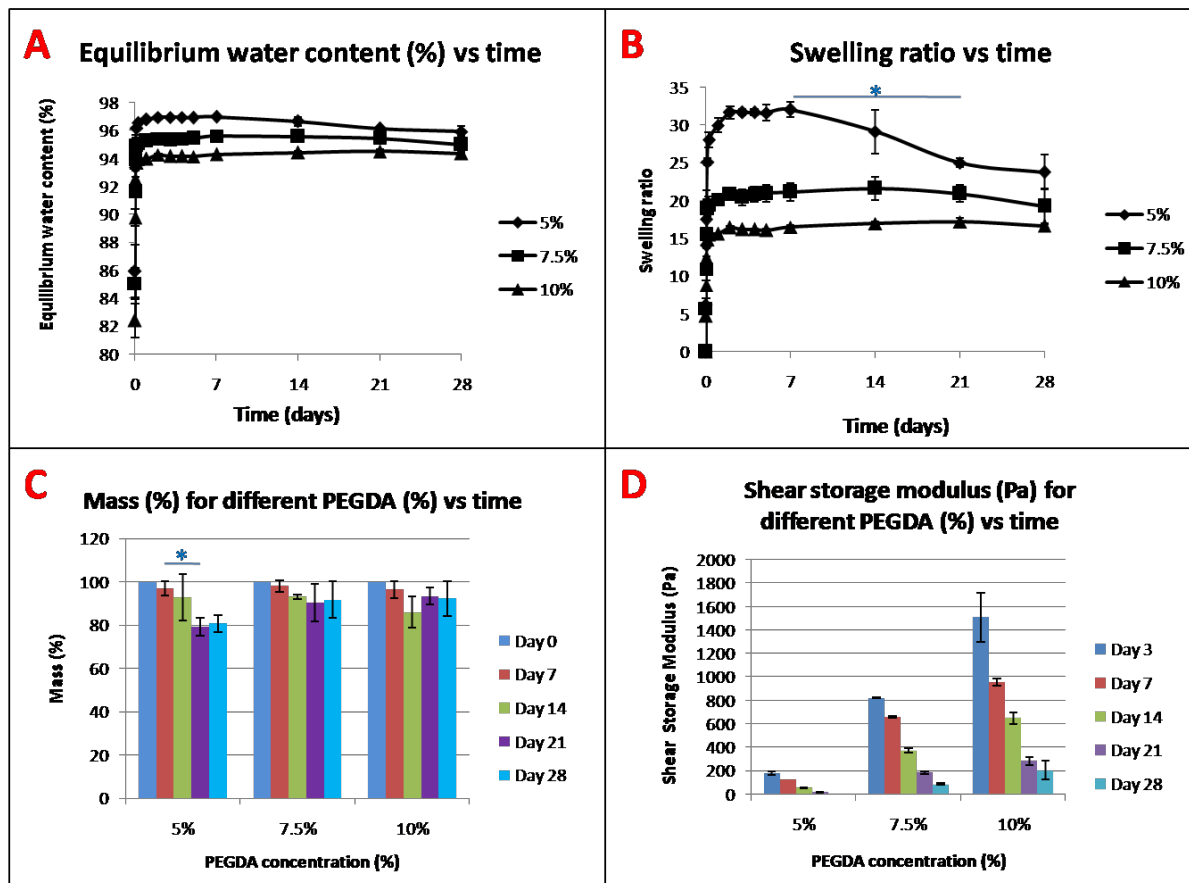
411 **2.7 Statistical analysis**

412 All studies were performed with a minimum of triplicates (except for XPS analysis) and
413 statistical significance was determined by two-tailed unpaired Student's t-test.
414 Differences were considered significant at * $p < 0.05$. Data was expressed as mean \pm
415 standard deviation (SD).

416

417 **3. Results**

418 **3.1 Evaluation of PEGDA hydrogels**



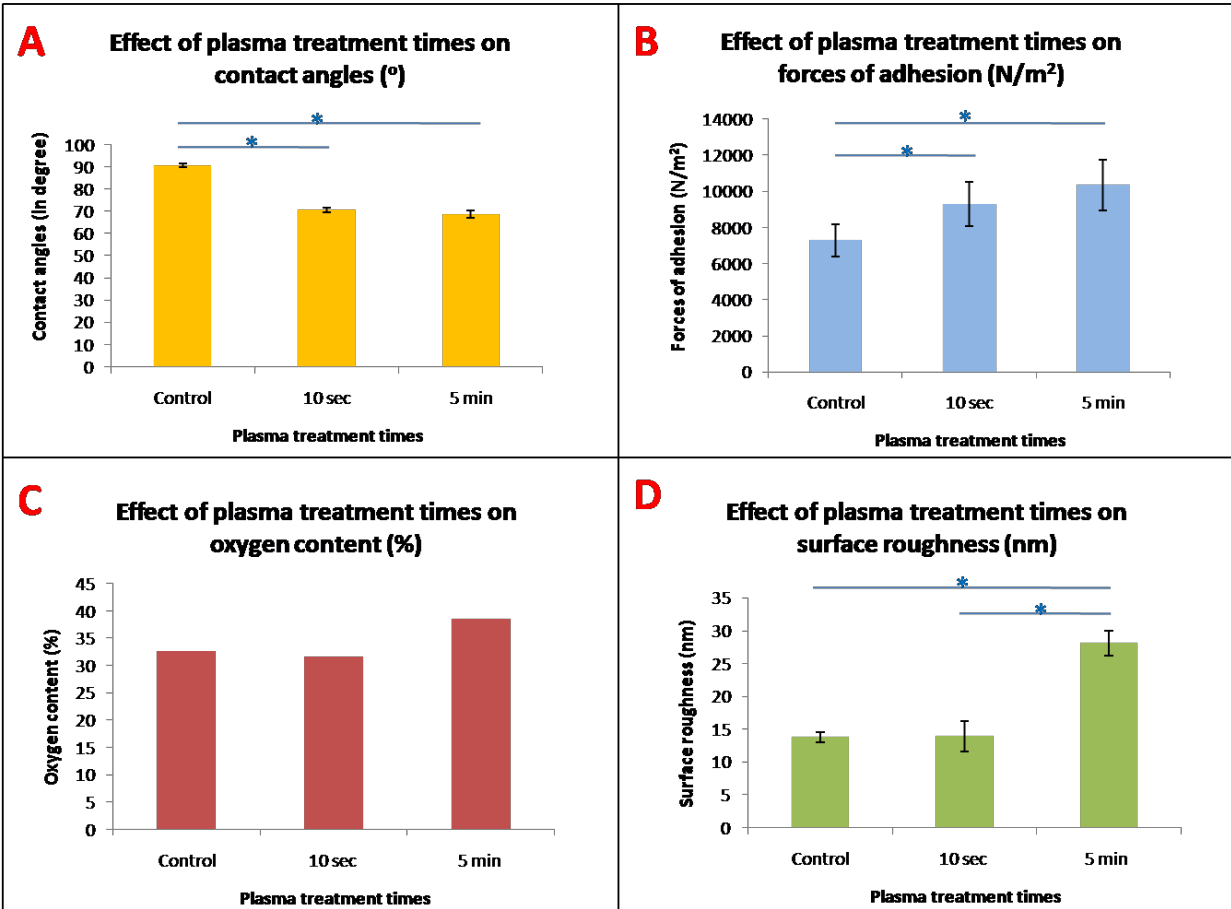
419

420 **Fig 1. Characterizations of PEGDA hydrogels.**(A) Equilibrium water content (%), (B)
 421 Swelling ratio, (C) Mass loss (%), (D) Shear storage modulus (Pa)

422 PEGDA hydrogels attained equilibrium swelling by Day 3 with high equilibrium water
 423 content above 90% up to 28 days (Fig 1A). The swelling ratio of the PEGDA hydrogels
 424 correlated inversely with their PEGDA concentrations: 30 times for 5% > 20 times for
 425 7.5% > 15 times for 10% w/v PEGDA. Swelling ratio for 5% w/v PEGDA hydrogel
 426 showed significant reduction from Day 21 onwards (*p < 0.05), while no significant
 427 changes were observed in the other two groups (Fig 1B). Although no significant mass
 428 losses over 28 days was recorded for 7.5% and 10% w/v PEGDA hydrogels (Fig 1C),
 429 their shear storage modulus gradually decreased over the same time period (Fig 1D). In
 430 contrast, 5% w/v PEGDA lost significant mass from 97% on Day 7 to 79% on Day 21 (*p
 431 < 0.05).

432

433 3.2 Evaluation of surface plasma treatment



434

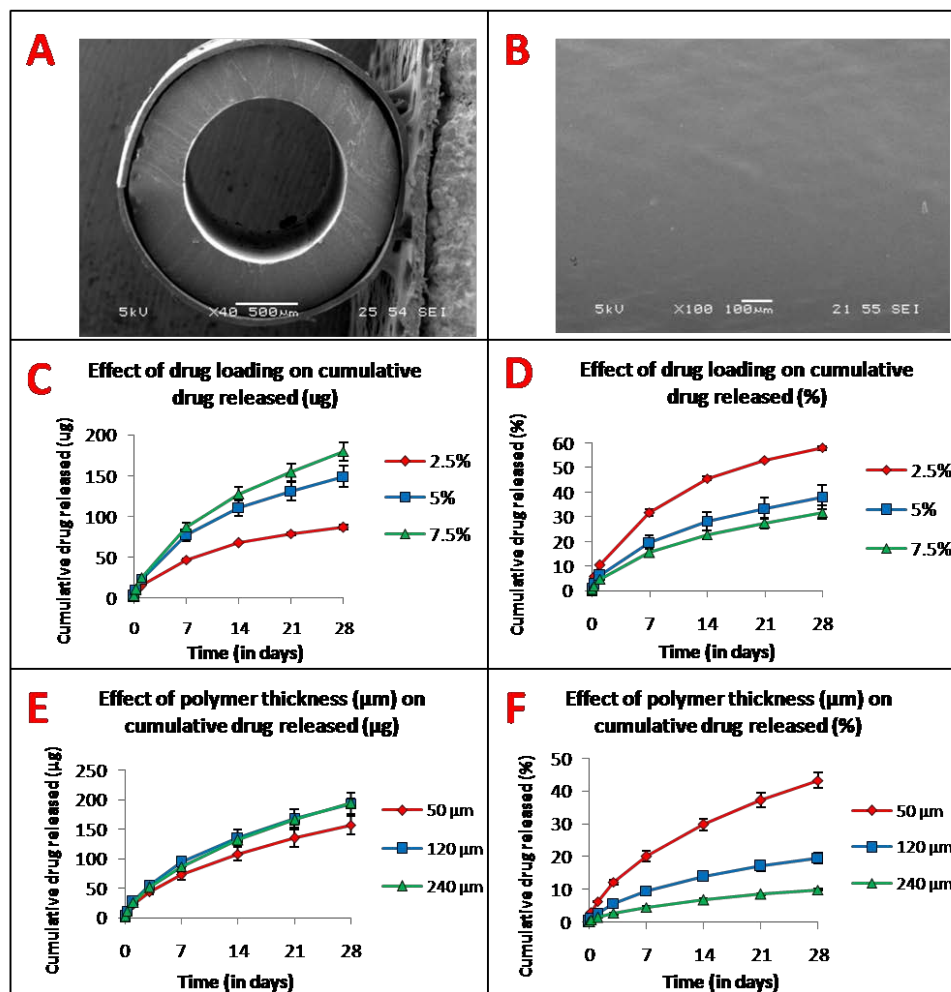
435 **Fig 2. Characterization of plasma surface treatment.** (A) Contact angles, (B) Surface
 436 roughness (AFM), (C) Surface oxidation (XPS), (D) Shear adhesion delamination forces.

437 As good interfacial adhesion between the PEGDA hydrogel and stent is required to
 438 withstand shear forces encountered during stent deployment (i.e. stent insertion and
 439 removal), oxygen plasma treatment was conducted on the PLC-coated stents to
 440 improve PEGDA hydrogel adhesion to the PLC layer. Plasma treatment times of 10 s
 441 yielded a significant reduction of contact angle measurements from $91 \pm 1^\circ$ to $71 \pm 1^\circ$ ($*p <$
 442 0.05), however, no marked reduction in contact angle was observed when plasma time
 443 was prolonged to 5 min ($70 \pm 2^\circ$) (Fig 2A). AFM demonstrated that a longer duration at 5
 444 min induced a significantly rougher surface (28 ± 2 nm) compared to both 10 s treatment
 445 (14 ± 2 nm) and control (14 ± 1 nm) ($*p < 0.05$) (Fig 2B). This is corroborated by XPS data,
 446 with a nominal penetration depth of 0-9 nm, which indicates enhanced oxygen content
 447 at longer plasma duration (Fig 2C). The plasma treatment duration alters the structure
 448 of the surface region, potentially impacting its durability, following deployment. A shear
 449 adhesion delamination test demonstrated that the forces required to detach the
 450 hydrogel from the PLC-coated stent was increased upon plasma treatment from
 451 7300 ± 900 N/m² (control) to 9300 ± 1200 N/m² (10 s plasma) and 10400 ± 1400 N/m² (5

452 min plasma) (*p < 0.05), whereas 10 s and 5 min plasma treatment times did not show
453 any significant differences in the forces required (Fig 2D).

454

455 3.3 Evaluation of the effect of polymer thickness and drug loading on 456 drug release under static condition



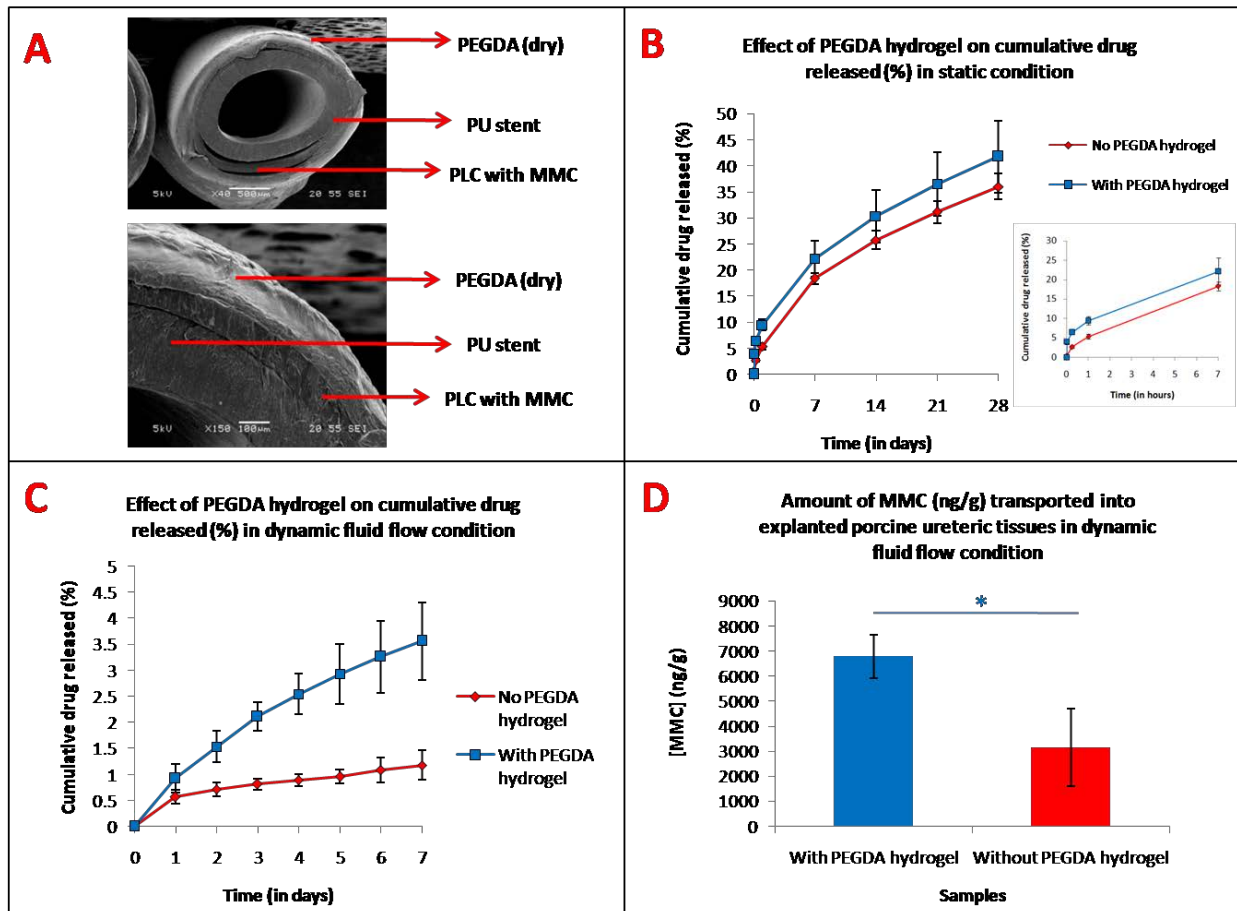
457

458 **Fig 3. Drug release studies in static condition.** (A) SEM image of cross-section, (B)
459 SEM image of surface morphology, (C) Effect of polymer thickness on drug release
460 (µg), (D) Effect of polymer thickness on drug release (%), (E) Effect of drug loading on
461 drug release (µg), (F) Effect of drug loading on drug release (%)

462 The drug coating was successfully applied to the stent (Fig 3A), resulting in a smooth
463 surface morphology (Fig 3B). Decreasing the drug loading and the polymer thickness
464 led to faster drug release rates (Fig 3C-3F). The drugs were sustainably released over
465 the 28 days and will likely continue beyond the 1 month period.

466

467 **3.4 Evaluation of the effect of PEGDA hydrogel on the in-vitro drug**
468 **release and ex-vivo drug transfer under static and dynamic fluid flow**
469 **conditions**



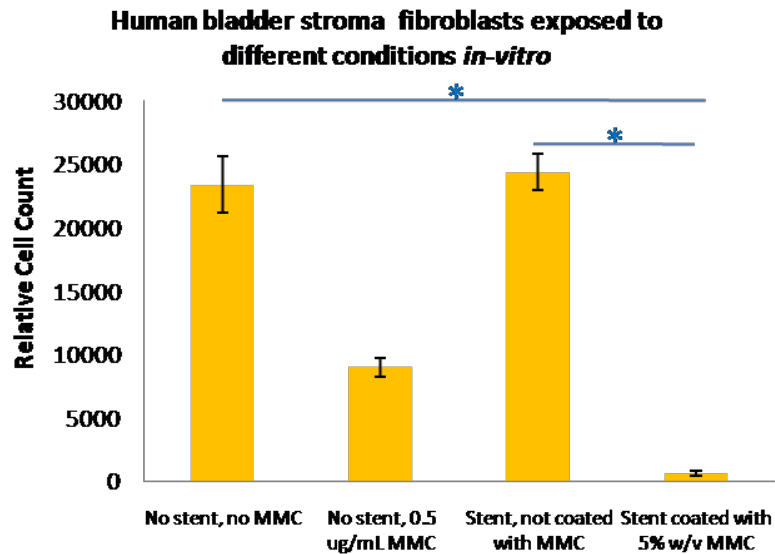
470

471 **Fig 4. Effect of PEGDA hydrogel on drug release and drug transport.**(A) SEM image
472 of the cross-section of bilayer coated stent, (B) Drug release in static condition, (C) Drug
473 release in dynamic fluid flow condition, (D) *Ex-vivo* drug transport in dynamic fluid flow
474 condition

475 PEGDA hydrogel was coated over the first drug layer (Fig 4A) and did not delaminate
476 from the stent after its immersion in phosphate buffered saline at 37°C for the 4-weeks
477 period. In static conditions, the hydrogel did not significantly alter drug release rates,
478 except during the first-hour time point (Fig 4B). However, under dynamic fluid flow, a
479 markedly greater amount of drugs (around 3 times greater on Day 7) was released *in-*
480 *vitro* (Fig 4C) and transported into the explanted porcine ureteric tissues *ex-vivo* (Fig
481 4D) with 7 ±1 ng/g MMC detected, compared to 3±2 ng/g when hydrogel was absent (*p

482 <0.05). The greater drug release due to PEGDA hydrogel is advantageous to mediating
483 as much drug transfer to the tissues as possible.

484 3.5 Evaluation of coated stents on HBdSF



485

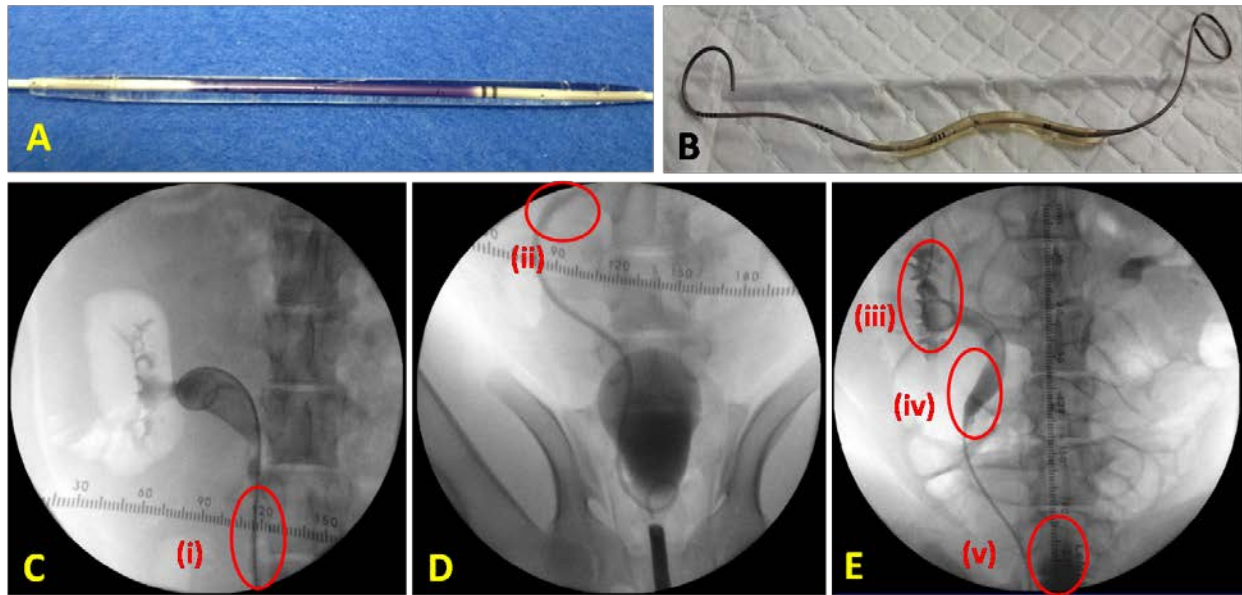
486

Fig 5. Cell assay study

487 Segments of stents, coated with PLC and PEGDA hydrogels, were placed on individual
488 permeable Transwell® membrane inserts, to allow eluted MMC to reach HBdSF cells
489 cultured in the lower compartment. HBdSF cell growth, at 3 days, in the presence of
490 PLC and PEGDA (crosslinked with 0.1% w/v photo-initiator) but without MMC, was
491 similar to the untreated control. The elution of MMC from the coated device induced a
492 sharp decrease in HBdSF, over the 3-days' culture, as compared to having no stent and
493 a stent coated with only PLC and PEGDA hydrogel, due to the higher MMC
494 concentrations ($p^* < 0.05$) (Fig 5).

495

496 3.6 Evaluation of feasibility and safety of coated stent prototype *in-vivo*

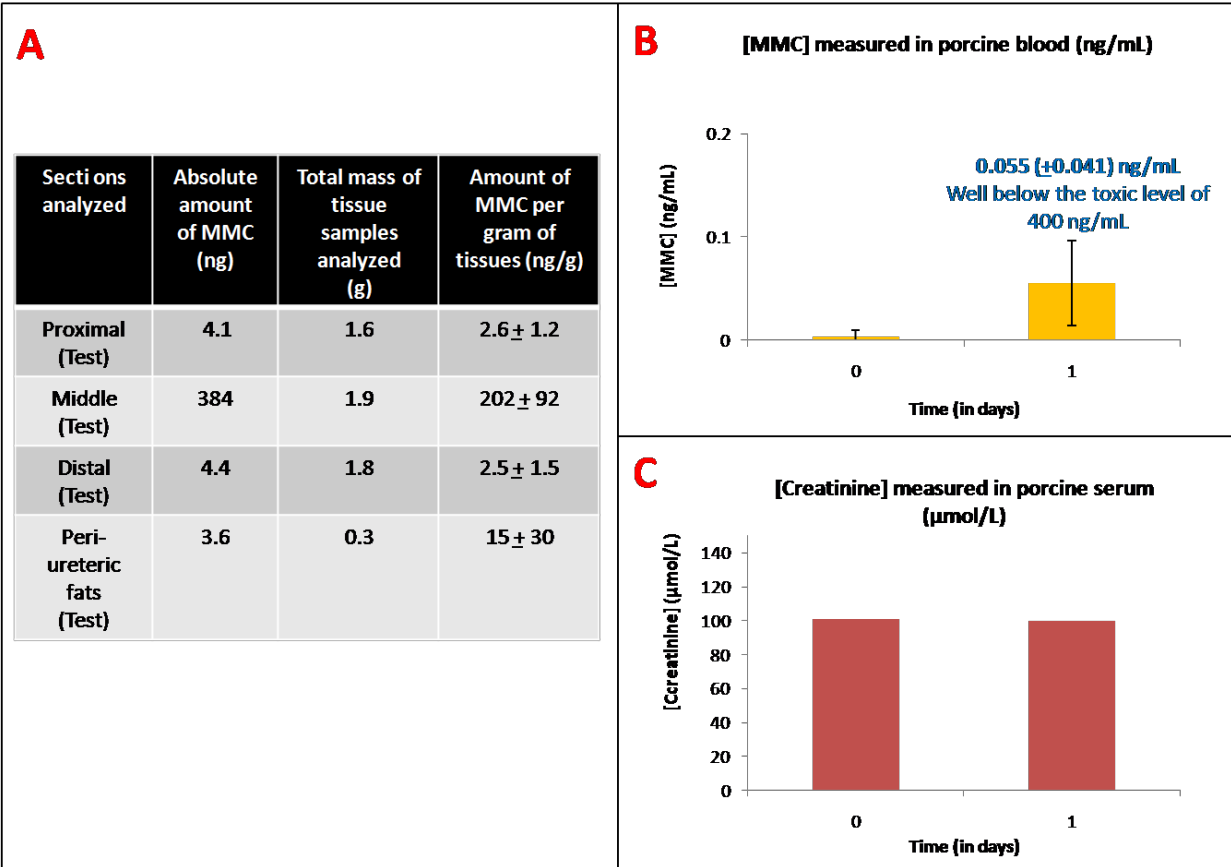


497

498 **Fig 6. Pilot in-vivo study: Images.** (a) Bilayer coated stent, (b) Coated stent removed
 499 after the study, (c, d) IVU taken on Day 0 upon coated stent insertion, (e) IVU taken on
 500 Day 1 just before coated stent removal

501 A coated stent prototype was successfully fabricated and evaluated in a single pig,
 502 assessing its deployment feasibility and the configuration for drug transfer, in contact
 503 with the urothelium at the middle ureteric section (3 - 4 mm in inner diameter) where the
 504 hydrogel coating is expected to co-apt but not substantially adhere to (Fig 6A). The
 505 coated stent could be inserted into the ureters without undue resistance. Due to the
 506 immersion into Omnipaque™ prior to stenting, the hydrogel section was observable
 507 under X-ray (Fig 6C and 6D). After 1 day, IVU images showed the radio-opaque agent
 508 accumulated in the region adjacent to the hydrogel section of the stent, thus indicating
 509 an apposition of the hydrogel coating with the ureteric wall and no observable stent
 510 migration (Fig 6E, iv). The apposition was believed to be substantial in this study since
 511 the hydrogel coating section has been designed to swell up to around 7 mm outer
 512 diameter, much higher than the diameter of the middle ureteric segment where the
 513 hydrogel was targeted to co-apt with. In addition, it was subsequently observed that the
 514 contrast agent flowed within the stent's hollow lumen, into the bladder (Fig 6E, v). After
 515 animal sacrifice, both harvested ureters showed that the hydrogel layer remained
 516 adhered to the device with no delamination and the outer diameter of the coated section
 517 measured at approximately 7 mm (Fig 6B). Moreover, observation of the kidney calyx
 518 indicated that there was no distension or swelling (Fig 6E, iii).

519



520

521 **Fig 7. Pilot in-vivo study: Results** (A) Amount of MMC in porcine ureteric tissues, (B)
522 Amount of MMC in porcine blood, (C) Amount of creatinine in porcine serum

523 A significant amount of MMC was found in the central segment of the stented ureter (at
524 close to 400 ng) where the targeted coated section co-apted with the urothelium as
525 compared to other segments, either where no stent was implanted or when no drugs
526 were coated onto the stent sections (Fig 7A). There was also negligible level of MMC in
527 the peri-ureteric fat segment (Fig 7A) and in porcine blood (Fig 7B), following one day
528 implantation. Analysis of the creatinine level before and after the in-vivo study, showed
529 that it remained stable at around 100 µmol/L (Fig 7C).

530 4. Discussion

531 A novel bilayer coated ureteric stent prototype has been successfully prepared and
532 assessed, for its feasibility to swell and co-apt with the urothelium, in order to transfer
533 drugs locally to targeted ureteric site. Different outer diameters of the hydrogel coating
534 can be made by customizing varying diameters of the plastic mold tubing. Herein, a 6-
535 mm diameter tubing was used to fabricate a coated stent device that swells up to a
536 maximum of around 7 mm; No further expansion of the hydrogel section on the stent
537 was recorded beyond this dimension mark following continuous immersion of the device

538 in phosphate buffered saline since the hydrogel has attained equilibrium swelling
539 without significant changes in the swelling ratio and water content. The ureteric
540 diameters may vary along the length (proximal, middle and distal sections) [37],
541 however, the swollen hydrogel coated in the selected stent region has been designed to
542 be always wider (10 - 20%) than that of the targeted ureteric segment so that a close
543 apposition of the hydrogel with the urothelium is expected to be achieved. 7.5 - 10% w/v
544 PEGDA hydrogel presented swelling ratios of 15 - 20 times and maintained mechanical
545 stability without significant mass loss over 4 weeks. Plasma treatment (5 min, 100W)
546 prevented hydrogel delamination from the drug coating in the 4-weeks study *in-vitro* in
547 static condition. PEGDA hydrogel significantly enhanced drug release and drug transfer
548 into the explanted porcine urothelium, under dynamic fluid flow conditions. The
549 mechanical and functional validation of the device to be deployed and removed, as well
550 as to swell and to contact with the urothelium to transfer drugs to the urothelium, was
551 also successfully demonstrated in one porcine over one day indwelling duration in the
552 pilot *in-vivo* proof-of-concept study.

553 The high hydrogel water content (>90%) is expected to enable the hydrophilic drug
554 MMC to partition readily and thus be delivered to the target ureteric tissue. The
555 reduction of the swelling ratio with increasing PEGDA concentration putatively resulted
556 from the increase in crosslink density, which hindered polymer chain expansion [38].
557 The marked decrease in swelling ratio from Day 7 to 21 for 5% w/v PEGDA could be
558 attributed to the onset of its degradation. This is corroborated by a corresponding mass
559 loss, which could be due to the hydrolytic breakdown at crosslink and leaching of
560 PEGDA chains. Interestingly, the decrease in storage modulus with time, for 7.5% and
561 10% w/v PEGDA concentrations, can be attributed to the crosslinking breaking down,
562 but not sufficient enough to allow PEGDA to leach out of the bulk. Since 10% w/v
563 PEGDA experienced no significant mass loss over the 28 days and possessed the
564 highest shear storage modulus, it is expected to withstand mechanical manipulation
565 most effectively in clinical applications.

566 Contact angle and atomic force microscopy measurements demonstrated the
567 importance of surface plasma treatment of the drug coating to increase surface
568 wettability and roughness, which are essential prerequisites for the enhancement of
569 interfacial adhesion. A 10-second exposure decreased water contact angles, however
570 no observable improvement was recorded when exposure time was increased to 5 min.
571 This could be due to the saturation of oxygen groups on the treated surface, as reported
572 by R. Landgraf *et al.* in which 30 s of 100W plasma treatment could saturate oxygen
573 content on epoxy-based polymers[39]. A significant increase in surface roughness, on
574 the other hand, was recorded only with longer plasma exposure of 5 min. It has been
575 well documented that prolonged plasma etching induces surface roughness[40].The
576 shear adhesion delamination test showed similar improvement, in the forces required

577 for hydrogel detachment for both 10 s and 5 min plasma exposure. Nevertheless, 5 min
578 plasma time was chosen as the preliminary plasma duration for this study. The
579 importance of the adhesion testing on stent coatings was exemplified in another study
580 that measured the binding between poly(lactide)(PLA) and poly(p-xylylene)(PPX)-
581 coated metal cardiovascular stent[41]. Several studies have also reported about
582 imparting surface roughness for the augmentation of the interfacial work of adhesion
583 between adjoining surfaces[42, 43].

584 Sustained drug release is therapeutically relevant for the treatment of chronic
585 conditions, as it provides for long term dosing with a single treatment[6]. The drug
586 release (%) rates decreased as expected, with an increase in drug loading; this is true
587 when the amount of drug loaded (C_0) is greater than the saturated amount of drugs (C_s)
588 in the polymer matrix[44]. On the other hand, a thicker polymer coating released the
589 drug at a slower rate, due to its longer diffusion path length[45]. This implies that the
590 drug release can be tuned with these parameters. Furthermore, there was no significant
591 burst release of drugs, with only about 7-9% of the loaded drug released within the first
592 day. Moreover, no bulk or surface erosion was likely to have occurred as the surface
593 topography remained smooth, following the 28 days study. This is reasonable, given
594 that PLC requires more than a month for the onset of degradation[46]. The drug release
595 from the PLC coating (a matrix-type system) during the 4 weeks period is thus ascribed
596 to Fickian diffusion[47]. The daily released drug dosage was calculated to be above the
597 minimum effective concentration of MMC, for inhibiting human bladder stroma
598 fibroblasts over 3 days of incubation *in-vitro* (0.01 $\mu\text{g/mL}$) ([Refer to Supplementary](#)
599 [Section, Part VI](#)).

600 In the static condition, drug release from the stent coated with PEGDA hydrogel did not
601 significantly alter the drug release rate, as compared to the coated stent in PBS, except
602 for during the first hour. This could be due to the similar manner in which the hydrogel
603 mimicked an aqueous solution with high water content (>90%). An initial higher drug
604 release could be due to a small amount of drug partitioning into the hydrogel phase from
605 the PLC phase, prior to the stent being exposed to PBS medium. In addition, the
606 plasma treatment (100W, 5 min) promoted the attachment of hydrogel over the 4-weeks
607 period in the static medium of PBS at 37°C, without altering the drug release amount,
608 since the bulk properties remained intact with the plasma treatment[48].

609 Under the simulated urodynamic fluid flow conditions, at physiological urine flow rate of
610 0.5mL/min, drug release was generally slower than observed in the static conditions,
611 regardless of the presence or absence of the hydrogel coating. This can be attributed to
612 a shorter contact time of the dynamic fluid with the drug/gel coatings. An increased drug
613 release in the presence of PEGDA hydrogel in the dynamic condition is likely due to the
614 polymer/drug layer in contact with a larger amount of water content in the hydrogel. This
615 is consistent with a finding by Pimenta *et al.*, who compared diclofenac release from

616 hydroxyethyl methacrylate-based hydrogel[49], where 90% diclofenac release was
617 attained by 100 hours in dynamic flow, as compared to 24 hours in static condition.
618 Although other groups have drawn similar comparisons between static and dynamic
619 conditions in the other application models [50-52], our study is the first to compare drug
620 release in static and dynamic condition in an urodynamic condition.

621 More significantly, it was also found that more drugs were delivered to the porcine
622 ureteric tissues *ex-vivo* from a stent coated with PEGDA hydrogel. This can be
623 explained by an increased amount of drug released, as well as the close apposition of
624 the hydrogel with the ureteric tissues in the current study. PEG-based hydrogel is a
625 well-suited material, given that PEG was reported to have enhanced bioadhesion to
626 urothelial mucosa[53].

627 Cell cyto-toxicity experiments demonstrated that MMC remained active in reducing the
628 fibroblast cell lines, even after the stents have been subjected to plasma modification
629 (100W, 5 min) and ultraviolet irradiation (365 nm, 10 min). Moreover, the studies also
630 demonstrated polyurethane, PLC and PEGDA materials to be cyto-compatible *in-vitro*.
631 0.1% w/v Irgacure 2959 photo-initiator was also not cyto-toxic to the cells *in-vitro*. These
632 confirm the feasibility of using 5 min plasma treatment time *in-vitro*, since drug activity
633 and release remain unaffected by the fabrication process. Furthermore, more cell death
634 was recorded for the coated stent samples than for the media control (0.5 µg/mL MMC
635 solution). This was due to the higher MMC amounts released from the stent sample.
636 Referring to Fig 3C, there was an estimated 8 µg/mL MMC cumulatively released over 3
637 days in the 5 mL phosphate buffered saline (PBS), which was much greater than 0.5
638 µg/mL.

639 The coated stent was successfully implanted and removed in the porcine ureter using
640 the current standard protocol for stent insertion in patients. Since both the urothelial
641 lining and hydrogels were hydrophilic and lubricious, there was no significant friction
642 that resisted the movement of stent along the tissues [54]. The radio-opacity of the
643 hydrogel, generated by its exposure to OmnipaqueTM solution, enabled the clinician to
644 visually locate the coated section of the device under fluoroscopic guidance. Although
645 bilayer coatings were applied to the central section of the stent, these could similarly be
646 applied onto other selected sections (upper/distal) of the stent. The flow of contrast fluid
647 around the stent was restricted, as indicated in the X-ray image, due to an apposition of
648 the external hydrogel coating with the ureteric wall. Antegrade flow of contrast agent
649 within the stent lumen was unimpeded as there was no evidence of obstruction of
650 dilation of the proximal kidney. This could explain why no urine backflow
651 (hydronephrosis) is believed to have occurred, given that the kidney calyx maintained its
652 healthy original shape and size.

653 The feasibility of this device, to locally deliver drugs in a targeted fashion within the
654 ureter, was demonstrated by drug detection in only the central section of the stented
655 ureter and nowhere else along the harvested urothelium. However, the drug quantity
656 was significantly lower than that transported to urothelium *ex-vivo*. This may be caused
657 by the abolishment of the peristaltic movement of the ureter *in-vivo*, rendering drug
658 transfer more challenging due to the ureteric dilatation[33, 55]. Furthermore, it is
659 important to note that 400 ng/mL MMC in porcine blood is considered as toxic to the
660 animal[56] and an abnormally large increase in serum creatinine implies that kidney
661 might be damaged[57]. In our study, negligible amount of drug was detected in the peri-
662 ureteric fats, serum creatinine and blood, demonstrating the absence of systemic
663 toxicity and kidney damage.

664 **5. Conclusion**

665 This is the first demonstration of a swellable drug-eluting ureteric stent both *in-vitro* and
666 in preliminary pilot *in-vivo* proof-of-concept study. The mechanical and functional
667 validation of the device to achieve apposition with the urothelium upon swelling, as well
668 as its performance in delivering drugs to the targeted ureteric region, over 1 day of
669 indwelling stent duration in one porcine without significant systemic levels, have been
670 observed. The ease of inserting and removing the stent in the porcine model with
671 current clinical practice has also been demonstrated *in-vivo*.

672 The hydrogel-expandable drug-eluting polymer coating may potentially be applied to
673 other areas in the urinary tract including urinary catheters used in the lower tract for
674 treatment of strictures. The coating may also be applied onto other stents in body
675 lumens for disease management, such as in the esophagus and colon.

676 **6. Limitations**

677 Longer-term studies with a larger sample size in an *in-vivo* setting are desired for a
678 more comprehensive evaluation of the prototype, in terms of establishing the device
679 safety, therapeutically relevant amount of drug for the efficacious treatment of the
680 particular urologic disease such as carcinoma and the range of safe drug dosage.
681 Future work will also investigate the distribution of the drugs in the urothelium, as it has
682 been widely reported that the drug should reach the smooth muscle cells layer to exert
683 pharmacological effect in the case of stricture management [26, 58]. A study to
684 investigate the effects of ureteric flexing and bending due to the pigs' mobility is also
685 crucial for the validation of the hydrogel durability during stent indwelling duration. The
686 presence of any possible iatrogenic injury to the ureters due to device removal could
687 also be observed by examining the urothelial lining for signs of damage or inflammation.
688 To add on, a long-term risk evaluation of stent-related symptoms such as encrustation
689 and bacterial infection could also be performed. Finally, the current work only evaluates

690 the coated device in healthy porcine ureter, hence only a preliminary single swollen
691 hydrogel stent section (7 mm) is used. Future work will involve the creation of stricture
692 in target ureteric segment in which ureteric dilation is expected to be less due to the
693 fibrosis present. Stents coated with hydrogel that swells to varying diameter sizes will be
694 implanted to evaluate device safety and efficacy to manage stricture.

695

696 **Conflict of interest**

697 No conflict of interest.

698 **Patent**

699 WO2016148648 A1.A Stent Assembly and Method of Preparing the Stent Assembly.
700 Filed on March 15, 2016.

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