

**BEAMSTEERING ANTENNAS USING LIQUID CRYSTALS
FOR 5G APPLICATIONS**

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This thesis contains material from 2 paper(s) published in the following peer-reviewed journal(s) / from papers accepted at conferences in which I am listed as an author.

Chapter 4 is published in Asia Pacific Microwave Conference (APMC), Singapore, 2018 conference paper titled 'Enhanced Frequency Tuning based on Optimized Liquid Crystal Cavity Patch Antenna' and accepted for presentation in Antenna and Propagation Society (AP-S), Montreal, Canada, 2020 conference paper titled 'Liquid Crystal Material based Electronically Beam Steering Antenna at Ka-band for 5G Applications'.

The contributions of the co-authors are as follows:

- Mr Alphones supervised and provided valuable feedback and editing of the final draft
- Mr. Nasimuddin helped conduct simulation studies required to add in the paper and provided the technology and material details and also helped in editing of paper
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ABBREVIATIONS

| | |
|---------------|---|
| 5G | 5 th Generation |
| MIMO | Massive Input Massive Output |
| RF-MEMS | Radio Frequency Micro Electro Mechanical System |
| LC..... | Liquid Crystal |
| DC..... | Direct Current |
| OFDM | Orthogonal Frequency Division Multiplexing |
| LTE | Long Term Evolution |
| 3D | 3 Dimensional |
| LWA | Leaky Wave Antenna |
| TWA | Travelling Wave Antenna |
| HetNets | Heterogeneous Networks |
| Gbps | Giga bits per second |
| UHD | Ultra High Definition |
| AR | Augmented Reality |
| VR | Virtual Reality |
| IoT | Internet of Things |
| NR | New Radio |
| TDD | Time Division Duplexing |
| GDPA | Gross Domestic Product |
| 3GPP | 3 rd Generation Partnership Project |
| ITU | International Telecommunication Union |
| WRC | World Radio Convention |
| EB | Elevation Beamforming |
| Rel | Release |
| BS | Base Station |
| SNR | Signal to Noise Ratio |
| FDD | Full Dimensional Duplexing |
| PIN | Positive-Intrinsic-Negative |
| MEMS | Micro-electro-Mechanical System |

| | |
|-------------|---|
| FET | Field Effect Transistor |
| ADC | Alternating and Direct Current |
| TXRU | Transmission Receive Unit |
| ESPAR | Electronically Steerable Parasitic Array Radiator |
| CSPA | Circular Switched Parasitic Array |
| RH | Right Hand |
| LH | Left Hand |
| CRLH | Composite Right Left Hand |
| PLTWA | Patch Loaded Travelling Wave Antenna |
| dB | Decibels |
| FSS | Frequency Selective Surface |
| NLC | Nematic Liquid Crystal |
| 5CB | 4-cyano-4'-pentylbiphenyl |
| SIW | Substrate Integrated Waveguide |
| DRA | Dielectric Resonator Antenna |
| GAA | Grid Array Antenna |
| MHz | Mega Hertz |
| GHz | Giga Hertz |

SYMBOLS

| | | |
|------------|-------|--|
| α | | Attenuation |
| λ | | Wavelength |
| β | | Phase Constant |
| θ | | Angle |
| k | | Propagation Constant |
| δ | | Dielectric loss |
| ϵ | | Permittivity |
| L | | Length of microstrip antenna feed |
| d | | Separation Distance between antenna elements |
| W/W_f | | Patch width |
| h | | Substrate height |
| t | | Thickness |

SUMMARY

5G being a buzzword in telecommunication has led to the invention of many novel designs of antennas that cater to the 5G protocols, techniques and applications. Antenna arrays with small form factor, able to show ability of mainlobe scanning thus became a necessity to be able to implement Massive Input Massive Output (MIMO) arrays as part of the 5th Generation applications.

Liquid Crystals (LC's) were a class of materials gaining traction in beamscanning applications due to the ability of integration of these materials in planar and compact surfaces. Their unique properties regarding permittivity change with applied voltage thus could be harnessed in various ways. This opened up a new era of antenna configurations and feed networks that solely worked on integration with LC materials.

The focus of this thesis is thus on different types of planar antenna array systems used in telecommunication and their behavior and advantage when integrated with an LC layer.

Starting with an Introduction and Literature Review in which various 5G terms, protocol and definitions, the existing methods of beamscanning technologies and the drawbacks of each as well as need for a more easy-to-integrate beamscanning technique is discussed, the properties of Liquid Crystals that make it suitable for the same is also described in detail.

Next, various types of beamscanning configurations are investigated starting with the basic rectangular patch antennas. The patch antenna array system is the most common type of array used in 5G beamforming and MIMO applications due to the relative ease of design and compact structure as well as low cost of fabrication. On integrating with the LC layer, it can be seen to show a larger scanning range with relatively less modifications in array geometry and working principles. In addition to being applied underneath the patch itself, LC layer can also be used in the feed network of a patch array and can be used to provide variable phase shifts as in a delay line topology. A

simple and effective feed system with LC layer is proposed that can be integrated to provide required phase shift for any antenna configuration.

Later, Leaky Wave Antennas and Travelling Wave Antennas and their reaction to the LC layer in the network is studied. A novel shape of LWA design and its advantages are also defined. The LWA's provide multiple degrees of freedom in beamscanning due to the property of the array to act as a variable delay line with differential phase shift. This is combined with the LC permittivity change property to provide greater angles of scanning. The LWA design has been found to overcome various drawbacks of the initial designs including ease of practical application of a DC bias to the LC layer as well as stable gain during resonant frequency change with permittivity change of LC layer. Lower sidelobes for the beam is observed.

The designs mentioned have been modified and tuned to satisfy 5G protocol requirements like resonant frequency range, gain cutoffs and scanning angle requirements.

Depending on the problem statement, we can thus use a different type of antenna array along with the embedded LC layer. Each type has its own advantages and drawbacks, but many limitations of the scanning range is overcome using a very simple method of adding a variable permittivity Liquid Crystal layer.

This work is thus an investigation in how different antenna arrays work to enable and support beamscanning as well as the requirements, standards and technologies that make 5G MIMO beamscanning a reality. It also describes how the use of a simple metamaterial modification can be applied to any type of array and how each response is unique.

The conclusion gives an overview of the problems incurred at each level of antenna design and how to overcome the challenges as well as the future of LC's in beamscanning.

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1.1. General Background

The most pivotal technological advance in the 21st century in communications domain has been the introduction of 5G or 5th Generation cellular network technology. Mobile communication had become an inevitable part of daily life by the late 20th century and ever since its advance, demands have increased for higher data rates, faster networks and more user-friendly applications. 4G LTE (Long Term Evolution) was an important advancement that gave consumers an idea of how a frequency spectrum could be harnessed in previously unidentified ways to provide significantly faster speed as well as advanced applications like video conferencing, mobile TV etc. This led to an interest in how this technology could be further developed to create drastically higher performance and better user interfaces. As a result, companies like Huawei, Xilinx and Qualcomm started trials and testing of 5G products in allotted bands in various countries by the end of 2010. [1]

Some key innovative ideas/technologies facilitate the introduction of such a high efficiency, high capacity communication link, the most important being: massive MIMO (Multi-input, Multi-Output) arrays, Beamforming and Millimeter-wave antennas/circuits. Massive MIMO implies using a very large number of antennas for transmission of signal bits simultaneously and being received by the end system, so that net transfer rate or bit rate is high. The number of antennas could be as large as 256 or 1280 in an array, which requires that they be of small form factor and low cost in order to deploy to the masses.

Another key term used is Beamforming, which refers to creating a main beam in an antenna array and using phase shifters and other methods to steer the beam to desired direction.

Millimeter arrays refer to tiny antennas that can transmit at very high frequencies, to the order of GigaHertz frequencies.

Massive MIMO systems require small antennas with high directivity that can employ beamforming and beamsteering for them to communicate with each other efficiently.

As a part of the research to find a new and efficient method of beamsteering, various methods were investigated. RF-MEMS (Radio Frequency- Micro-Electro-Mechanical System), Reflectarrays, Mechanical actuators etc. were all used conventionally for beamsteering purposes. Scientists later developed various metamaterials that could effectively combine with an antenna array to direct the beam formed in a desired direction. A metamaterial structure in relation to an antenna array usually consists of a changeable electrical length feed designed to provide variable phase shift to the elements of an antenna array.

Liquid Crystals were one such material that gained interest during this time since their variable permittivity posed an intriguing electrical property that could be combined with an antenna array. They had the advantage of being largely invariable to temperature and interference effects, as well as being easy to integrate on planar surfaces like a smartphone. The material losses incurred for the electromagnetic waves were also comparatively lesser than for other methods. Thus, a new era of beamsteering antennas composed of LC based phase shifters came into the picture.

However, the current scenario of LC based beamsteering methods lack a coherent methodology and usually find place only in the design of phase shifters within array connections or feed networks. They can however be used in a much larger field of application when integrated with the radiation part of antenna arrays. This area thus offers a large window of research.

1.2. Motivation for the Project

The application of LCs in different types of antenna array configurations was a hitherto unexplored region of study. Depending on the configuration of an antenna array, the LC layer can be added at an appropriate region to obtain best results. Biasing of the LC was an additional consideration, therefore designs require a DC bias point for practical application.

Thus, a comparison of different antenna arrays and their design, simulation and fabrication with respect to Liquid Crystal addition in the design became the focus of this thesis.

1.3. Organisation of Thesis

The first chapter is an introduction and general summary of the technologies and keywords used in the work to obtain the background required for next sessions. It also provides an overview of the thesis.

The 2nd chapter consists of literature review of key protocols followed in 5G architecture and their application in practical scenarios, meaning of certain keywords and so on. Various papers that review 5G beam-steering methods, Liquid Crystal based beam scanning antennas and existing methods of beamsteering are also summarised. This chapter provides an understanding of the most recent novel techniques related to the project.

Chapter 3 talks about the simplest application of an LC layer while designing a feed that can be applied with any antenna configuration. A comparison between two possible feed methods namely the meanderline feed and the interdigitated/capacitive feed and their behavior and beam scanning angles obtained are further covered.

Chapter 4 is a study of the most common type of antenna array- the patch array, with LC cavity feed layer. Different configurations of the LC feed layer with meanderline are considered. The scanning angle gain and size of the array are then discussed in terms of efficiency and advantages in design. In addition, the effect of the LC cavity shape in tuning frequency is studied and the best shape for maximum tuning is discussed. An improvement on the conventional patch antenna structure to make it more compact and efficient in terms of reducing sidelobe due to the meanderline feed using a novel flipped structure is then presented and compared with previous cases in terms of scanning angle, sidelobe level and gain.

Chapter 5 is a study of the Leaky Wave Antenna(LWA) and their behavior with an LC layer and how different patch shapes affect the various output parameters of the array.

Chapter 6 is focused on an improved shape of the LWA patch-the star shaped LWA. It is compared with Travelling Wave Antennas of the same shape. The novel design is then expanded to a 4 x 8 array as a method of showcasing Massive MIMO and their various output parameters are compared with other antenna structures.

Lastly, the conclusion and future works recommend modifications and possible innovation scenarios for current array antennas, while also providing a summary of the work so far.

2.1. 5G - An Overview of Technology**2.1.1. The Need For 5G**

The increasing demand for network bandwidth and high rate of internet traffic as well as increased connectivity requirements have given rise to the requirement of a new generation of transmission and device protocol namely 5G or the 5th Generation of communication.

The design targets of 5G are: 10–100x peak data rate, 1000x better network capacity, 10x more energy efficiency, and 10–30x lower latency ultimately leading to Gigabit wireless.[2]

In other words, the 3 main aims of 5G can be summarized as:

- a) Lower latency and very high data rates
- b) Greater reliability in communication
- c) Energy efficient systems capable of accommodating dense layout of devices [3]

What makes 5G so attractive for consumers is the very high broadband speed upto 10 Gbps for static users comparable to that of fiber and 1 Gbps edge user coverage that it proposes.[4]

The scope of 5G can be extended beyond the mobile and wireless pieces to the wide area coverage network.

When looking back at 4G, 5G evolution can be termed as the combination of Internet services and existing mobile networking standards forming what is called the ‘mobile Internet’, building on Heterogeneous Networks (HetNets), while also providing high-speed broadband connectivity.[2]

By using cloud resource sharing, 5G also aims to develop the next generation of TV and video communication. This includes advanced and immersive multi-media applications like Ultra-High Definition (UHD) as well as 3D video and augmented reality (AR and VR). [2] 5G also encompasses all Internet of Things (IoT) services and applications. Through the deployment and operation of 5G networks, it will be a familiar sight to see many unique solutions such as highly integrated wearable devices, household appliances, industry solutions, robotics, self-driving cars, virtual reality, and other advanced technologies that will be enabled greatly through the 5G network platform. [6]

5G is based on OFDM or Orthogonal Frequency Division Multiplexing, much like its predecessor 4G LTE and will operate based on the same principles of mobile networking. In addition, the introduction of a new air interface named 5G NR (New Radio) will further enable OFDM to deliver a much higher degree of scalability and flexibility.[8]

In the economic perspective, 5G claims to obtain 18% more GDP growth and upto 22.3 M more jobs. 5G will be using Time Division Duplexing or TDD as its communication method.

5G can therefore be a unified technology that mainly combines 3 main broad applications:

1. Enhanced mobile broadband

The technologies proposed in 5G can usher in an age of fully immersive techniques like augmented and virtual reality- AR and VR. This is enabled through stable data rates, much higher data speeds, reduced per-bit cost and much lower latency, thus making the smartphone experience better.

2. Mission-critical communications

Certain areas like medicine, mission critical infrastructure controls done remotely, and self-driven vehicles require ultra-reliable, available, low-latency links which only 5G technology can help realise.

3. Massive IoT

5G's IoT program means to seamlessly connect a massive number of embedded sensors. This can be done through scaling down data rates, power and mobility, providing exceedingly compact and cheap solutions for connectivity. [8]

The inception of 3G and data in the early 2000s brought smart antenna techniques to a more practical level. Investigations later resulted in full scale standardization of smart antenna solutions or antenna diversity solutions, that is, MIMO (Multiple Input Multiple Output).

Spectral efficiency of the allocated 5G frequency bands and Cloud sharing together requires the use of Massive MIMO to help enable it.

2.1.2. 5G Spectra and Standards

The term 5G was formally defined by the 3GPP project in late 2018 as any system/hardware that uses the 5G NR or 5G New Radio software. 3GPP stands for 3rd Generation Partnership Project, a group of organizations that develop standards and protocols for mobile telecommunication. Earlier, 5G was broadly used to refer to any system that could deliver very high download speeds up to 20 GHz as defined by the International Telecommunication Union (ITU)'s International Mobile Telecommunication standard of 2020. A more versatile classification which will be the global standard is set to be developed by the 3GPP and delivered to the ITU to define 5G systems more clearly, whose focus will be in defining the 5G New Radio(NR) air interface that set 5G apart from previous generations. [12]

In order to cater to such a varied group of interest, 5G defines new ranges of the communication spectrum. The standardisation of the new air interfaces for 5G was first done by the International Telecommunication Union- Radiocommunication Sector's (ITU-R) meeting at the World Radio communication Conference (WRC) in 2015.

The conference has identified several bands in the range of 24.25–86 GHz for studies to address this requirement.[2]

The frequency spectrum of 5G can be divided into **millimeter wave range, mid-band range, and low-band range**.

a) **Low-band** uses the frequency range from 1-6 GHz -mainly used for IoT applications

b) **5G mid-band** is the most widely deployed, in over 30 networks. Speeds in a 100 MHz wide band are usually 100–400 Mbit/s down. In the lab and occasionally in the field, speeds can go over a gigabit per second. Frequencies deployed are from 2.4 GHz to 4.2 GHz [8]

c) **5G millimeter wave band** consists of frequencies from 24 GHz to 72 GHz. This range has the most support internationally for its launch since it would be mainly used for mobile communication. MIMO antennas are an integral part of this frequency range since the range of this band is limited and cannot penetrate walls and windows and thus would be focused on outdoor communication. Very high data rates around 1-2 Gbps is possible [12]

Other than the mentioned applications, these bands can also be used for research, satellite and other technological purposes which are outlined in the table below:

Table.1. 5G frequency bands and functions [2]

| Band (GHz) | Bandwidth (GHz) | Key Service |
|------------|-----------------|---|
| 24.25-27.5 | 3.25 | Fixed, Satellite, Earth Exploration, Mobile |
| 31.8-33.4 | 1.6 | Fixed, Inter-Satellite, Space, Radio |
| 37-40.5 | 3.5 | Space, Mobile, Mobile-Satellite |

| | | |
|-----------|-----|--|
| 45.5-50.2 | 4.7 | Fixed, Satellite, Mobile |
| 50.4-52.2 | 2.2 | Fixed-Satellite |
| 66-76 | 10 | Broadcasting, Satellite, Fixed, Radionavigation-Satellite |
| 81-86 | 5 | Fixed, Fixed-Satellite |

2.2. Massive MIMO Technology

MIMO was introduced in LTE (3GPP Rel-8) to provide higher capacity and spectral efficiency. LTE-Advanced (Rel-10) supports 8×8 MIMO in the downlink and 4×4 in the uplink. LTE-Advanced Pro (Rel-13) introduces the next step with elevation beamforming (EB) and Full Dimensional MIMO (FD-MIMO).

FD-MIMO supports both elevation and azimuth beamforming. It envisions increasing the number of antenna ports at the transmitter (base station) up to 64 to improve spectral efficiency. An extensive study was carried out by 3GPP to understand the performance benefits of enhancements targeting antenna array operations with 8 or more transceiver units (TXRUs) per transmission point. The key conclusions of the study was the requirement of Beamforming Antennas to improve efficiency.

MIMO in simple terms is a smart antenna concept that utilizes more than one antenna both at the base stations and at terminals. MIMO have been explored and used primarily to increase the capacity of cellular and broadband systems. In 5G, MIMO may be comprised of tens of antennas at both ends, thus the term massive MIMO is commonly used.

The use of cmWave and mmWave bands with their short wavelengths will facilitate FD-MIMO. FD-MIMO simultaneously supports both elevation (in high rises) and azimuth (on ground) beamforming.[15]

The Massive MIMO technology can bring at least ten-fold improvements in area throughput by increasing the spectral efficiency (bit/s/Hz/cell), while using the same bandwidth and density of base stations as in current networks.[15]

Transmissions can be parallel by having multiple transmit antennas and multiple receive antennas. There are two distinct cases:

1. **Point-to-point MIMO** where a Base Station (BS) with multiple antennas communicates with a single user terminal having multiple antennas.
2. **Multi-user MIMO** where a BS with multiple antennas communicates with multiple user terminals, each having one or multiple antennas.[17]

Multi-user MIMO is found to be the more attractive solution due to lesser number of antennas and higher efficiency.[18]

The working of multi-user MIMO can be explained as a base station with M antennas communicating with K single user terminals, each having multiple antennas. The multiplexing at BS ensures that only one data stream per user is occupying the downlink and also receives only one data stream per user in its uplink parallelly. As mentioned before, 5G uses a TDD frame design since there is the added benefit of scalability in downlink data communication compared to FDD even though uplink provides same performance in downlink and uplink.[17]

2.2.1. mm-Wave with Massive MIMO

The propagation loss for mmWave transmission is quite large due to the high frequency and corresponding low wavelength of the signals that therefore limit its range of transmission. Therefore, mmWave transmission is more suitable for small cells for data rate and dense user scenarios. To compensate for the propagation losses, high gain and directivity is required for the designed arrays at both base stations and user terminals. The MIMO technology consists of using antenna arrays with a large number of elements, the scale of the array being the cause of high gain. Each element also needs to be precisely beam-directed in order to obtain the added-up signal at

destination. The larger the number of elements, the greater the requirement of proper beam-steering. For example, a size of 66mm by 66mm may accommodate 1024 antenna elements can form a main beam as narrow as 3° . [17]

The directivity of a beam formed by an array can be sensitive to azimuthal and elevation angles. Additionally, mmWave transmission link will be sensitive to angle changes in departure and arrival. The importance of a directed beam is much higher in the millimeter wave scenario as compared to say, a sub-6 GHz range (low band) since the losses are much higher in mm-wave range and the beams in the low-band range can also be much wider since angle variation is compensated by high range and gain. For mathematical modelling of mm-range frequency antennas also, the beam angles thus become necessary.[17]

As a result, comes the requirement of beamforming and beamsteering antenna arrays.

2.3. Beamforming and Beamsteering

2.3.1. Beamforming - definition and principle

Beamforming is the formation of a single targeted signal beam by combining signals at same phase and wavelength from a group of radiating elements in a defined manner that is more targeted and stronger than a single signal. [21]

mmWave frequency antennas as mentioned before enjoy the advantage of having a higher bandwidth but suffer from various propagation losses that microwave signals do not, which are as follows:

1. **Path loss:** As the term suggests, the loss incurred in the signal as it travels a distance. The path loss is higher for higher frequencies as per Frii's law.
2. **Diffraction and blockage:** High frequency mmWave signals have limited range and thus cannot penetrate walls, buildings and other solid objects, thus are limited in outdoor applications.
3. **Rain attenuation:** Atmospheric effects like rain attenuation become a real problem in mmWave communication as the magnitude of such attenuation is greater for these signals over microwave signals
4. **Atmospheric absorption:** Another effect of atmospheric interaction is the absorption of the waves due to oxygen that is greater for mmWave signals over microwave signals as per field measurements.
5. **Foliage loss:** In densely wooded areas, the radio link can be obstructed by trees that will interfere in signal quality.

All these factors contribute to the overall losses of mmWave signals being larger than those of microwave signals. Fortunately, the small wavelengths of mmWave signals enable a large number of antenna elements to be placed in the same physical antenna area thereby providing high spatial processing gains that can theoretically compensate for at least the corresponding isotropic path loss. [22]

A narrower beam can be formed by increasing the number of radiating elements that make up the antenna array. A negative effect of beamforming is the side lobes. These are the excessive or unwanted radiation of the signal that forms the main lobe in

different directions. Poorly designed antenna arrays would cause an excessive interference to beamformed main beam by way of the signal's side lobe. Thus, increasing the number of radiating elements will result in a narrower and more directive beam with lesser sidelobe which is the essence of the MIMO technique.[21]

2.3.2. Beamsteering and Beam Switching

In the cellular communication scenario, beam steering is achieved by adaptively updating the phase of the input signal on all radiating elements constituting the BS array. Phase shifting allows the signal to be targeted at a specific receiver. An antenna system can be such that it consists of radiating elements creating a single beam with a common frequency steered in a particular direction. Different frequency beams can also be steered in different directions to serve different users. The direction a signal is sent is determined by the base station and is updated dynamically as the user moves, effectively tracking the user. If a beam cannot track a user, the endpoint may switch to a different beam.[21]

Beamforming consists of applying discrete phase shifts to each antenna element forming the antenna array effectively shaping the beam and providing individual control of the direction of a transmitted beam.[23]

2.3.3. Beamforming techniques

Beamforming techniques can be subclassified as: RF/analogue, digital, or hybrid beamforming.

a) RF/Analog beamforming: Each element of an antenna array produces a signal that is individually given a time delay using a phase shifter. This method of beamforming is relatively cheap and low power when compared to digital beamforming [24]. The challenge faced by this method is the frequency dependence of phase shifters thereby limiting high-bandwidth applications.

b) Digital beamforming: The same beamforming principles as for the RF case are applied to a digitized signal. An ADC samples the sinusoidal signals from each element of the array. It is however costlier and more power hungry.

c) Hybrid beamforming: As the name suggests, it is a combination of RF and Digital beamforming methods. The first conversion is done by the RF beamformer in providing phase shifts to each signal. The 2nd section is controlled by the Digital section that takes care of the sampling as well as down-conversion to baseband. This combination enhances performance of the system as well as allows multiple channels to be accommodated simultaneously.[24]

2.3.4. **Beamsteering techniques**

After forming the desired beam, various methods of beamsteering is required to direct the beam to a specific user from base station.

The different types of beamsteering methods practically used are outlined:

1. Mechanical steering

This consists of physically turning the antenna to face the desired direction. Mechanical steering is often performed by means of electric motors. Mechanical steering is highly effective since it maintains the gain of the antenna and offers flexibility in the steering range of the antenna.

Mechanical steering becomes undesirable and difficult when we consider factors such as antenna size, weight, and weather conditions. Recently, MEMS devices have been used to implement mechanical steering, they offer improved speed of scanning compared to manually steered arrays as well as low losses to the system. Steering speed is usually limited by weather and other mechanical conditions and thus the application is usually to areas where high speed operation is not required. Also, rotating mechanisms are prone to mechanical failure due to fatigue and wearing of moving parts.[24]

2. Phase shifters/ phased array antennas:

Phased arrays are a very popular method of beamsteering and is the electronic steering of the beam by providing phase shifts to each antenna element. Its range is limited by the pattern of the array and circuit design. It has the advantage of high directivity, multiple beamforming (one at a time in different directions), fast scanning when compared to mechanical steering due to its electronic circuitry, and spatial filtering.[24]

A PIN diode or switching MESFET is used to switch between two or more different lengths of transmission line. The length of each transmission line is calculated in such a way to achieve the desired amount of phase shift as the signal travels through the line.

The drawback observed is that the circuitry is highly influenced by noise and incurs heavy losses to the device. The insertion loss is also high for these devices.[24]

3. Reflectarray antennas:

A reflectarray is formed from the combination of a reflector and an array antenna. In place of a reflector, various reflector elements are also used in a reflectarray antennas. Due to small antenna elements, the reflectarray can be designed to be lightweight. It also has the advantage of generating multiple beams and can dynamically steer its radiation pattern.[24]

It, however, has the disadvantage of having high insertion losses due to phase shifters. The phase shift and thus scan angle of the beam is also predetermined during design stage thus the range is limited and cannot offer continuous scanning. With increasing number of elements, the complexity and cost of reflectarray increases.

4. Parasitic Steering by Yagi Uda Antennas

The Yagi-Uda antenna is made up of a driver or radiating element and multiple passive or parasitic elements. The passive elements obtain energy through electromagnetic coupling from the driver element. Various configurations of antenna have been proposed in literature, these include Electrically Steerable Passive Array

Radiators (ESPAR), Circular Switched Parasitic Array (CSPA), and disk-loaded monopole array antennas.[24]

As in the case of the phased array, the beam-steering angles are predefined but the insertion losses are much lower for these types of antennas.

5. Traveling Wave Antennas

Antennas can be broadly classified as standing wave (resonant) antennas or traveling wave antennas. Resonant antennas consist of open-ended wires that form standing waves through reflection. The pattern formed depends on design of both radiating part and matched impedance.[24]

In [26], a travelling wave antenna is designed using a loading technique called patch loading, and the design is called the patch loaded TWA, or PLTWA. It consists of using a conventional TWA feed with a rectangular patch used in place of resistance to match its impedance. The TWA shape used here is trapezoidal and the patch load technique is advantageous since it makes it low profile and easy to integrate on planar surface. A total of 50° beam scanning is proposed with changing frequency. It doesn't provide fixed frequency beamscanning. Gain of around 9 dB is achieved.



Figure 2-1. The PLTWA of [26]

Another CRLH design is proposed in [27] with unit cell and equivalent design as shown, to steer the beam in both backward and forward directions, with tuning done by Right Hand (RH) and Left Hand (LH) inductances and capacitances.

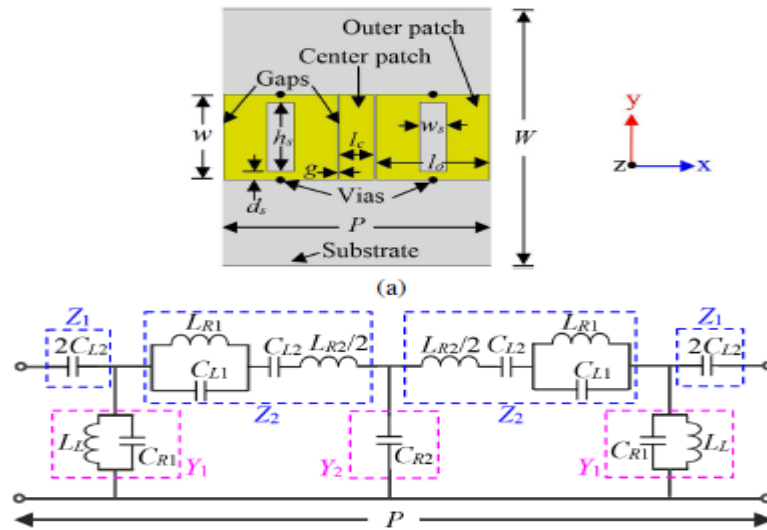


Figure 2-2. The CRLH antenna of [27] and equivalent circuit

Scanning from -51° to $+51^\circ$ is proposed using this design at 5.6 to 6.6 GHz range frequencies.

Leaky (fast) wave antennas have a similar challenge as phase shifters in that its main lobe direction is frequency dependent which will be a concern when operating over a large bandwidth, as would be the case for millimetre wave frequency applications.

6. Metamaterial Antenna

They are man-made structures that are designed to exhibit electromagnetic properties that cannot be achieved from natural occurring structures. This acts as a frequency selective surface (FSS) for the main antenna above. [24]

Using metamaterials for steering is less complex when compared to phased array antennas, however integrating the active devices and biasing circuits into each cell of each layer and the multiple layers seems to be quite complex to achieve and a lot more difficult to fabricate.

2.3.4. Conclusions

In addition to modifying physical structure of antennas, we can use different materials as substrate that show the same variable electrical properties to show a beamsteering that overcomes conventional beamsteering drawbacks. One such material is a liquid crystal.

The comparison and difficulties of each type of beamsteering method is outlined in Table 2:

Table 2. Beamforming techniques and their features [24]

| Technique | Insertion Loss | Scanning Resolution | Complexity | Size | Cost |
|--------------|----------------|---------------------|------------|-----------------|--------|
| Mechanical | None | Continuous | Low | Large | Low |
| Analogue BF | High | Predefined | Moderate | Medium | High |
| Digital BF | High | Fine | High | - | High |
| Reflectarray | Medium | Predefined | Moderate | Large | High |
| Yagi-Uda | Low | Predefined | Low | Freq. dependent | Low |
| TWA | None | None | Low | Small | Low |
| Metamaterial | High | Predefined | Moderate | Medium | Medium |

This work is proposed to overcome the drawbacks of the current beamsteering methods through the integration of Liquid Crystals in microwave antenna applications.

2.4. Liquid Crystals

2.4.1. Physical Properties and Behaviour

Liquid crystals are materials showing mesophases between crystalline solid and isotropic liquid [29]. The constituents are elongated rod-like (calamitic) or disk-like (discotic) molecules. The anisotropy in its dielectric permittivity is manipulated for use in modern electronic applications. This change in permittivity is the result of reorientation of the constituent molecules in the presence of electric field. Such Liquid Crystal materials are called Nematic LCs or NLCs. Its Achilles heel is a slow (millisecond) relaxation from the field-on to the field-off state. [30]

Nematic liquid crystals (NLCs) have revolutionized the way optical information is presented and processed [30]. NLCs act as an electro-optic medium and one important aspect that makes this possible is the long-range order in its orientation. NLC molecules are of an anisometric shape, in most cases resembling an elongated rod or a disc. Based on shape, LCs are characterized as calamitic (rod shaped), discotic and newly discovered bent core or banana shaped.

The chemical property of a Liquid Crystal molecule can be observed to show a rigid core consisting of polar groups. They show strong dipole-dipole or dipole-induced-dipole bonds or hydrogen bonds between them. In some cases, they show both. In most cases, the intermolecular interactions are due to the presence of polar or polarizable groups. Commonly found constituents are aromatic rings, multiple carbon bonds and oxygen-nitrogen bonds. Moreover, many liquid crystals are composed of molecules with two similar halves connected by a unit having a multiple bond. [32]

The size of the molecules is typically a few nanometers (nm). Since they possess long range order due to their rod like shape, it is common to see the ratio between the length and width (thickness) of the molecule to be 5 or greater. In addition to positional order, they may possess orientational order. In order to exhibit this

property, they have a rigid core with a flexible body. eg: A typical calamitic (rod shaped) liquid crystal molecule is 4-nPentyl-4-cyano-biphenyl and is abbreviated as 5CB. It consists of a biphenyl, which is the rigid core, and a hydrocarbon chain which is the flexible tail. [33]

If the molecule is completely flexible, it will not have orientational order. If it is completely rigid, it will transform directly from isotropic liquid phase at high temperature to crystalline solid phase at low temperature. With balanced rigid and flexible parts, the molecule exhibits liquid crystal phases.

The variety of phases that may be exhibited by rod-like molecules are shown in figure below:

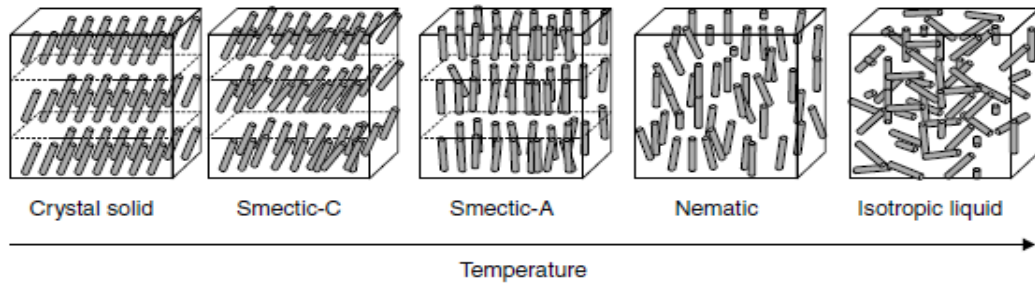


Figure 2-3. Temperature dependant phases of calamitic LCs [33]

The average direction of the long molecular axis is denoted by \hat{n} , which is a unit vector called the liquid crystal director.

The transition temperature of each type of LC varies and different combination of materials can be chosen to form the crystal depending on application requirement. It can vary from 10-240°C.

Temperature dependency of most commercially available liquid crystals indicate a transition temperature from cholesteric to nematic phase at 38 - 55° C, while transition points of up to 170 ° C is also possible for certain cases. The crystals are also found to be electronically stable up to 113° C in all cases.

For using LCs in experimental setup, the initial state of molecular orientation that is parallel to a surface is achieved through a process called anchoring. 2 types of

anchoring are possible-called homogeneous and homeotropic anchoring. Homogeneous anchoring consists of mechanically rubbing the surface of a substrate such that the microgrooves created due to rubbing helps align the LC material applied. Homeotropic anchoring consists of using chemicals like lecithin, silane etc that act as surfactant to attach the polar part of the LC molecule to the surface and thus aligning the hydrocarbon tail to point outwards.[33]

2.4.2. Reaction to external Electric Field

The most useful property of a liquid crystal is their ready reactance to electric field that causes changes in the LC structure. The molecular orientation is easily changed by the application of relatively low voltage ($<10V$) across the material. Due to their high resistances, they consume less power and act as dielectric or ferroelectric media. When the liquid crystals reorient, their optical properties change dramatically because of their large birefringences.

Liquid crystals in their unexcited state has 0 dipole moment in the bulk. When an electric field is applied, they start to obtain individual dipole moments and reorient such that total free energy of the system is minimized.[29]

The difference in permittivity caused by change in orientation of rod-like LC molecules at 0 V and above a threshold voltage V_{th} can be defined in terms of dielectric anisotropy. The dielectric anisotropy of a Liquid Crystal is defined as the change in the value of electric permittivity along the parallel and perpendicular direction of applied electric field. This permittivity is therefore a vector that can be depicted in matrix form. The difference between $\epsilon_{//}$ and ϵ_{\perp} is depicted as $\Delta\epsilon$ which is used to define further properties of the material. It is found that the LC molecules orient to applied field to minimize the net energy of the system. For molecules with $\Delta\epsilon > 0$, molecular orientation parallel or anti-parallel to field reduces the energy. For molecules with $\Delta\epsilon < 0$, the molecular orientation perpendicular to field minimizes energy. As such, the material behaves to external field as per its permittivity properties.

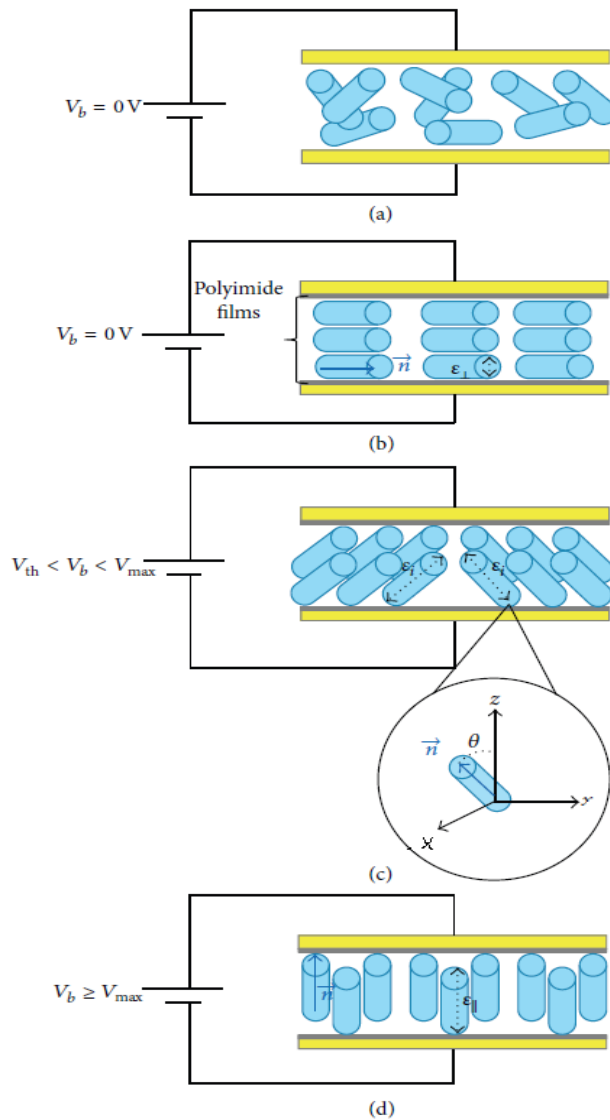


Figure 2-4. Anchoring and behavior of LC with applied DC voltage [35]

The process of reorientation of LC director to minimize free energy of a system when an electric field is applied is called Fredericksz transition. The initial orientation of LC molecules is dependant on the type of anchoring applied to the surface and the changes to orientation occur due to applied field. [29] The theoretical depiction of LC properties with electric field can be described using equations as below:

For a uniaxial liquid crystal when the liquid crystal director is $\hat{\mathbf{n}} = \{n_x, n_y, n_z\}$, $n_x = \cos\theta$, $n_y = \sin\theta$ and $n_z = 0$, the dielectric tensor is

$$\leftrightarrow_{\epsilon} = \begin{pmatrix} \epsilon_{\perp} + \Delta\epsilon \cos^2\theta & \Delta\epsilon \sin\theta \cos\theta & \mathbf{0} \\ \Delta\epsilon \sin\theta \cos\theta & \epsilon_{\perp} + \Delta\epsilon \sin^2\theta & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \epsilon_{\perp} \end{pmatrix} \quad (2-1)$$

where θ is the angle made by the LC director with z axis and $\Delta\epsilon = \epsilon_{\parallel} - \epsilon_{\perp}$.

At $V=0$, when director angle is 0,

$$\leftrightarrow_{\epsilon} = \begin{pmatrix} \epsilon_{\parallel} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \epsilon_{\perp} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \epsilon_{\perp} \end{pmatrix} \quad (2-2)$$

At $V=V_{th}$, where director orients at 90° assuming $\Delta\epsilon < 0$,

$$\leftrightarrow_{\epsilon} = \begin{pmatrix} \epsilon_{\perp} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \epsilon_{\parallel} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \epsilon_{\perp} \end{pmatrix} \quad (2-3)$$

Electric field can also affect the orientational order of the crystal. The transition temperature for conversion from isotropic to nematic and then to smectic phases can be changed by changing field strength outlined by the Maier-Saupe and Landau-deGennes theories.[29]

Some examples of LCs are: 5CB, 1,2-Dihexyloxybenzene, 1-Dodecylpyridinium chloride hydrate, 4-Ethoxybenzoic acid, 4-Phenylbenzotrile etc. which are all commercially available for purchase.

2.4.3. LC Based Beamteering techniques

In [36], a meanderline based design using inverted microstrip topology is presented for beamsteering using a liquid crystal substrate and Dielectric Resonator Antennas (DRA)'s. the LC layer is sandwiched between meanderline layer and slot antenna layer to enable scanning with applied DC voltage. The work proposed a meanderline FOM of $70^\circ/\text{dB}$ and a gain of 12 dB at 10 GHz.

Individual feed biasing is required for its operation. DRA's also present a more complicated mechanism of antenna tuning.

In [37], a LC based reflectarray design is presented for operation at 77 GHz. An open WR-10 waveguide feed is used as the feed method for 16 x 16 unit cells with LC layer below them. A scanning from -25° to 25° is proposed to be achieved with 8 dB sidelobe level. The design requires good integration with open waveguide and patch array to achieve satisfactory power levels.

[38] proposes an Slot Integrated Waveguide (SIW) feed based design using slots like in an LWA with a Wilkinson power divider and with LC phase shifter.

The structure is designed to operate at 11.28-12.5 GHz. It shows a beamsteering capability of -11° to 11° . The LC used is Merck's GT3-23001 with permittivity change possible from $\epsilon=2.56$ to 3.28.

In [39], a patch antenna and meanderline based LC phase shift design is covered.

This unique design makes use of the electrical length change property by changing the permittivity of the LC used ($\epsilon=0.79$ to $\epsilon=2.78$) to obtain a maximum differential phase shift of 38° , but steering of the main beam is by 9° .

Another unique CRLH antenna is proposed in [40] that claims a beamsteering range from -23° to 21° .

The 3-layer design poses some design challenges. It operates at 11.8-12 GHz and is able to scan the beam with frequency as well as at fixed frequency using tunable LC layer.

Later in this work, we consider different types of antennas with LC layer to see which gives best performance.

Conclusion

LC layer shows a method to control beam direction of antennas without encountering the losses in other beamsteering methods, for example, there is no material losses, additional hardware for steering, expensive electronics required to achieve the variable material properties of LC. A low DC voltage is enough to achieve this by the use of small batteries. There is also no additional modifications to be made to antenna

structure since the material is easily integrable to all planar structures. Most literature on conventional beamsteering techniques encounter the problems of limited range, additional hardware, switching speed, bandwidth, scanning range, insertion loss and frequency dependence of circuits, which can be overcome by Liquid Crystal based techniques. LC based beamsteering techniques have also not covered the application of the material on conventional array techniques and how the performance of those can be improved using this beamsteering method. There were 2 distinct techniques that were of particular interest in the review, being the Patch loaded TWA and the CRLH designs, both of which can be easily accommodated with the LC layer and the DC bias line. In addition, all types of existing antenna configurations can be explored to see the behaviour with the unique Liquid Crystal.

CHAPTER 3

MEANDERLINE FEED AND INTERDIGITATED FEED COMPARISON WITH LC LAYER

3.1. Introduction

The phase shifting property of Liquid Crystal is analysed using a simple feed structure that makes use of a cavity filled with liquid crystal inside a substrate. Commercially available Liquid crystals that can operate at high frequencies like 5CB, GT3-2001 shows permittivity between 1.5 (ϵ_{\perp}) and 3.9 ($\epsilon_{//}$), with loss tangents from 0.004 to 0.03.

For this application, a new material was defined as LC with a loss tangent of 0.02 and permittivity changing from 2.5 to 3.5, which is a range that is practically achievable with DC voltages below 5V. The substrate used is Rogers RO4350B whose electrical permittivity is 3.48, loss tangent of 0.0037 and thermal conductivity of 0.62 W/K/m. The substrate has height of 0.503mm. A cavity of 0.25mm depth is made inside this substrate. It is filled with LC of changing permittivity. The material data of commercially available LCs were compared to define a new material with ϵ_r defined as 2.5 with $\tan \delta = 0.006$ and at higher values of DC voltage ϵ_r of 3.5 with $\tan \delta = 0.002$.

A ground plane of 0.017mm thickness is below the structure.

Impedance of 50 Ω is obtained using microstrip line of width 1.44mm. Quarter wave matching is done using $\lambda/4$ length line ie, 1.45mm long with width 0.4mm. Two types of feeds were compared to see which shows best phase tuning range: Meanderline feed and Interdigitated feed.

3.2. Meanderline feed

Meanderline feeds are popularly used as a method of creating higher electrical length and surface current region without using higher space in a structure and is commonly used to concentrate electric field in a region that can then be tuned by different methods. The meanderline was optimised for best transmission parameters (S_{21} and S_{12}) to enable maximum power transfer in a network in the Ka-band from 26 GHz-30 GHz. The meander-line feed can be in a $\lambda/2$ dipole or $\lambda/4$ in length so as to allow constructive interference of electromagnetic waves at the antenna. The meandering sections of the meanderline radiate energy while the shorted ends behave as an inductor and do not radiate.

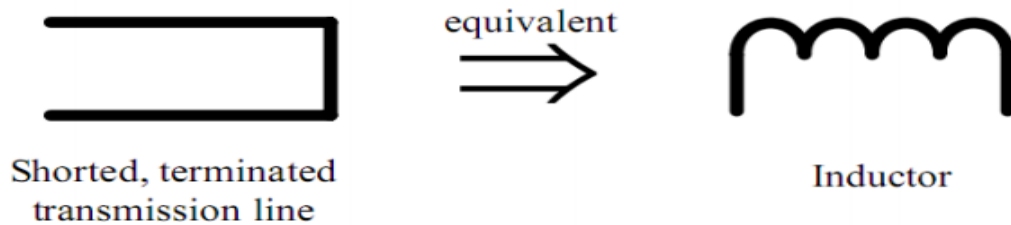


Figure 3-1. Impedance equivalent of a meanderline's shorter sides [41]

A meanderline feed is made on the LC layer using PEC of 0.017 mm thick. Waveguide ports (Port 1 and Port 2) were connected on either end of the meanderline after impedance matching striplines, as can be seen in Fig.(3-2). The optimised length was 4.4 mm, inter-element spacing of 0.25 mm and thickness of 0.1 mm for the operating frequency band.

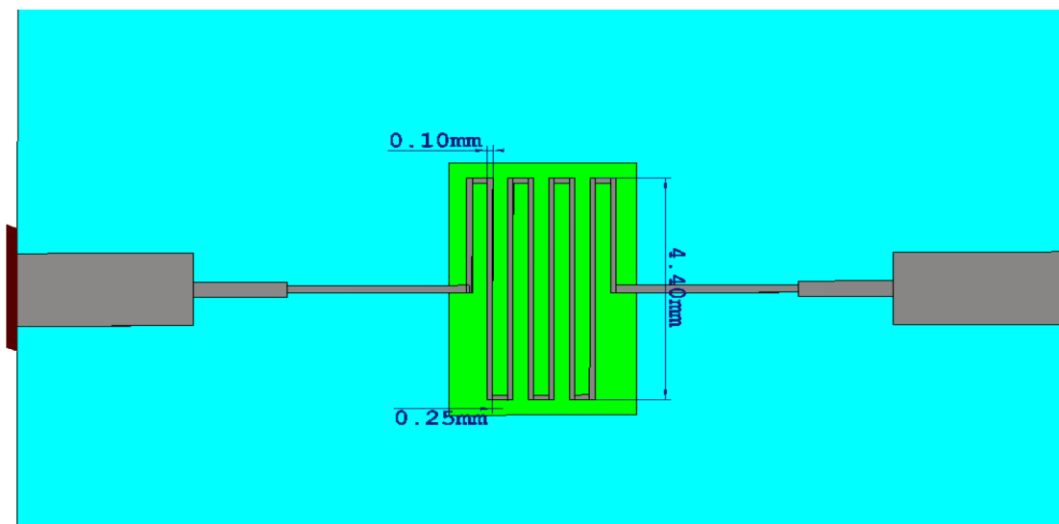


Figure 3-2. Meanderline feed design with 0.503 mm RO4350B substrate layer and 0.25 mm LC layer with optimized length of line 4.4 mm, with 0.25 mm and thickness 0.1 mm.

The LC permittivity value was then changed from 2.5 to 3.5 to see phase shift possible.

By seeing the phase of S_{21} and S_{12} parameters at 3 LC permittivity values, we can analyse the phase shift possible for changing permittivity as seen in Fig.(3-3) as well as figure of merit of the meanderline structure. The S_{21} magnitude is also analysed for changing permittivity in Fig.(3-4). It was found that the structure acted as a good phase shifter:

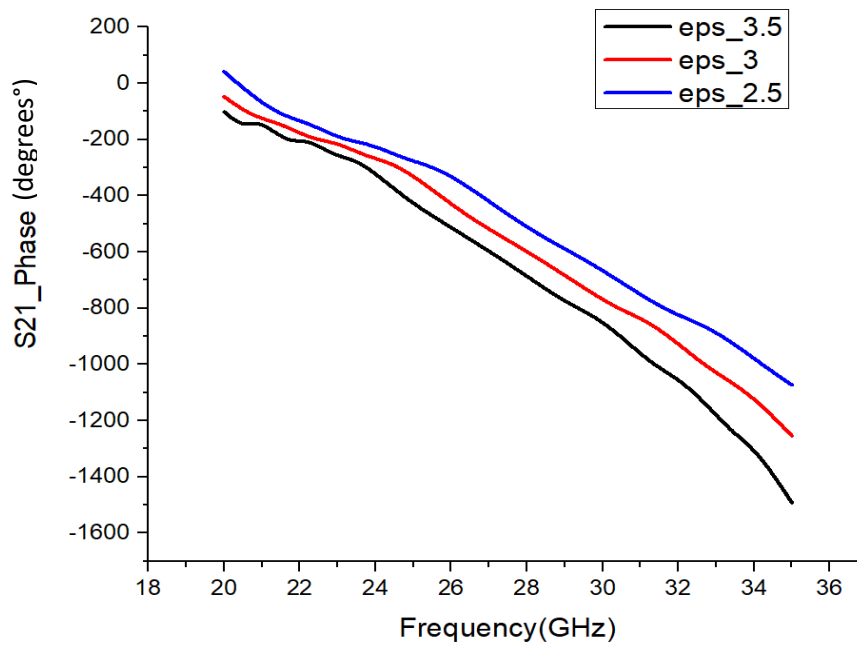


Figure 3-3. Phase shift caused for different values of permittivity of LC layer

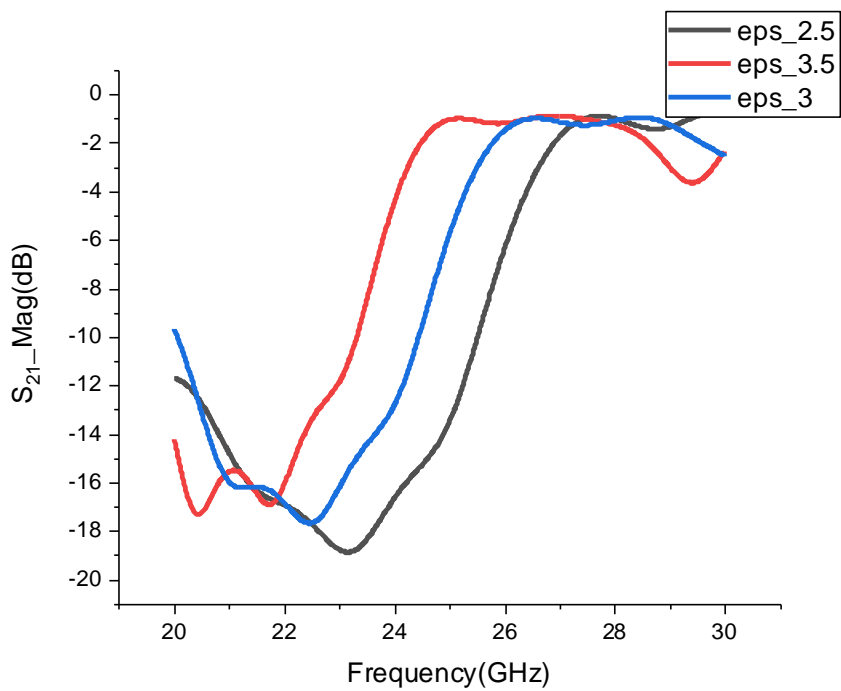


Figure 3-4. Magnitude of S-parameters(S_{21}) for changing permittivity of LC layer

It can be seen that a phase shift of above 85° was achieved for 0.5 change in permittivity.

3.3. Interdigitated feed

The interdigitated feed is a capacitive feed used to change electrical length and concentrate electric field power based on mutual coupling. The number of fingers, length and width can affect the frequency range transmitted. The capacitance of the feed is defined by the formula[42]:

$$C = N \cdot l_0 \left[\frac{2 \cdot \epsilon_0 \cdot t}{g_{min}} + \frac{2 \cdot \epsilon_0}{\pi} \ln \left[\left(\left(\frac{w_f}{g_{min}} + 1 \right)^2 - 1 \right) \left(1 + \frac{2 \cdot g_{min}}{w_f} \right)^{\left(1 + \frac{w_f}{g_{min}} \right)} \right] \right] \quad (3-1)$$

where N is number of interdigitated tunable fingers, l_0 is length of the interdigitated tunable finger, w_f is width of interdigitated finger, g_{min} is the gap.

The same configuration as for meanderline is used with respect to substrate and LC layer thickness, and quarter wave matching. The meanderline feed is then replaced with an interdigitated finger feed of dimensions calculated from Eq.3-1. An optimum number of fingers of 11 with longer side length of 4.2 mm and shorter side length of 3.4 mm was chosen. Spacing between fingers of 0.15 mm and width of 0.2 mm was fixed for best transmission at required range - 26 GHz - 29 GHz, shown in Fig.(3-5).

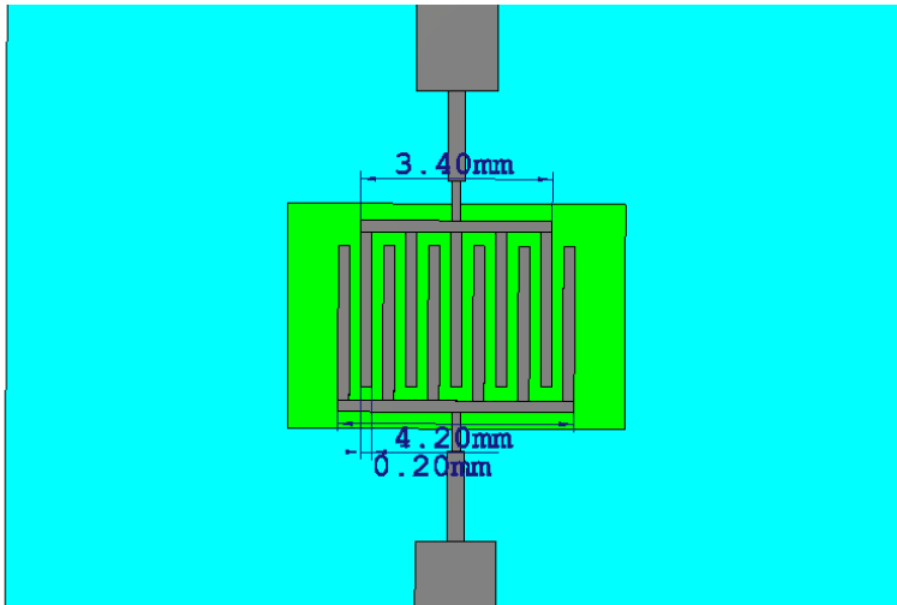


Figure 3-5. Interdigitated finger design on Rogers RO4530B substrate of 0.503 mm thickness and embedded LC layer of 0.25 mm with optimized length of fingers 3.5 mm, side length 4.2 mm and thickness 0.2 mm.

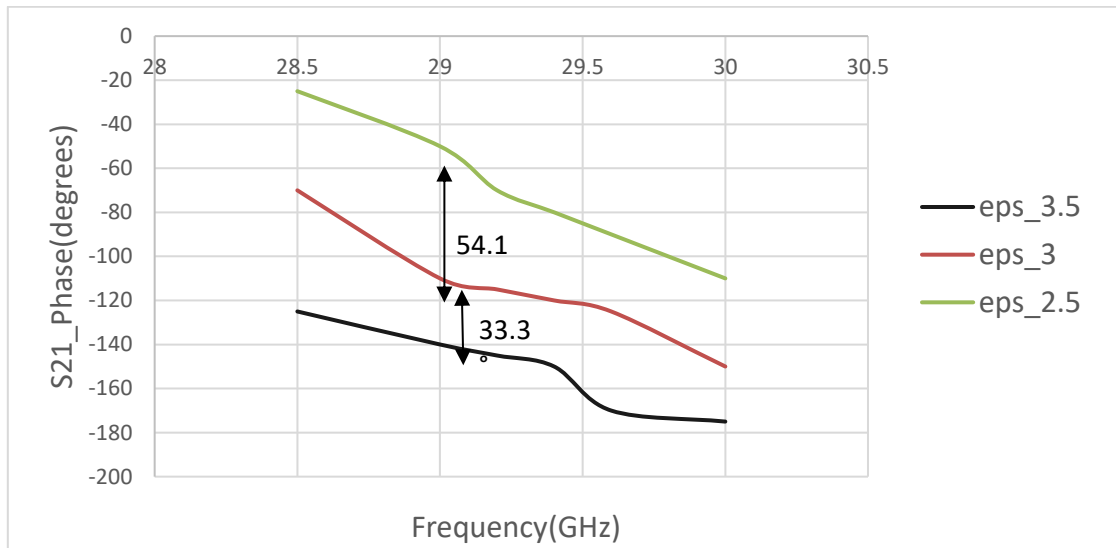
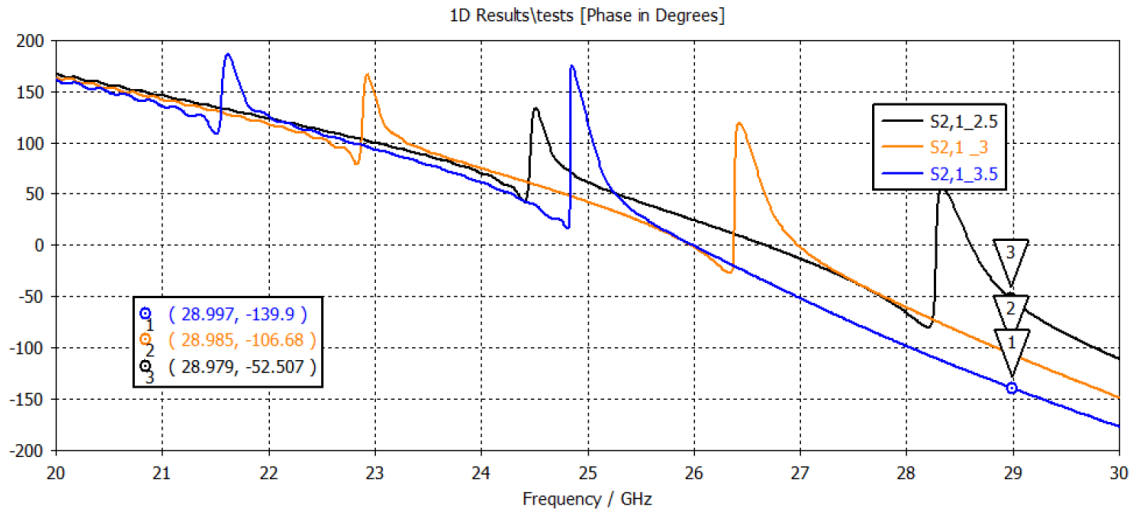


Figure 3-6. S₂₁ Phase values vs frequency using interdigitated structure for 0.25 mm LC layer under 0.503 mm RO4350B substrate with LC permittivities 2.5, 3 and 3.5 respectively- a) for frequency band 20-30 GHz b) for required range (28-30 GHz).

It was found that a phase shift of about 50° was achieved for each 0.5 change, Fig.(3-6). A comparison of meanderline and interdigitated feed can be seen as in Table 3 and Fig.(3-7):

Table 3. Frequency shift comparison for 2 types of feed

| <i>Permittivity</i> ϵ_r | <i>Meanderline</i> <i>phase</i> | <i>Interdigitated</i> <i>phase</i> | <i>Phase</i> <i>difference-</i> <i>Meander</i> | <i>Phase</i> <i>difference-</i> <i>Interdigitated</i> |
|-------------------------------------|------------------------------------|---------------------------------------|--|---|
| 2.5 | -588.6° | -52.5° | - | - |
| 3 | -681.4° | -106.6° | 92.8° | 54.1° |
| 3.5 | -772.7° | -139.9° | 91.3° | 33.3° |

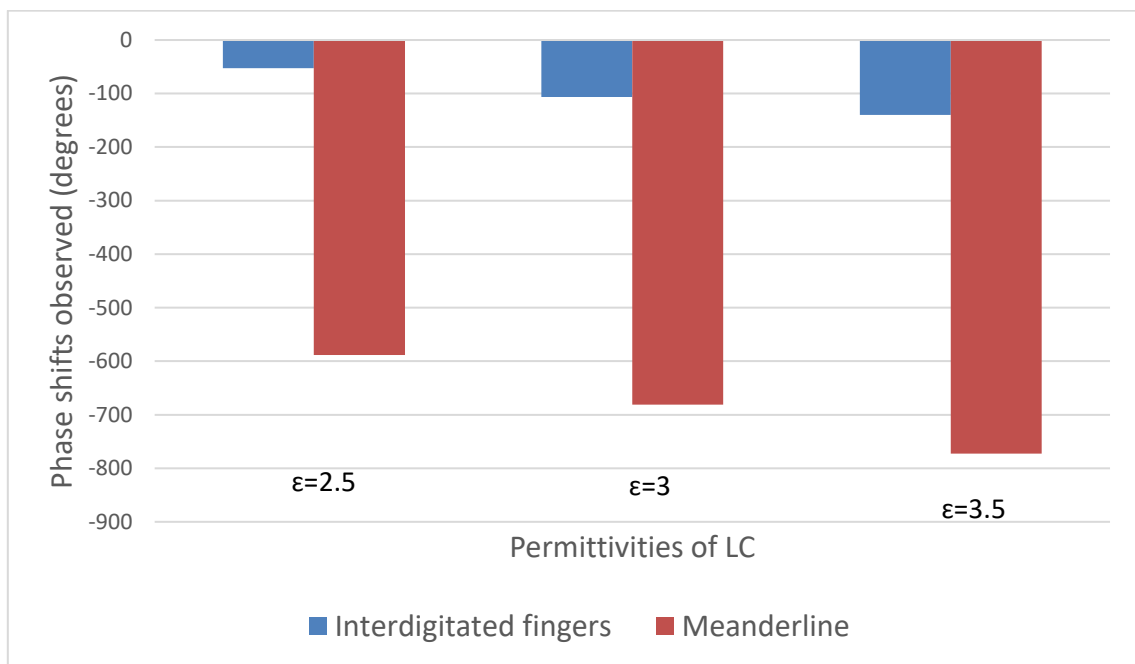


Figure 3-7. Comparison of phase shifts between meanderline and interdigitated feed with varying LC permittivity (2.5, 3 and 3.5)

We can also compare the various types of phase shifters using LC that were mentioned earlier with the current design, in terms of normalized scanning range in Fig.(3-8):

Table 4. Comparison of LC based phase shifters

| Type of LC based phase shifter | Scanning range (°) | LC permittivity range (ϵ_r) | Normalised scanning angle (°) |
|--------------------------------|--------------------|--|-------------------------------|
| Meanderline | 184.1 | 2.5 - 3.5 | 184.1 |
| Interdigitated | 87.4 | 2.5 - 3.5 | 87.4 |
| Reflectarray | 38 | 0.79 - 2.79 | 19 |
| SIW feed | 22 | 2.5 - 3.28 | 28.2 |
| CRLH | 44 | 2.5 - 3.3 | 36.6 |

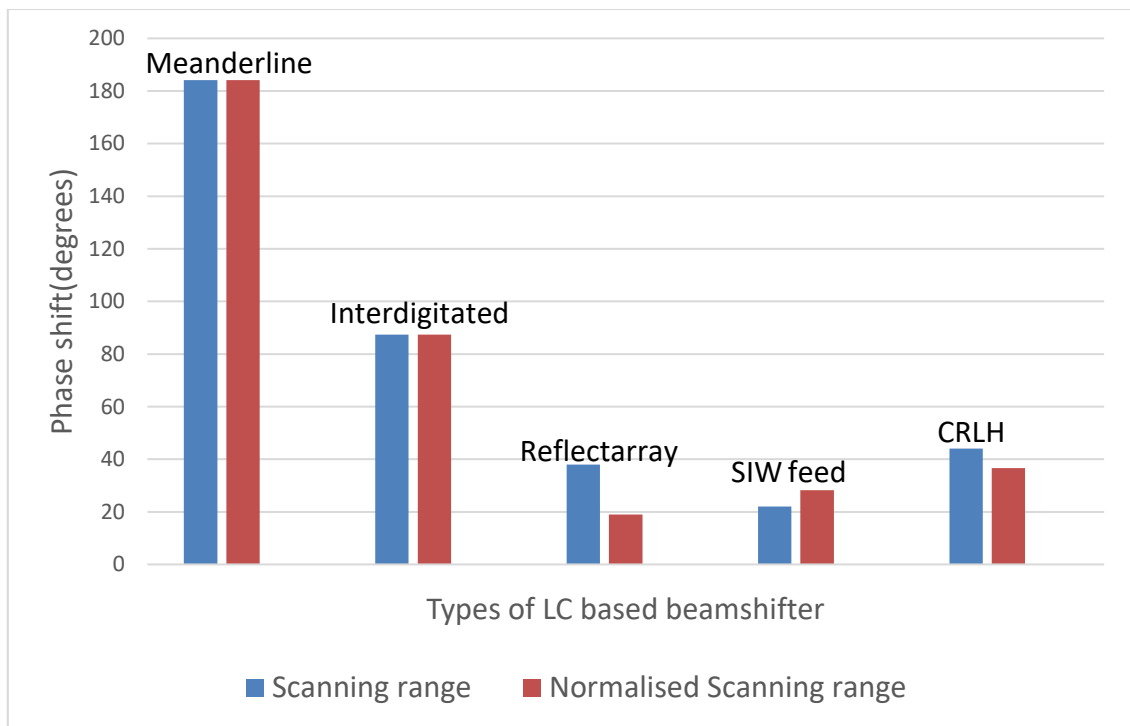


Figure 3-8. Comparison of phase shifts caused by different types of LC beamsteering techniques

3.4. Conclusion

In this chapter, the goal was to find the best type of feed network that can be combined with LC layer to apply in all types of antenna designs. Our candidate feed types were meanderline feed and interdigitated feed. Both feeding mechanisms were favorable due to the compact size and large phase shift they could provide with varying electrical property of their substrate material. To check which of them would provide more shift, a configuration with embedded LC layer inside a Rogers substrate was taken, with 2 opposite sides of feed attached with ports to see the angle shift. The meanderline feed was seen to be the better phase shifter since it has higher value of phase change for each 0.5 change in permittivity. The interdigitated shifter also shows a saturation in phase shift at highest permittivity, since change between ϵ_r 2.5 to 3 is higher than between 3 and 3.5. The figure of merit for Interdigitated case is found to be $29^\circ/\text{dB}$ while meanderline case is $61^\circ/\text{dB}$. Hence the research proved that a meanderline feed would be the suitable feed type for the antenna configurations to be tested in the coming chapters. The design of the LC layer configuration and the dimensions of both feeds for maximum shift with permittivity change were original ideas done in this work.

CHAPTER 4

PATCH ANTENNA WITH LC AND MEANDERLINE - LC CAVITY DESIGN AND BEAMSCANNING

4.1. Introduction

Patch antennas are the simplest type of radiating surface and is very useful in applications requiring narrowband frequency as well as small size. It is also easily integrable to flat planar surfaces like Printed Circuit Boards (PCB's) on smartphones and even watches. It is thus a promising candidate for 5G applications.

They are also very cheap and can easily be printed on surfaces using various techniques including 3-D printing.

Patch antennas usually rest on a substrate, which is a non-conducting dielectric material, with a ground plane of metal below it (Fig. (4-1)). The thickness of the substrate as well as dimensions of the patch determine the impedance of the antenna. The center frequency of radiation is determined by the length and permittivity of the substrate.

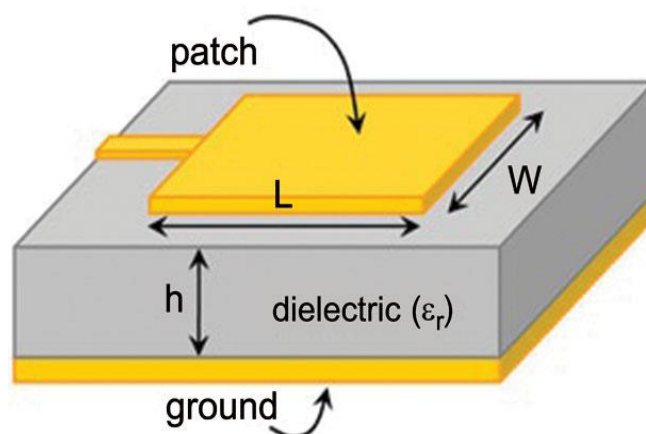


Figure 4-1. Patch antenna with a dielectric layer and ground plane [43]

The shorter sides of the patch radiate whereas longer sides do not, as can be seen in Fig.(4-2). The electric fields at the edges of the antenna add up and make it a ‘voltage radiator’. These fields are called the fringing fields of the antenna. Considering the electromagnetic modes, the patch antenna mainly radiates the TM_{10} mode, indicating an electric field in the y- or vertical direction, with direction of propagation in the z direction. Certain higher order modes are also radiated but do not dominate. The beam produced by a patch antenna is also highly directive, with 5-7 dB of directivity.[44]

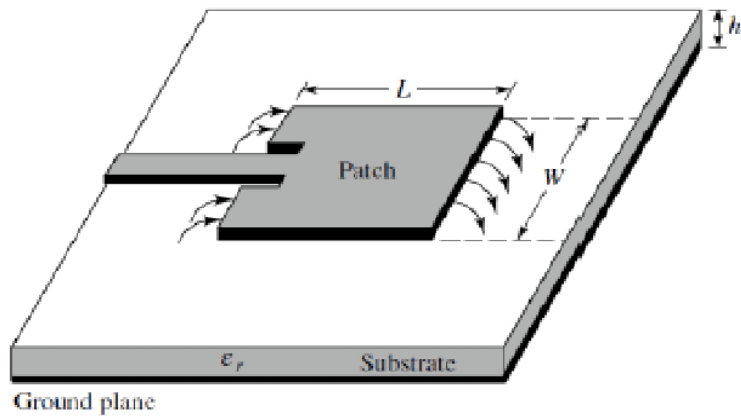


Figure 4-2. Radiation diagram of patch antenna [45]

The main equations governing a patch antenna design are:

$$f_c = \frac{c}{2L\sqrt{\epsilon_r}} \quad (4-1)$$

f_c is the center frequency of the patch, where L is the length of the antenna and ϵ_r is the permittivity of the substrate. Further,

$$W = \frac{c}{2f_0\sqrt{(\epsilon_r+1)/2}} \quad (4-2)$$

$$\epsilon_{eff} = \frac{\epsilon_r+1}{2} + \frac{\epsilon_r-1}{2} \cdot \left(1 + \frac{12h}{W}\right)^{0.5} \quad (4-3)$$

$$L_{eff} = \frac{c}{2f_0\sqrt{\epsilon_{eff}}} \quad (4-4)$$

$$\Delta L = 0.412h \frac{(\epsilon_{eff}+0.3)\left(\frac{w}{h}+0.264\right)}{(\epsilon_{eff}-0.258)\left(\frac{w}{h}+0.8\right)} \quad (4-5)$$

$$L = L_{eff} - 2\Delta L \quad (4-6)$$

W is the width of the patch, L is the length and h is the height of the substrate. ΔL is the length extension and ϵ_{eff} is the effective permittivity of the microstrip antenna. c is the speed of light, ie, 3×10^8 m/s.

Further, the input impedance of the patch can be calculated by:

$$Z_{in} = \left(\frac{\epsilon_r}{\epsilon_r-1}\right) \left(\frac{L}{W}\right)^2 \quad (4-7)$$

From which we can determine the dimensions of the matching network.

4.1. Cavity design using Liquid Crystal

The changing permittivity of a liquid crystal is used to obtain a frequency scanning of a normal patch antenna. The liquid crystal is added as a cavity in the substrate. The shape and dimensions of the cavity and its effect on the frequency tuning range of the patch is studied. [46]

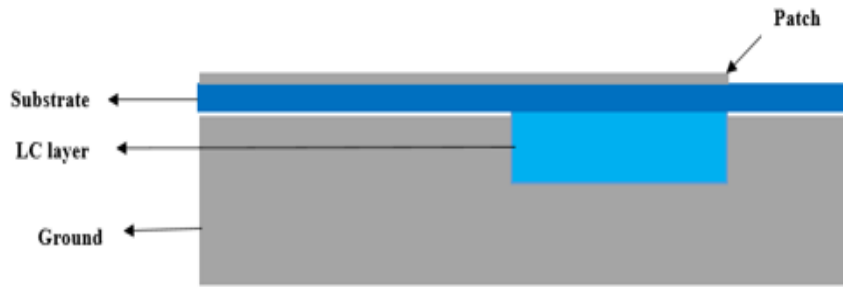


Figure 4-3. Cavity design for LC layer of 0.25 mm in a 1 mm ground layer with a substrate layer, RO4530B of 0.25 mm on top and patch antenna printed above it.

Applying a Liquid Crystal layer directly below the patch changes the effective permittivity and thus the resonance frequency of the patch will be shifted. This effect however is disadvantageous in beam-steering, where a fixed frequency resonance for changing beam direction is essential but can be a very useful technique for broadband and filter applications. The significant ease of tuning of LC is also an important merit of the technique.

It can be seen that the shape of the LC cavity directly below a patch antenna greatly affects the tuning range of the patch for the same change in LC permittivity. A variety of cavity dimensions and shapes are analysed to find the best option.

The patch length is found from Eq. 4-6 (2.15 mm), width (2.8 mm) from Eq. 4-2 for design at frequency range as per Eq. 4-1 as 28GHz. The impedance found from Eq. 4-7 to be 176.5Ω is then used to design matching feed network dimensions.

An LC cavity with thickness of 0.25 mm is embedded in a thick ground plane of 1.0 mm as shown with ground plane size of 10 mm x 8 mm. A patch radiator is printed on Rogers substrate RO4003 $\epsilon_r = 3.4$ with thickness of 0.254 mm. Different shapes of the cavity are investigated to see which shows best tuning range. The permittivity of LC was then varied to see how much tuning was possible.[46]

The 3 shapes considered are- **Rectangular**, **Pillar-** and **Dumbbell / I - shaped**. [46] Since fringing fields of patch can be considered for tapered structures, these shapes were chosen.

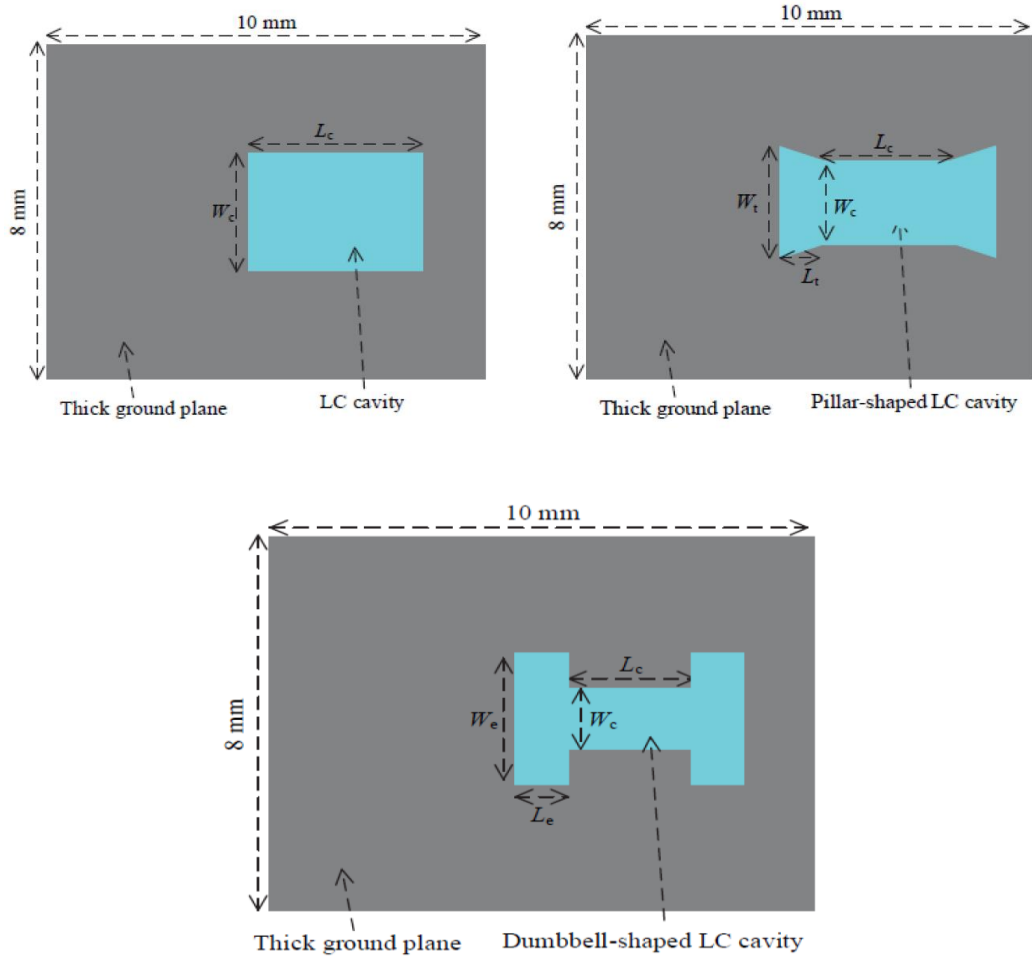


Figure 4-4. Cavity shapes and dimensions

A rectangular LC cavity was designed with dimensions same as patch radiator ($W_c = 2.8$ mm and $L_c = 2.15$ mm), LC-cavity total volume is 1.505 mm^3 . The frequency shift possible is shown in Fig. (4-5).

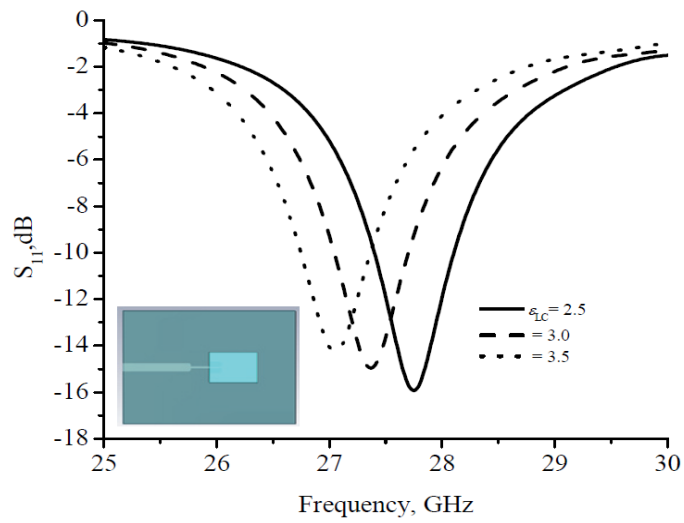


Figure 4-5. Frequency change for rectangular cavity

A modification in LC cavity is considered to include fringing field effect around both sides of patch radiators width as shown in pillar-shaped LC cavity. For lowest value of LC dielectric constant (2.5), the pillar shaped cavity is optimized to get highest possible frequency. Optimized dimensions of the pillar-shaped cavity are taper width, $W_t = 3.35$ mm, taper length, $L_t = 1.0$ mm, $W_c = 1.5$ mm, and $L_c = 1.75$ mm. The pillar-shaped LC cavity's total LC volume is 1.86 mm^3 for highest tuning range.[46] The frequency shift is shown in Fig. (4-6).

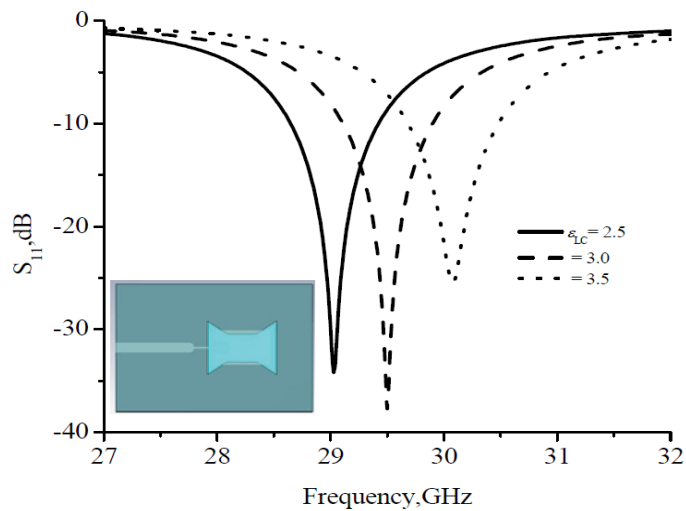


Figure 4-6. Frequency change for Pillar-shape cavity

To include a larger amount of fringing fields within the LC cavity region, a dumbbell-shaped cavity is designed and optimized to get larger tuning range. Optimized dimensions of the dumbbell-shaped(I-shaped) cavity to achieve larger frequency tuning are $L_c = 1.4$ mm, $W_c = 1.2$ mm, extended cavity width, $W_e = 2.7$ mm and extended cavity length, $L_e = 0.75$ mm. [46] The frequency shift is shown in Fig.(4-7).

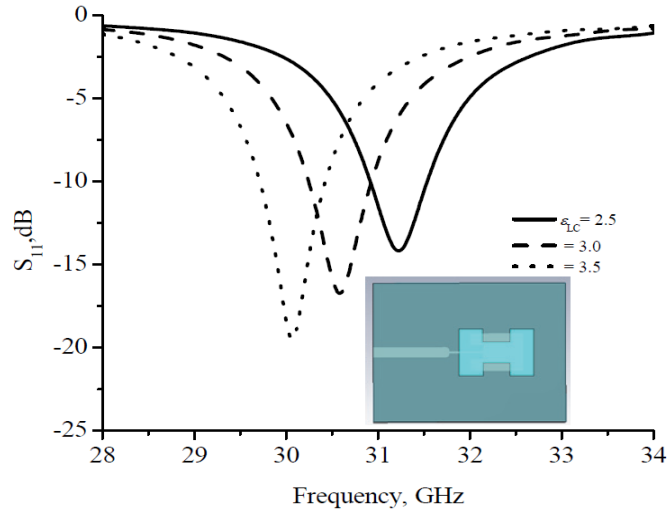


Figure 4-7. Frequency change for I-shape cavity

Total LC volume of dumbbell-shaped CL cavity is 1.432 mm^3 .

The rectangular-, pillar-shaped, and dumbbell-shaped cavities are compared in terms of frequency tuning range and resonance frequency shifts. The simplest rectangular LC cavity produced frequency tuning of 800 MHz or 0.8 GHz between 27 and 28 GHz.

For pillar-shaped LC cavity, the frequency tuning range was found of around 1.05 GHz (29.03 GHz to 30.08 GHz) for LC dielectric constant values from 2.5 to 3.5.

The frequency tuning for dumbbell-shaped LC cavity is around 1.2 GHz (30.05 GHz to 31.25 GHz).

4.1.1. Results

The effective tuning range can be summarised in table 5:

Table 5. Cavity tuning range

| Shape | Resonance at $\epsilon_r = 2.5$ (GHz) | Resonance at $\epsilon_r = 3$ (GHz) | Resonance at $\epsilon_r = 3.5$ (GHz) | Tuning Range (GHz) |
|-------------|---------------------------------------|-------------------------------------|---------------------------------------|--------------------|
| Rectangular | 27.8 | 27.4 | 27 | 0.8 |
| Pillar | 30 | 29.5 | 29 | 1 |
| I-shape | 31.2 | 30.5 | 30 | 1.2 |

The dumbbell-shaped LC is more effective and low cost due to low volume for reconfigurable LC-based patch antenna designs as LC material is expensive.

Good tuning capability is an essential requirement of all structures that require applications in communication. Since liquid crystals show this property, they can be effectively employed to suit our demands. However, most applications use rectangular LC layer under rectangular patch antennas but it is found that fringing fields of a rectangular patch extend even further outwards and a structure that incorporates this for tuning would give better range. The cavity structures thus proposed are able to show significant improvement, from 0.8 GHz to 1.2 GHz, which is a difference of 400 MHz and is a crucial range for most telecommunication applications. A frequency shift is also observed for change in LC layer shape, giving resonance at higher frequencies as fringing fields are accommodated. This can also be used as a useful factor for changing tuning frequency with cavity design.

4.2. The Meanderline Feed with Patch Antenna and LC Layer

As was seen with the meanderline feed and LC layer design, it showed good phase shift capability by changing the permittivity of the LC layer.

Commercially available Liquid crystals that can operate at high frequencies like 5CB, GT3-2001 shows permittivity between 1.5 (ϵ_{\perp}) and 3.9 ($\epsilon_{//}$), with loss tangents from 0.004 to 0.03.

For this application, a new material was defined as LC with a loss tangent of 0.02 and permittivity changing from 2.5 to 3.5, which is a range that is practically achievable with DC voltages below 5V.

4.2.1. 1 x 4 Array

The meanderline feed and LC layer was then applied as part of feeding network to the rectangular 1 x 4 patch antenna array.

The variation of each LC layer's permittivity in the array will cause different phase shifts at each patch, thereby causing main beam formed by the array to change direction depending on the combination of phase shifts applied.

The structure consists of 2 layers- a ROGERS RO4350B substrate of 0.813 mm thickness with a 0.508 mm thick ground plane of PEC below it. The meanderline feed with LC layer of 0.258 mm is then embedded inside the thick ground plane. The patch antenna and matching network is on the top of the substrate and is connected to the meanderline feed below substrate using vias.

The meanderline feed was made below the main layer since meanderline also acts as an antenna and radiates along the longer edges of the meander. This can interfere with the patch antenna radiation, and this is one method to subdue the strength of the radiation caused by meanderline.

The patch antenna was designed to operate/resonate at 28 GHz with $W=2.4$ mm and $L=2.75$ mm with thickness of 0.017 mm. The antenna's impedance of 176Ω needed to be matched to 0.11 mm microstrip line feed and a small inset was made to achieve this. The inset length was 0.5 mm and width of 0.2 mm on both sides of feed. It was

then matched to 50Ω microstrip line using quarter wave transformer of length ($\lambda/4$) of 1.45 mm and width 0.6 mm.

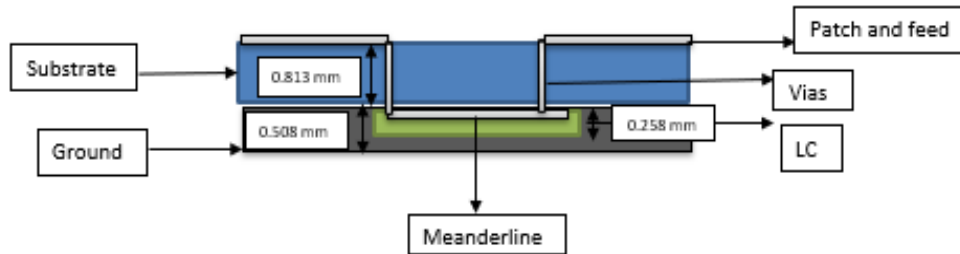


Figure 4-8. 1x4 array design with embedded LC layer in ground layer, both 0.25 mm and a thicker substrate layer of 0.803 mm thickness on top. The meanderline is printed on the embedded LC layer and connected to patch antenna and impedance matching network on top using vias.

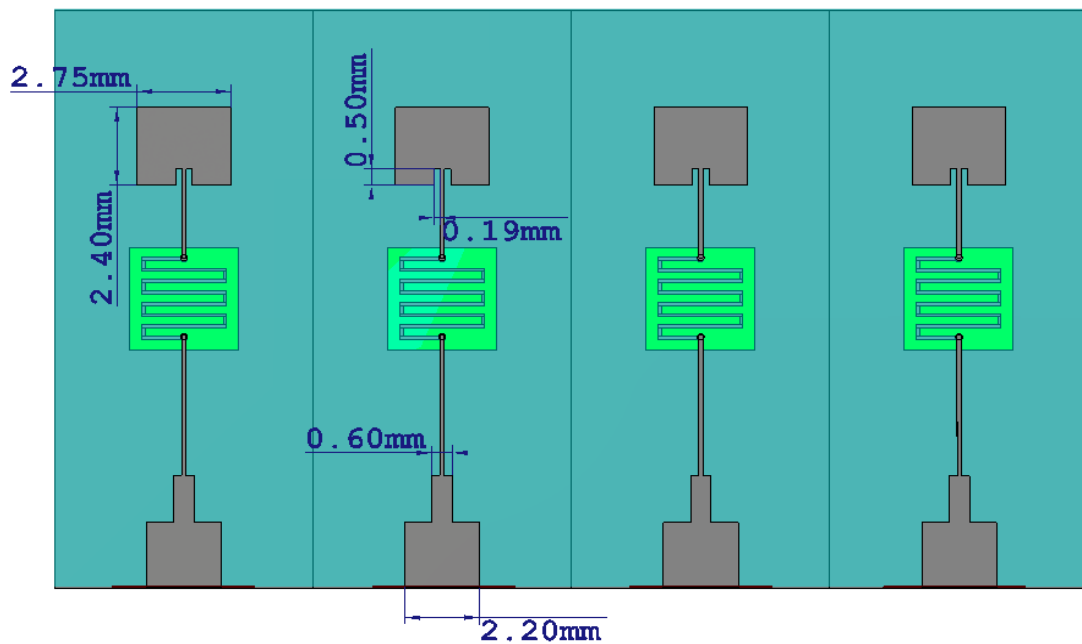


Figure 4-9. 1x4 patch antenna array

For each of the 4 antennas, the LC layer permittivity can be individually changed between 2.5 and 3.5. By providing same permittivity, the essential phase shift between elements is 0 and the main beam produced will be a vertical beam at boreside.

The LC layer permittivity was then successively changed to be 2.5, 2.8, 3.2 and 3.5 for antennas 1,2,3 and 4 respectively. This successive phase shift will therefore lead to a change in the direction of the main beam, thereby enabling beamsteering.

4.2.2. Results

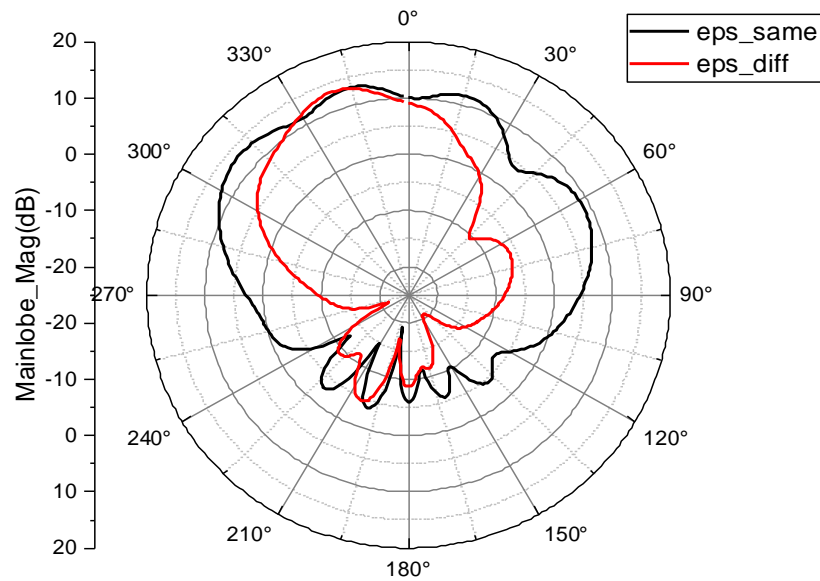


Figure 4-10. Radiation pattern shift for the configuration by keeping all LC layers of same permittivity and by keeping them successively 2.5, 2.8, 3.2 and 3.5, to induce phase shift in the array.

It can be seen from Fig.(4-10) that a mainlobe magnitude of 13.7 and 12.6 dB were achieved for the structure while using all LC layers of same permittivity of 3 vs using successively higher permittivities, ie, 2.5, 2.8, 3.2 and 3.5 respectively.

The sidelobe level for the first case was -13.2 dBi and 2nd case was -16.4 dBi. Further, the direction of the mainlobe in the first case is at 0 degrees in the z direction, or vertical whereas in the 2nd case was shifted by 9 °.

Further shift can be achieved by choosing the appropriate combination of LC permittivities as well as using LCs of higher $\Delta\epsilon$.

However, a problem with this design is the high amount of influence of the meanderline field on the overall field. The combination of meanderline and patch antenna fields together leads to the formation of a broader beam in contrast to the narrow and directive beam required for beamsteering properties, as can be seen in the 3-D figure in Fig.(4-11).

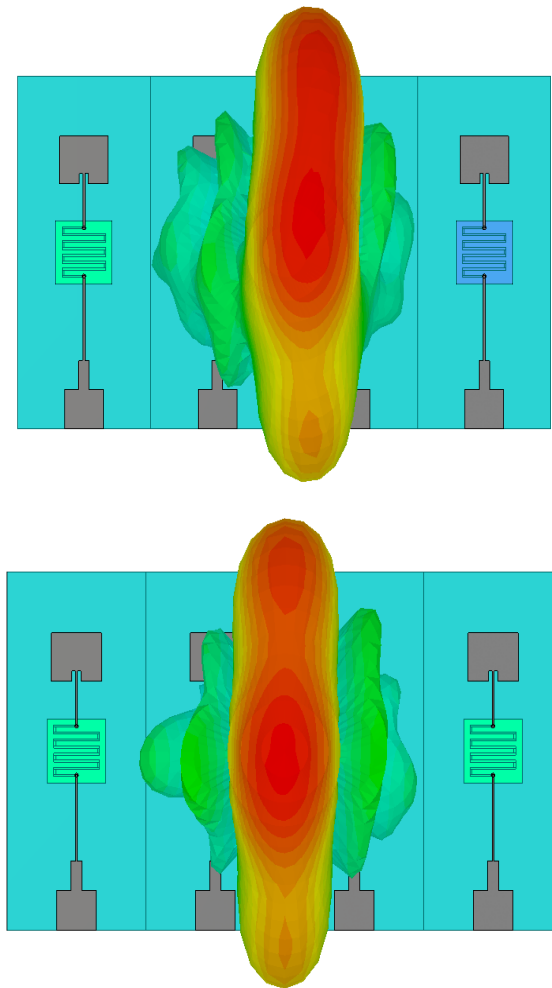


Figure 4-11. 3D radiation pattern with a) all LC layers same permittivity and b) LC layers having respectively 2.5, 2.8, 3.2 and 3.5 permittivities.

It can be seen that there is a high effect of the meanderline feed on the overall field formed. This will further cause problems in directivity requirements of the antenna.

4.2.3. 4 X 4 Array

The idea of the array was further expanded to a 4 x 4 array that could potentially create a field strong enough to counter meanderline feed as well as provide higher gain.

The 4x4 array was created by placing patch antennas of separation 2.1 mm vertically as shown in Fig.(4-12). The total gain of such a structure was 8.5 dBi at frequencies 26.5 to 29 GHz.

The farfields created were also found to be more directive, with mainlobe magnitudes of 13.2 dB in both cases. Sidelobe levels were -5dB in the case of same permittivity and -17.7 dB in the case of different permittivity. The high sidelobe in the first case is again a result of meanderline feed that interacts with patch field. Thus a further modification had to be considered to completely mitigate the effect of the meanderline feed.

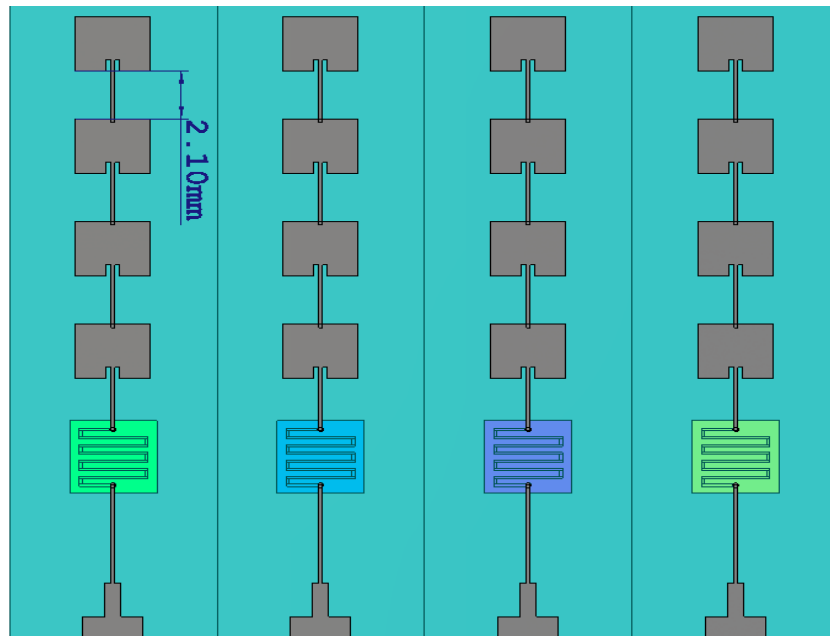


Figure 4-12. 4x4 patch array

4.2.4. Results

A phase shift of 17° (Fig.(4-13)) was achieved in this case, which is a much higher value than in the 1×4 array case, which is an advantage for this design, along with the higher gain.

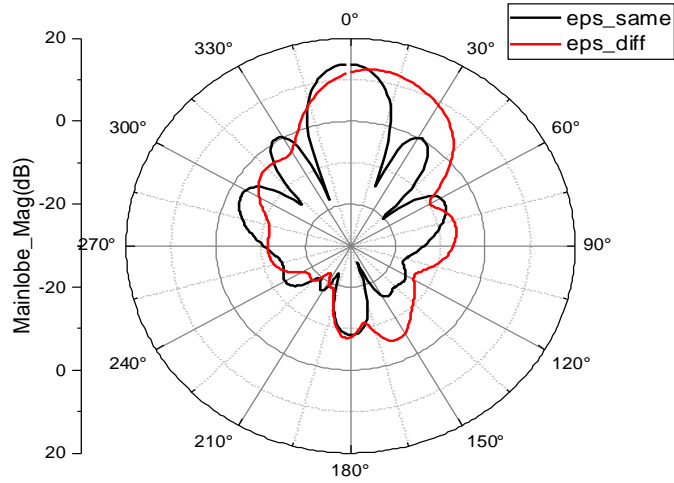


Figure 4-13. Radiation pattern shift for the configuration by keeping all LC layers of same permittivity and by keeping them successively 2.5, 2.8, 3.2 and 3.5, to induce phase shift in the array.

The 3-D farfield view shows how the meanderline prevents formation of a narrow beam as shown in Fig.(4-14).

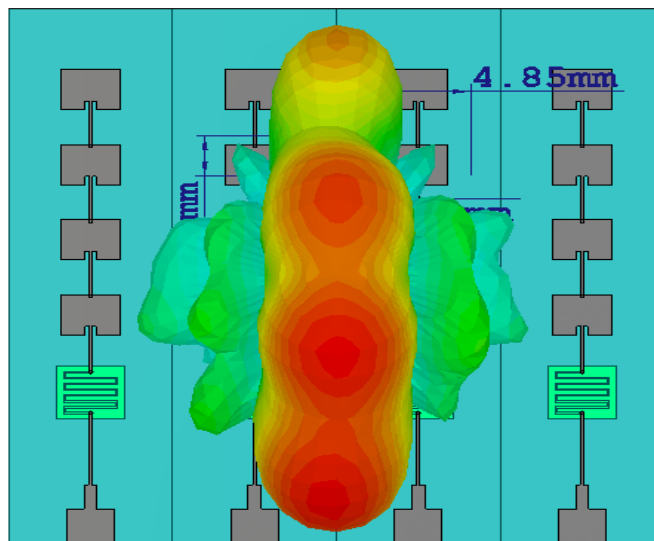


Figure 4-14. 3D view of radiation pattern with all LC layers same permittivity (3).

4.3. Flipped Structure

The previous array with LC layer was further modified to reduce meanderline interaction by ‘flipping’ the structure or adding an additional layer below antenna for meanderline feed separately. The configuration is illustrated in Fig.(4-15).

The new structure consists of 3 layers: The top layer is ROGERS RO4350B substrate of 0.813 mm thickness on which is the patch antenna. Below it is the 0.508 mm ground plane of PEC. Embedded inside this layer, on the **bottom** side of ground, is the LC layer of 0.253 mm thickness, with the meanderline feed also printed on the bottom. Below this again, is a 3rd layer of substrate of 0.253 mm thickness, which has the matching network connected to waveguide port. All layers are interconnected using vias to enable continuity.

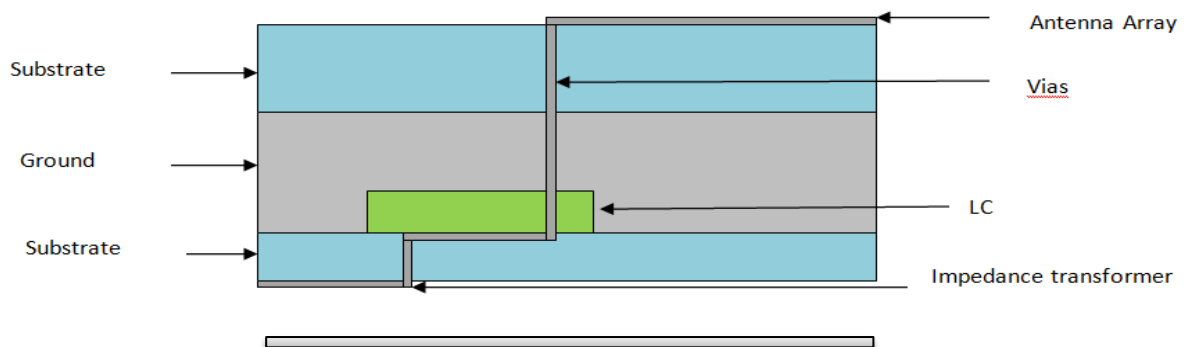


Figure 4-15. Flipped design by connecting meanderline feed on the bottom side of embedded LC layer (0.25 mm), inside ground plane (1 mm), with patch antenna on the top side of ground above another substrate layer (0.25 mm), through vias. Impedance matching network on bottom side below another substrate layer.

The advantage of this connection is the alignment of meanderline to be directly below the patch, so that both fields caused by the 2 radiating structures will be able to interfere constructively and add up to a narrow, single, directive main beam. A

backplane was also added to further remove unwanted backlobe due to the meanderline.

4.3.1. 1 x 4 flipped array

The 1x4 array thus modified is shown below. The result is a much more compact structure due to the addition of a 3rd layer that could accommodate more of the network in a smaller space. The complexity of design is however slightly increased due to the requirement of vias that have to interconnect 3 layers, contrary to the 2 layers in the previous case.

The front and back views of the structure is shown in figures 4-16 and 4-17:

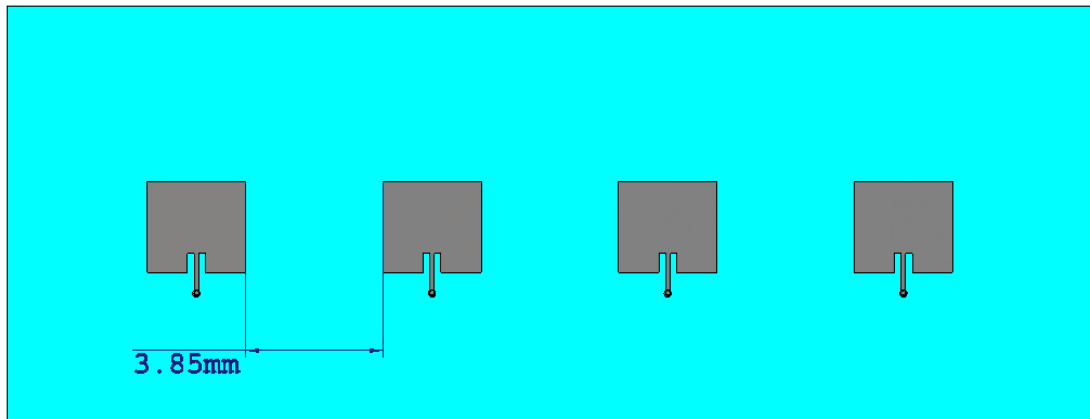


Figure 4-16. Front view of flipped patch array

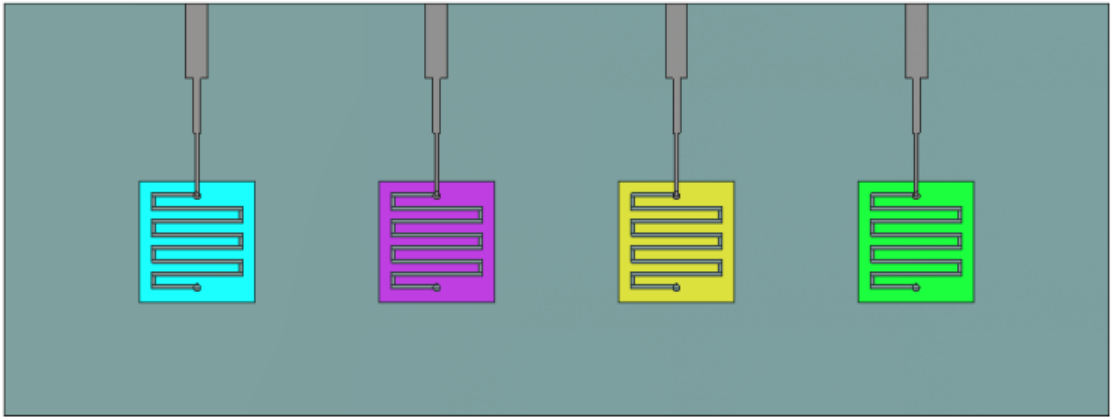


Figure 4-17. Back view of flipped patch array

4.3.2. Results

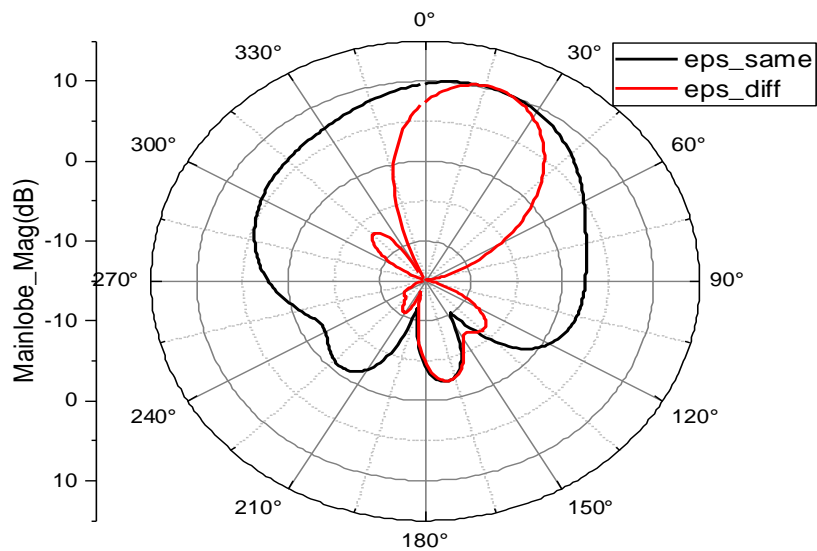


Figure 4-18. Farfield result showing phase shift by comparing array with all LC layers of same permittivity and for permittivities successively 2.5, 2.8, 3.2 and 3.5.

A 17° phase shift was achieved through successive permittivity change as shown in Fig.(4-18). The beam was also found to be narrow, directive and sidelobe levels were -12.4 dB in both cases. The mainlobe magnitude in the case of same permittivity was 9.6 dB and in the different case was 10.3 dB.

A 3-D view of the beam can be seen in Fig.(4-19).

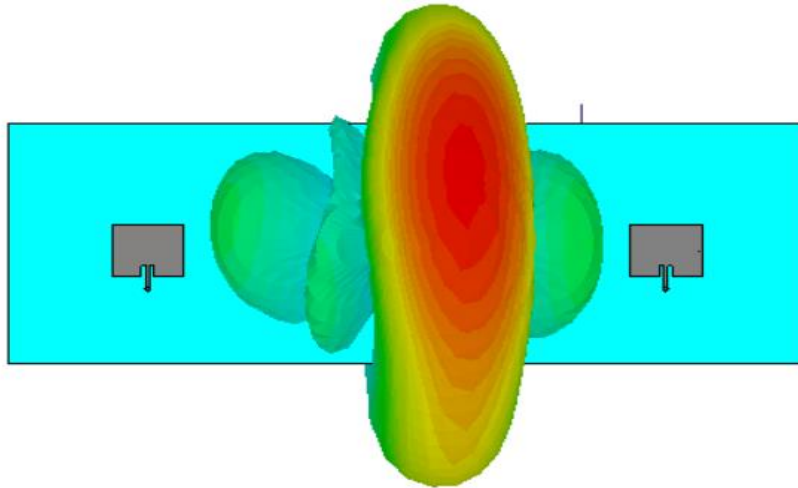


Figure 4-19. 3D farfield beam with phase shift seen due to LC layers having different permittivities.

The difference in beam directivity can be seen to be much higher than in the previous case.

4.3.3. 4 x 4 flipped array

The same principle was applied for the 4 x 4 array, in Fig.(4-20). Introduction of the 3rd layer moved the matching network to the bottom substrate. The resultant network was more compact yet showed complexity in connectivity design:

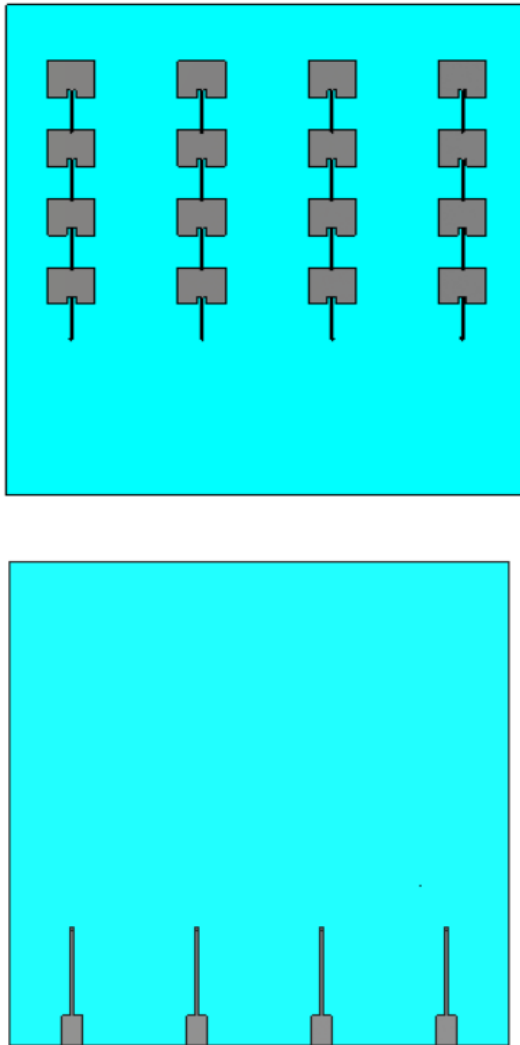


Figure 4-20. Top and back view of design

4.3.4. Results

The resulting structure gave a 20° shift in beam with changing permittivity. The mainlobe magnitude as 13.4 dB and 12.1 dB in the case of constant (2.8) and varying (2.5, 2.8, 3.2, 3.5) permittivity respectively(Fig.(4-21)). Sidelobe level was around 6 dB in both cases.

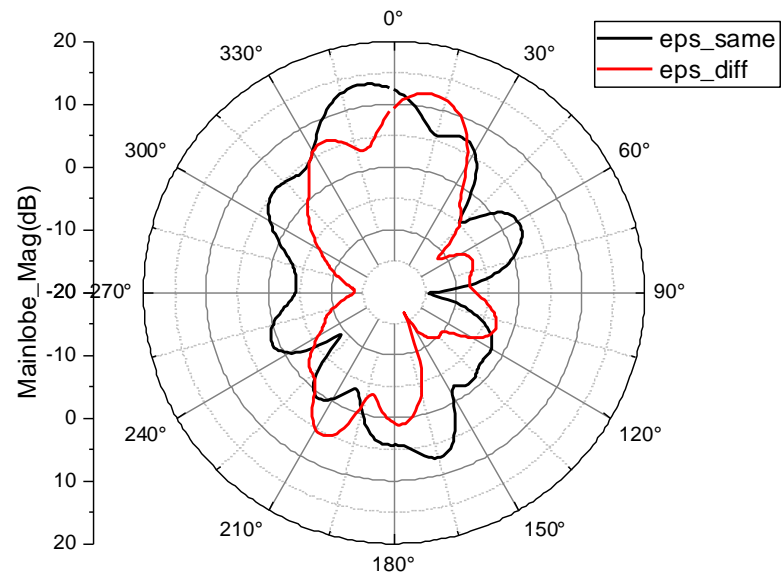


Figure 4-21. Farfield result

Further change was in the directivity of the beam, where the improved beam can be seen in Fig.(4-22).

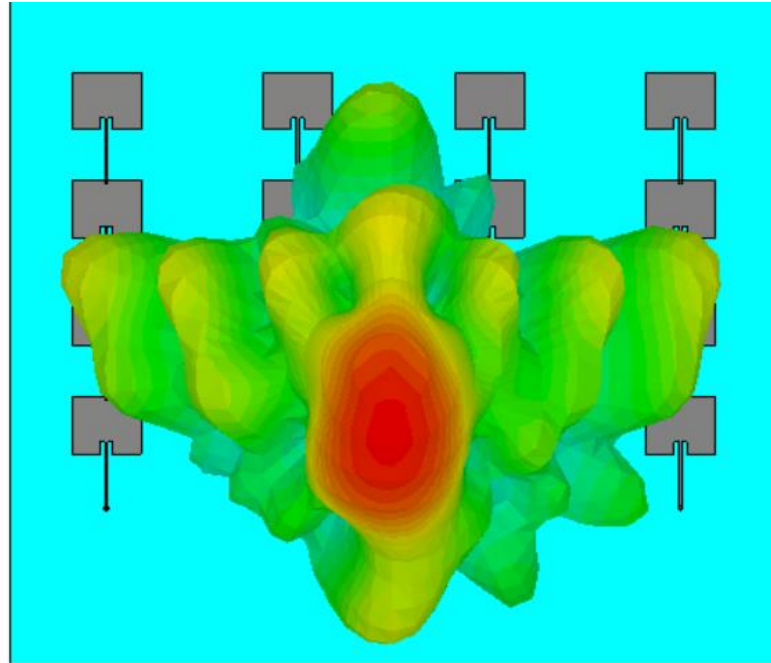


Figure 4-22. 3D farfield beam showing higher directivity with this configuration, for all LC layers same permittivity (3).

4.4. Conclusion

In this chapter, the normal patch antenna and patch antenna array are investigated with LC layer and meanderline addition as part of their feed to showcase beamsteering in the hope that a good beamscanning range with high directivity and gain will be achieved. Further, a more compact structure is proposed in the form of a flipped array-meanderline configuration, where the meanderline and patch antenna lie on opposite sides of a ground and substrate mid-layer, to achieve better directivity and lower sidelobe levels.

A good beamsteering range is achieved in the case of patch antenna array with LC layer, of 17° . It is also easy to fabricate and compact. However, the Meanderline design also radiates and interacts with main beam to provide a wide and badly directed beam.

It can be seen that a much more directive beam is achieved in the flipped case and is able to provide the beamsteering characteristic of upto 20° , as required for the 5G application. It is further, compact and smaller than previous structures. The

disadvantage of this design is the presence of backplane and complexity in interconnecting 3 layers. A backplane would be difficult to accommodate in microwave applications due to design constraints as well.

Both configurations tried out in this chapter are novel structures that is found to be a good candidate for 5G beamsteering applications.

Due to constraints involving backplane addition in practical scenario and lack of an easy method to DC bias the LC layer, it would be recommended to pursue more configurations to rule out these disadvantages.

Other types of antennas and their interaction with Liquid Crystals were therefore required to be studied to see if such limitations could be overcome.

CHAPTER 5

LEAKY WAVE ANTENNAS WITH LC

5.1. Introduction

The concept of periodic structures and electromagnetic theory can be used to define how a leaky wave antenna or slot antenna works. In the presence of a ground plane, a conductive strip of microstrip line creates a field in between itself and the ground. Any configuration that consists of 2 conductors with a dielectric between them will show a field and current formation in the region between them, much like a capacitor.

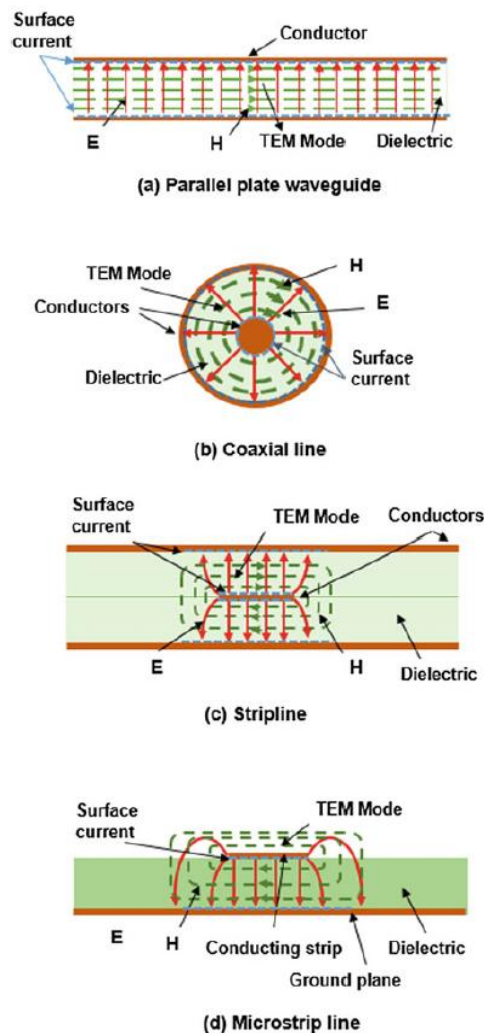


Figure 5-1. Radiation patterns of electric fields in a) Parallel plate waveguide b) coaxial line c) stripline d) microstrip [47]

A disruption in the current flow direction is required to create a radiation in the working of an antenna. This disruption is made in multiple ways as in a coaxial cable, a stripline, a parallel plate waveguide, a microstrip or a slotted line.

In the case of a slotted antenna array, the gap in the upper layer of conductor creates a disruption that causes surface current and field.

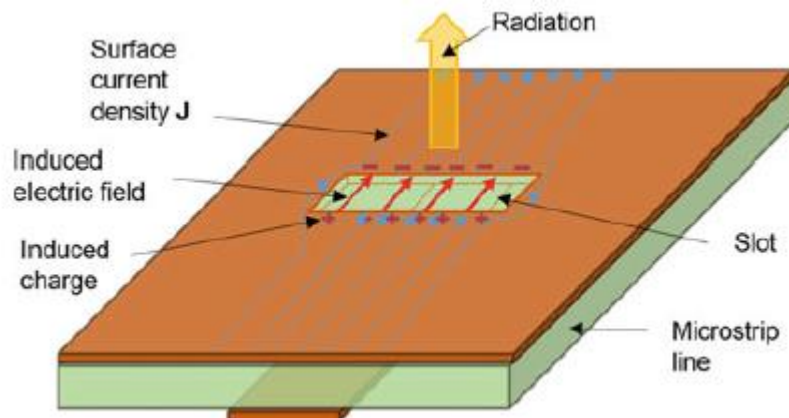


Figure 5-2. Current and field radiation patterns of a slot in a waveguide [47]

The current disruption causes charge to build up on the ends/rim of the slot that is shown by negative and positive symbols. It also causes electric field to be formed inside the slot, like in a conventional capacitor. Since current can still flow by bending around the slot, the increased path length behaves as an inductance as well. Therefore, the equivalent model of a slotted antenna is through a shunted combination of inductor and capacitor.[48]

The slot size is an important parameter determining the effect of inductance and capacitance since lengths of slot $> \lambda/2$ will cause inductance to be dominating and resonance can occur when matched with its capacitance.

When TEM (transverse electromagnetic) waves propagate inside the structure, the direction of propagation can be taken along the z axis and Electric field and Magnetic field perpendicular to each other along the y and x axes. [47]

Maxwell's laws are the fundamental laws used to model the wave and its propagation diagram.

Using Maxwell's theorems, the electric (E) and magnetic (H) fields can be modelled as:[47]

$$\mathbf{E} = \mathbf{A}\sin(\mathbf{z} - \mathbf{ct}) + \mathbf{B}\sin(\mathbf{z} + \mathbf{ct})\hat{x} \quad (5-1)$$

$$\mathbf{H} = \mathbf{C}\sin(\mathbf{z} - \mathbf{ct}) + \mathbf{D}\sin(\mathbf{z} + \mathbf{ct})\hat{y} \quad (5-2)$$

The ratio of Electric field to Magnetic field will in turn give the impedance of the structure, called characteristic impedance.

$$\frac{\mathbf{E}}{\mathbf{H}} = \sqrt{\frac{\mu}{\epsilon}} = \mathbf{z}_0 \quad (5-3)$$

Further, the propagation constant in free space can be defined as $k_0 = \omega\sqrt{\epsilon\mu}$ (5-4)

Inside a waveguide or leaky wave structure, the waves tend to form standing waves and thus the equation will have to be modified slightly.[47]



Figure 5-3. Conventional LWA [47]

The phase velocity of the wave as it travels along the waveguide can be defined as

$$\mathbf{v}_p = \mathbf{c}/\sin\theta \quad (5-5)$$

where θ is the angle made by the electric field vector (E) with the direction of propagation, or the z axis.

Thus, the phase constant

$$\beta_p = k_0\sin\theta \quad (5-6)$$

The sinusoidal wave variations can be related using the trigonometric equation as

$$\cos\theta = m\lambda/2a \quad (5-7)$$

where θ is the angle made by the electric field vector (E) with the direction of propagation, or the z axis and m is the number of half-sinusoids between the null planes.

For this equation to make sense, $m\lambda$ must be $< 2a$ so that $\cos\theta$ would be a positive value and propagation would occur. If λ is however a high enough value that $a > \lambda > 2a$, then only $m=1$ mode would occur or in other words, TE_{10} mode will be the dominant mode and this is the case considered for most leaky wave arrays. TE_{10} indicates variation of electric field along x direction and 0 variation along y direction. [49]

Leaky-wave antennas are classified as uniform, periodic, or quasiperiodic. leaky-wave antennas based on uniform structures are relatively easy to design and fabricate, but usually provide limited frequency-scanning capability. Periodic-type leaky-wave antennas demand a relatively relaxed fabrication requirement in comparison to the quasi-uniform types but require special design technique to circumvent undesired radiated gain reduction near broadside direction. Quasi-uniform structures can be systematically designed to provide full-frequency-scanning capability but are comprised of relatively complex structural forms.[50]

In the case of a uniform leaky wave structure, the transmission coefficient k_0 can be expressed as a combination of its x, y and z direction components as:

$$\mathbf{k}_0^2 = \mathbf{k}_x^2 + \mathbf{k}_y^2 + \mathbf{k}_z^2 \quad (5- 8)$$

where $k_x = m\pi/\lambda$, through boundary conditions and the Floquets's harmonic theory

$$\mathbf{k}_y = \beta_{my} + j\alpha_{my} \quad (5- 9)$$

and

$$\mathbf{k}_z = \beta_{mn} - j\alpha_{mn} \quad (5- 10)$$

a is the width of the substrate and α_m is the axial attenuation of the wave as it progresses along the structure due to the dielectric, m is the mode of the electric field of the electromagnetic wave trapped inside the structure. Attenuation α is measured in Nepers/m. [49]

The electric field strength along the y-direction will therefore have the form:

$$\mathbf{E}_x = |\mathbf{E}| \cdot \mathbf{exp} (\alpha_{my} - \mathbf{j} \cdot \beta_{my}) \hat{y} \quad (5- 11)$$

Through mathematical modelling of a rectangular slit and field strength equations, the 3dB bandwidth of an LWA can be calculated as:

$$\Delta\phi = \frac{0.88\lambda_0}{L\sin\phi} \quad (5- 12)$$

$$\text{where } \phi = \mathbf{cos}^{-1}(\lambda_0/\lambda_{g0}) \text{ or } \mathbf{cos}^{-1} (\beta(\mathbf{f})/\beta_0(\mathbf{f})) \quad (5- 13)$$

λ_{g0} is the wavelength of the dominant field mode in the waveguide and L the length of LWA.

L can be calculated by taking the ratio of output to input power as 10%, as:

$$\mathbf{L} = \frac{0.18\lambda_0}{\alpha/k_0} \quad (5- 14)$$

Since λ_{g0} is dependant on the dielectric permittivity of the antenna, we can further write the beamscanning angle range as:

$$\Delta\phi \approx \frac{5.53}{k_c \cdot L} \quad (5- 15)$$

This implies that as dielectric permittivity increases, the fields are more trapped within the material and scanning range is affected. [49]

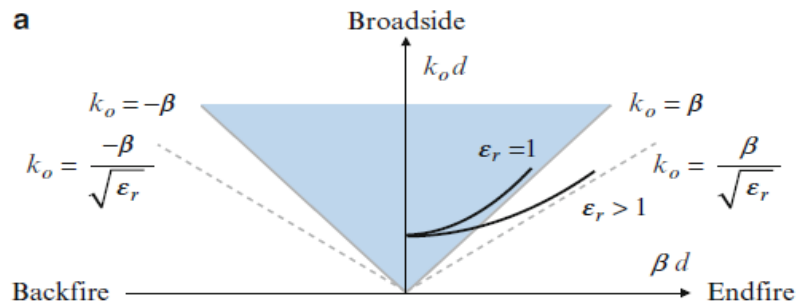


Figure 5-4. Transmission coefficient behavior as a function of propagation constants for conventional LWA [49].

However, LWA's also show increase in scanning beamwidth with increasing frequency, therefore choosing a higher frequency range can approximately diminish the range reduction due to dielectric.[49]

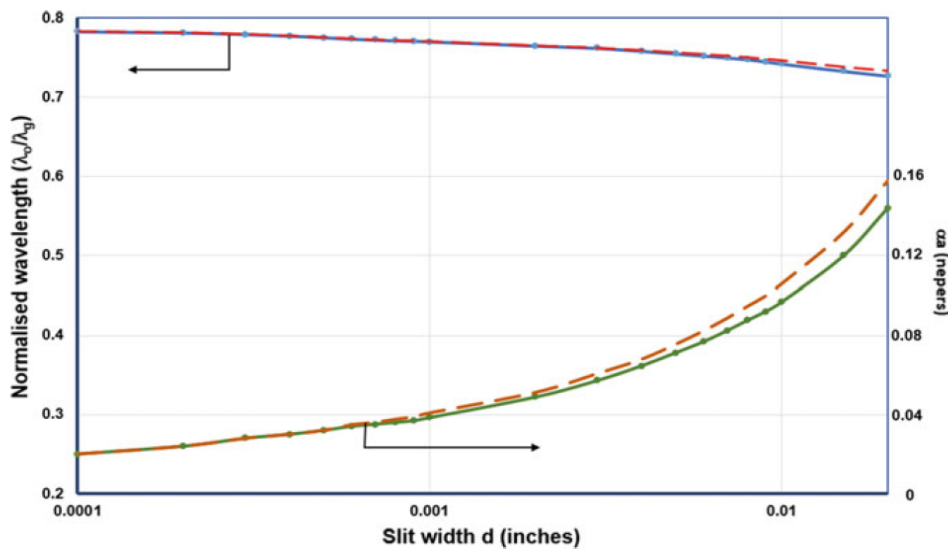


Figure 5-5. Dependence of transmission wavelength to slit width in a LWA [47].

The slit width also determines the attenuation and wavelength in the waveguide which can be seen from the graph. An appropriate width of slit for operating frequency and low attenuation should therefore be chosen.[47]

Periodic slotted antenna

The transmission coefficient for this case can be written as:

$$\mathbf{k}_{xn} = \sqrt{\mathbf{k}_0^2 - \beta_0^2} \quad (5-16)$$

Radiation conditions are satisfied for all nth harmonics located inside it.[47]

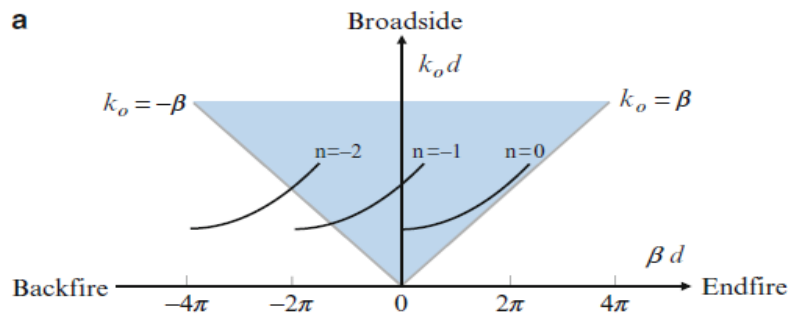


Figure 5-6. Transmission coefficient vs propagation constant enhancement using design techniques [49]

This design also gives rise to very large scanning range, between -90° and $+90^\circ$.

When deciding the distance between slots of a slotted antenna, it can be seen that a distance of $d < \lambda/2$ is recommended so as to allow coupling between consecutive slots and remove grating lobes. [49]

5.2. Design of circular LWA

The concept of a conventional leaky wave array is combined with a Liquid Crystal layer design to give rise to a circular LWA with steerable beam.

The design of the circular leaky wave antenna is done such that:

1. The overall diameter of the circle is decided depending on required frequency of radiation, in this case for 28 GHz, the diameter of 4 mm is chosen. The slit width is calculated to be 0.5 mm from Fig.(5-5).
2. The circular slot is made inside the circular patch depending on the required S_{11} magnitude we assume for the frequency range considered by substituting

for λ_0 in Eq.5-13. In this case, for S_{11} below 15 dB is achieved with slot of 0.5 mm diameter.

3. The matching network is chosen for maximum gain and least sidelobe at required frequency. It is found that a 0.2 mm width and separation of 0.75mm between patches is the ideal feed layout to give above 10 dBi gain and less than -10dB sidelobe. The same procedure is followed for further shapes.

The DC biasing to the LC is done by making use of a via to have the array effectively embedded inside substrate and the feed network to lie on top of the substrate. Hence having the LWA on the center below substrate makes it closer to LC layer and hence more sensitive to permittivity change while also creating a method of DC biasing the embedded LC layer that lies between ground and LWA layer (2 metal layers). If LC layer were just embedded from the top of substrate, the ground plane would lie below substrate layer and therefore there would not be an easy way to bias the LC using a DC battery. That is the advantage of this design, since it also does not cause any change to the performance of the LWA when embedded inside substrate.

A Rogers RO4530B Substrate with $\epsilon=3.5$ of 0.762 mm thickness is embedded with an LC cavity of 0.5 mm on the bottom side. A PEC ground layer of 0.017 mm thickness was then added below it. The array consists of a 50Ω impedance microstrip of 2 mm width, matched by a quarter wave line of length 1.45 mm ($\lambda/4$) and width 0.7 mm, to a 0.2 mm wide microstrip line. This is illustrated in Fig.(5-8).

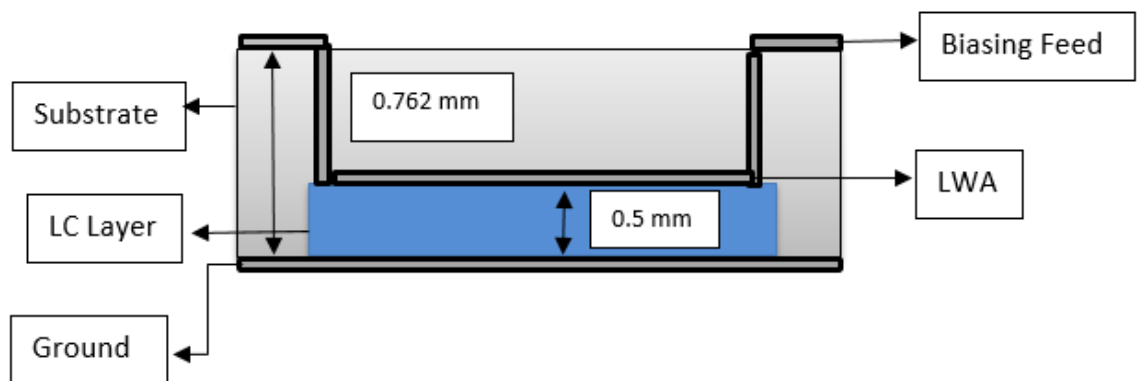


Figure 5-7. LWA design with LC layer of 0.5 mm inside a substrate layer of 0.762mm thickness, with LWA on the embedded LC layer connected to feed network on top using vias.

This is then connected to an array of 8 identical circular slot antennas. The thickness of the microstrip line was chosen to best match the impedance of the individual units.

By design optimization techniques, the radius of the patch and slot are chosen for the desired frequency range of 26-28 GHz. The overall view of structure in Fig.(5-9) and dimensions and close up view in Fig.(5-10).

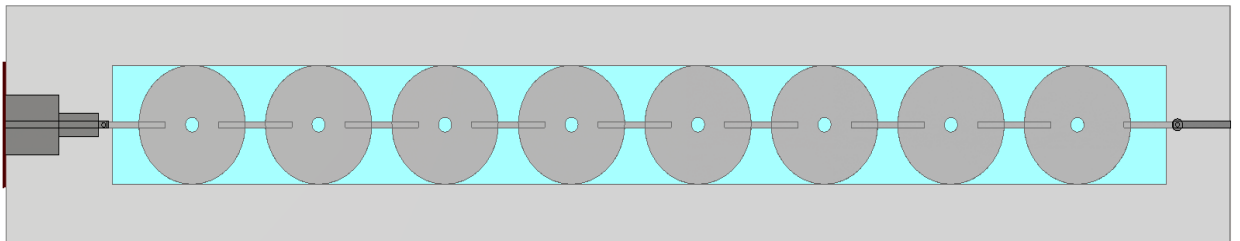


Figure 5-8. Top view of circular LWA structure

The circular patch has a diameter of 4 mm and slot has a diameter of 0.5 mm. The number of elements of the array can be chosen as a compromise between gain and size of the array. 8 elements are chosen here so as to give an optimum high gain of 10 dB at the desired frequency range.

Distance between each element is chosen so as to enable coupling between slots while also removing sidelobe, as per design requirement of $d < \lambda/2$ (5 mm), as 3.2 mm.

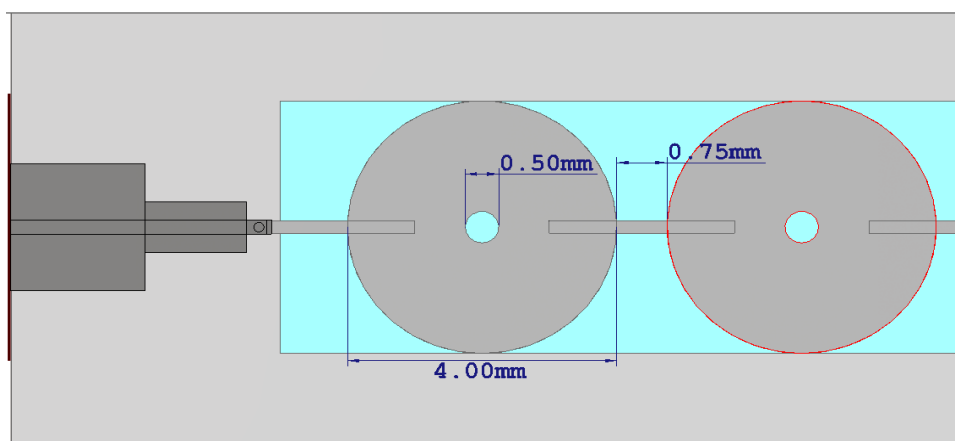


Figure 5-9. Dimensions of design

5.2.1. Results

The s-parameters show good resonance characteristics with a 3dB bandwidth of around 3 GHz, in the range of 25-28 GHz.

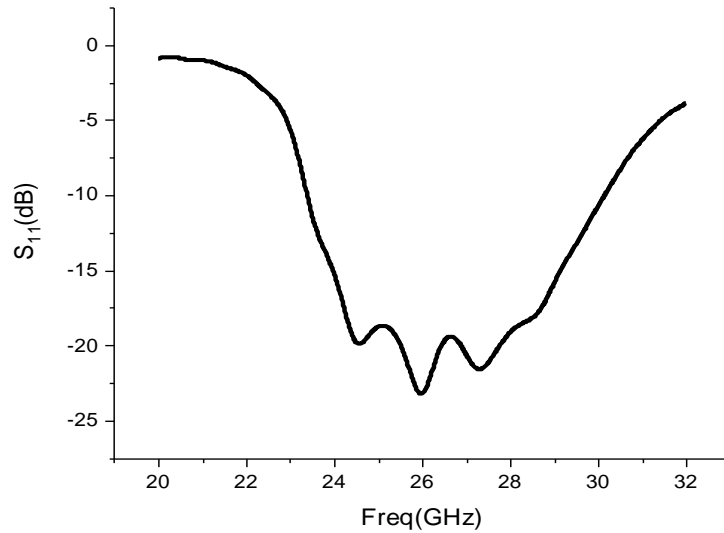


Figure 5-10. S₁₁ of the circular LWA design

Adding the antenna below the substrate further prevents direct interaction with surrounding air making it a more robust design with lesser interference. It can however cause a reduction in antenna gain. Gain of 10 dB and above is also observed in this range as can be seen in the Fig.(5-12).

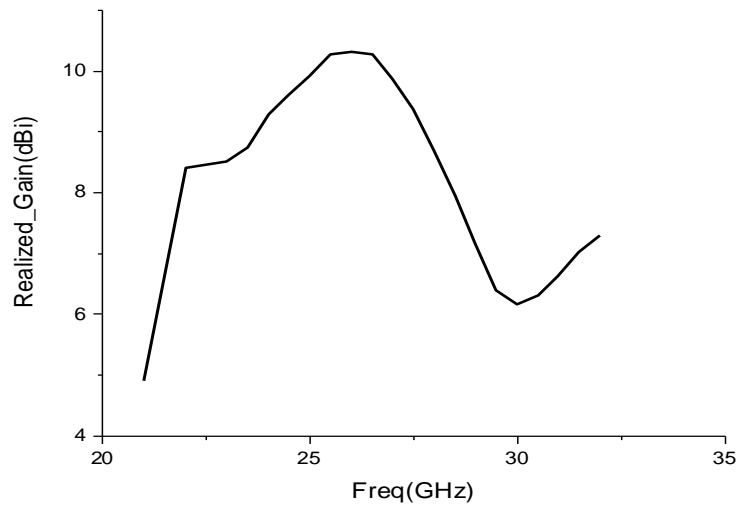


Figure 5-11. Gain vs frequency

Comparing the farfield plots at $\epsilon=2.5$ and 3.5 of the LC layer shows a beamscanning of 10° . Sidelobe levels are around -11.3 dB to -10 dB, Fig.(5-13).

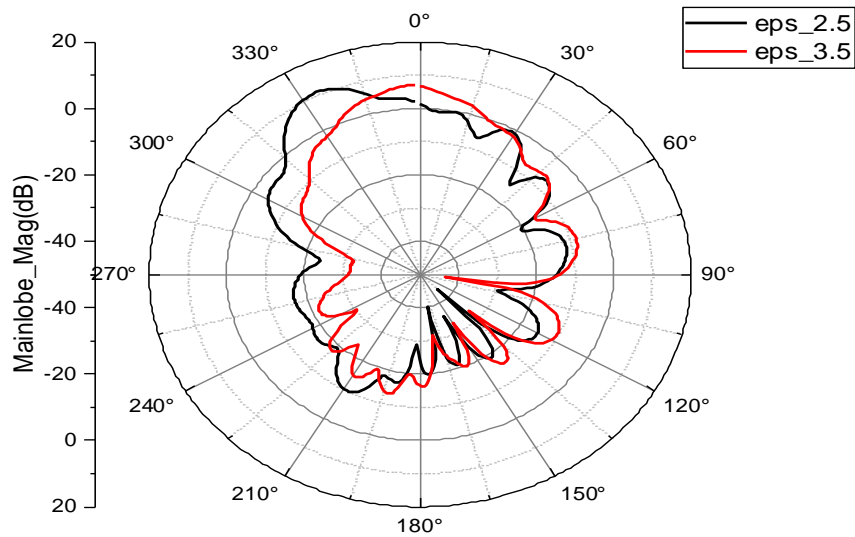


Figure 5-12. Farfield phase shift by using LC layer of permittivity 2.5 vs 3.5.

A modification of the array patch shape could lead to further improvement in the directivity and scanning angle.

5.3. Design of Square LWA

The conventional square leaky wave antenna design is modified with the addition of the embedded LC layer. A square LWA patch with circular slot is now considered.

The design uses the same structure of Rogers substrate with embedded LC layer and matching network. The elements are modified from circular to square with circular aperture at the center. The overall view can be seen in Fig.(5-14) and dimensions and close-up in Fig.(5-15).

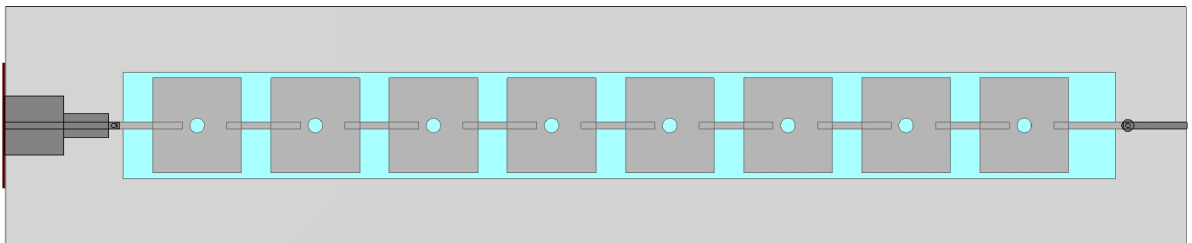


Figure 5-13. Top view of structure

The size of the square patch is taken so as to enable resonance at 28 GHz, of length 3.2 mm and width 3 mm. This measurement was fixed through parametric runs for maximum optimization. The aperture is of same diameter as previous case, ie, 0.5 mm. Inter element spacing is 3.5 mm.

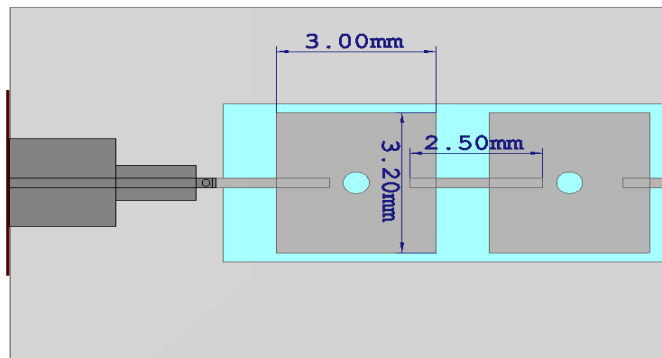


Figure 5-14. Dimensions of square LWA

5.3.1. Results

The scattering parameter shows almost the same properties as in the circular case but has a steeper and smoother curve at 28 GHz and a narrower band-Fig.(5-16).

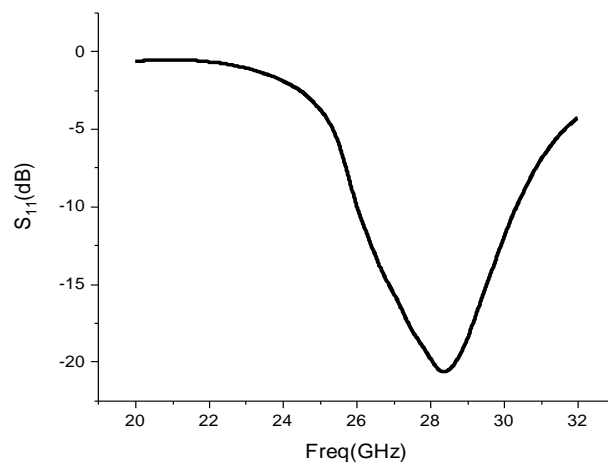


Figure 5-15. S₁₁ of the square LWA design

Gain of the antenna shows a slight reduction, which can be attributed to change in shape. The realized gain is around 9 dB, reaching to 10 dB at selected points as shown in Fig.(5-17).

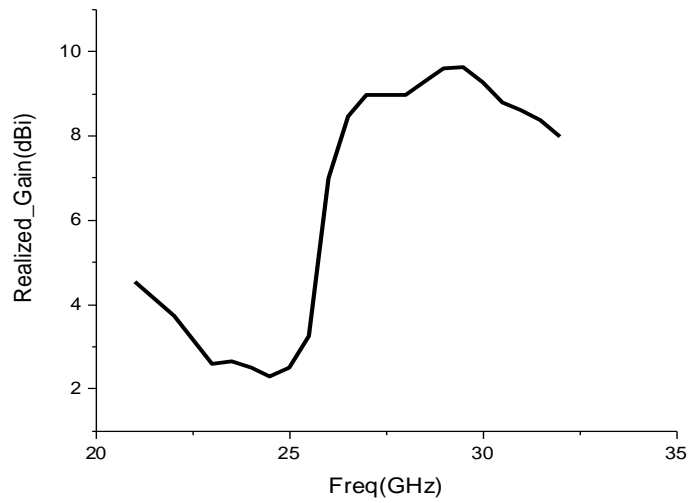


Figure 5-16. Gain vs frequency of array.

The farfield comparison of LC permittivity change also shows better scanning than circular case with a total 15° beamsweeping angle with ϵ change from 2.5 to 3.5. sidelobe levels are around -8.5 dB and -10 dB and angular width has reduced by 5° from previous case but still has room for improvement as illustrated in Fig.(5-18).

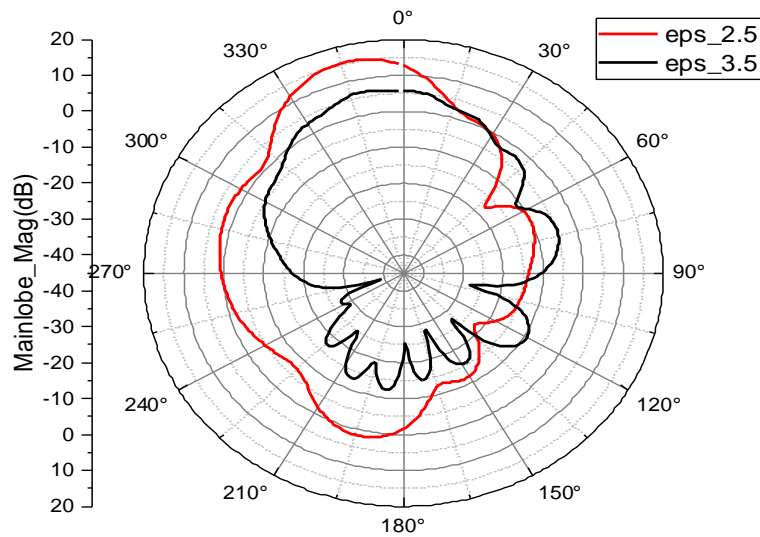


Figure 5-17. Farfield phase shift using LC layer of permittivity 2.5 vs 3.5.

A new structure designed by combining desirable characteristics of the 2 configuration is thus proposed.

5.4. Conclusion

In this chapter, the Leaky Wave Antenna configuration is investigated as a candidate for LC based beamsteering. LWA's offer the advantage of being a continuous metallic structure, hence adding a DC voltage battery between the structure and ground becomes an easy proposition. Hence the goal of the chapter lies in seeing how addition of LC layer and biasing it effectively becomes possible without necessity of additional hardware and achieving high scanning range.

2 types of LWA designs were compared- the circular patch slotted LWA and square patch slotted LWA. The circular LWA design shows lesser Beamscanning range of 10° compared to square LWA of 15° . However, the gain is found to be greater in the circular case most likely due to greater fringing fields of the slot being included within the circular structure. The novel shape of circular patch slotted LWA is introduced here as a study of its advantages in beamscanning design. Matching of each patch shape is recommended to be done differently as it greatly affects the scan range and gain.

The disadvantage of LWA is in its frequency dependant beam shift, therefore for fixed frequency beamscanning applications, it is recommended to opt for other array configurations. However LWA's become an ideal candidate for very compact, easy-to-bias, high bandwidth applications.

This means that both square and circular patch slotted LWA's have their own distinct advantages, which could effectively be combined in the form of a new structure. A new structure combining these 2 properties is therefore considered in the next chapters.

CHAPTER 6

STAR SHAPED LWA AND TWA WITH LC

6.1. Introduction

Travelling wave antennas are composed of a single wire transmission line with a matched load termination. TWAs use a travelling wave on a guiding structure as the main radiating mechanism. It usually consists of open-ended wires whose net current goes to zero at the end. TWAs can be considered as a superposition of multiple oppositely directed electromagnetic waves. [51]

Travelling wave antennas consist of periodic structures whose main beam direction greatly depends on the geometry and nature of feed. The types of main TWA's are shown in Fig.(6-1).

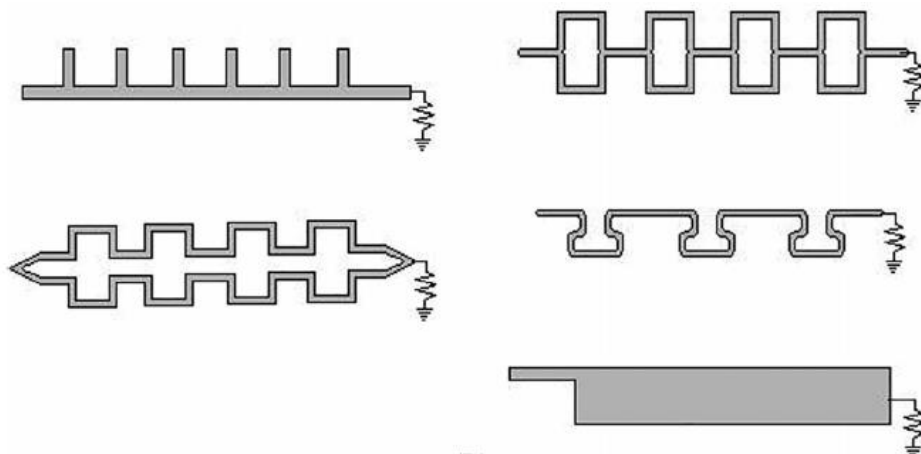


Figure 6-1. Types of TWA designs from top left : the comb MTWA, Meanderline type MTWA, Rectangular loop type MTWA, Franklin type MTWA, Normal TEM line terminated in matched resistive load [52]

Common travelling wave geometries are: saw, trapezoidal, chain and comb. The matched load termination is what makes the antenna different from a normal microstrip antenna array.

Design of steerable beams is an advantage of this type of array since the phase velocity of the waveguide structure determines the main beam direction. The

dispersive nature of the feed or excitation frequency will then enable the steering of the beam.

The resistive load matching can also be replaced with patch antenna load in order to obtain same working.

Travelling wave antennas differ from leaky waves in the fact that the end termination is not with another waveguide port, whereas they are similar in the fact that radiation occurs along the feed instead of at the end, as in a microstrip patch antenna.

The angles of peaks occurring in such an antenna can be written as:

$$\theta_m = \cos^{-1}\left[1 \pm \frac{\lambda}{2l}(2m + 1)\right] \quad (6-1)$$

where m is any integer and l is the distance between elements of the leaky wave. [51]

The radiation pattern of a single wire type TWA is shown in Fig.(6-2).

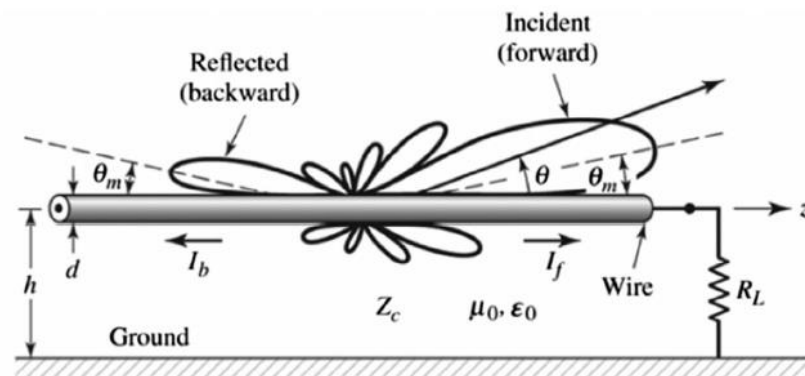


Figure 6-2. Circuit equivalent of the matched resistive termination TWA with radiation pattern[52]

A travelling wave antenna is non-resonant or aperiodic. As the wave travels from source to load, it continuously leaks along the structure, thus the name of the antenna.

6.2. Design of Star shaped TWA

A star shaped travelling wave antenna was considered with a patch antenna load. The star shape was a novel design concept preferred due to its symmetry and ease of

fabrication as well as combining the qualities of both square and circular designs together. The design consists of Rogers RO4530B substrate of 0.72 mm thickness with a 0.5 mm LC layer embedded below and the antenna rests on the top. It also has a PEC ground of 0.017 mm on the bottom. The configuration is shown in Fig.(6-3).

The overall structure in Fig.(6-4) and close up view in Fig.(6-5).

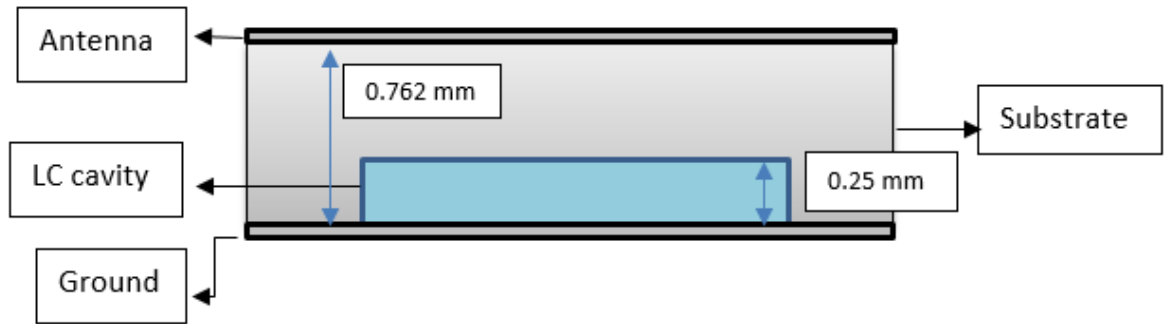


Figure 6-3. Normal patch loaded TWA structure design with star shaped patch slots in feedline with embedded LC Layer inside Rogers substrate (RO4350B).

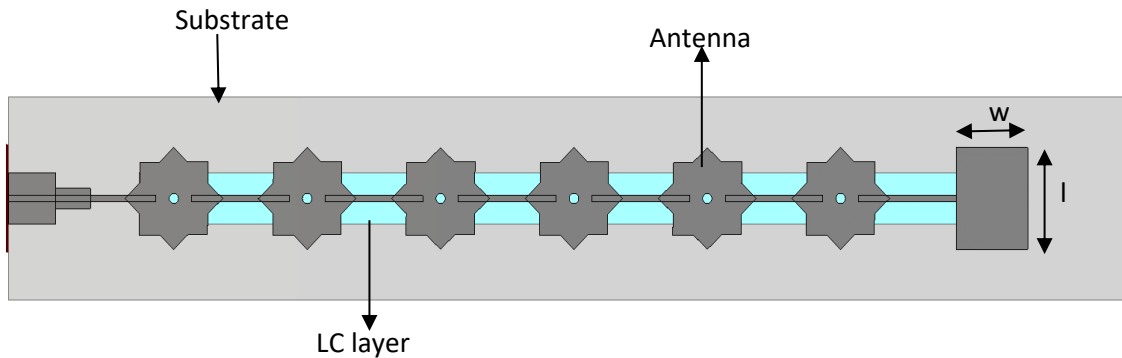


Figure 6-4. Top view of star patch TWA

The patch antenna load was designed to match the impedance of the travelling wave with $l=4$ mm and $w=3$ mm. Dimensions of the star structure is in Fig.(6-5).

The star shaped leaky wave region was inspired by the rhombus design in [1] and improved by combining with another rhombus of tilt 43° , combined together to form a star. The addition of the aperture was introduced to create greater beamsteering and radiation capability.

The design of the star shaped elements followed the steps given below:

1. First, the dimensions of the rhombus were decided to enable resonance at 28 GHz, to be 2.86 x 2.86 mm.
2. The angle of tilt of the 2nd rhombus was made variable and different angles of shift were considered by parametric sweep to obtain best- scanning range, least interference, gain and symmetry. An angle of 43° was seen to be the best option.
3. The patch load was designed by calculating impedance at the end of the array and designing based on patch design formulae, with patch width =4 mm and patch length = 3mm.
4. The aperture was then introduced, to enable frequency dependant scanning, which is a unique and popular property of a leaky wave antenna, by adding 0.5 mm wide circular slots at the center of the star patch.
5. The matching and feed network were designed and optimized for best gain.

The final design is thus obtained. The measurements are shown below:

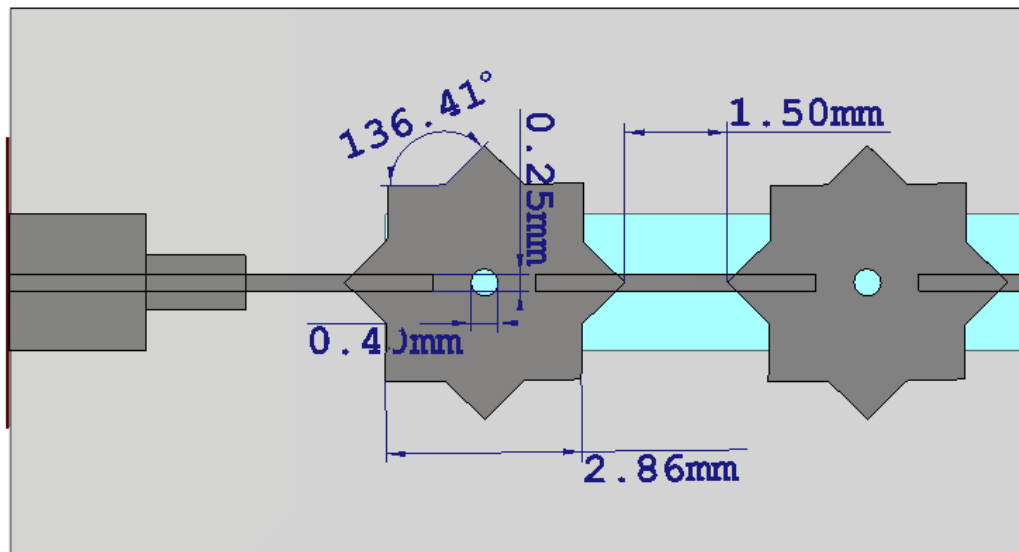


Figure 6-5. Dimensions of star patch

6.2.1. Results

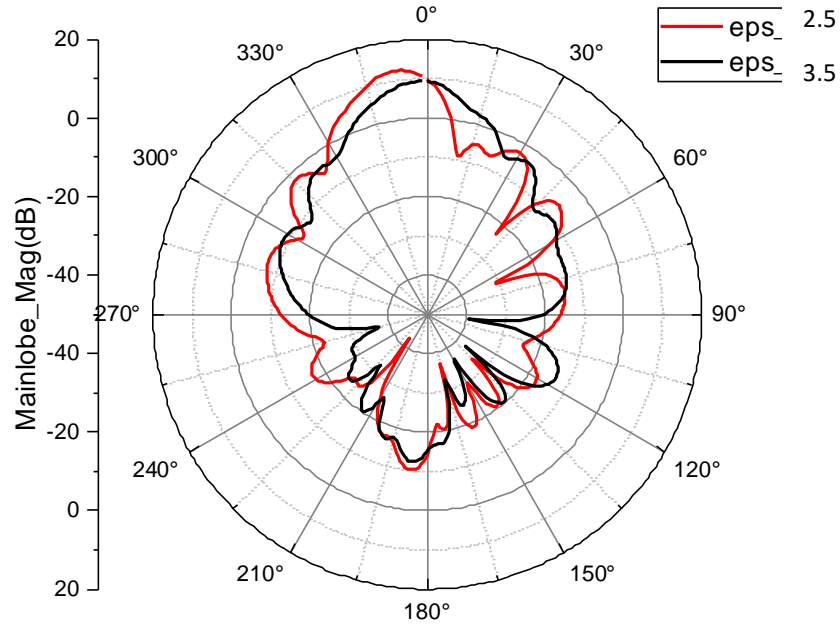


Figure 6-6. Radiation pattern shift by changing permittivity of LC from 2.5 to 3.5.

The scanning range can be seen from Fig.6-6 to be 8° but the beam shows reduced sidelobes and gain than previous cases. Gain of 12.5 dB was achieved at 28 GHz.

6.3. Design of Star shaped LWA

To further improve scanning angle, this design was modified to be an LWA and brought down to the LC layer to enable DC biasing of the layer as well. This leads to the star shaped LWA design similar to the square and circular LWA designs of before. Combining the shape with the design structure could effectively counter the problems of both individual structures.

The antenna is embedded inside the Rogers substrate of 0.762 mm thickness, atop the LC layer of 0.5 mm thickness. Practically to design this structure, an LC cavity layer

is made and the antenna printed on bottom side of substrate is joined together before filling the cavity with the LC material. The ground layer is added and fused after this. The connections to the top of the substrate and to feed network are made using vias. The thickness of the connector microstrip line is chosen as 0.25 mm to match the array impedance. Dimensions of the star and slot are same as before. The matching network consists of a 50Ω impedance line with a 1.45 mm long quarter wave transformer. The separation between star patches is also reduced to 0.5 mm. The via diameter is 0.15 mm.

The array can be seen as is shown from top in Fig.(6-7) and dimensions in Fig.(6-8).

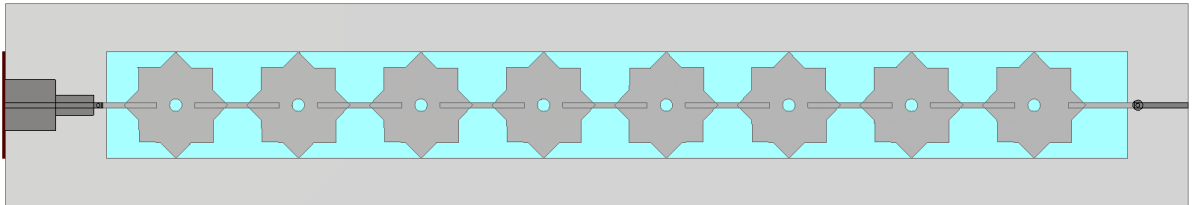


Figure 6-7. Top view of designed LWA by using only star shaped slot radiators on 0.5 mm LC layer and no patch loading. The slot radiators are underneath a 0.762 mm Rogers RO4530B layer and connected to feed network through vias on both sides.

For the star parameters, the primary diagonal $d_1 = 4.2$ mm and secondary diagonal $d_2 = 4.1$ mm.

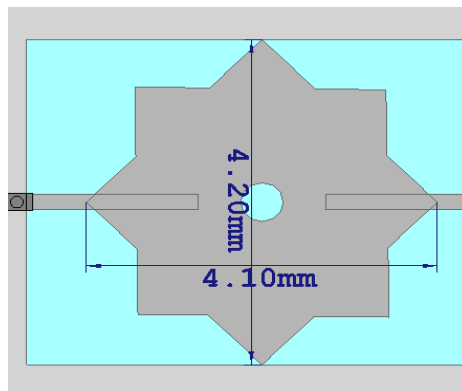


Figure 6-8. Dimensions of design

6.3.1. Results

3 different permittivities of the array was considered. $\epsilon_r=2.5, 3$ and 3.5 . The scanning caused by the LWA can be clearly seen as the permittivity changes in Fig.(6-9) and a backfire to endfire beam scanning is observed in Fig.(6-10).

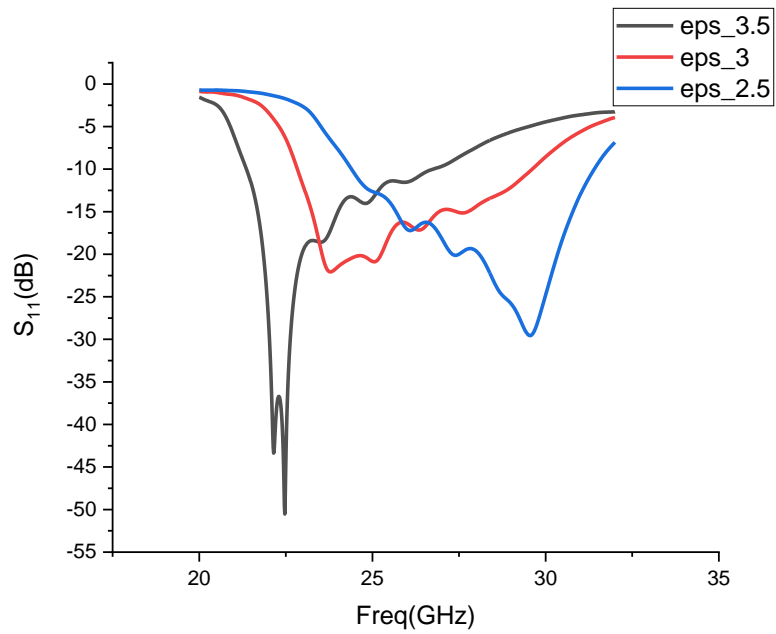


Figure 6-9. S_{11} values of the antenna at 3 different permittivities of LC

The farfield property at 28.5 GHz is shown.

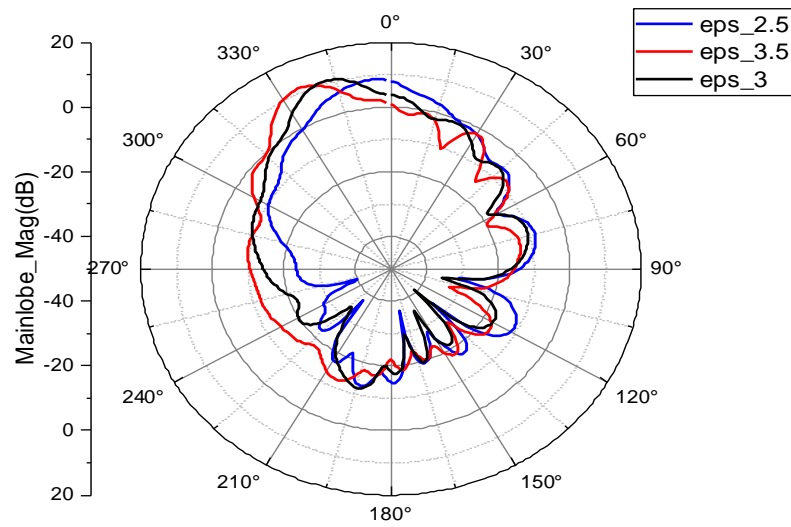


Figure 6-10. Farfield pattern shift with changing LC permittivity from 2.5, 3 and 3.5.

It can be seen that the farfields show much more improvement than in the circular or square patch LWA. The sidelobe levels have decreased such that it is -12.5 dB, -14.8 dB and -13 dB for the different permittivities. The beamscanning angle is a good 21° , which is a very useful property of this antenna. The gain of the antenna can be seen to be above 9 dB in all cases of ϵ_r in the desired frequency range of 26-30 GHz, specifically is 11 dB in the 28-29 GHz gap as can be seen in Fig.(6-11).

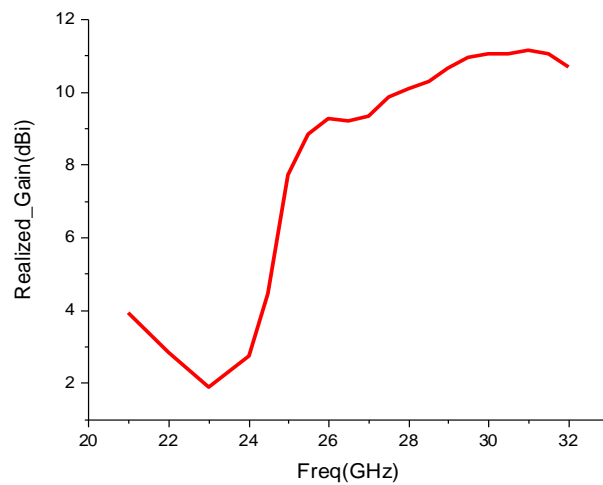


Figure 6-11. Gain vs frequency

6.4. Star shaped array

The configuration above was found to be a useful and efficient structure. Thus, it was expanded into a 4 x 8 array, to enable massive MIMO and increase overall gain.

This configuration is an efficient, compact and highly directive antenna design combining the useful properties of a travelling wave antenna as well as a leaky wave structure. The shape is optimized from previous designs for high gain and directivity. The overall view in Fig.(6-12).

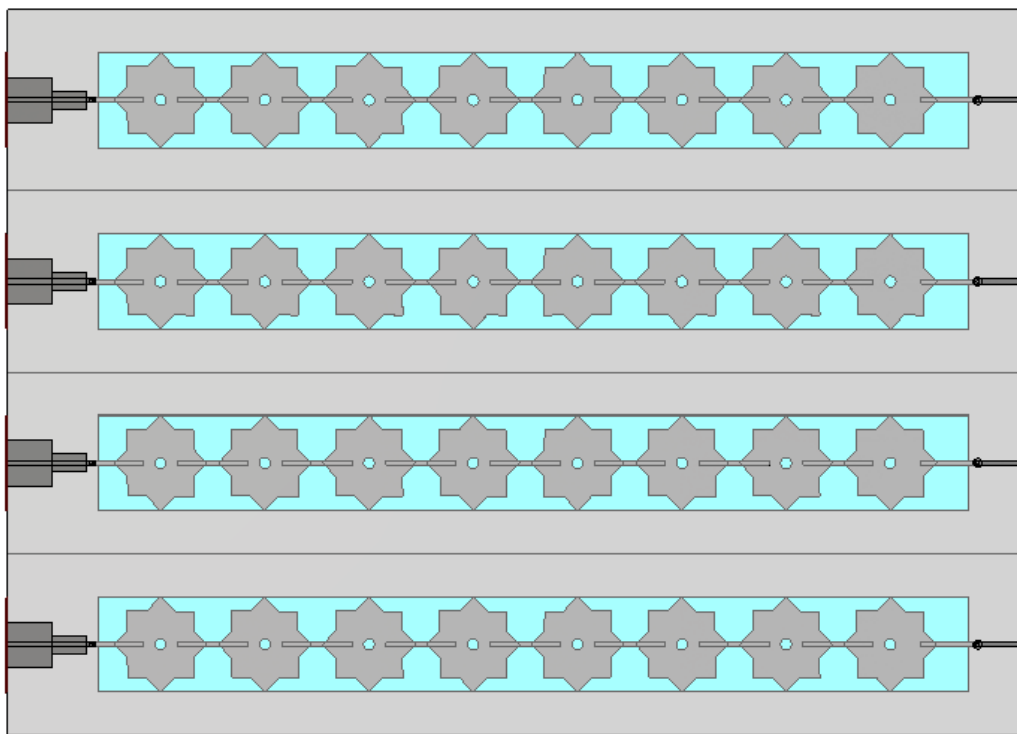


Figure 6-12. Top view of star LWA array

The 4 separate waveguide ports/feeds provide multiple uses- a) It increases the number of antennas/patches thereby requiring each antenna to have comparatively lower gain than in a single line structure, which is the basic use of a MIMO array and b) Allows separate basing for adjacent array lines, which becomes a very useful property since each line uses separate LC cavities that can be biased simultaneously

to different permittivities, allowing a much larger option for beamscanning. As mentioned in Chapter 2, the reaction to LC molecules with electric field causes change in its electric property like permittivity, which a DC bias can therefore induce.

Beamscanning can now be done in multiple methods using this structure:

- 1) The LWA itself provides scanning with change in frequency, of around $6^\circ/\text{GHz}$
- 2) The array can be tuned by changing permittivity of all 4 LC layers from 2.5 to 3.5 to show scanning at the same frequency
- 3) The array can further show beamscanning by changing LC permittivity of adjacent layers, which act as a phase shift for each line and thus cause beamscanning and highly tunable scanning angle.

Multiple degrees of freedom for frequency scanning, is thus the added benefit of this unique design.

The separation between each 1 x 8 array is taken as 3.8 mm for the practical benefit of connector size of waveguide port and DC biasing battery.

A bias microstrip line of 0.2 mm runs through the array from one end to the other. The LWA rests on the LC layer so as to facilitate DC voltage application on the LC and thus change its permittivity. The whole structure is 44.8 x 32 mm wide. The dimensions are specified with LC layers of different permittivities in Fig.(6-13).

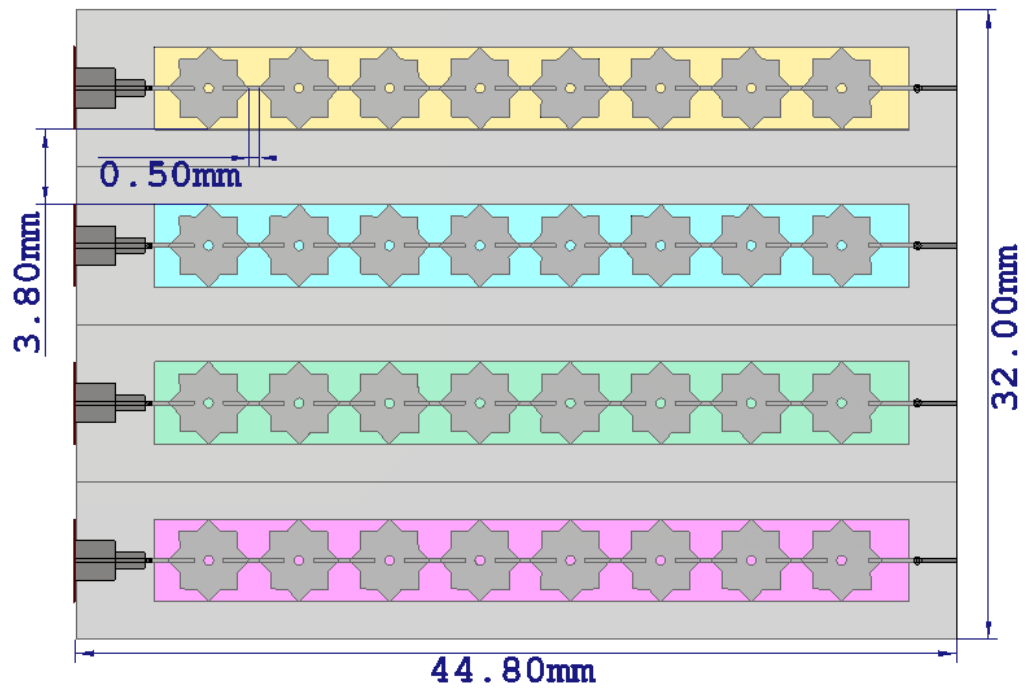


Figure 6-13. Dimensions of star shaped LWA design with LC layers having different permittivities.

6.4.1. Results

The S-parameters vs frequency for the 3 different values of ϵ_r namely 2.5, 3 and 3.5 gave rise to a significant shift in resonant frequency.

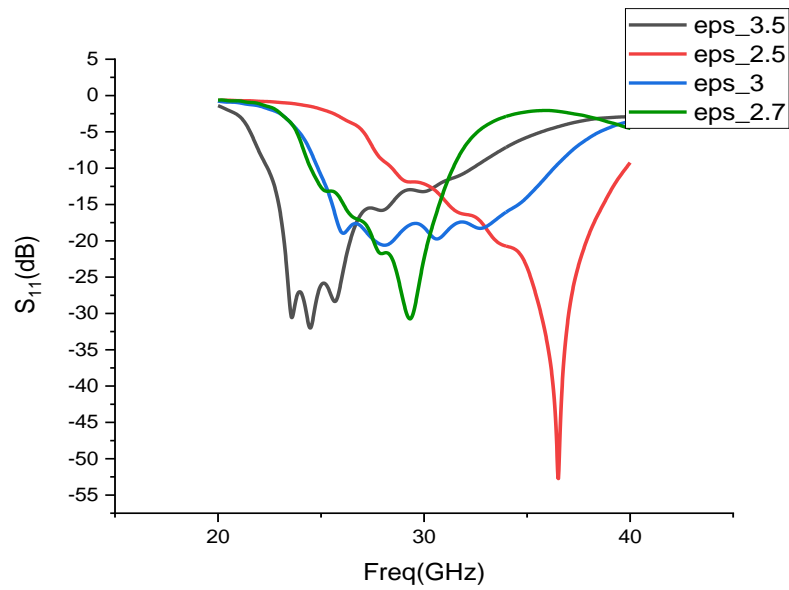


Figure 6-14. S_{11} values of the LWA array for different values of permittivity of LC

The shift in frequency between ϵ_r 2.5 and 3.5 can be seen to be around 8 GHz in Fig.(6-14). The resonance at $\epsilon = 2.5$ is 22.5 GHz and at $\epsilon=3$ is 25 GHz and at $\epsilon=3.5$ is 29.8 GHz. However, this change in resonance does not affect the farfield beam properties like magnitude of mainlobe and sidelobe levels which can be seen in Fig.(6-15).

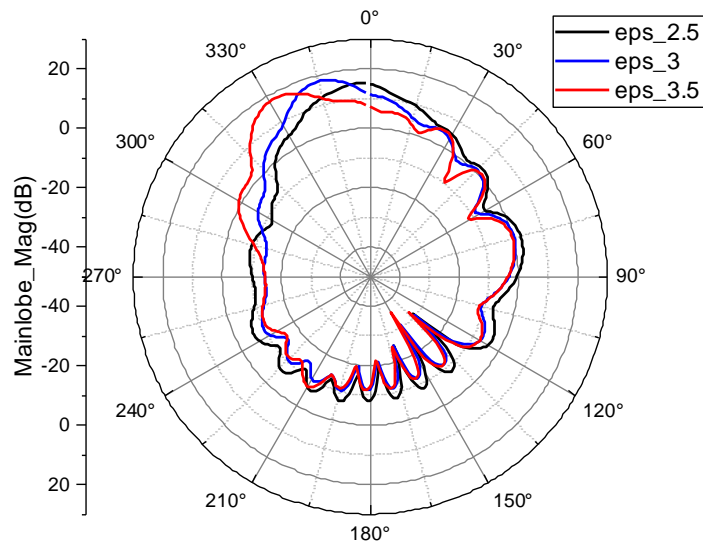


Figure 6-15. Farfield pattern for different LC permittivities: 2.5, 3 and 3.5.

The mainlobe shows a very good value of beam magnitude of 10-15 dB at its peak at 28 GHz. Sidelobes for each case are -12.2, -12.2 and -13.2 dB respectively. As can also be seen, the angle of shift from ϵ 2.5 to 3.5 is from -36° to -8° , a total of 28° of beamscanning. As indicated previously, another method of beamscanning is by providing differential phase shifts at the feed point- which can be done by using the meanderline feed design of earlier. The following beam shift as seen when phases of: (0,30,60,90) and (0,60,120,180) and (0,90,180,270) was given to successive ports, in Fig.(6-16).

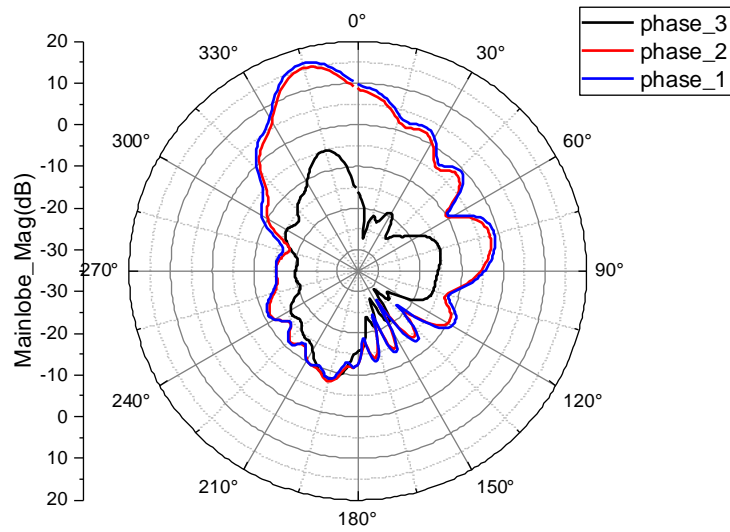


Figure 6-16. Farfield patterns for 3 groups of phases applied at feeds.

The result is that both the first and second sets provide similar beam direction, but the 3rd case provided a shift of 5°.

Next, the gain of the structure was measured and can be seen to be above 12 dB at the desired frequency range in Fig.(6-17).

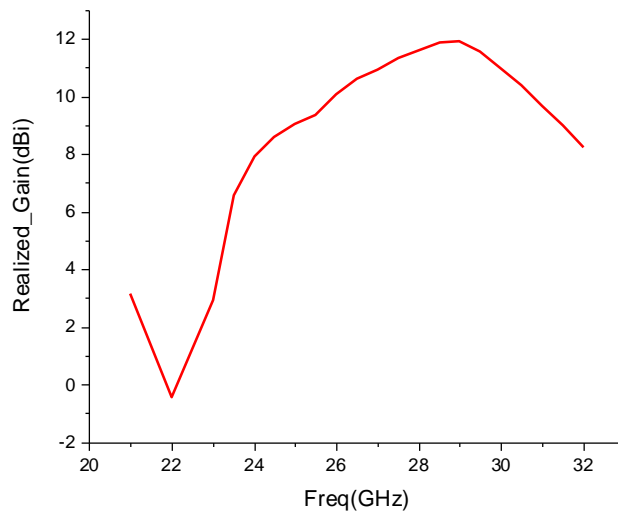


Figure 6-17. Gain vs frequency

Another significant and useful property of an LWA is the ability of the antenna to scan the beam with frequency, which is shown below: The scanning is observed from frequency of 23 to 29 GHz. Each 500 MHz change caused a 5° shift in the beam direction as seen in Fig.(6-18).

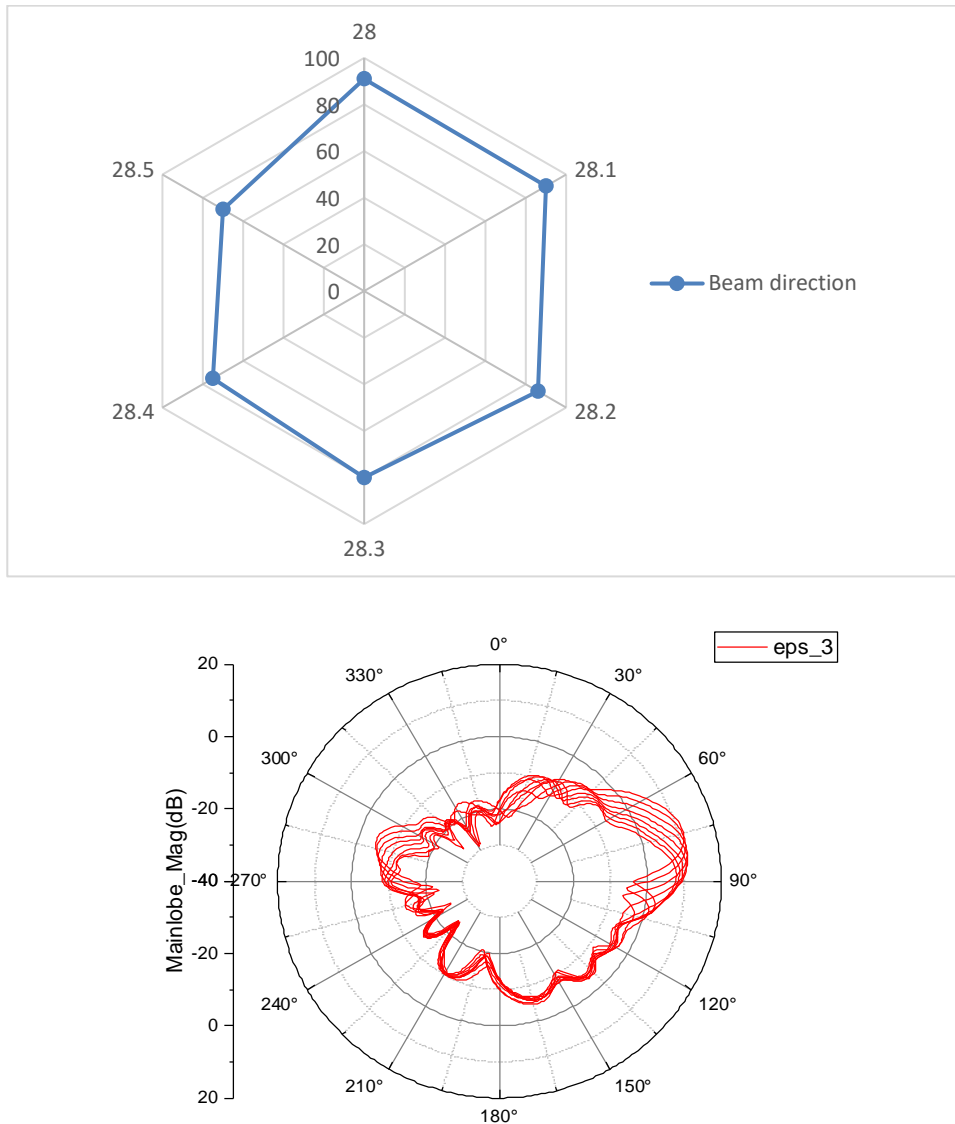


Figure 6-18. Beam scanning as a function of frequency for the array

Finally, the difference in LC permittivities (2.5, 2.8, 3, 3.5) applied in adjacent feeds, leads to a beamscanning with respect to a structure with all LC permittivities equal, of 18° , shown in Fig.(6-19).

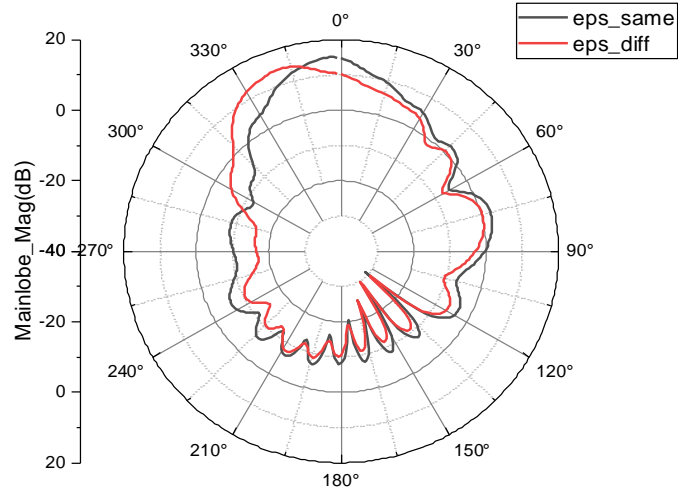


Figure 6-19. Beamshift due to same(all 3) and different permittivities(2.5, 2.8, 3.2 and 3.5) applied to adjacent rows of antenna array.

6.4.2. Fabricated Structure

The fabricated antenna array is as shown in Fig.(6-20). The LC layer and antenna layer are printed and joined together before cavity is filled with the crystal. End launch connectors of 2.4 mm were used to provide feed port as structure was small. DC biasing can be done using a battery from either sides of the structure.

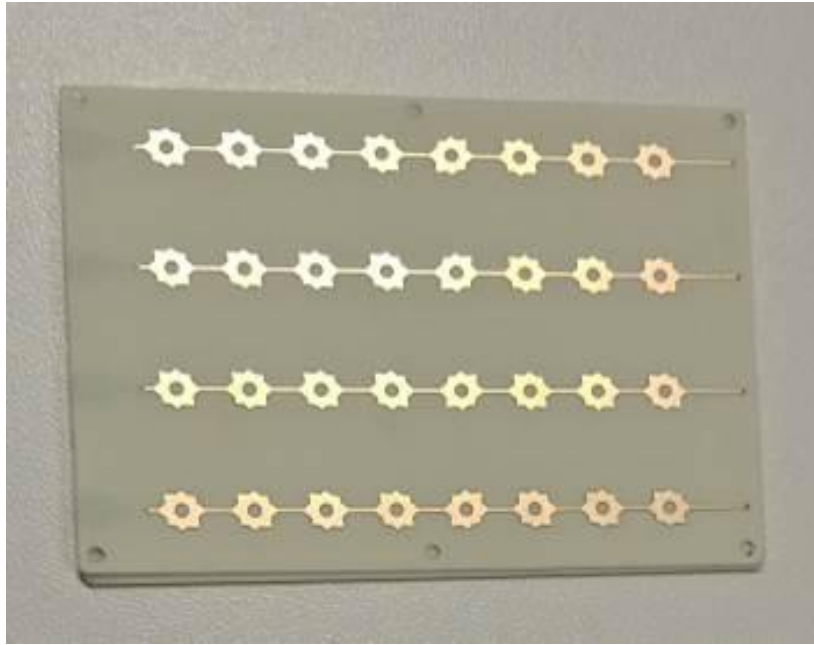


Figure 6-20. Top view of star-LWA array



Figure 6-21. LC cavity

6.4.3. Results

The experimental results showed good similarity with simulation results. A comparison of the two is done for LC permittivity value(ϵ_r) of 2.7, in Fig.(6-22).

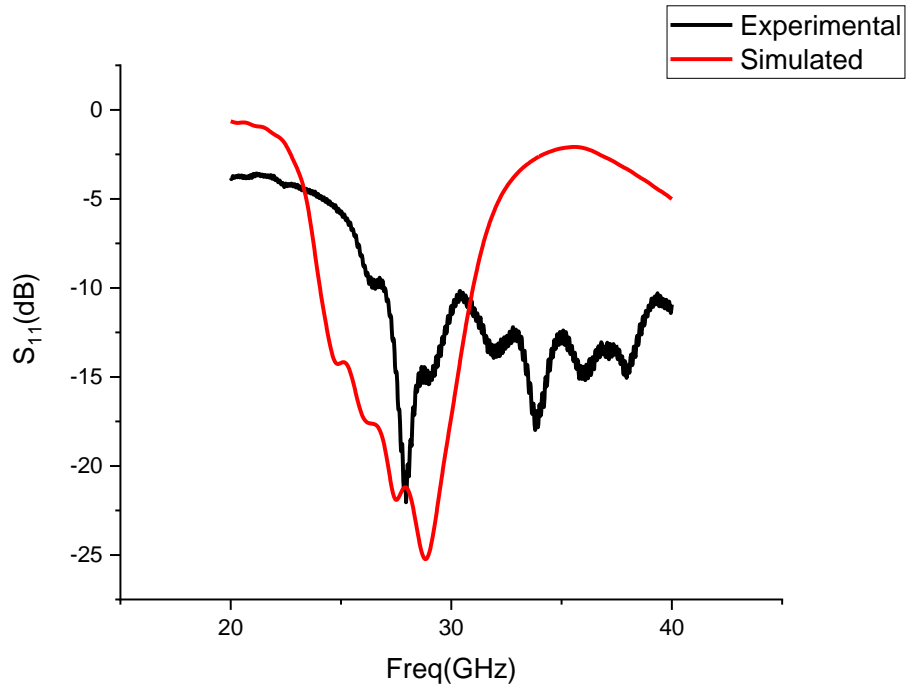


Figure 6-22. S_{11} comparison of experimental vs simulated

The difference in values after 30 GHz is due to noise in the practical setup that interfere with radiation at high frequencies. However since desired range is till 30 GHz, the changes further ahead do not impede the performance of the structure. It can be seen that return loss below 15 dB is possible for the desired range, making the structure a functional 5G beamsteering module. Due to COVID-19 restrictions, the lab facilities are not available to obtain the farfield and gain results of the fabricated antenna. The beamwidth can be approximated from S-parameters to be similar to simulations, nearly 13 dB and sidelobes as can be seen to be below -10dB. This proves a favourable approximation of the beamscanning range to be similar to simulations as well.

6.5. Conclusions

The goal of this chapter was to introduce a novel LWA design in the form of star shaped patch with slots, with an LC layer underneath to induce beamscanning and show better performance in terms of gain and sidelobe levels over previous square and circular LWA's. The idea was first introduced in the form of a TWA with patch loading to investigate if its performance could be considered acceptable for further analysis. The unique shape of the TWA in the form of a star was proposed here. Seeing that the performance was favourable, the idea was extended to the star shaped slotted LWA structure,(eliminating patch loading), thereby making it more sleek and easy to bias with DC battery since it was a continuous metal layer.

Since this novel structure showed very good results, it was also fabricated and checked for results in the form of an 8x4 array for MIMO applications.

As a result, it can be seen that multiple degrees of freedom is the advantage of this structure in causing beamsteering, which can be combined in various methods to provide around a total of 33° of beamscanning capability using Liquid Crystal substrate. 28° of beamsteering is achieved just by changing LC permittivity from 2.5 to 3.5. Further, by causing phase shifts at feed point itself, we can achieve a further 5° of phase shift, combining to get a total 33° . Further 15 dB mainlobe magnitude and less than -12 dB sidelobes are an additional advantage for fabricated structure as well, which is the goal of the design, as it is better than circular and square LWA's.

Again, since frequency dependance of beamscanning is present in an LWA, it is recommended to use this structure for high bandwidth applications.

CHAPTER 7

CONCLUSIONS AND FUTURE WORK

5G defined various new techniques to achieve the high data rates, speed and low latency solutions for products including massive MIMO beamsteering. In this work, different types of antennas and their working with liquid crystals to enable beamsteering is studied.

Commercially available Liquid Crystal compounds are compared and their chemical properties are used to create the material for simulation tests. The commonly seen range of permittivity of LC (e.g.: 5CB, Merck KGaA) between 2.5 and 3.5 is used in the comparison of beamsteering of all antenna types.

Beginning with a meanderline based design for comparison of phase difference obtainable for the defined range, we were able to observe a Figure of Merit of 61°/dB for the meanderline design with embedded LC layer, ie, a phase shift of 85° for permittivity change from 2.5 to 3.5. This was compared with another common phase shift method of an interdigitated capacitor which are commonly seen in Leaky Wave designs, combined with LC layer. The defined phase shifter could be used on a variety of antenna structures since it was designed for the feed area and can be easily integrated to an existing structure without adding complexity.

The patch antenna was considered to see the possible beamsteering since it was the most commonly used structure for MIMO applications due to their form factor and low cost of fabrication. When applied to a patch antenna array, the meanderline feed design showed a phase shift of 9° for a 1 x 4 patch array. Sidelobes below 12 dB was also achieved in all cases of permittivity. For a 4 x 4 array, the shift was increased to 17° for the same configuration and change of permittivity. This proved a useful design for application since the LC layer was embedded in a substrate and hence biasing could be achieved. It also avails flexibility in design of shape and size of patch since LC layer is only at the meanderline feed region.

A study on the shapes of LC cavities below a patch antenna that can give maximum frequency tuning range showed a dumbbelle/ I shaped cavity to show 400 MHz more

tuning range than a normal rectangular cavity, due to inclusion of fringing fields of a patch antenna. This can be used in applications that require higher tuning range for the same range of permittivity.

An improvement on the 4 x 4 array structure was done to remove backlobe and field interference due to meanderline feed in the form of a flipped array that consisted of adding the meanderline feed on a 3rd layer below the patch antenna, interconnected by vias, and ground layer between them. This array showed 20° beam shift with lower backlobe and highly directive beam.

The main issue of a patch antenna design is the narrowband characteristic of such antennas that cause issues due to frequency shift. Thus, application of LC layer has to be made at feed instead of below the radiating structure. This again opens up many methods of creating innovating designs using the Liquid Crystal.

The next study was on leaky wave antennas which are very commonly used in frequency scanning applications and in this study showed the superior advantage of being able to provide a method of DC voltage application to LC layer due to their continuous structure.

The 3 types of LWA shapes considered were: circular, square and star- shaped. Each structure has different properties that make it favourable. The circular structure shows 10° of beamscanning and square shows 15° beamscanning range with embedded LC layer. The star shaped LWA structure shows 21° shift. Due to higher shift, this structure was extended to the form of an array of 4 x 8 elements. The resulting structure could be made to achieve a total of 35° of beamscanning by providing phase shifts at the feed line. This is a significant amount of beamscanning that can be applied in MIMO technology.

The resonance shift of an LWA is also an issue that can cause problems in antenna gain at various permittivities. More wideband designs can solve this issue although current design is able to achieve 12 dBi gain.

Leaky wave designs are more difficult to fabricate than normal rectangular patch antennas but are more compact and thus LWA based LC designs can also be

considered especially in mobile and IoT applications since they require compact and planar designs.

The future of this work aims to eliminate the sidelobes and improve gain of the antenna. The beam shift can also be investigated to be larger by changing shape or parameters of the antenna array. LWA's offer a field that enables a wide amount of tenability in terms of shape of design. Thus, more innovative shapes of the patch can be investigated.

One such consideration is a Grid Array Antenna (GAA) capable of working in wideband mode. A grid array antenna (GAA) is a kind of planar array antenna made up of multiple rectangular loops.

Grid antennas are non-resonant antennas with a single fire beam. It is made up of many rectangular elements called grids with sides of 1 wavelength by 0.5 wavelength. The shorter sides are considered radiating and longer sides act as transmission lines. [53]

Grid antennas are wide-beam antennas used in broad frequency applications due to their multiple resonance points within their frequency band.

The array consists of 16 radiating elements with 0.75λ spacing between the patches in the same row. The configuration is shown in Fig.(7-1).

The Grid Antenna Array as shown in the figure below contains 16 square elements, consisting of an LC layer of 0.25mm embedded in a thick ground plane of 1.50 mm thickness and Rogers substrate of 0.25 mm on top. It was fed by through-hole feeding technique using a coaxial cable, shown in Fig.(7-2).

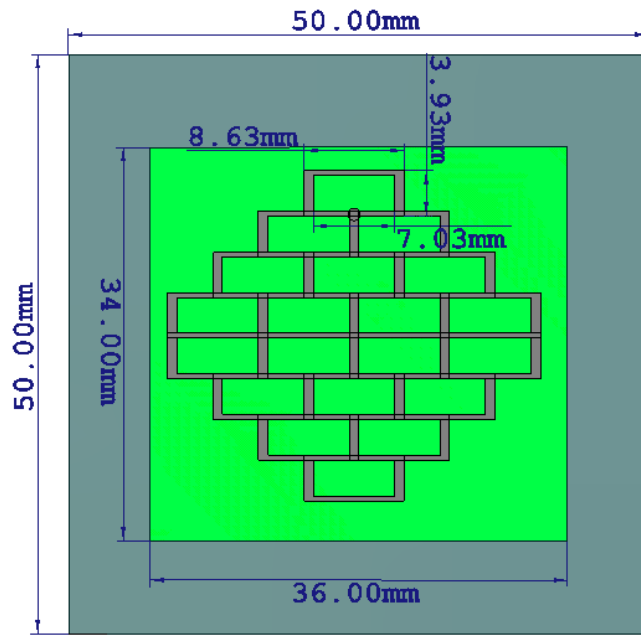


Figure 7-1. Top view of Grid Array Antenna

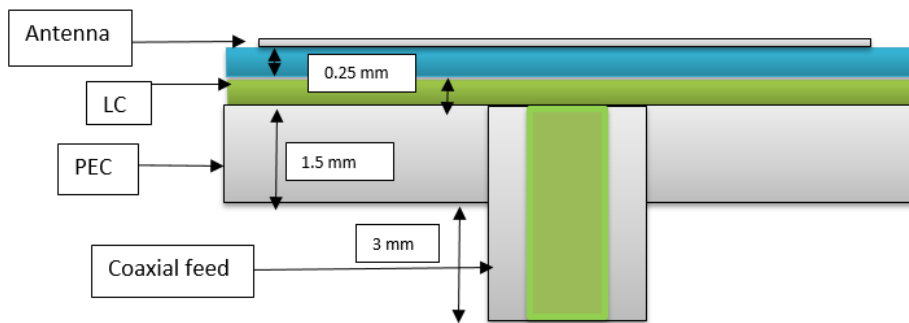


Figure 7-2. Grid antenna designed

A beam scanning range of 6° as well as main lobe magnitude of 13.4 dB and 9.8 dB are observed at LC permittivity of 3.5 and 2.5 respectively. Sidelobe levels are -9.4 dB and -10 dB respectively. The S_{11} values show a resonance change with changing ϵ_r of LC layer, Fig.(7-4). At $\epsilon=2.5$, resonances are observed at 22.4 GHz and 27 GHz. At $\epsilon 3.5$, it resonates at 20.8 GHz and 25 GHz, in Fig.(7-3).

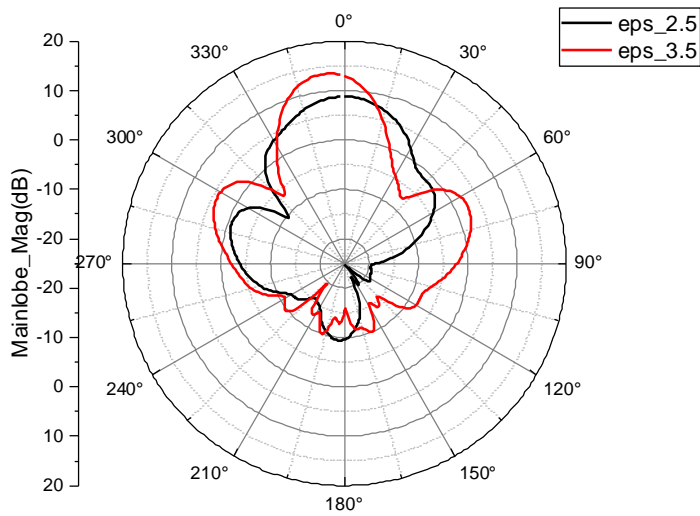


Figure 7-3. Beamscanning due to LC permittivity change

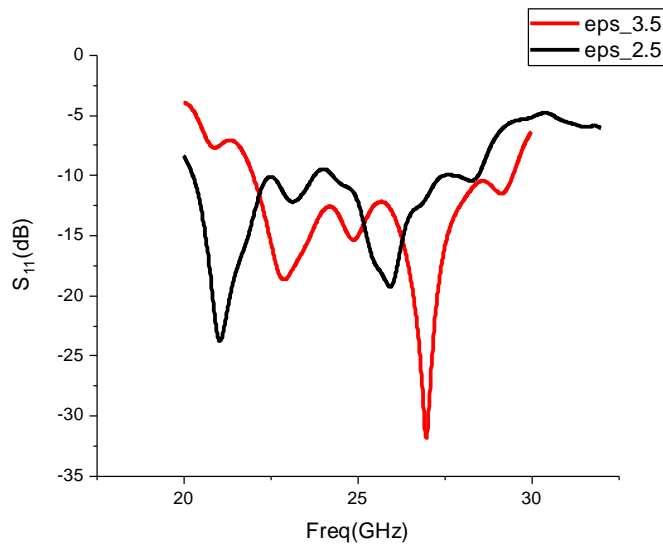


Figure 7-4. S₁₁ resonance change with permittivity

Although resonance shift is observed from 27 GHz to 25 GHz with changing permittivity, since it rested on the LC layer, for a common frequency of 26 GHz, 6° of beamscanning was observed. Grid Arrays have the advantage of high bandwidth and multiple resonant points. However, they suffer from larger sidelobe and needs

proper matching to be practically used. To conclude, GAA's are an interesting series of antennas that can be used in broadband applications but show limited beamscanning range.

Metamaterial based LC designs are another popular trend in 5G design. This can be extended to all the types of antennas discussed before.

A compromise between ease of fabrication, cost and beamsteering angle is to be reached in practical design and more ideas are thus limited by these constraints. However, LCs offer an easy and planar, integrable alternative to existing beamscanning methods. Thus, it will be a source of more research and innovative design for the future, of which this work will hope to be a good summary of the way different types of antenna array configurations can be combined with LC layers.

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