

1 Estimation of effective cohesion using artificial neural networks based on index
2 soil properties: a Singapore case

3 Yongmin Kim^a, Alfredo Satyanaga^b, Harianto Rahardjo^{c*}, Homin Park^d, Aaron Wai Lun
4 Sham^e

5 ^aSchool of Engineering, University of Glasgow Singapore, Singapore.

6 ^bSchool of Civil and Environmental Engineering, Nazarbayev University, Kazakhstan

7 ^cSchool of Civil and Environmental Engineering, Nanyang Technological University,
8 Singapore; *Corresponding author: chrahardjo@ntu.edu.sg

9 ^dInstitute of Infocomm Research, Agency for Science, Technology and Research, Singapore

10 ^eSpecial Functions Group, Enforcement & Structural Inspection Department, Building and
11 Construction Authority, Singapore

12

13 Abstract

14 This study presents a development of a multi-layer perceptron (MLP) model to spatially
15 estimate and analyze the variability of effective cohesion for residual soils that are commonly
16 associated with rainfall-induced slope failures in Singapore. A number of soil data were
17 collected from the various construction sites, and a set of qualified Nanyang Technological
18 University (NTU) data were utilized to determine a criterion for data selection. Four index
19 properties (i.e., percentage of fines and coarse fractions, liquid and plastic limits) were used as
20 training parameters to estimate the effective cohesion of residual soils from different geological
21 formations. Ordinary kriging analyses were carried out to analyze the spatial distribution and
22 variability of effective cohesion. As a result, the appropriate effective cohesions can be
23 estimated using the MLP model with the incorporation of the selected values of measured
24 effective cohesion as training data and four index soil properties as input data. In the
25 combination of estimated and measured effective cohesions, the spatial analysis using Kriging

26 method can clearly differentiate the variations in effective cohesion with respect to the different
27 geological formations.

28 Keywords: residual soil; effective cohesion; index properties; artificial neural networks

29

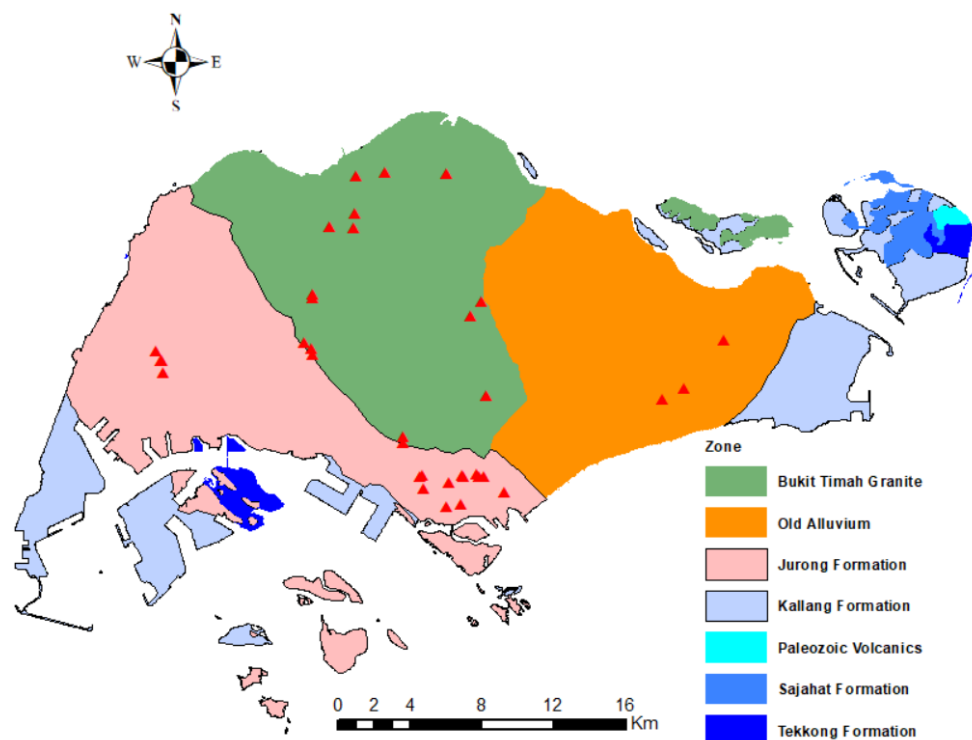
30 1. Introduction

31 Slope failures have become one of the most frequent geo-hazards all over the world.
32 Rainfall-induced slope failure is a common problem in many tropical areas, such as Singapore
33 during rainy seasons. These type of slope failures are commonly found in residual soils that
34 were derived from various geological formations. (Rahardjo et al., 2012; 2016). Furthermore,
35 rapid growth of regional economies has resulted in tremendous demand for hillside
36 developments involving engineered and fill slopes. These failures can pose potential danger to
37 infrastructures and public safety (Kim et al., 2018; Rahardjo et al., 2019a).

38 The geology of Singapore is closely related to the Malaysian geology. Located in the
39 proximity of the tip of the South Malaysian Peninsula, the main North-North-West to South-
40 South-East parallel belts are strongly defined in the Singapore realm. Thus, the Eastern and
41 Central Belts of Malaysia continue to Singapore Island (Oliver and Gupta, 2017; Ip et al., 2021),
42 predominant with the sandstones and mudstones. The geology of Singapore shows eight units
43 but it consists mainly of three formations: 1) Jurong Formation (JF) which exhibits sedimentary
44 rocks in the west; 2) Bukit Timah Granite (BTG) which exhibits igneous rocks of granite in the
45 central and northwest; 3) Old Alluvium (OA) which exhibits semi-hardened alluvium in the
46 east of Singapore (PWD, 1976). Fig. 1 shows a simplified geology map that outlines the
47 distribution of the three major geological formations of Singapore.

48 Residual soil is the final product of the in-situ mechanical and chemical weathering of
49 underlying rocks (Blight and Leong, 2012). The most important characteristic of residual soils
50 is its reduced strength from the original rock's strength due to the destruction of the bonds and

51 the cementation of the material due to the weathering processes (Zhai et al., 2018). In addition,
52 slopes covered with these residual soils in Singapore often have a problem during heavy rainfall
53 events since the infiltration of rainwater into the unsaturated zone increases the pore-water
54 pressure and subsequently decreases the shear strength (Fredlund and Rahardjo, 1993). As a
55 result, rainfall-induced slope failures frequently happen in areas that are covered with residual
56 soils (Rahardjo et al., 2013; 2014).



57
58 Fig. 1. Geological map of Singapore and locations of NTU database.

59 Shear strength properties of a soil are important parameters in determining stability of
60 geotechnical structures. In particular, effective cohesion (c') is one of the important shear
61 strength parameters to evaluate slope stability. Therefore, theoretical equations to calculate
62 factor of safety incorporates the term of c' . US Army Corps of Engineers (2003) observed that
63 the factor of safety is significantly affected by c' . However, the weathering processes resulted
64 in the change and the variation of c' for residual soils in Singapore. Rahardjo et al. (2012) and
65 Zhai et al. (2017) investigated the variability of residual soil properties with different soil
66 depths and discussed that typical c' of residual soils from Jurong Formation (JF), Bukit Timah

67 Granite (BTG), and Old Alluvium (OA) decreases with depth due to the decrease in the
68 percentages of fine fractions. The mean values of c' for residual soils vary from 8 kPa to 24
69 kPa over Singapore. Although the variability of c' represented the characteristics of residual
70 soils and the weathering processes in Singapore, a set of soil samples used has limited
71 capabilities in representing the entire region due to its restricted sampling location coverage.
72 Therefore, for the study on rainfall-induced slope failures, it is necessary to collect big data on
73 soil properties to quantify the characteristics of c' for residual soil with respect to the three
74 different geological formations.

75 Recently, artificial neural network (ANN) models have drawn significant attention
76 from the geotechnical engineering field. The ANN model can be applied to the analysis of
77 geotechnical structures, e.g., shallow foundations ([Kalinli et al., 2011](#)) and landslides ([Choi et
78 al., 2012](#)), and soil properties, e.g., shear strength of soils ([Khanlari et al., 2012](#)). Despite a
79 growing interest in ANN models to deal with big geotechnical data, very few studies have
80 considered soil properties that govern soil behavior because of the uncertainties in the
81 variability of soil properties and difficulties in collecting soil samples. In addition, high-
82 performance computing devices have made ANNs possible to have multi hidden layers and
83 thousands of nodes. Such techniques with various hyperparameters have not been applied to
84 past research works.

85 The main objective of this study is to spatially analyze the variability of effective
86 cohesion for residual soils in Singapore. Soil data were collected from the various construction
87 sites, and the qualified Nanyang Technological University (NTU) database was used to
88 determine the upper and lower limits for data selection purposes. Index properties (i.e.,
89 percentage of fines and coarse fractions, liquid and plastic limits) were adopted as training data
90 to estimate c' using a multi-layer perceptron (MLP) model that can differentiate the variability

91 of c' for residual soils from different geological formations. In addition, the spatial distributions
92 of c' were obtained by conducting ordinary kriging, and the variability of c' was discussed.

93

94 2. Artificial neural networks (ANNs) for the estimation of effective cohesion

95 2.1 Introduction to ANNs

96 Artificial neural networks (ANNs) are complex computing systems inspired by the
97 biological neural networks resembling how neurons are tightly connected to form a layered
98 network structure. Such systems learn to solve various tasks, including approximation,
99 classification, and clustering, by thoroughly analyzing provided big data without exploiting
100 prior-knowledge and task-specific rules (Luger, 2005).

101 There are three key advantages of ANNs as compared to conventional machine learning
102 techniques. First, with sufficient training data, ANNs can learn and model latent relationships
103 between inputs and outputs that are not obvious to human experts, which potentially leads to
104 better performance. Second, ANNs can generalize its learned relationships to make accurate
105 inference and prediction results in practice where unseen, yet from similar probabilistic
106 distributions, data are often observed. Note that the learned characteristics (or features) are
107 hidden behind the complex network structure. Therefore, ANNs are often denoted as a black-
108 box system. Third, ANNs do not impose any restrictions on the input variable, which can
109 quickly initiate the training process using the raw data instead of spending hours and days
110 finding a set of feasible features that might represent the relationship between the inputs and
111 outputs. In fact, with sufficient training data and carefully designed network architecture,
112 ANNs demonstrated its significance over other conventional machine learning techniques in
113 many domains (Shahin et al., 2001; Abiodun et al., 2018).

114 The use of ANN models has been found in the studies addressing issues in geotechnical
115 structures and soil properties. For geotechnical structures, many researchers studied an ANN

116 model to predict bearing capacity of deep foundations (Goh, 1995; Chan et al., 1995; Lee and
117 Lee, 1996). Settlement of shallow foundations was also inferred using an ANN model
118 (Sivakugan et al., 1998; Shahin et al., 2000). Stability of slopes was evaluated by combining
119 the fuzzy sets theory with ANNs (Ni et al., 1996). For soil properties, Ellis et al. (1995)
120 proposed an ANN model to predict grain size distribution and stress history of sand. Cal (1995)
121 developed an ANN model to generate a quantitative soil classification from index properties
122 e.g., plastic index, liquid limit, and clay content. Romero and Pamukcu (1996) presented an
123 ANN model to characterize shear modulus and granular materials. The application of ANNs to
124 different aspects has increased in recent years. ANNs have been applied in predicting water
125 quality (May and Sivakumar 2009), modeling of the rainfall-runoff process (Ju et al. 2009),
126 forecasting of sewer overflow (Fernando et al. 2005) and river flow (Fernando and Shamseldin
127 2009), and predicting pore-water pressure in response to rainfall (Mustafa et al., 2012).
128 Recently, ANNs are extended to multi-hidden layered architectures in multi-layer perceptron
129 (MLP), which is one of the widely used ANN architectures resulting from developments of
130 high-performance computing devices. MLP is computationally more efficient (e.g., faster
131 training time, a smaller number of parameters, etc.) as compared to other predictive models
132 (e.g., deep feedforward neural networks, convolutional neural networks, recurrent neural
133 networks) that are not designed to address the soil data used in this study. Thus, MLP is suitable
134 for analysis of scattered soil data.

135

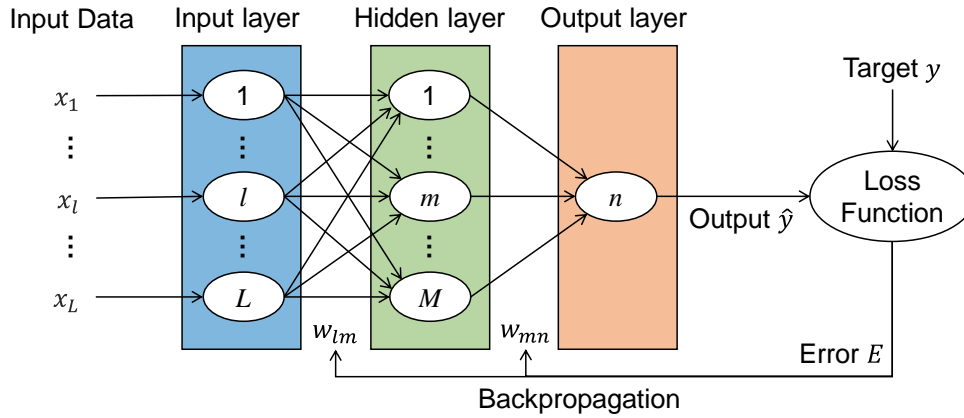
136 *2.2 MLP and Hyperparameters*

137 In this study, MLP was employed to estimate the effective cohesion for residual soils
138 in Singapore. As illustrated in Fig. 2, a typical MLP architecture includes multiple layers of
139 nodes, namely an input layer, a set of hidden layers, and an output layer. The number of nodes
140 in each layer is determined as follows. First, the input layer equals to the number of input

141 variables (e.g., the number of soil properties used to predict the effective cohesion). Second,
142 the number of hidden layers and the number of nodes in each hidden layer are iteratively
143 determined in accordance with the data for training. Lastly, the output layer contains a single
144 node to make estimations based on the input data. An empirical experiment was conducted to
145 identify a suitable MLP design for this study, which includes two hidden layers, each
146 containing one thousand nodes. It is noteworthy that increasing the depth of the network by
147 adding more layers, which eventually leads to a deep feedforward neural network, decreased
148 performance due to the overfitting issue. On the other hand, with a fixed number of hidden
149 layers (e.g., two hidden layers) increasing the number of nodes, to a certain limit, improve the
150 overall performance. This analysis suggests that the network must be shallow while the width
151 is wide enough.

152 The initial inputs to the network are the borehole data $x = \{x_1, \dots, x_l, \dots, x_L\}$ that must
153 be analyzed and learned to estimate the corresponding effective cohesion. Here, L denotes the
154 number of soil properties, and l refers to the property index. Once x is fed to the network, each
155 node sequentially calculates the weighted sum of its inputs and transmits an output value
156 determined by an activation function. As shown in Fig. 2, each node is fully connected with
157 nodes from another layer by allowing the output of a node to become the input of the others.
158 The activation function provides a differentiable transition in output values as input values
159 change, allowing each node to decide whether to produce an output or not based on the input
160 they received. There are several activation functions designed to serve different purposes (e.g.,
161 rectified linear unit, sigmoid, linear functions, etc.), and it is important to select a proper
162 activation function to train the network (Luger, 2005). To effectively train the network, the
163 rectified linear unit (ReLU) was employed while the output node exploited the linear activation
164 function to make accuracy effective cohesion estimations. The advantages of using ReLU
165 compared to other functions are 1) better gradient propagation which is necessary to update all

166 weights throughout the network, 2) computationally efficient by only using only addition and
 167 multiplication, and 3) sparse activation leading to better generalization (Nair et al., 2010). The
 168 ultimate output of the network \hat{y} is made at the output layer, which tends to be erroneous during
 169 the early stages of training. The accuracy of \hat{y} gradually improves as the network learns the
 170 latent relationship between the input data x and the target (ground-truth) data y .



171
 172 Fig. 2. Schematic diagram of a multi-layer perceptron.

173 The learning procedure involves adjusting the weights of the network to improve the
 174 accuracy of the estimation results. This is governed by three key factors, including loss function,
 175 learning rate, and backpropagation. To minimize the error, which leads to better performance,
 176 a loss function (e.g., root mean square error) quantifies the difference between the estimation
 177 result \hat{y} and the ground-truth value y . The training process is determined to be completed when
 178 the error does not significantly decrease after updating the weights. In this study, the loss (error)
 179 is computed using root mean square error (RMSE) as follows:

$$180 \quad RMSE = \sqrt{\sum_{t=1}^T (\hat{y}_a - y_a)^2 / N} \quad (1)$$

181 where T is the number of training data instances while t is the data instance index.

182 The backpropagation is a method to adjust each of the weights in the network in order
 183 to produce outputs closer to the target values, thereby minimizing the error. The derivation of

184 the backpropagation was performed by applying the chain rule to the error function partial
 185 derivative

$$186 \quad \frac{\partial E}{\partial w_{ij}^k} = \frac{\partial E}{\partial a_j^k} \frac{\partial a_j^k}{\partial w_{ij}^k} \quad (2)$$

187 where a_j^k is the activation of node j in layer k before it is passed to the nonlinear activation
 188 function to generate the output. The first term is often denoted as the error

$$189 \quad \delta_j^k = \frac{\partial E}{\partial a_j^k} \quad (3)$$

190 while the second term is computed as:

$$191 \quad \frac{\partial a_j^k}{\partial w_{ij}^k} = \frac{\partial}{\partial w_{ij}^k} \left(\sum_{l=0}^{r_{k-1}} w_{lj}^k o_l^{k-1} \right) = o_i^{k-1} \quad (4)$$

192 where r_{k-1} is the number of nodes in layer $k - 1$ and o_i^{k-1} is the output of node i in layer $k -$

193 1. Thus, the partial derivative of the error E with respect to a weight w_{ij}^k is

$$194 \quad \frac{\partial E}{\partial w_{ij}^k} = \delta_j^k o_i^{k-1} \quad (5)$$

195 which is a product of the error at node j in layer k and the output o_i^{k-1} in layer $k - 1$. Since
 196 the error δ_j^k depends on the values of error terms in the next layer $k + 1$, the computation of
 197 the error terms will proceed backwards from the output layer down to the input layer, thus
 198 backpropagating the errors. In this study, Stochastic Gradient Descent (SGD) algorithm was
 199 exploited to train the model, which iteratively optimizes the network using an estimate of the
 200 gradient (calculated from a randomly selected subset of the data) instead of the actual gradient
 201 (calculated from the entire dataset).

202 Once the amount of adjustment needed for each weight is computed using SGD, the
 203 learning rate is applied to control the actual amount of adjustment made to the weights. A
 204 higher learning rate shortens the training time by making drastic changes to the weights but
 205 lowers overall accuracy due to the likelihood of finding a local minimum. On the other hand,
 206 a lower learning rate takes longer since we are making smaller changes to the weights but has

207 a higher chance of finding a global minimum (or a local minimum closer to that of global)
208 yielding better performances. Each weight is thus updated as

$$209 \quad \hat{w}_{ij}^k = w_{ij}^k - \eta \frac{\partial E}{\partial w_{ij}^k} \quad (6)$$

210 where η is the learning rate. In this study, the learning rate was set to 0.000001.

211

212 *2.3 Detailed Training Procedure*

213 The training procedure used in this study can be summarized into five stages, as shown
214 in Fig. 2, including 1) create a training dataset X , 2) feedforward input data through MLP, 3)
215 compute the loss, 4) calculate gradient, and 5) adjust the weights by backpropagation.

216 The very first step to creating a train and test dataset was to import raw data composed
217 of five columns representing the % fine fraction, % coarse fraction, liquid limit (LL), plastic
218 limit (PL), and c' . Once the raw data were loaded, the four index properties were used as the
219 input data $x \in X$ while c' was taken as the target data $y \in Y$. Lastly, X and Y were split into
220 train and test sets where 75% of the data was used for training, while the other 25% was used
221 for testing. Once the data was ready, the following steps were taken to train the MLP.

- 222 1. Randomly initialize the weights W
- 223 2. Feed the training data = {input x , target y }
- 224 3. Attain estimation value \hat{y}
- 225 4. Calculate the errors $E = \text{RMSE}(\hat{y}, y)$
- 226 5. Backpropagate the error E by computing $\partial E / \partial w_{ij}^k$ using equations 2 to 5
- 227 6. Determine the actual adjustment needed for each weight using equation 6
- 228 7. Update the network weights
- 229 8. Repeat steps 2 to 7 until the required performance is met.

230 The early stopping of the training process was triggered if one of the followings was satisfied:
 231 1) the loss (RMSE) is less than or equal to a predefined value or 2) the training takes longer
 232 than a specified number of epochs.

233

234 3. Methodology

235 3.1. Collection of soil data

236 Two sets of soil data were collected in this study. First, residual soil samples were
 237 collected from 37 slopes which are located in the three different formations in Singapore, i.e.,
 238 Jurong Formation (JF), Bukit Timah Granite (BTG), and Old Alluvium (OA), as shown in Fig.
 239 1. A Mazier sampler was used, and the drillings of each borehole were carried out up to 6 m
 240 depth. All soil samples were waxed and stored inside a curing room with a constant water
 241 content (w) to maintain the natural condition. Index property tests were carried out to obtain
 242 grain-size distributions (ASTM D422-63, 2002) and Atterberg limits (ASTM D4318-00, 2002).
 243 For mechanical properties, consolidated undrained triaxial tests with pore-water pressure
 244 measurements on saturated soil specimens (ASTM D4767-04, 2002) and consolidated drained
 245 triaxial tests on unsaturated soil specimens (Satyanaga and Rahardjo, 2019) were carried out
 246 to obtain shear strength parameters of the soils. Table 1 summarizes the index and mechanical
 247 properties of residual soils, and hereafter called the NTU soil database.

248

249 Table 1. Summary of residual soil properties in Singapore (NTU soil database).

No.	Geological formation	USCS*	% Coarse	% Fine	LL (%)	PL (%)	c' (kPa)
1	BTG	MH	22	78	52	34	9
2	BTG	MH	30	70	54	29	11
3	BTG	SM	58	42	56	38	5
4	BTG	SC	65	35	72	31	6
5	BTG	SC	66	34	48	37	6
6	BTG	SM	54	46	52	31	9
7	BTG	SM	61	39	108	47	7

8	BTG	MH	38	62	105	47	11
9	BTG	MH	5	95	72	45	13
10	BTG	CH	36	64	71	34	12
11	BTG	MH	42	58	61	36	7
12	BTG	MH	44	56	54	33	8
13	JF	CL	0	100	49	24	15
14	JF	CL	25	75	N/A	N/A	16
15	JF	CL	14	86	29	18	8
16	JF	SC	30	70	N/A	N/A	6
17	JF	MH	20	80	45	24	6
18	JF	MH	37	63	62	36	9
19	JF	CH	18	82	N/A	N/A	8
30	JF	CL	3	97	39	19	14
21	JF	CL	2	98	38	18	13
22	JF	SC	87	13	47	14	4
23	JF	CL	24	76	36	17	6
24	JF	MH	30	70	32	20	7
25	JF	N/A	25	75	N/A	N/A	8
26	JF	CL	14	86	39	29	9
227	JF	CL	23	77	47	26	7
28	JF	MH	27	73	55	33	10
29	JF	ML	44	56	42	27	6
30	JF	CL	23	77	47	26	20
31	JF	CL	15	85	34	20.5	20
32	JF	CL	13	87	39	24	13
33	OA	SC	70	30	47	23	3
34	OA	CH	51	49	42	19	5
35	OA	SC	80	20	34	18	6
36	OA	SP	96.8	3.2	N/A	N/A	2
37	OA	SM	68	32	72	28	2
38	OA	CL	75	25	60	36	9

250 *: Unified Soil Classification System

251 Second, borehole data obtained from the Integrated Land Information Service (INLIS)
252 portal with bore logs information from the various construction sites were collected in this
253 study. In total, 1870 bore logs were analyzed consisting of 23,936 boreholes across Singapore,
254 ranging from 1990 to 2014. Typical information found in the bore logs are the results of the
255 index properties test, standard penetration test, soil classification, grain-size distribution test,
256 triaxial test, piezometer reading, and others. In this study, soil information up to 6 m depth was
257 retrieved for the study on rainfall-induced slope failure because shallow failures in residual
258 soils are the predominant mode of rainfall-induced slope failures in Singapore ([Rahardjo et al.](#)

259 2007). Table 2 summarizes the number of results of the various relevant soil properties used in
 260 this study. The total number of available data sets including index properties and effective
 261 cohesion from JF, BTG, and OA areas are 64, 332, and 209 sets, respectively.

262

263 Table 2 Summary of the total number of soil information up to 6 m depth

Information	Total number
Construction project	1870
Borehole	23936
Unit weight	23694
Grain-size distribution	7822
Atterberg limits	3613
Effective cohesion	2093
Available data in Jurong Formation	64
Available data in Bukit Timah Granite	332
Available data in Old Alluvium	209

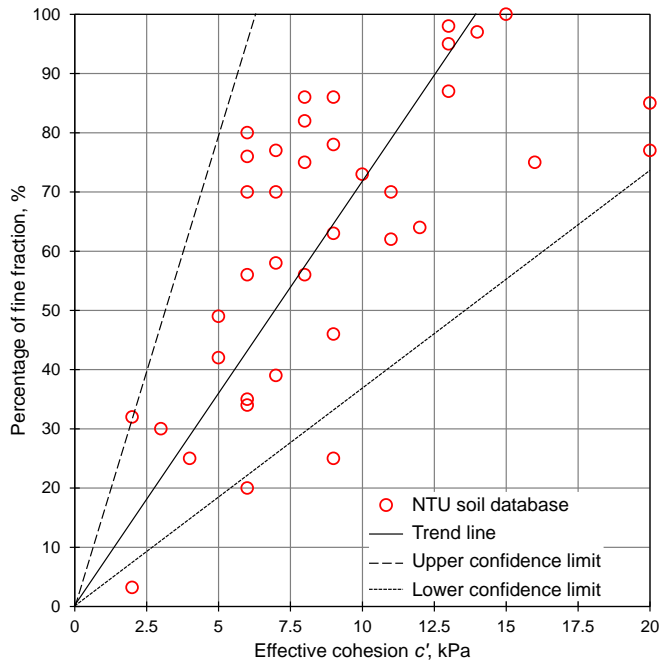
264

265 2.2 Selection of qualified soil data

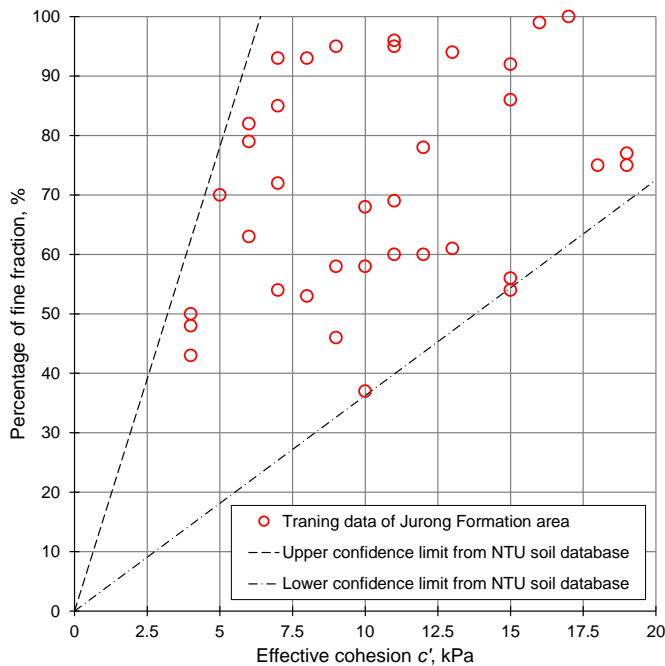
266 In general, the soil properties from site investigations in Singapore include natural water
 267 content, specific weight, Atterberg limits, grain size distributions, saturated permeability,
 268 effective cohesion, effective internal friction angle, etc. Among them, index properties, i.e., LL,
 269 PL, percentages of fine and coarse fractions, were selected as input parameters in the prediction
 270 because the index properties can be easily obtained from the simple laboratory tests. In addition,
 271 the effective cohesion (c') exhibits linear relationships with the percentage of fine fractions.
 272 Fig. 3 shows the c' distribution with the percentage of fine fraction from the NTU soil database.
 273 The c' tended to increase with an increase in the percentage of fine fractions.

274 The upper and lower limits of the c' distributions were calculated using a confidence
 275 interval approach (Kool and Parker, 1988; Satyanaga et al., 2017; Rahardjo et al., 2019b).
 276 Confidence limits of the parameters could be determined from individual parameter variance
 277 as approximated using t-statistics. In this study, two-sided confidence limits with a 99 % level
 278 of confidence and t-statistics tool were adopted for the determination of confidence limits of

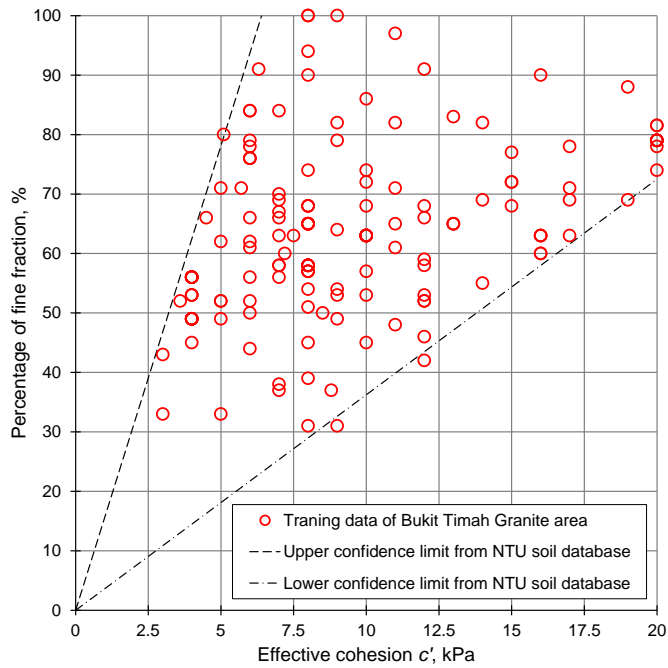
279 the c' distribution with respect to the percentage of fine fraction for residual soil in Singapore.
 280 For the selection of the qualified soil data, upper and lower limits with a 99 % level of
 281 confidence were applied to the collected borehole data. Fig. 4 shows the selected training data
 282 of each rock formation.



283
 284 Fig. 3. Upper and lower limits of the NTU database on the relationship between effective
 285 cohesion and percentage of fine fraction

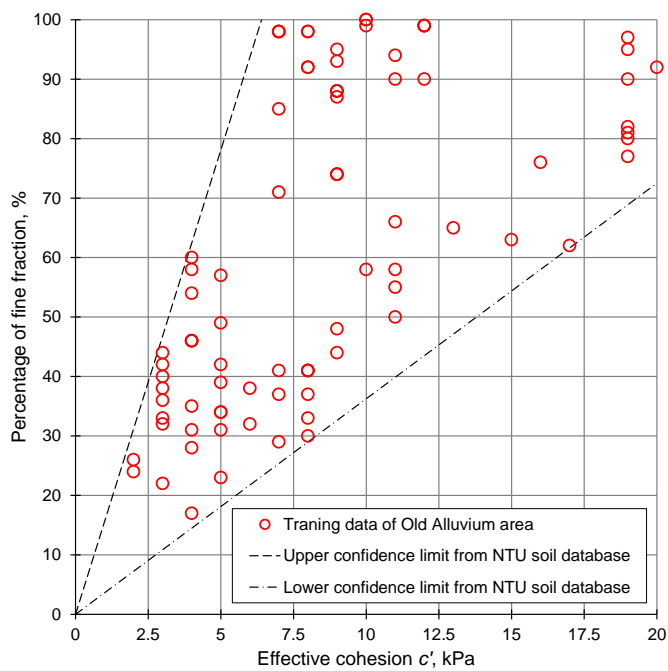


286
 287 (a) Jurong Formation area



288
289

(b) Bukit Timah Granite area



290
291

(c) Old Alluvium area

292 Fig. 4. Selected training data of each geological formation.

293

294 *3.3 Spatial distribution of effective cohesion*

295 Soil shear strength parameters such as effective cohesion (c') from site investigations

296 exist discrete measurement points in space. Therefore, digital soil mapping is capable of

297 characterizing the properties of residual soil at all locations. It requires statistical methods such
 298 as kriging to interpolate the values of a random field at an unobserved location from
 299 observations of its value at nearby locations. Previous studies provide various examples of
 300 kriging used to develop slope susceptibility maps. [Roslee et al. \(2012\)](#) and [Jibson et al. \(2000\)](#)
 301 used simple kriging when developing landslide susceptibility analyses. Ordinary kriging has
 302 also been used to develop landslide prediction and to produce rainfall maps in Taiwan ([Chiang
 303 and Chang, 2009; Ip et al., 2020; 2019](#)). They found that ordinary kriging predicted the closest
 304 to radar rainfall estimates and performed better in predicting both landslide-prone and stable
 305 areas.

306 In this study, ordinary kriging was conducted to interpolate the values of effective
 307 cohesion over Singapore. Ordinary kriging is a form of kriging that assumes the underlying
 308 random process to be intrinsically stationary with a constant mean over a local area, and the
 309 variation in the regionalized variable depends only on separation in distance and direction
 310 between points and not on absolute position ([Goovaerts, 1997](#)). The kriging estimate is a linear
 311 weighted sum of observations from the surrounding area:

$$312 \hat{Z}(\mathbf{x}_0) = \sum_{i=1}^N \lambda_i z(\mathbf{x}_i) \quad (7)$$

313 where $\hat{Z}(\mathbf{x}_0)$ is the estimated soil property by ordinary kriging, N is the number of
 314 observations, λ_i is the weights, $z(\mathbf{x}_i)$ is the observed soil parameter. The ordinary kriging
 315 weights are chosen such that the kriging variance is minimized, and the estimate is unbiased
 316 through [Eq. 8](#) and [9](#):

$$317 \sum_{i=1}^N \lambda_i \gamma(\mathbf{x}_i, \mathbf{x}_j) + \psi(\mathbf{x}_o) = \gamma(\mathbf{x}_o, \mathbf{x}_j) \text{ for all } j \quad (8)$$

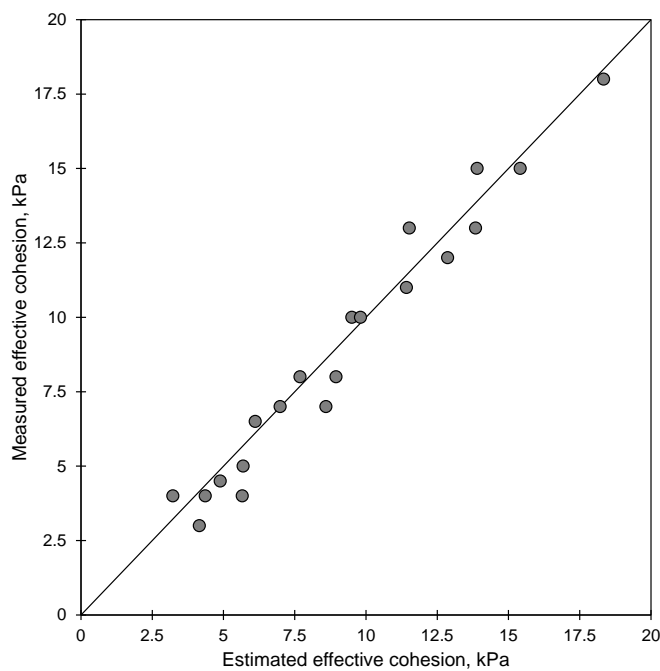
$$318 \sum_{i=1}^N \lambda_i = 1 \quad (9)$$

319 where $\psi(\mathbf{x}_o)$ is the Lagrange multiplier, $\gamma(\mathbf{x}_i, \mathbf{x}_j)$ is the semivariance between observation
 320 locations and $\gamma(\mathbf{x}_o, \mathbf{x}_j)$ is the semivariance between the estimation and the observation
 321 locations.

322 4. Results and discussion

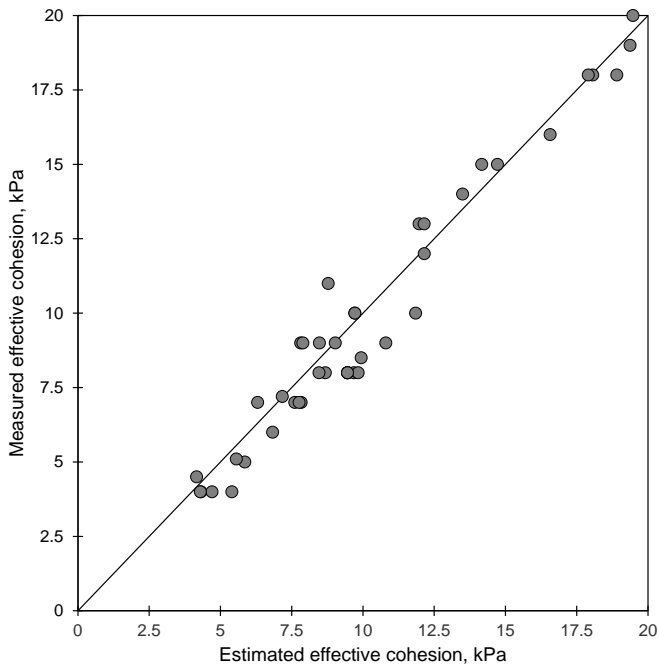
323 1) Validation

324 A total number of complete data sets for residual soils from JF, BTG, and OA used for
325 the MLP training was 37, 85, and 185 sets, respectively. A 25 % of the training data was used
326 for validation at each geological formation, as explained in Section 2.3. Fig. 5 shows the
327 relationship between estimated and measured effective cohesion within each area, and the
328 corresponding loss associated with the predictions in JF, BTG, and OA areas was 5.4, 5.1, and
329 2.8 %, respectively. The results confirmed the fact that the developed ANN model and
330 hyperparameters were appropriate to estimate the effective cohesion of residual soils based on
331 index soil properties.



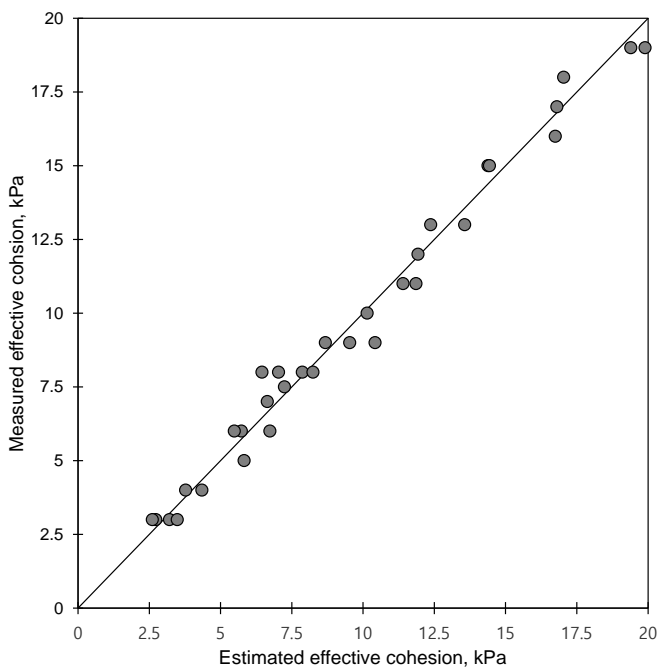
332

333 (a) Jurong Formation (JF) area



334

335 (b) Bukit Timah Granite (BTG) area



336

337 (c) Old Alluvium (OA) area

338 Fig. 5. Comparisons between predicted and measured effective cohesions.

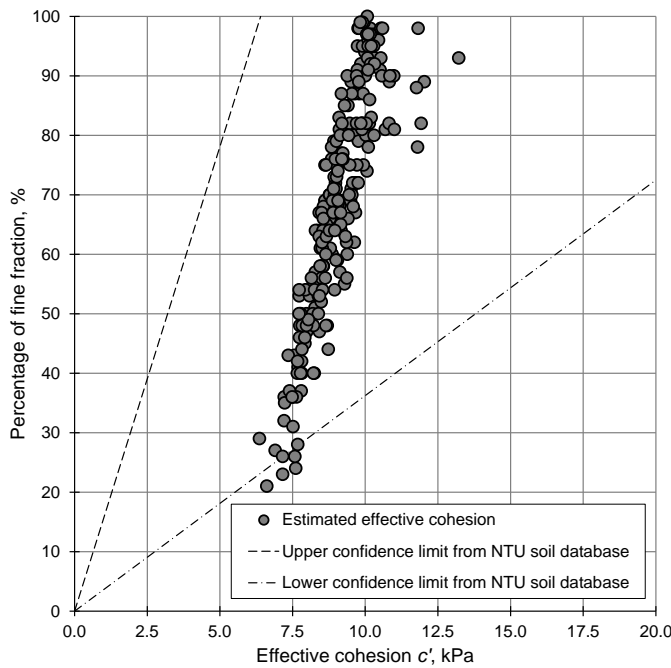
339

340

341

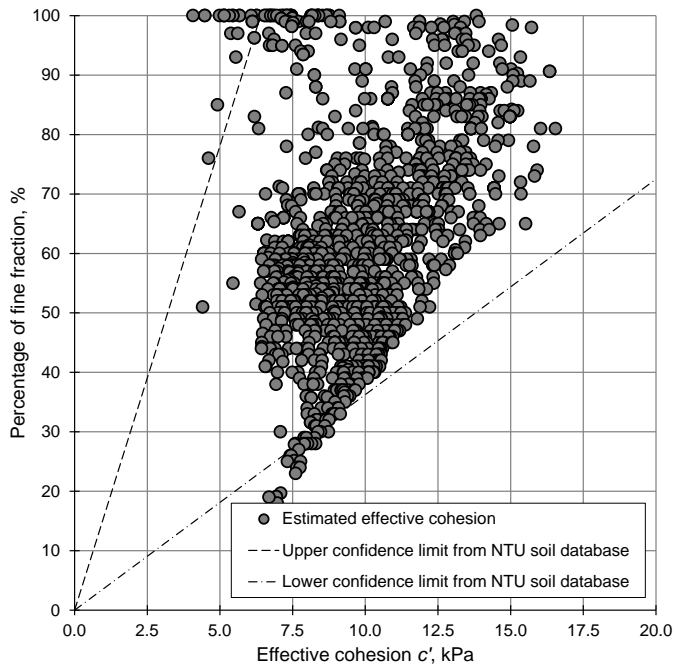
342 2) Results of c' estimation

343 Effective cohesions of residual soils from JF, BTG, and OA areas were estimated using
344 the MLP model based on the index properties (i.e., grain size distributions and Atterberg limits).
345 The combination of the MLP architecture and the learning rate used in the training produced
346 accurate results with errors approximately up to 5 %. As expected, the estimated values of
347 effective cohesion ranged between upper and lower boundaries, as depicted in Fig. 6 because
348 the training data were selected by following the same upper and lower boundaries.
349 Consequently, the estimated effective cohesions using the MLP model were found to be
350 appropriate. Some effective cohesions exhibited outer boundaries due to numerical errors, but
351 the values are still in a reasonable range. A total number of the estimated c' of residual soils
352 from JF, BTG, and OA areas was 244, 1592, and 795, respectively. Table 3 summarizes the
353 number of data sets used for the c' estimation. Note that the terms of available data and training
354 data in Table 3 indicate the total number of collected data over Singapore and the selected data
355 by upper and lower confident limits for MLP training, respectively.



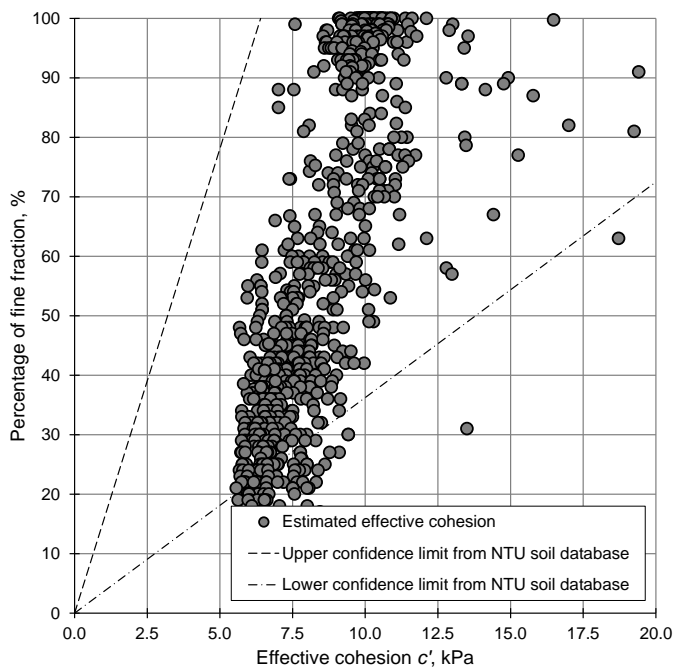
356

357 (a) Jurong Formation (JF) area



358

359 (b) Bukit Timah Granite (BTG) area



360

361 (c) Old Alluvium (OA) area

362 Fig. 6. Relationships between predicted effective cohesion and percentage of fine fraction

363

364

365

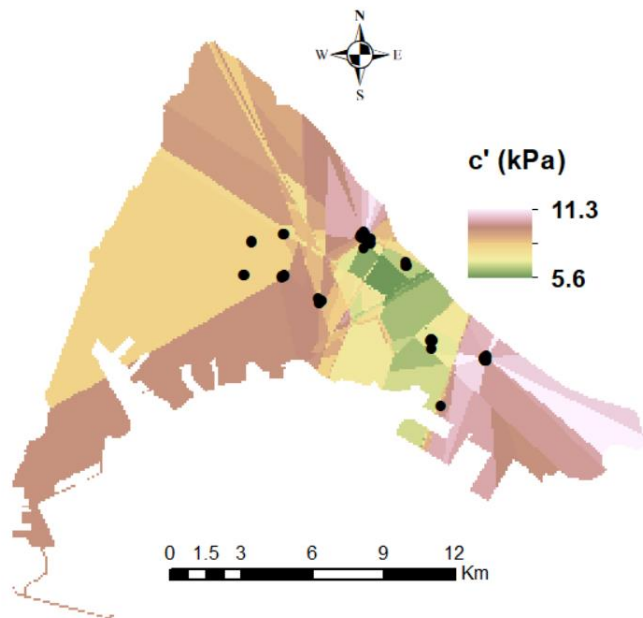
366 Table 3 Total number of data used in the estimation.

Formation	Available data ^a	Training data ^b	Estimated data ^c	Total (a+c)
JF	64	37	244	308
BTG	332	185	1529	1861
OA	209	85	795	1004

367

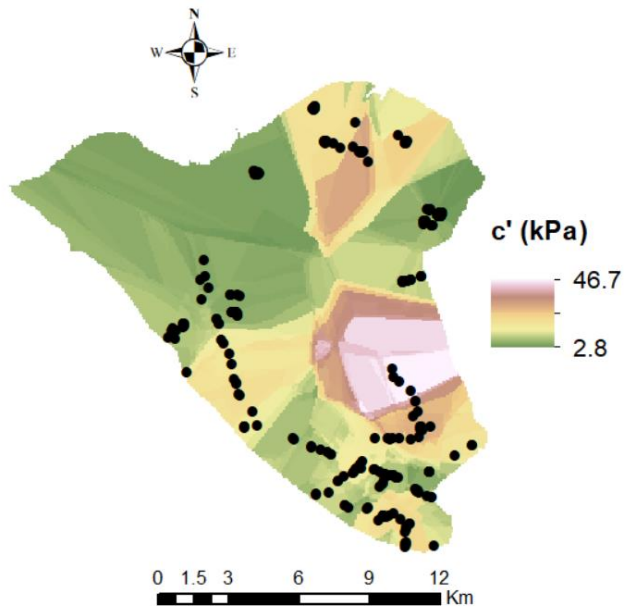
368 3) Spatial distribution of c'

369 The spatial distributions of c' were estimated utilizing an ordinary kriging method
 370 based on available data from JF (64 points), BTG (332 points), and OA (209 points), which did
 371 not include the results of c' estimation from the MLP model, as shown in Fig. 6. The variances
 372 with distance from the spatial distribution of c' for JF, BTG, and OA areas are presented in Fig.
 373 7a, 7b, and 7c, respectively. It can be seen that the R^2 of the estimated data from the Kriging
 374 analysis is quite low (between 0.5 – 0.6). Hence, the spatial distribution of c' is not comparable
 375 with the measured c' data. In addition, the variances of BTG and OA areas indicated a high
 376 uncertainty in the estimation of c' using the kriging analysis.



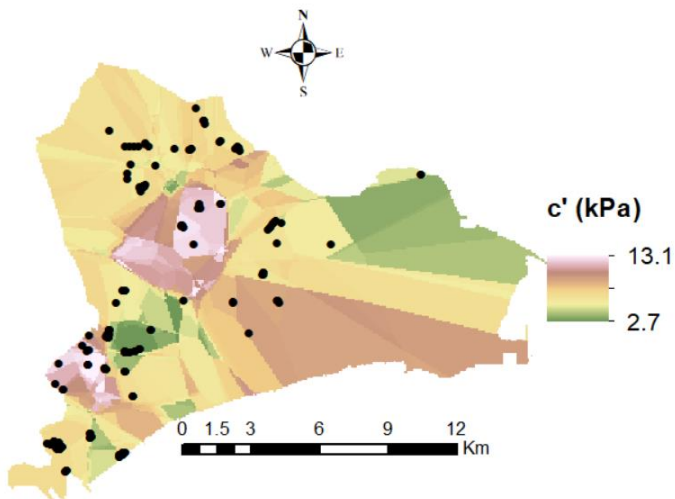
377

378 (a) Jurong Formation (JF) area



379

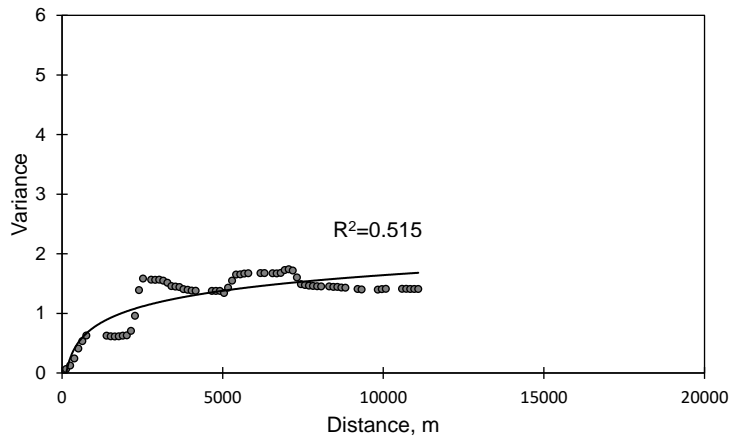
380 (b) Bukit Timah Granite (BTG) area



381

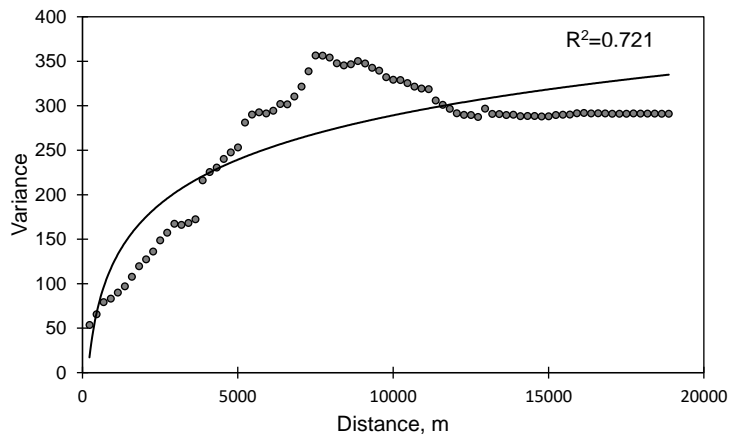
382 (c) Old Alluvium (OA) area

383 Fig 6. Spatial distribution of effective cohesion before the c' estimation



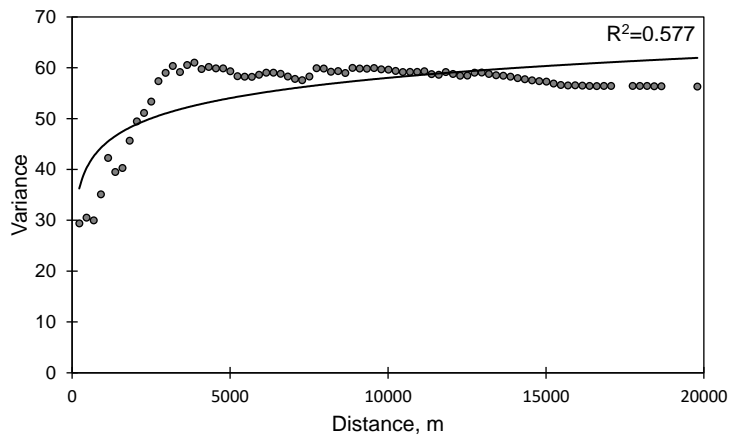
384

385 (a) Jurong Formation (JF) area



386

387 (b) Bukit Timah Granite (BTG) area

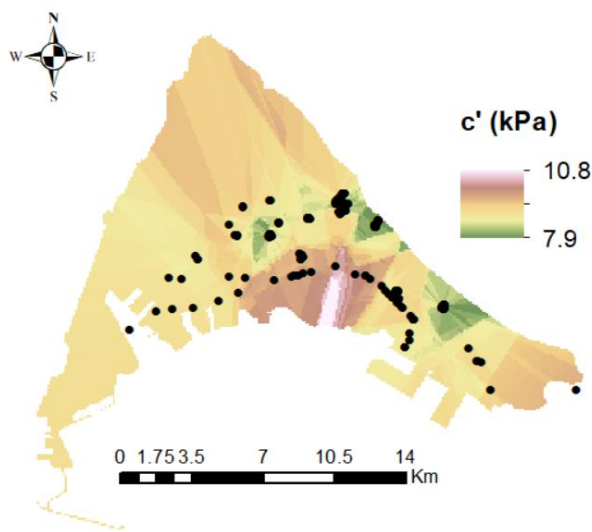


388

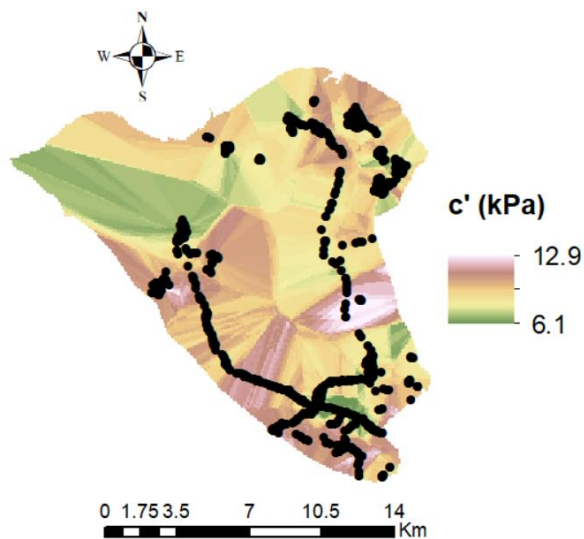
389 (c) Old Alluvium (OA) area

390 Fig. 7. Variances with distance from spatial distribution of c' without MLP results

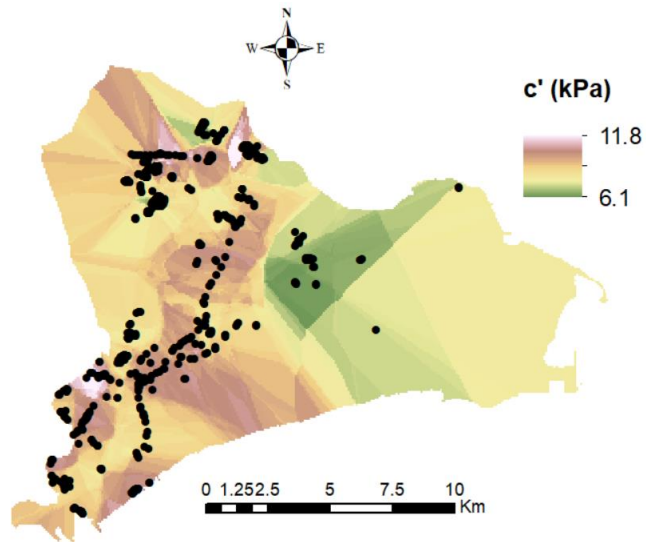
391 The spatial distributions of c' were estimated utilizing ordinary kriging methods based
392 on total data from JF (308 points), BTG (1861 points), and OA (1004 points), which have
393 included the results of c' estimation from the MLP model, as shown in Fig. 8. The variances
394 with distance from the spatial distribution of c' for JF, BTG, and OA are presented in Fig. 9a,
395 9b, and 9c, respectively. It can be seen that the R^2 of the estimated data from the Kriging
396 analysis is close to 0.9 indicating a good agreement between the estimated and measured c'
397 data.



398
399 (a) Jurong Formation (JF) area



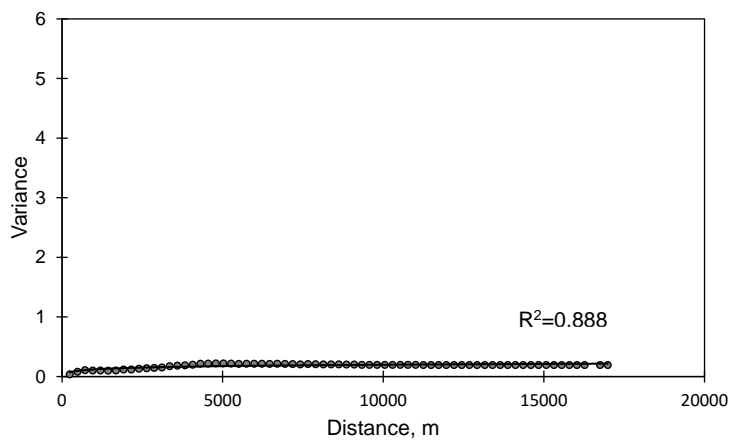
400
401 (b) Bukit Timah Granite (BTG) area



402

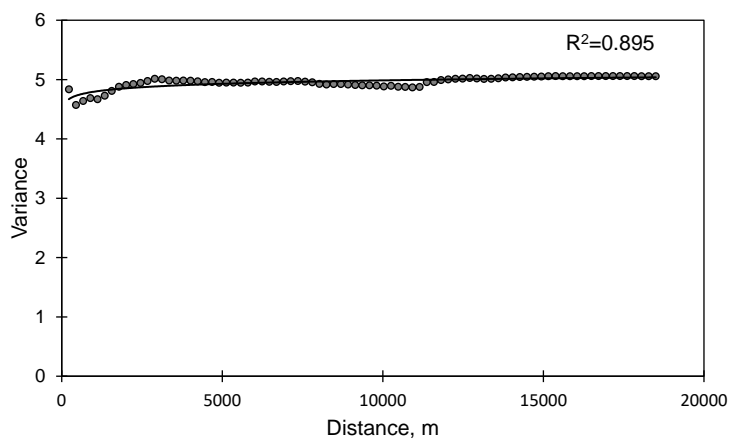
403 (c) Old Alluvium (OA) area

404 Fig 8. Spatial distribution of effective cohesion after the c' estimation.



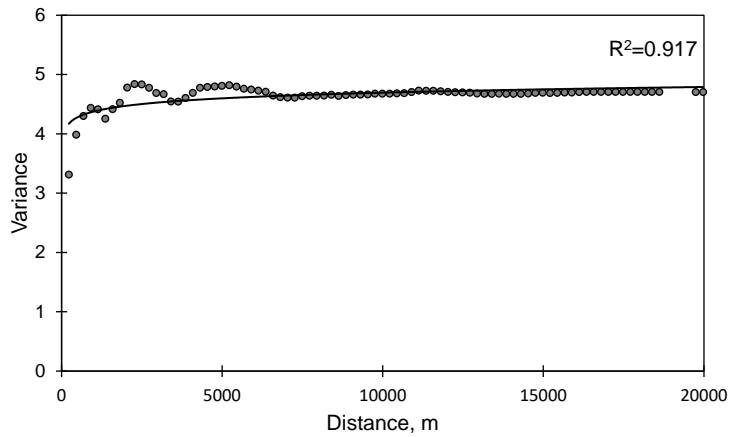
405

406 (a) Jurong formation area



407

408 (b) Bukit Timah Granite areas



409

410 (c) Old Alluvium area

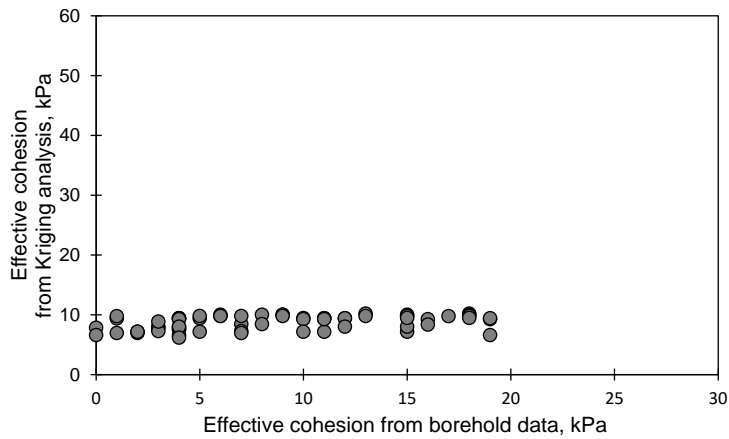
411 Fig. 9. Variances with distance from spatial distribution of c' with MLP results

412

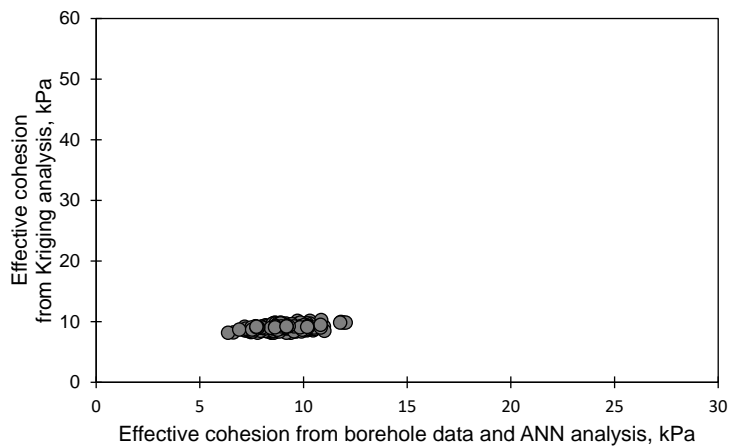
413 4) Variations of c' with respect to geological formations.

414 The variations of c' before and after the MLP estimation are plotted to evaluate the
 415 relevance of the c' data after kriging analyses. Figs 10-12 show that the ranges of the measured
 416 c' are completely different from those of the estimated c' based on Kriging analyses without
 417 MLP results. However, the ranges of the measured c' are similar to those of the estimated c'
 418 based on Kriging analyses after MLP analyses were performed. The ranges of the measured c'
 419 for the JF area before and after MLP analyses are between 0.0-19.0 kPa and 6.1-10.1 kPa,
 420 respectively. The ranges of the measured c' for the BTG area before and after MLP analyses
 421 are between 0.0-65.0 kPa and 4.0-16.5 kPa, respectively. The ranges of the measured c' for the
 422 OA area before and after MLP analyses are between 0.0-30.0 kPa and 5.5-22.4 kPa,
 423 respectively. It can be seen that the estimation from the MLP model is important to ensure the
 424 boundary of the measured c' is reasonable prior to Kriging analyses. Upon Kriging analyses,
 425 the ranges of the estimated c' are narrower as compared to those of the measured c' for all soil
 426 s from the tree formations. It is also found that higher c' was obtained in JF as compared to
 427 BTG. Similar trends concerning the ranges of c' were reported previously by Rahardjo et al.

428 (2004) in their experimental study. In addition, higher c' was obtained in OA as compared to
429 BTG in this study. The ranges of c' predicted in BTG and OA are relatively similar to those
430 presented in the literature of 4-17 kPa and 5-23 kPa, respectively (Rahardjo et al., 2012).
431 Overall, the MLP is capable in predicting accurate values of c' for residual soils in Singapore
432 and clearly differentiating c' values with respect to the different geological formations.

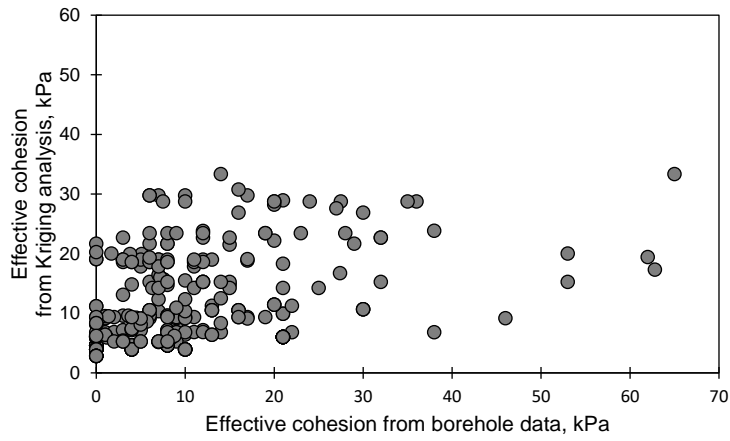


434 (a) without MLP results



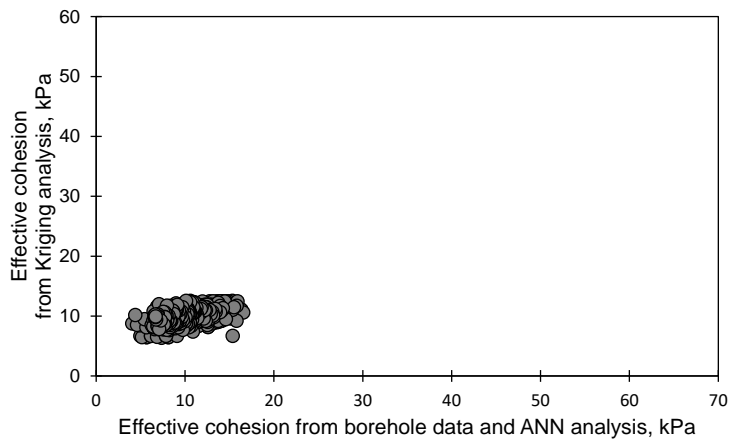
436 (b) with MLP results

437 Fig. 10. Comparisons of effective cohesion obtained from kriging analyses and borehole data
438 (a) without MLP results; (b) with MLP results for Jurong Formation area.



439

440 (a) without MLP results

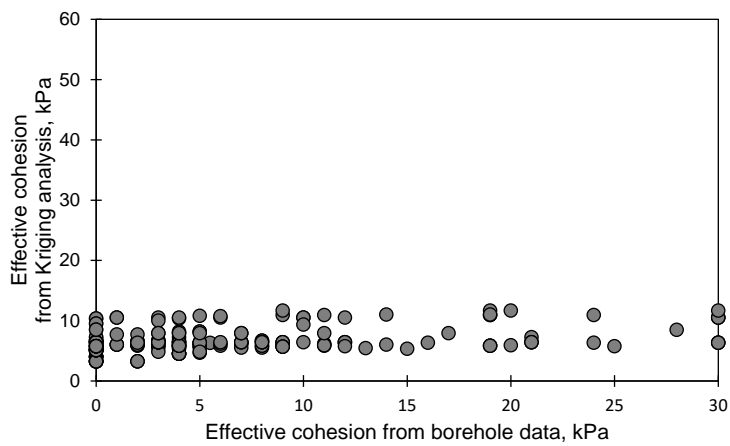


441

442 (b) with MLP results

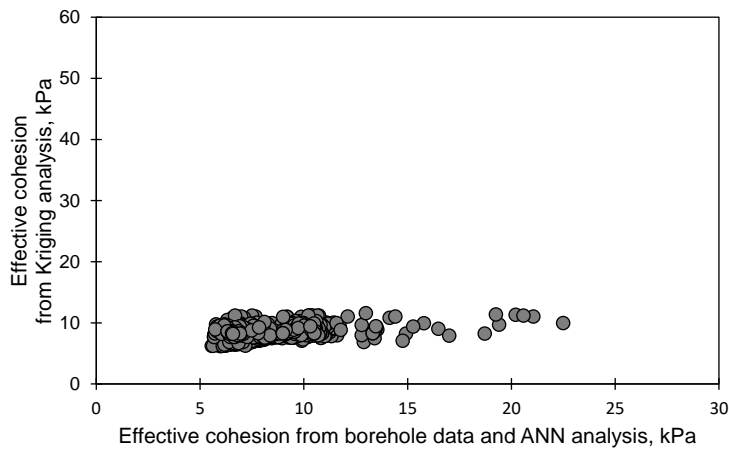
443 Fig. 11. Comparisons of effective cohesion obtained from kriging analyses and borehole data

444 (a) without MLP results; (b) with MLP results for Bukit Timah Granite area.



445

446 (a) without MLP results



447

448 (b) with MLP results

449 Fig. 12. Comparisons of effective cohesion estimated from kriging analyses and borehole data

450 (a) without MLP results; (b) with MLP results for Old Alluvium area.

451

452 5. Conclusions

453 A multi-layer perceptron model (MLP) was successfully developed to estimate
 454 effective cohesion of residual soils in Singapore. The appropriate neural network
 455 hyperparameters capable of relating between effective cohesion and index properties of
 456 residual soils i.e., percentage of fine and coarse fraction, liquid and plastic limits were presented.
 457 Determination of effective cohesion in a regional area using laboratory testing or empirical
 458 equations is difficult to conduct. However, the estimation of effective cohesion using the MLP
 459 model played a role to differentiate variations in effective cohesion with respect to soil
 460 properties from different geological formations when conducting spatial distribution analyses.
 461 The estimation results showed good agreement with the measured effective cohesions. The
 462 practical implementation of the MLP model associated with the qualified training data was to
 463 determine confident interval limits of effective cohesion distributions with respect to the
 464 percentage of fine fractions for residual soils in Singapore. This framework offers a valuable

465 basis for estimating effective cohesion in a regional area where the shear strength parameter is
466 urgently needed for geotechnical engineering problems.

467

468 Acknowledgment

469 The authors would like to acknowledge the funding support from Building Construction
470 Authority and the sharing of the data from Singapore Land Authority, who are the collaborator
471 of the project on The Development of Slope Management and Susceptibility Geographical
472 Information System.

473

474 References

475 Abiodun, O.I., Jantan, A., Omolara, A.E., Dada, K.V., Mohamed, A., Arshad, H., 2018. State-
476 of-the-art in artificial neural network applications: A survey. *Heliyon* 4 (11), e00938.

477 ASTM D422-63, 2002. Standard Test Method for Particle Size Analysis of Soils.

478 ASTM D4318-00, 2000. Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity
479 Index of Soils.

480 ASTM D4767-04, 2004. Standard test method for consolidated undrained triaxial compression
481 test for cohesive soils.

482 Blight, G.E., Leong, E.C., 2012. *Mechanics of residual soils*. CRC Press.

483 Cal, Y., 1995. Soil classification by neural-network. *Advances in Engineering Software*, 22 (2),
484 95-97.

485 Chan, W.T., Chow, Y.K., Liu, L.F., 1995. Neural network: An alternative to pile driving
486 formulas. *Computers and Geotechnics*, 17, 135-156.

487 Chiang S.H., Chang K.T., 2009. Application of radar data to modeling rainfall-induced
488 landslides. *Geomorphology*, 103, 299-309.

489 Choi J., Oh, H.J., Lee, H.J., Lee, C., Lee, S., 2012. Combining landslide susceptibility maps
490 obtained from frequency ratio, logistic regression, and artificial neural network models
491 using ASTER images and GIS. *Engineering Geology*, 124 (4), 12-23.

492 Ellis, G.W., Yao, C., Zhao, R., Penumadu, D., 1995. Stress-strain modelling of sands using
493 artificial neural networks. *J. Geotech. Engrg., ASCE*, 121 (5), 429-435.

494 Fernando, D.A.K., Shamseldin, A., 2009. Investigation of internal functioning of the radial
495 basis function neural network river flow forecasting models. *J. Hydrol. Eng.*, 14 (3),
496 286–292.

497 Fernando, D.A.K., Zhang, X., Kinley, P.F., 2005. Combined sewer overflow forecasting with
498 feed-forward back-propagation artificial neural network. *Int. J. Appl. Sci. Eng. Technol.*
499 4, 212–217.

500 Fredlund, D.G., Rahardjo, H., 1993. *Soil Mechanics for Unsaturated Soils*. John Wiley & Sons,
501 Inc., New York.

502 Goh, A.T.C., 1995. Empirical design in geotechnics using neural networks. *Geotechnique*, 45
503 (4), 709-714.

504 Goovaerts, P. 1997. *Geostatistics for Natural Resources Evaluation*. New York: Oxford
505 University Press.

506 Ip., C.Y., Satyanaga, A. and Rahardjo, H. 2021. Spatial variation of shear strength properties
507 incorporating auxiliary variables. *Catena*, May, Vol 200, 105196

508 Ip, C.Y., Rahardjo, H., Satyanaga, A. 2020. Three-dimensional slope stability analysis
509 incorporating unsaturated soil properties in Singapore, *Georisk: Assessment and*
510 *Management of Risk for Engineered Systems and*
511 *Geohazards*, DOI: 10.1080/17499518.2020.1737880

512 Ip., C.Y., Rahardjo, H., Satyanaga, A. 2019. Spatial Variations of Air-entry Value for Residual
513 Soils in Singapore. *Catena*, 174, pp. 259-268

514 Jibson, R.W., Harp, E.L., Michael, J.A., 1998. A method for producing digital probabilistic
515 seismic landslide hazard maps. *Engineering Geology*, 58 (3-4), 271-289.

516 Ju, Q., Yu, Z., Hao, Z., Ou, G., Zhao, J., Liu, D., 2009. Division based rainfall-runoff
517 simulations with BP neural networks and Xinanjiang model. *Neurocomputing*, 72 (13–
518 15), 2873–2883.

519 Kalinli, A., Acar, M.C., Gunduz, Z., 2011. New approaches to determine the ultimate bearing
520 capacity of shallow foundations based on artificial neural networks and ant colony
521 optimization. *Engineering Geology*, 117 (1-2), 29-38.

522 Khanlari, G.R., Heidari, M., Momeni, A.A., Abdilor, Y., 2012. Prediction of shear strength
523 parameters of soils using artificial neural networks and multivariate regression methods.
524 *Engineering Geology*, 131-132, 11-18.

525 Kim J., Hwang, W., Kim, Y., 2018. Effects of hysteresis on hydro-mechanical behavior of
526 unsaturated soil. *Engineering Geology*, 245, 1-9.

527 Kool, J.B., Parker, J.C., 1988. Analysis of the inverse problem for transient flow. *Water*
528 *Resources Research*, 24, 817–830.

529 Lee, I.M., and Lee, J.H., 1996. Prediction of pile bearing capacity using artificial neural
530 networks. *Computers and Geotechnics*, 18 (3), 189-200.

531 Luger, G.F., 2005. *Artificial intelligence: structures and strategies for complex problem solving*.
532 Pearson education.

533 May, D.B., Sivakumar, M., 2009. Prediction of urban storm water quality using artificial neural
534 networks. *Environ. Model. Software*, 24 (2), 296–302.

535 Mustafa, M.R., Rezaur, R.B., Rahardjo, H., Isa, M.H., 2011. Prediction of pore-water pressure
536 using radial basis function neural network. *Eng. Geol.*, 135–136, 40–47.

537 Nair, V., Geoffrey E.H., 2010. Rectified linear units improve restricted boltzmann machines.
538 *Proceedings of the 27th international conference on machine learning (ICML-10)*. 2010.

539 Ni, S.H., Lu, P.C., Juang, C.H., 1996. A fuzzy neural network approach to evaluation of slope
540 failure potential.” J. Microcomputers in Civil Engineering, 11, 59-66.

541 Oliver, G.J.H., Gupta, A., 2017. In: Tan, Hugh T.W. (Ed.), A Field Guide to the Geology of
542 Singapore. Lee Kong Chian Natural History Museum, Singapore ISBN 978-981-09-
543 5714-8.

544 PWD, 1976. Geology of the Republic of Singapore. PublicWorks Department, Singapore.

545 Rahardjo, H., Kim, Y., Satyanaga, A., 2019a. Role of unsaturated soil mechanics in
546 geotechnical engineering, International Journal of Geo-Engineering 10 (1), 1-23.

547 Rahardjo, H., Satyanaga, A., Mohamed, H., Ip., C.Y., Rishi, S.S. 2019b. Comparison of Soil-
548 Water Characteristic Curves from Conventional Testing and Combination of Small-
549 Scale Centrifuge and Dew Point Methods. Journal of Geotechnical and Geological
550 Engineering, 37 (2), pp. 659-672.

551 Rahardjo, H., Satyanaga, A., Leong, E.C. 2016. Effects of rainfall characteristics on the
552 stability of tropical residual soil slope. Proceedings of E-UNSAT 2016, E3S Web of
553 conferences 9, Sep 2016, 15004, 1-6

554 Rahardjo, H., Satyanaga, A., Leong, E.C., Wang, J.-Y. 2014. Comprehensive Instrumentation
555 for Real Time Monitoring of Flux Boundary Conditions in Slope. Procedia Earth and
556 Planetary Science, 9, 23-43

557 Rahardjo, H., Satyanaga, A., Leong, E.C., 2013. Effects of Flux Boundary Conditions on Pore-
558 water Pressure Distribution in Slope. Engineering Geology, 165, 133-142.

559 Rahardjo, H., Satyanaga, A., Leong, E.C., Ng, Y.S., Pang, H.T.C., 2012. Variability of residual
560 soil properties. Engineering Geology, 141-142, 124-140.

561 Rahardjo, H., Satyanaga, A., Leong, E.C., Ng, Y.S., Foo, M.D., Wang, C.L. 2007. Slope
562 Failures in Singapore due to Rainfall. Proceedings of 10th Australia New Zealand

563 Conference on Geomechanics “Common Ground”. Brisbane, Australia, 21-24 October,
564 2, 704 - 709.

565 Romero, S., Pamukcu, S., 1996. Characterization of granular material by low strain dynamic
566 excitation and ANN. Geotechnical Special Publication, ASTM-ASCE, 58 (2), 1134-
567 1148.

568 Roslee, R., Jamaluddin, T.A., Talip, M.A., 2012. Intergration of GIS Using GEOSTAtistical
569 INterpolation Techniques (Kriging) (GEOSTAINT-K) in Deterministic Models for
570 Landslide Susceptibility Analysis (LSA) at Kota Kinabalu, Sabah, Malaysia. J.
571 Geography and Geology, 4 (1), 18-32.

572 Satyanaga, A. and Rahardjo, H. 2019. Unsaturated Shear Strength of Soil with Bimodal Soil-
573 water Characteristic Curve. Geotechnique. 69 (9), pp. 828-832.

574 Satyanaga, A., Zhai, Q., Rahardjo, H. 2017. Estimation of Unimodal Water Characteristic
575 Curve for Gap-graded Soil. Soils and Foundations, 5 (5), 789-801.

576 Shahin, M.A., Jaksa, M.B., Maier, H.R., 2000. Predicting the settlement of shallow foundations
577 on cohesionless soils using back-propagation neural networks. Research Report No. R
578 167, The University of Adelaide, Adelaide.

579 Shahin, M.A., Jaksa, M.B., Maier, H.R., 2001. Artificial neural network applications in
580 geotechnical engineering. Australian geomechanics 36 (1), 49-62.

581 Sivakugan, N., Eckersley, J.D., and Li, H.. 1998. Settlement predictions using neural networks.
582 Australian Civil Engineering Transactions, CE40, 49-52.

583 U.S. Army Corps of Engineers, 2003. Engineering and Design SLOPE STABILITY. Engineer
584 Manual. No. 1110-2-1902.

585 Zhai, Q., Rahardjo, H. and Satyanaga, A. 2018. A pore-size distribution function based method
586 for estimation of hydraulic properties of sandy soils. Engineering Geology, 246, 288-
587 292.

588 Zhai, Q., H. Rahardjo, A. Satyanaga, and Priono. 2017. Effect of bimodal soil-water
589 characteristic curve on the estimation of permeability function. *Engineering Geology*.
590 230, pp. 142-151.