



Insertion of Core CpG Island Element into Human CMV Promoter for Enhancing Recombinant Protein Expression Stability in CHO cells

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Keywords:	hCMV promoter, core CpG island element, CHO cells, gene silencing, expression stability

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**Insertion of Core CpG Island Element into Human CMV Promoter for Enhancing
Recombinant Protein Expression Stability in CHO cells**

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1 **Abstract (200 words)**

2 The human cytomegalovirus promoter (hCMV) is susceptible to gene silencing in CHO cells,
3 most likely due to epigenetic events, such as DNA methylation and histone modifications. The
4 core CpG island element (IE) from the hamster adenine phosphoribosyltransferase (APRT) gene
5 has been shown to prevent DNA methylation. A set of modified hCMV promoters was
6 developed by inserting one or two copies of IE in either forward or reverse orientations either
7 upstream of the hCMV enhancer, between the enhancer and core promoter (CP), or downstream
8 of the CP. The modified hCMV with one copy of IE inserted between the enhancer and core
9 promoter in reverse orientation (MR1) was most effective at enhancing expression stability
10 without compromising expression level when compared with the wild-type (WT) hCMV. A third
11 of 18 EGFP expressing clones generated using MR1 retained 70% of their starting expression
12 level after eight weeks of culture in the absence of selection pressure, while none of 18 WT
13 hCMV generated clones had expression above 50%. MR1 also improved antibody expression
14 stability of methotrexate (MTX) amplified CHO cell lines. Stably transfected pools generated
15 using MR1 maintained 62% of their original monoclonal antibody titer after eight weeks of
16 culture in the absence of MTX, compared to only 37% for WT hCMV pools. Low levels of CpG
17 methylation within both WT hCMV and MR1 were observed in all the analyzed cell lines and
18 the methylation levels did not correlate to the expression stability, suggesting IE enhances
19 expression stability by other mechanisms other than preventing methylation.

20
21 **Keywords:** CHO, hCMV promoter, core CpG island element (IE), gene silencing, expression
22 stability, monoclonal antibody

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1 Introduction

2 Maintaining high gene expression level is critical when producing therapeutic recombinant
3 protein using mammalian cells. A substantial loss of productivity during the 2-3 months long
4 scale up process can affect both product yield and quality, compromising regulatory approval of
5 the therapeutic product¹. Stability should be maintained without selection reagent for mass
6 production of therapeutic protein as the reagents are toxic and expensive¹. A precise definition of
7 stable production varies depending on application but typically clones which can maintain 70%
8 of their start productivity are considered to be stable². Recombinant protein expression stability
9 of a cell line is influenced by the vector integration site on the chromosome and the plasmid
10 vector composition³⁻⁵. The promoter is an essential component of the plasmid vector which
11 initiates gene transcription, and is able to affect both gene expression level and stability⁴. The
12 human cytomegalovirus MIE gene (hCMV) promoter is commonly used for recombinant protein
13 expression in mammalian cells⁶⁻⁸. Although the hCMV promoter gives high gene expression
14 levels, there are many reports of declining production levels during long-term culture of the cell
15 lines generated using this promoter^{2, 9-18}.

16

17 hCMV promoter silencing is largely attributed to the epigenetic events of promoter DNA
18 methylation and histone modification^{14, 15, 19-23}. Removing CpGs in the promoter is one approach
19 to overcome silencing due to methylation²⁴. Endogenous mammalian promoters which are less
20 susceptible to silencing effects than viral promoters can also be used²⁵⁻³⁰. Another commonly
21 used approach is to introduce DNA elements like insulators, locus control region (LCR), matrix
22 attachment region (MAR), stabilizing anti-repressor element (STAR), and ubiquitous chromatin
23 region opening elements (UCOE) into the vectors^{3, 31-37}. CpG islands isolated from the mouse

1 and hamster adenine phosphoribosyltransferase (APRT) genes are alternative DNA elements
2 which are shown to be effective at preventing DNA methylation³⁸⁻⁴⁰. Deletion analysis of the
3 hamster APRT island identified a 120 base-pair core CpG island element (IE)^{41,42}, making IE
4 easier to use than the other DNA elements which can span several thousand base pairs. Inclusion
5 of IE into the retroviral long terminal repeat (LTR) promoter led to efficient protection of the
6 integrated viral vectors from silencing in NIL-2, HEK293, and QT6 cells⁴¹. Functions of DNA
7 elements could be dependent on the cells and vector context⁴³. For instance, UCOE was observed
8 to exhibit promoter specificity and empirical testing was required to identify optimal UCOE-
9 promoter combinations that gave higher expression level and stability⁴⁴. It is still unclear whether
10 IE can prevent silencing of the hCMV promoter and enhance recombinant protein production
11 stability in CHO cells, the predominant host cell for industrial production of therapeutic
12 recombinant proteins⁴⁵.

13
14 In this work, we studied the effect of inserting either one or two copies of IE in forward and
15 reverse orientations into different locations on the hCMV promoter on recombinant gene
16 expression levels and stability in CHO cells during long term culture. The modified hCMV
17 promoter with IE inserted between the hCMV enhancer and core promoter (CP) enhanced
18 expression stability and had comparable expression level to the wild-type (WT) hCMV. Besides
19 generation of recombinant cells lines for stable productivity, this modified hCMV promoter can
20 also be applied in other fields like cell engineering and gene therapy.

21 22 **Materials and Methods**

23 *Cell Culture and media*

1 Adherent CHO K1 cells (American Type Culture Collection, Manassas, VA) were grown in
2 Dulbecco's modified Eagle's medium (DMEM) + GlutaMaxTM (Life Technologies, Carlsbad,
3 CA) supplemented with 10% fetal bovine serum (FBS) (Sigma-Aldrich, St. Louis, MO),
4 hereinafter referred to as serum medium, in 75 cm² T-flasks in a humidified incubator at 37°C
5 and 5% CO₂. Suspension dihydrofolate reductase (DHFR)-deficient CHO DG44 cells (Life
6 Technologies) were grown in a protein-free medium consisting of HyQ PF (HyClone, Logan,
7 UT) and CD CHO (Life Technologies) at a 1:1 ratio and supplemented with 1g/L sodium
8 bicarbonate (Sigma), 6 mM glutamine (Sigma), 0.05% Pluronic F-68 (Life Technologies), and
9 1% hypoxanthine and thymine (HT) (Life Technologies) in 125 mL shake flasks in humidified
10 Kuhner shaker incubator (Adolf Kühner AG, Birsfelden, Switzerland) with 8% CO₂ at 37 °C.
11 Regular passaging of both adherent CHO K1 cells and suspension CHO DG44 cells was carried
12 out every 3 to 4 days by diluting cells to 2×10⁵ cells/mL. Cell density and viability were
13 measured using the trypan blue exclusion method on an automated Cedex counter (Innovatis,
14 Bielefeld, Germany).

16 ***Construction of vectors***

17 The wild-type (WT) hCMV promoter (NCBI: M60321) used in this work consists of an enhancer
18 (-599 to -218 relative to the transcription start site of +1) and a core promoter (CP, -217 to -14).
19 The bicistronic enhanced green fluorescence protein (EGFP) expressing vector containing the
20 WT hCMV was constructed by replacing the LC-IRESwt-HC region in a previously described
21 monoclonal antibody (mAb) expressing tricistronic vector with an EGFP cDNA (Fig. 1A)⁴⁶. The
22 EGFP cDNA was cloned from the pIRES-EGFP Vector (Clontech, Mountain View, CA). Two
23 unique restriction sites, *XhoI* and *NheI*, were introduced between the enhancer and CP and

1 downstream of CP in the bicistronic vector respectively using QuickChange site-directed
2 mutagenesis kit (Stratagene, La Jolla, CA). Thirteen modified hCMV promoters were generated
3 by insertion of one or two copies of IE in either forward or reverse orientations upstream of the
4 enhancer using *MluI* site, in the middle of the enhancer and CP using *XhoI* site, and downstream
5 of CP using *NheI* site. They were designated as UF1, UF2, UR1, UR2, MF1, MF2, MR1, MR2,
6 DF1, DF2, DR1, DR2, and UR2+MR1, respectively, based on the location, orientation, and copy
7 number of IE (Fig. 1B). For instance, UF1 would denote one IE in the forward orientation
8 inserted upstream of the enhancer, MR1 would denote one IE in the reverse orientation inserted
9 in the middle of the enhancer and CP and DR2 would denote two reversely orientated IEs
10 inserted downstream of the CP respectively. The mAb expressing tricistronic vector containing
11 the WT hCMV was constructed as described previously (Fig. 1A)⁴⁷. The tricistronic vector
12 containing the MR1 was constructed by replacing the WT hCMV with MR1 using *MluI* and
13 *BamHI* sites. IE elements were synthesized using overlapping PCR based on the previously
14 described sequence⁴¹. All restriction enzymes used were from New England Biolabs (Ipswich,
15 MA).

17 ***Transient transfections***

18 Transient transfections were carried out in 6-well tissue culture plate using Fugene 6 (Roche,
19 Indianapolis, IN). 2 mL of adherent CHO K1 cells at exponential phase were seeded at a density
20 of 3×10^5 cells/mL into each well 24 h prior to transfection. Duplicate transfections were
21 performed for each vector using a recipe of Fugene 6 to plasmid ratio of 6 μ L:2 μ g. At 48 h post-
22 transfection, cells were collected and analyzed for EGFP fluorescence intensity using a FACS

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3 1 Calibur (Becton Dickinson, MA). Each experiment was repeated once using independently
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5 2 prepared plasmids and cultures.
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10 4 ***Generation of stably transfected cell lines***

11 5 Comparison of the WT and modified hCMV promoters for EGFP expression level and stability
12
13 6 was performed in adherent CHO K1 cells for easy isolation of clones. Triplicate transfections
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15 7 were performed for each vector using Nucleofector I system (Lonza, Cologne, Germany). In
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17 8 each transfection, 5×10^6 cells were transfected with 5 μg of linearized plasmids. The transfected
18
19 9 cells were then resuspended with 2 mL of serum medium into 6-well tissue culture plates. At 24
20
21 10 h post-transfection, selection of stable transfectants was started in 2 mL of serum medium
22
23 11 containing 800 $\mu\text{g}/\text{mL}$ of G418. Non-transfected cells died after 7-10 days of selection and stably
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25 12 transfected pools were obtained after 4 weeks. Either three or six clones were randomly isolated
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27 13 from each pool by limiting dilution in 96-well tissue culture plates.
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15 Comparison of the WT and modified hCMV promoters for mAb expression level and stability
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17 16 was performed in suspension CHO DG44 cells. Duplicate transfections were carried out for each
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19 17 vector using Nucleofector I system. In each transfection, 1×10^7 cells were transfected with 5 μg
20
21 18 of linearized plasmids. The transfected cells were resuspended in 2 mL of protein-free medium
22
23 19 in 6-well suspension culture well plates for 24 h recovery. Cell suspension was next centrifuged
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25 20 at $\sim 100 \times g$ for 5 min and then resuspended in the protein-free medium with HT removed in 125
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27 21 mL shake flasks to select for stable transfectants for 2 to 3 weeks. When the stably transfected
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29 22 pools recovered with viability greater than 95%, stepwise amplification was then carried out with
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31 23 methotrexate (MTX) concentrations of 50 nM, 100 nM, 250 nM, 500 nM, 750 nM, and 1000
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1 nM. Each step of amplification was deemed complete when viability of cells recovered greater
2 than 95%.

3 4 *Stability analysis of stably transfected cell lines*

5 Expression stability of EGFP expressing clones were determined in 6-well tissue culture plates.
6 Two sets of clones generated by each promoter were banked before stability testing. One set of
7 clones were thawed and passaged in the absence of G418 for 8 weeks. They were banked at the
8 end of stability testing. The other set of clones banked before stability testing and the set of
9 clones which had gone through stability testing were both thawed and passaged in the presence
10 of and absence of G418 for 3 times, respectively. 2 mL of cultures at a density of 3×10^5 cells/mL
11 for each clone were seeded into each well of 6-well plates. Cells were collected at day 4 and
12 measured for the percentage of EGFP expressing cells and geometric mean fluorescence
13 intensity with the FACS Calibur. The retention of EGFP expression level for each clone was
14 calculated as the EGFP geometric mean fluorescence intensity measured at the end of stability
15 testing divided by that before stability testing for the same clone. Both EGFP expressing and
16 non-expressing cells were taken into account during calculation.

17
18 Expression stability of mAb expressing stably transfected pools were determined in 125 mL
19 shake flask cultures. To determine the titer of each pool before stability testing, 25 mL of culture
20 at a cell density of 2×10^5 cells/mL were seeded into the shake flasks. Supernatant was collected
21 at the end of culture when viability declined below 50%. mAb concentration was measured using
22 a nephelometric method on an IMAGE 800 immunochemistry system (Beckman Coulter,
23 Buckinghamshire, England). To test expression stability, stably transfected pools were passaged

1 in the absence of MTX for 8 weeks. The titer of each pool at the end of stability testing was
2 determined as described above for pools before stability testing.

4 ***Analysis of relative gene copy numbers and mRNA levels***

5 Genomic DNA and total RNA were isolated from 5×10^6 cells using the Genra Puregene Cell Kit
6 (Qiagen, Hilden, Germany) and the RNAqueous-4PCR kit (Ambion, Austin, TX), respectively.
7 Analysis of the relative EGFP gene copy numbers and mRNA levels were determined by using
8 real-time quantitative PCR (qRT-PCR) as described previously¹⁰. β -actin and eukaryotic
9 translation elongation factor-1 alpha-1 (EF-1 α) served as the internal controls to normalize the
10 variation in input amount and quality of DNA and RNA, respectively. Primer sequences for
11 amplification of EGFP, β -actin, and EF1 α are listed in Table S1.

13 ***Analysis of promoter methylation***

14 2 μ g of purified genomic DNA prepared with Genra Puregene Cell Kit was bisulfite treated
15 using EpiTect Bisulfite Kit (Qiagen) according to manufacturer's protocol. The purified bisulfite
16 converted genomic DNA was used as template for PCR to amplify the WT hCMV and MR1
17 promoter sequences using Platinum PCR SuperMix HighFidelity (Life Technologies) and
18 primers as listed in Table S2. 1 μ L of bisulfite-treated DNA was mixed with 10 pmol forward
19 primer, 10 pmol reverse primer, 45 μ L Platinum PCR SuperMix, and nuclease-free water to a
20 total volume of 50 μ L. The mixture was subjected to PCR conditions: 2 min at 95 $^{\circ}$ C (initial
21 denaturation); 45 cycles with 30 s at 94 $^{\circ}$ C, 1 min at 55 $^{\circ}$ C, and 1.5 min at 68 $^{\circ}$ C; 10 min at 72 $^{\circ}$ C
22 (final extension). The PCR products were gel purified using QIAQuick gel extraction kit
23 (Qiagen) followed by ligation into pCR $\text{\textcircled{R}}$ 2.1-TOPO TA cloning vectors (Life Technologies,

1 Carlsbad, CA). Six PCR products were sequenced and analysed for cytosine methylation on the
2 promoters for each cell line. The percentage of methylation level within a promoter for a cell line
3 was calculated as the ratio of methylated CG sites to the total CG sites contained in a promoter
4 for the measured six PCR products.

6 **Results**

7 *Effect of IE location, orientation and copy number within a hCMV promoter on EGFP* 8 *expression level and stability*

9 We first compared the transient EGFP expression levels of the WT and twelve modified hCMV
10 promoters with either one or two copies of IE inserted at a single location in both forward and
11 reverse orientations (Fig. 2A). Three modified hCMV promoters, DF2, DR1, and DR2, with IE
12 inserted downstream of the CP expressed EGFP at levels significantly lower ($p<0.05$) than WT
13 hCMV. All other modified hCMV promoters exhibited similar expression levels. EGFP
14 expression levels of stably transfected clones were subsequently compared (Fig. 2B). Three
15 stably transfected pools were generated using each promoter. The transfection efficiency was
16 around 50% for all transfections (data not shown). Three clones were randomly isolated from
17 each pool to obtain a total of nine clones for each promoter. EGFP expression level varied
18 dramatically between clones generated using same promoters. Consistent with the transient
19 transfections, IE decreased expression in stably transfected clones when inserted downstream of
20 the CP. Introduction of IE into other locations of hCMV also decreased expression depending on
21 the orientation and copy number. Inserting a single copy of IE upstream of the enhancer (UF1
22 and UR1) decreased expression while having two copies (UF2 and UR2) had no significant

1 effect regardless of orientations. When IE was inserted between the enhancer and CP, only the
2 promoter with one copy inserted in reverse orientation (MR1) did not decrease EGFP expression.
3
4 The ability of modified hCMV promoters to sustain gene expression during long term culture
5 was first evaluated by measuring the percentage of cells still expressing EGFP in a clonal
6 population at the end of stability testing using FACS (Fig. 2C). All cells were verified to be
7 EGFP expressing at the start of stability testing. Each clone was subsequently passaged for eight
8 weeks in the absence of selection reagents. After eight weeks of culture, the percentage of EGFP
9 expressing cells in all clones generated using the WT hCMV declined. The average percentage
10 of EGFP expressing cells of the nine clones was 69% with the worst clone only having 23% of
11 the population still expressing EGFP. Similar to the effect on expression level in stably transfect
12 clones, no trends were observed between IE's position and anti-silencing effect. DR2 and MR1
13 maintained the highest percentage of EGFP expressing cells. All clones generated using these
14 two promoters had close to 100% EGFP expressing cells at the end of stability testing. UF2,
15 UR2, MF1, MF2, MR2 also increased the percentage of EGFP expressing cells compared with
16 the WT hCMV. The average percentage of EGFP expressing cells in clones generated using
17 these promoters was greater than 90% at the end of stability testing. The increase from other
18 modified hCMV promoters was not statistically significant compared to the WT hCMV
19 ($p < 0.05$).

20
21 Simply maintaining protein expression is insufficient for recombinant protein production and the
22 expression needs to stay at a high level. The modified promoters were further evaluated for the
23 retention of EGFP expression level after eight weeks of culture. This was calculated as the ratio

1 of geometric mean fluorescence intensity of a clone measured at the end of stability testing to the
2 starting level measured before stability testing (Fig. 2D). EGFP expression in all nine clones
3 generated using the WT hCMV declined after long term culture. The best clone only had retained
4 41% of EGFP expression. On average, the nine clones retained 16% of EGFP expression. Eight
5 modified hCMV promoters increased retention of EGFP expression levels compared to the WT
6 hCMV. DR2 clones retained the highest EGFP expression levels, followed by MR1, MR2, DF2,
7 UR2, UF1, MF1, and UF2. Clones generated using DR2 retained 69% of their EGFP expression
8 on average, with the worst clone still retaining greater than 40% of expression. MR1, MR2, DF2,
9 UR2, UF1, MF1, and UF2 increased the average retention of EGFP expression level to 27% to
10 50%. The percentage of EGFP expressing cells did not correlate to the retention of EGFP
11 expression level (Supplementary Fig. S1). For instance, MR1 and DR2 clones maintained EGFP
12 expression in all cells during stability testing (Fig. 2C) but expression levels in more than half
13 the clones still decreased by more than 30% (Fig. 2D). The strength of each modified hCMV
14 promoter for expression of EGFP in transient transfections and stably transfected clones and
15 their ability to enhance EGFP expressing cells and retention of expression level are summarized
16 in Fig. 1B. MR1 and UR2 were the only two modified hCMV promoters which had greater
17 proportion of EGFP expressing cells and better retention of EGFP expression level without
18 decreasing expression level compared to the WT hCMV when IE was inserted into a single site.

20 ***Effect of inserting IE into multiple locations of hCMV on EGFP expression level and stability***

21 We next investigated if inserting IE into multiple locations of the hCMV promoter improved the
22 effectiveness of enhancing expression and stability. As UR2 and MR1 are effective at enhancing
23 expression stability without compromising expression level (Fig. 1B), we generated a modified

1 hCMV promoter with two copies IE inserted upstream of the enhancer in reverse orientation and
2 one copy of IE inserted between the enhancer and CP in reverse orientation (UR2+MR1).
3 Expression level and stability of UR2+MR1 promoter was evaluated as before. UR2 and MR1
4 were included in the testing to examine whether there were any cumulative effects. In transient
5 transfections, UR2+MR1 expressed comparable EGFP level as the WT hCMV (Fig. 3A).
6 Eighteen stably transfected clones were isolated from three stably transfected pools for each
7 promoter instead of nine to obtain a more comprehensive dataset. Consistent with the previous
8 results (Fig. 2), the 18 UR2 and MR1 clones each still had comparable EGFP expression with the
9 WT hCMV but with enhanced expression stability (Fig. 3B, 3C, and 3D). The UR2+MR1
10 promoter resulted in a decrease in average EGFP expression by more than 50% compared to the
11 clones generated using the WT hCMV (Fig. 3B). Expression stability of UR2+MR1 generated
12 clones were enhanced when compared to WT hCMV but no improvements when compared to
13 UR2 and MR1 (Fig. 3C and 3D).

14
15 ***Analysis of changes in EGFP gene copies, EGFP mRNA levels and promoter methylation in***
16 ***WT hCMV and MR1 generated clones***

17 MR1 was most effective at enhancing expression stability among the modified hCMV promoters
18 which exhibited comparable expression levels with the WT hCMV (Fig. 1B and Fig.2). While all
19 clones generated using MR1 maintained almost complete EGFP expressing cell populations at
20 the end of stability testing (Fig. 2C and 3C), two-thirds of MR1 clones retained less than 70% of
21 EGFP expression level (Fig. 2D and 3D). To investigate why MR1 promoter exhibited varied
22 degrees of enhancement for maintenance of expression level across clones, nine MR1 clones
23 with retention of EGFP expression level ranging from 14% to 95% were analyzed for changes in

1 the relative EGFP gene copies and mRNA levels before and after stability testing (Fig. 4). Nine
2 WT clones with EGFP expression level retained ranging from 1% to 41% were also analyzed for
3 comparison. The clones were sorted in descending order based on their retention of EGFP
4 expression levels. At the end of stability testing at week 8, EGFP gene copies decreased by more
5 than half in WT clones A2, B2, and C3, decreased by less than 30% for A3, A1, C1, B1 and
6 remained similar for clones C2 and B3. No significant decrease of EGFP gene copies was
7 observed in MR1 clones. The retention of EGFP gene copies did not correlate to the retention of
8 EGFP expression in both WT ($R^2=0.042$) and MR1 ($R^2=0.115$) clones. Higher correlation was
9 observed between the retention of EGFP mRNA level and the retention of EGFP expression for
10 both WT ($R^2=0.452$) and MR1 ($R^2=0.494$) clones (Fig. 4B). MR1 clone A2 which retained 95%
11 of EGFP expression level maintained mRNA levels at 93% and the remaining unstable WT and
12 MR1 clones with decreased EGFP expression levels had decreased mRNA levels.

13
14 To determine if the observed transcriptional silencing was caused by promoter DNA
15 methylation, we compared CpG methylation patterns of WT hCMV and MR1 using bisulfite
16 sequencing. WT hCMV and MR1 has 32 and 41 CpGs, respectively (Fig. 5A). Six PCR
17 products were analyzed for each EGFP expressing clone at both week 0 and week 8. We did not
18 observe any consistency in the methylation patterns (data not shown). The methylated CpGs
19 were spread throughout the entire hCMV promoter and IE element for all clones. Methylation
20 distribution of MR1 A2 clone is shown in Fig. 5B as an example. Using the PCR results, we
21 determined the methylation level of a promoter by calculating the ratio of methylated CpGs on
22 the six tested PCR products to the total CpGs contained in the promoter. Relatively low levels of
23 methylation (<30%) occurred in all WT and MR1 clones at both week 0 and week 8 regardless

1 of their retained EGFP expressions except the WT clone A3 which had no detectable levels of
2 promoter methylation (Fig. 5C). Even in the most stable MR1 A2 clone with 95% retention of
3 EGFP expression, 11.8% and 15.9% of CpGs were methylated at the start and end of stability
4 testing respectively. Increased methylation was observed in all WT clones and seven of the MR1
5 clones at the end of stability testing. Changes in methylation levels between week 0 and week 8
6 did not correlate to the retained EGFP expression in both WT ($R^2=0.006$) and MR1 ($R^2=0.019$)
7 clones.

9 ***Comparison of wild type hCMV and MR1 for mAb expression level and stability***

10 MR1 was further evaluated for generating stably transfected cell lines expressing monoclonal
11 antibodies (mAb), the best-selling class of biopharmaceuticals⁴⁵. Two tricistronic vectors
12 containing the WT hCMV and MR1 respectively (Fig. 1A) were transfected into CHO DG44
13 cells and selected with stepwise increases of MTX concentrations from 50 nM to 100 nM to 250
14 nM to 500 nM to 750 nM and ending at 1000 nM. Antibody titers of the stably transfected pools
15 generated using the WT hCMV increased from 3.8 mg/L to 263.5 mg/L when MTX
16 concentrations increased from 0 nM to 250 nM but did not increase further when MTX
17 concentrations were further increased (Fig. 6A). Titers of the stably transfected pools generated
18 using MR1 peaked at MTX 500 nM, reaching 344.0 mg/L, which was 30% higher compared to
19 the peak titer of pools generated using the WT hCMV. Two pools generated by each of the WT
20 and MR1 hCMV promoters at MTX 500 nM were passaged for eight weeks in the absence of
21 MTX to compare expression stability. The stable pools generated using the WT hCMV
22 maintained 37% of their original titers at the end of stability testing while the stable pools
23 generated using the MR1 promoter maintained 62% (Fig. 6B).

1

2 **Discussion**

3 In the previous study, IE was inserted into the viral LTR and expression stability was evaluated
4 using the percentage of GFP expressing cells in clonal cultures⁴¹. The authors found that
5 inserting one IE between the viral enhancer and promoter in both forward and reverse
6 orientations ensured GFP expression in all cells during long term culture, while insertion of one
7 IE upstream of the viral enhancer or downstream of the promoter had only minor anti-silencing
8 effect regardless of orientation. We had similar observations that inserting one copy of IE
9 between the hCMV enhancer and promoter in both orientations were effective in maintaining
10 EGFP expressing cells while having only a single copy into other locations had no effect (Fig.
11 2C). We further explored using two copies of IE and observed that having two copies of IE
12 upstream of the hCMV enhancer in both orientations (UF2 and UR2), between the enhancer and
13 core promoter in both orientations (MF2 and MR2), and downstream of the promoter in reverse
14 orientation (DR2) all enhanced the percentage of EGFP expressing cells after eight weeks of
15 culture. We also observed no correlation between the proportion of cells maintaining EGFP
16 expression and the retained expression level (Fig. S1). The location, orientation, and copy
17 number of IE also affected expression stability and expression level differently. DR2 modified
18 promoter was most effective at enhancing expression stability but had decreased EGFP
19 expression level. MR1 also enhanced expression stability but did not impair expression level (Fig.
20 2). These results suggest that the mechanism of IE in transgene expression and stability involves
21 complex interactions of the location, orientation, and copy numbers of IE within a promoter.
22 Careful designing and evaluation is needed to realize IE's potential of enhancing expression
23 stability and obtaining high expression levels.

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6 2 Results from our analysis of promoter DNA methylation in MR1 EGFP clones were in conflict
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8 3 with previous reports that IE protected itself and sequences close to it from DNA methylation⁴¹,
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10 4 ⁴². It is clear that MR1 with IE inserted between the hCMV enhancer and CP enhanced the
11
12 5 percentage of EGFP expressing cells and retention of EGFP expression level during long term
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14 6 culture (Fig. 2 and Fig. 3). However, we did not observe reduced DNA methylation for the MR1
15
16 7 modified promoter compared to the WT hCMV (Fig. 5). The two previous studies on IE were
17
18 8 carried out in transgenic embryos using plasmid vector and in mammalian cells using viral
19
20 9 vectors, respectively^{41, 42}. The number of vectors integrated into the chromosome in those
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22 10 experiments could be very low compared to our cell lines, as we transfected a large amount of
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24 11 plasmid, 5 µg and used impaired NPT selection markers for obtaining high expression levels⁴⁶.
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26 12 We have observed several hundred gene copies in some of our cell lines during CHO genome
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28 13 sequencing (data not published). The decreased EGFP expression during stability testing could
29
30 14 be either due to mean gradual silencing of all integrated vectors or only a subgroup of vectors.
31
32 15 As such, bisulfite sequencing of six 6 PCR products or even more may not be comprehensive to
33
34 16 detect the difference in methylation level between the WT hCMV and MR1 promoters. Another
35
36 17 factor which complicates the study of IE's mechanism is that plasmid vectors are randomly
37
38 18 integrated into chromosome. Multiple copies of plasmid vectors could integrate into different
39
40 19 sites of chromosome in the same clones and across different clones. The function of IE may be
41
42 20 dependent on the integration sites. This is supported by the fact that MR1 exhibited varying
43
44 21 degrees of enhancement for expression stability in different clones. By using bisulfite sequencing,
45
46 22 we were unable to discriminate the effect of integration sites and IE on DNA methylation. A
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48 23 clearer understanding how IE enhances expression stability in CHO cells might be available
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1 from cell lines with only a single gene copy integrated into predetermined sites on chromosome
2 using technologies such as viral vectors and/or site directed integrations.

3
4 It is still arguable whether promoter DNA methylation is the cause of transcriptional silencing as
5 it has been shown silencing of transgene transcription precedes promoter DNA methylation⁴⁸.

6 Our results also support that DNA methylation may not be the cause of transcriptional silencing
7 as methylation level did not correlate to expression stability (Fig. 5). A recent study also found
8 that cell lines with stable production exhibited high level promoter methylation at both early and
9 late stages of stability testing¹⁴. Emerging evidence indicates that histone modification occurs
10 during the same window of time as transgene inactivation and prior to DNA methylation⁴⁸. It has
11 been also reported that histone modifications occurred in parallel with decreased antibody
12 expression during long-term culture of CHO cells. Analysis of histone modification may be
13 carried out in future to understand if it either contributes to IE's ability to enhance expression
14 stability or is the reason for transcriptional silencing of some MR1 clones during long term
15 culture.

16
17 As both expression level and stability are important for recombinant therapeutics production,
18 MR1, which enhanced expression stability without compromising expression level, is most
19 favorable for generation of stably transfected cell lines for therapeutics production. For
20 applications in which expression stability is most important, such as cell engineering, DR2 could
21 be a better choice. Application of MR1 in the development of cell lines for therapeutic protein
22 production would simplify the clone selection process as less clones need to be screened to
23 isolate ones which are stable. Using the criteria of stability as maintaining 70% of gene

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3 1 expression through the culture period, none of 18 EGFP expressing clones generated using the
4
5 2 WT hCMV were stable, while one third of EGFP clones generated using MR1 were stable. We
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8 3 also demonstrated that MR1 effectively enhanced production stability of stably transfected pools
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10 4 expressing mAb, suggesting that the enhancement of expression stability by MR1 is not gene
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12 5 specific. Although we did not isolate mAb expressing clones, we expect it will be easier to
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14 6 isolate stable clones from the MR1 generated pools than from the WT hCMV generated pools
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16 7 due to the higher retention of mAb titer in the MR1 pools.
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22 9 We evaluated the MR1 promoter for mAb expression using IRES linked multicistronic vectors
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24 10 where the product/reporter genes and selection marker genes are under the control of one
25
26 11 promoter. Co-transfection of two separate vectors and a single vector containing multiple
27
28 12 promoters are commonly used for industrial production of mAb. Each of the LC, HC, and
29
30 13 selection marker genes is driven by its own independent promoter and transcribed separately.
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32 14 Although it is unclear whether the expression stability of the selection marker gene affects the
33
34 15 expression stability of linked gene(s) of interest in a cell lines, we recommend the use MR1 or
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36 16 other IE modified promoters on each gene so that all genes including the selection marker have
37
38 17 increased stability. Silencing of the selection marker gene could result in changes to the
39
40 18 chromatin structure around the selection marker and the region close to it may also be affected,
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42 19 resulting in silencing of mAb genes. Moreover, as the size of IE is small, application of IE on all
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44 20 promoters will not increase the size of a plasmid vector.
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5
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For Peer Review

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4 **1 Fig. 1. Schematic representation of enhanced green fluorescence protein (EGFP) and**
5 **2 monoclonal antibody (mAb) expressing vectors (A) and comparison between the wild-type**
6 **3 (WT) and modified hCMV promoters for expression level and stability (B).** Expression level
7 between the WT and each modified hCMV promoter were compared in transient transfection and
8 stably transfected clones using EGFP as reporter gene. Expression stability in stably transfected
9 clones generated using the WT and each modified hCMV promoter was compared using the
10 percentage of EGFP expressing cells and retention of EGFP expression level at the end of
11 stability testing. IE, core CpG island element; Enhancer, hCMV enhancer; CP, hCMV core
12 promoter; IRESwt, wild type encephalomyocarditis virus (EMCV) internal ribosome entry site
13 (IRES); IRESatt, mutated EMCV IRES with attenuated translation efficiency; SpA, simian virus
14 40 early polyadenylation signal; LC, light chain cDNA; HC, heavy chain cDNA; mNPT, mutated
15 neomycin phosphotransferase cDNA with amino acid D at 261 changed to G.

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19 **14 Fig. 2. Comparison of the wild-type (WT) and twelve modified hCMV (UF1, UF2, UR1,**
20 **15 UR2, MF1, MF2, MR1, MR2, DF1, DF2, DR1, and DR2) promoters for EGFP expression**
21 **16 level and stability.** (A) EGFP expression in transient transfections. EGFP expression was
22 measured at 48-h post transfection and normalized to the WT hCMV. Each value represents the
23 average and standard error of measurements from four independent transfections. (B) EGFP
24 expression in stably transfected clones before stability testing. (C) Percentage of EGFP
25 expressing cells in different clones at the end of stability testing. (D) Retention of GFP
26 expression level in different clones at the end of stability testing. Three clones each were isolated
27 from three separately transfected pools for a total of nine clones for each promoter. Each dot
28 represents values measured for one clone. The horizontal bar and error bars represent the average
29 and standard error of values of nine clones. Mean values significantly different (two-tailed
30 Student's t-test) from the WT are indicated by asterisks "*" ($p < 0.05$).

1 **Fig. 3. Comparison of the wild-type (WT) and three modified hCMV (UR2, MR1, and**
2 **UR2+MR1) promoters for EGFP expression level and stability.** (A) EGFP expression in
3 transient transfections. EGFP expression was measured at 48-h post transfection and normalized
4 to the WT hCMV. Each value represents the average and standard error of measurements from
5 four independent transfections. (B) EGFP expression in stably transfected clones at the start of
6 stability testing. (C) Percentage of EGFP expressing cells in different clones at the end of
7 stability testing. (D) Retention of EGFP expression level in different clones at the end of stability
8 testing. Eighteen clones, six from each pool, were isolated from three pools generated by each
9 promoter. Each dot represents values measured for one clone. The horizontal bar and error bars
10 represent the average and standard error of values of eighteen clones. Mean values significantly
11 different (two-tailed Student's t-test) from the WT are indicated by asterisks “*” ($p < 0.05$).

12
13 **Fig. 4. Changes in relative EGFP gene copies (A) and EGFP mRNA levels (B) in WT and**
14 **MR1 clones during stability testing.** The retention of EGFP DNA, mRNA and expression level
15 are calculated as the ratios of relative EGFP gene copies, mRNA levels and geometric mean
16 fluorescence intensity of a clone measured at week 8 to the starting level for the same clone
17 measured at week 0.

18
19 **Fig. 5. Analysis of CpG methylation within wild-type (WT) hCMV and MR1 promoters**
20 **during stability testing.** (A) Sequence of MR1 promoter with CG highlighted in gray. (B)
21 Methylated CG sites within MR1 promoter in clone A2 at week 0 (W0) and week 8 (W8).
22 Genomic DNA was isolated from the cells at W0 and W8, bisulfite treated, PCR amplified, and
23 cloned into pCR®2.1-TOPO TA cloning vectors. For each EGFP expressing clone, six PCR

1 products were isolated and sequenced. Every row represents one DNA clone, every column
2 represents one CG site, starting from 5'-site. Methylated sites are shown in gray. (C) Changes in
3 methylation level of WT hCMV and MR1 promoters. EGFP expressing clones generated using
4 the WT hCMV and MR1 promoters were passaged in the absence of G418 for eight weeks. The
5 percentage of methylation level within the WT or MR1 promoter in a clone is calculated as the
6 ratio of methylated CG sites to the total CG sites within a promoter measured from six PCR
7 products. The changes in methylation level in a clone are calculated as the difference between
8 the percentage of methylation level within a promoter measured at week 8 and week 0.

9
10 **Fig. 6. Comparison of the wild type (WT) and modified hCMV (MR1) promoter for**
11 **monoclonal antibody (mAb) expression level and stability.** (A) Titer of stably transfected
12 pools generated using two tricistronic vectors with genes under the control of WT hCMV and
13 MR1 promoters, respectively. The transfected cells were selected in medium without
14 hypoxanthine and thymine (HT) and then underwent amplification at different concentrations of
15 methotrexate (MTX). (B) Retention of mAb titer of stably transfected pools after stability
16 testing. Stably transfected pools generated using the WT and MR1 vectors at 500 nM MTX were
17 passaged for eight weeks in the absence of MTX for stability testing. Retention of mAb titer was
18 calculated as the titer of stably transfected pool at the end of stability testing divided by the titer
19 determined at the start of stability testing. Each value presented in figures represents the average
20 and standard error of measurements from two independently transfected pools.

21
22 **Fig. S1. Relationship between the percentage of EGFP expressing cells and retention of**
23 **EGFP expression at the end of stability testing for modified hCMV promoters with IE**

1 inserted upstream of the hCMV enhancer (A), between the hCMV enhancer and core
 2 promoter (B), and downstream of the hCMV core promoter (C).

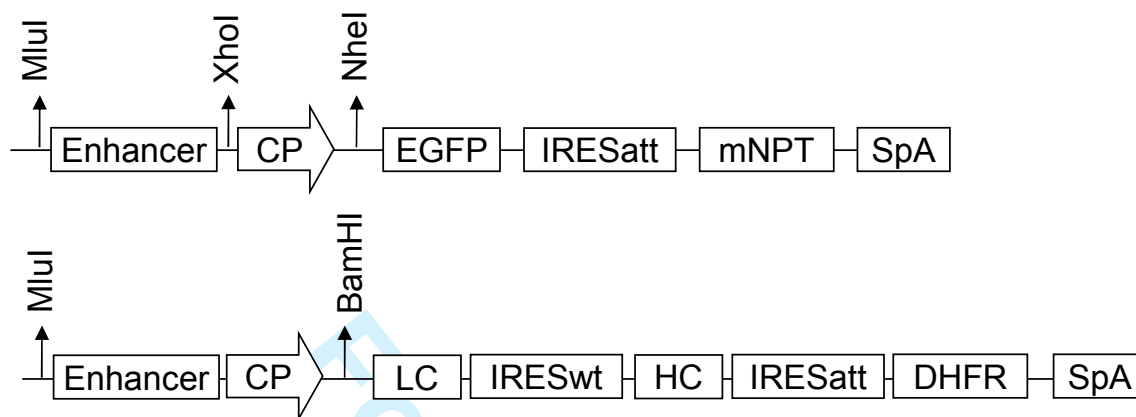
3
 4 **Table S1. Primers used for analysis of relative gene copy numbers and mRNA levels by**
 5 **real-time quantitative PCR (qRT-PCR)**

Gene targeted	Forward primer (5'-3')	Reverse primer (5'-3')
EGFP	CAAGCAGAAGAACGGCATCAA	GGACTGGGTGCTCAGGTAGTG
β -actin	AGCTGAGAGGGAAATTGTGCG	GCAACGGAACCGCTCATT
EF1 α	TGGAAGATGGCCCTAAATTC	AACGACCCAGTGGAGGATAG

6
 7 **Table S2. Primers used for cloning of WT hCMV and MR1 promoters**

Promoter	Forward primer (5'-3')	Reverse primer (5'-3')
WT hCMV	TATGAAGAATTTGTTTAGGGTTAG	TAACCAACTTAAATCTCCCTATAA
MR1	TATGAAGAATTTGTTTAGGGTTAGG	ACTCRACCAAATAAACACCA

1 **Fig. 1**
2 **(A)**

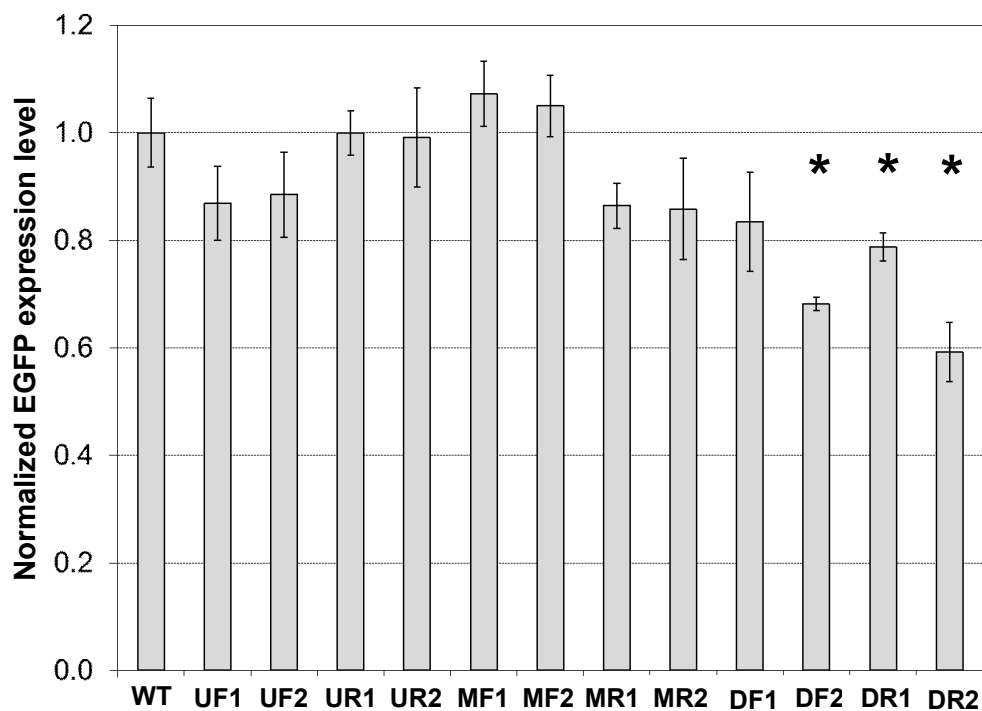


3 **(B)**
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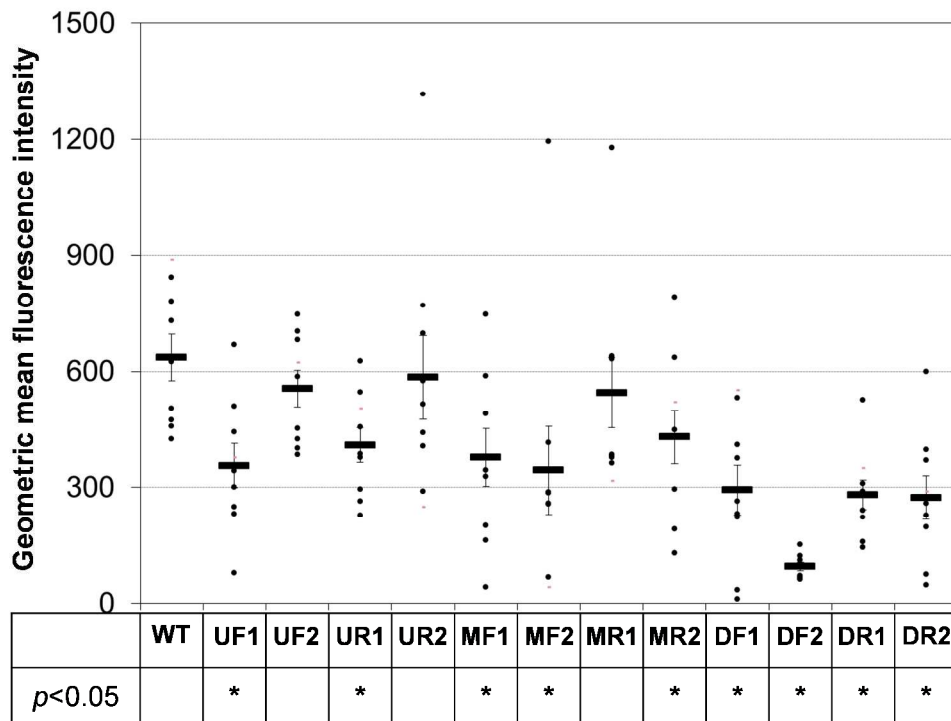
ID	Promoter structure	Transient expression	Stable expression	EGFP expressing cells (%)	Retention of EGFP expression level (%)
WT	Enhancer CP	-	-	-	-
UF1	IE Enhancer CP	No change	Down	No change	Up
UF2	IE IE Enhancer CP	No change	No change	Up	No change
UR1	EI Enhancer CP	No change	Down	No change	No change
UR2	EI EI Enhancer CP	No change	No change	Up	Up
MF1	Enhancer IE CP	No change	Down	Up	Up
MF2	Enhancer IE IE CP	No change	Down	Up	No change
MR1	Enhancer EI CP	No change	No change	Up	Up
MR2	Enhancer EI EI CP	No change	Down	Up	Up
DF1	Enhancer CP IE	No change	Down	No change	No change
DF2	Enhancer CP IE IE	No change	Down	No change	Up
DR1	Enhancer CP EI	Down	Down	No change	No change
DR2	Enhancer CP IE EI	Down	Down	Up	Up
UR2+MR1	EI EI Enhancer EI CP	Down	Down	Up	Up

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1 **Fig. 2**
 2 **(A)**

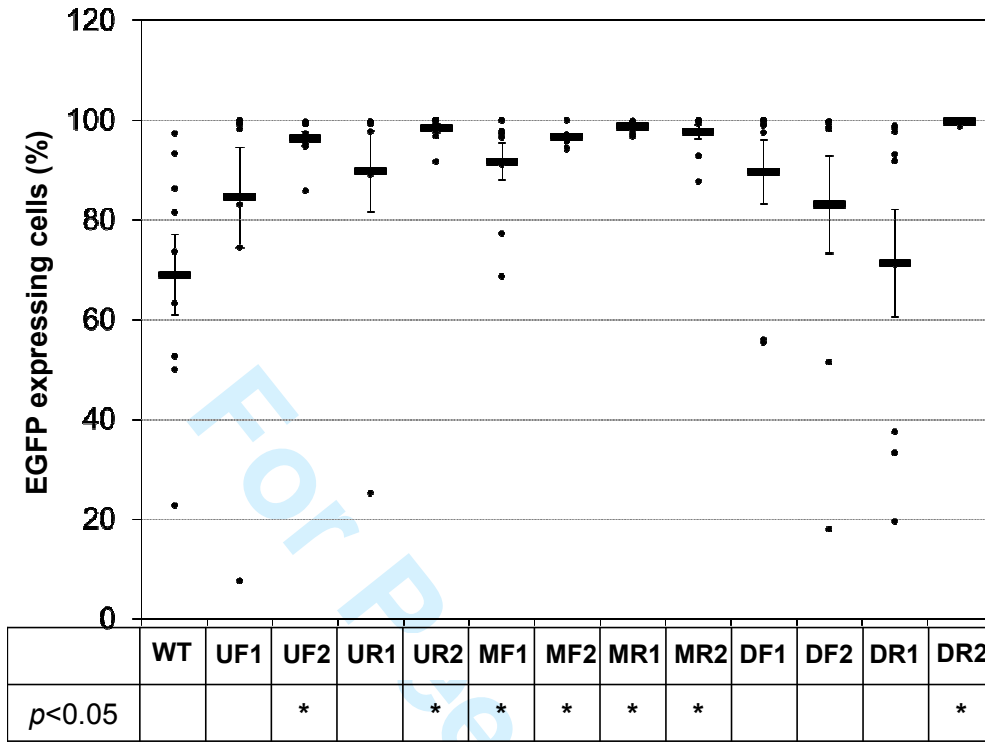


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 4 **(B)**

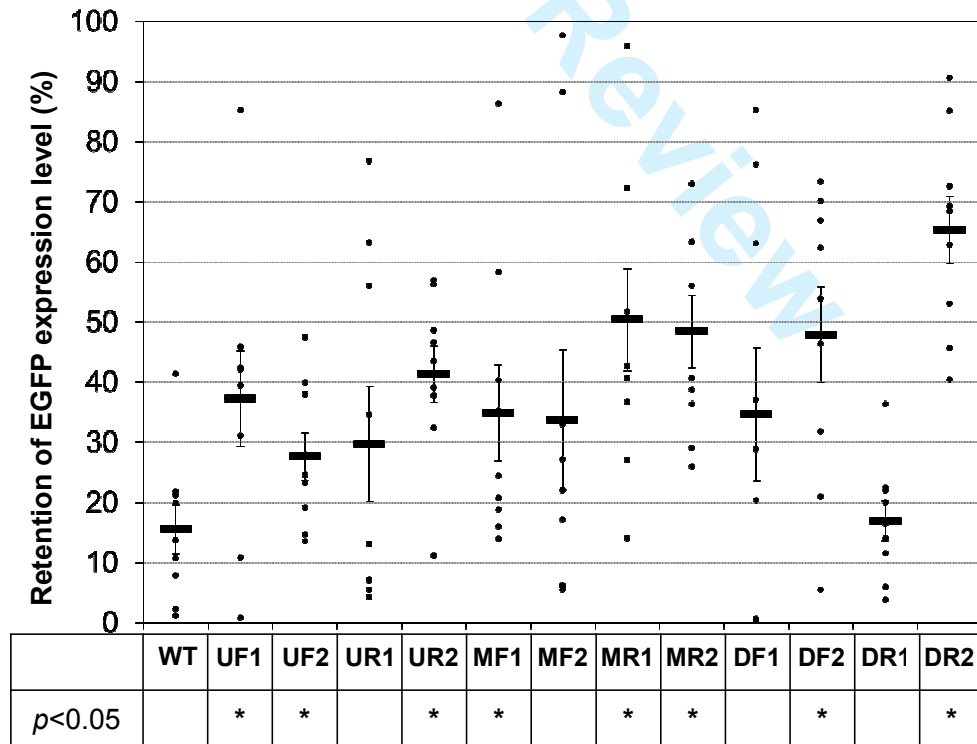


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1 (C)

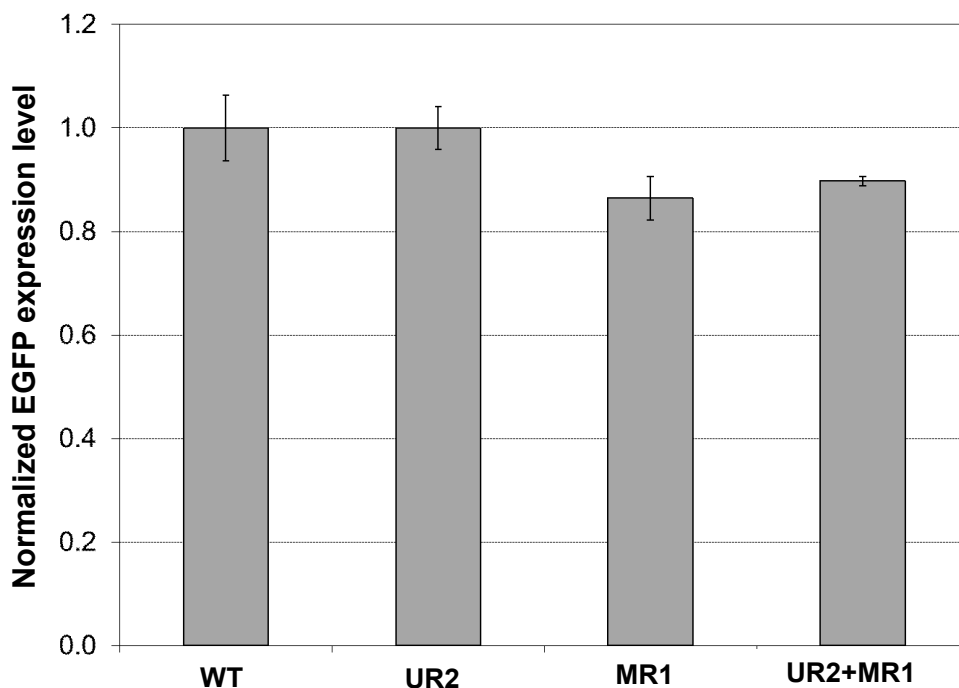


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3 (D)

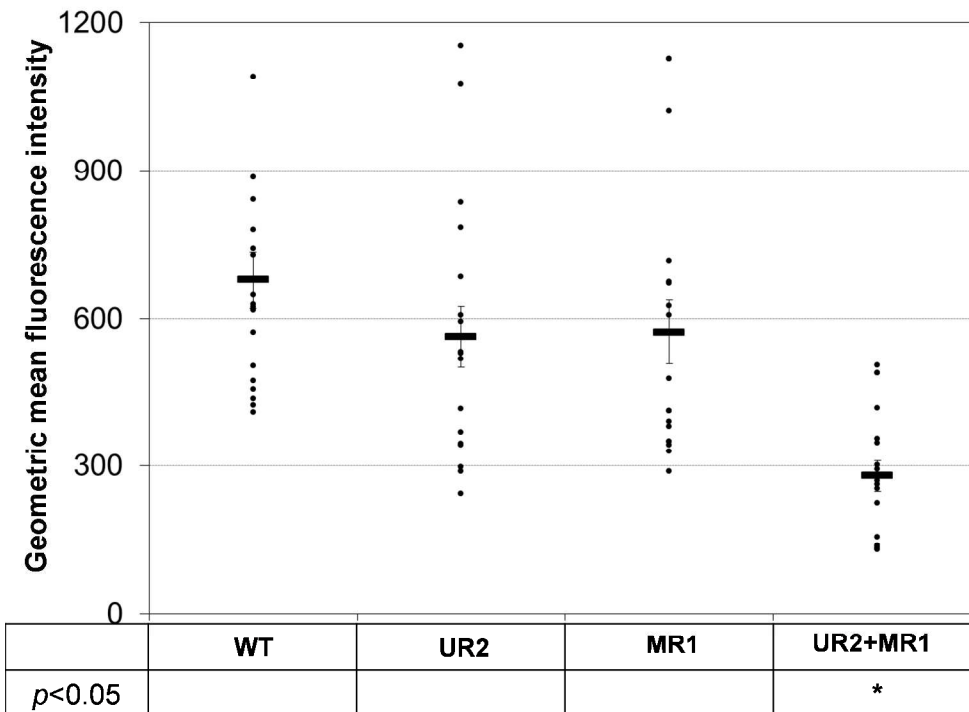


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1 **Figure 3**
 2 **(A)**

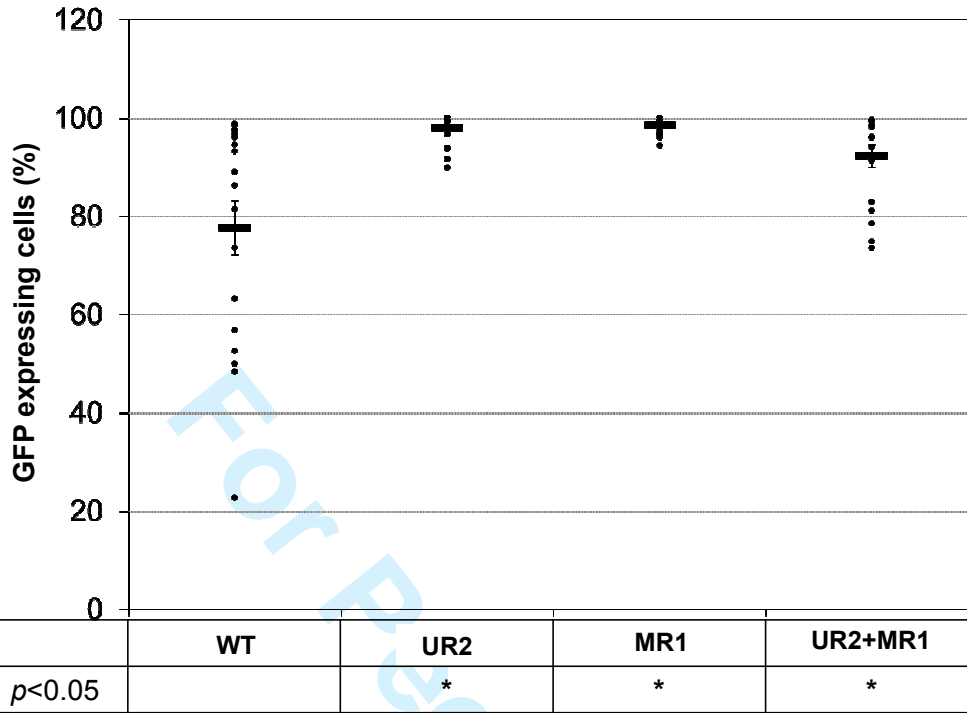


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 4 **(B)**

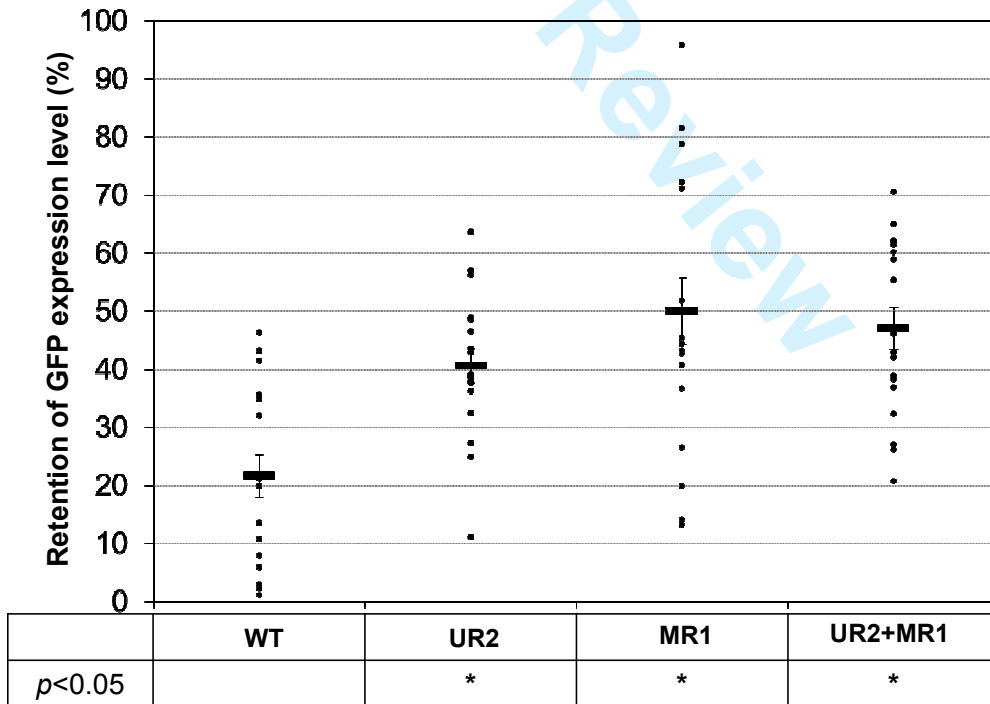


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1 (C)

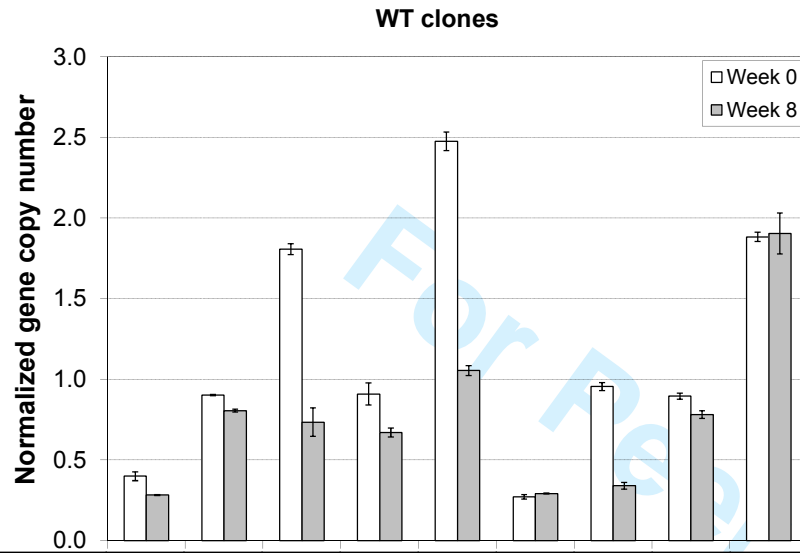


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3 (D)

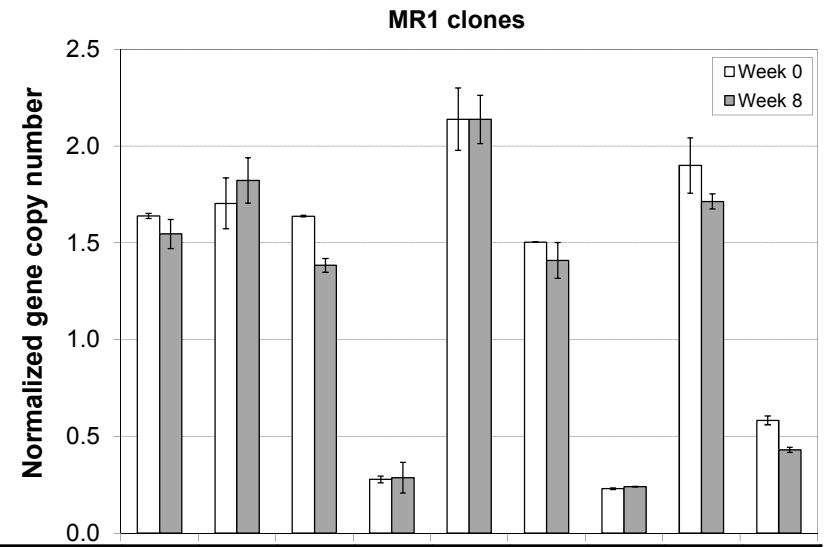


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1 **Figure 4A**

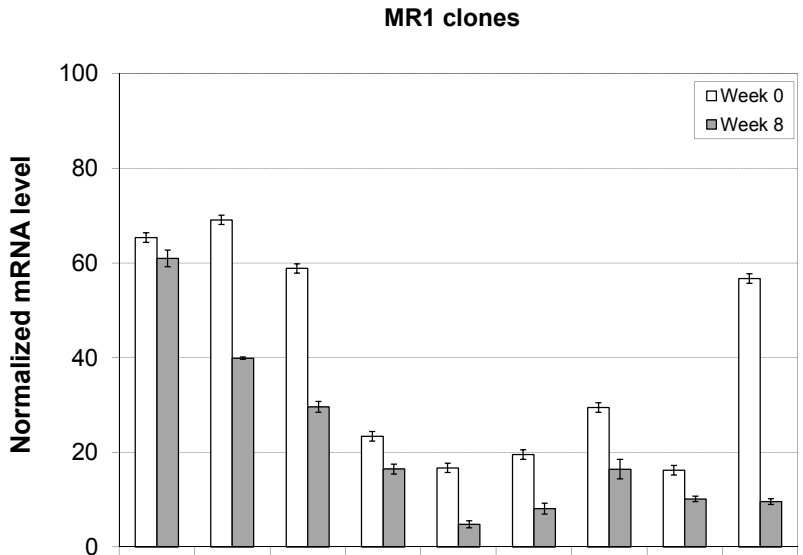
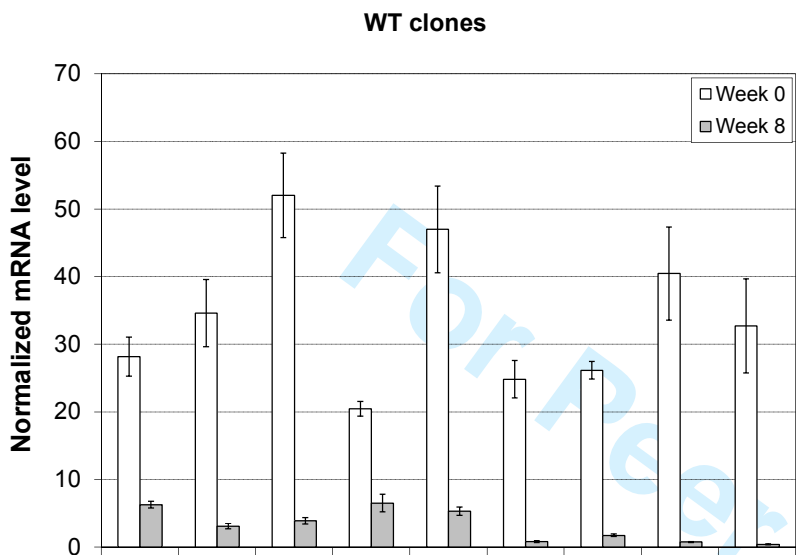


	A3	A1	A2	C1	B2	C2	C3	B1	B3
Retention of EGFP DNA (%)	71	89	41	74	43	108	36	87	101
Retention of EGFP expression (%)	41	22	21	20	14	11	8	2	1



	A2	B3	B1	C1	A3	B2	C2	A1	C3
Retention of EGFP DNA (%)	94	107	84	103	100	94	105	90	74
Retention of EGFP expression (%)	95	72	72	52	43	41	37	27	14

1 **Figure 4B**

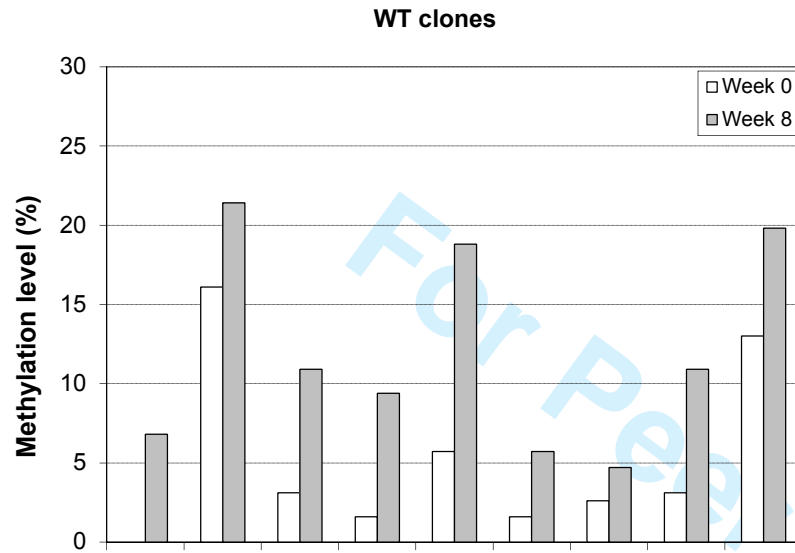


	A3	A1	A2	C1	B2	C2	C3	B1	B3
Retention of EGFP mRNA (%)	22	9	7	32	11	3	7	2	1
Retention of EGFP expression (%)	41	22	21	20	14	11	8	2	1

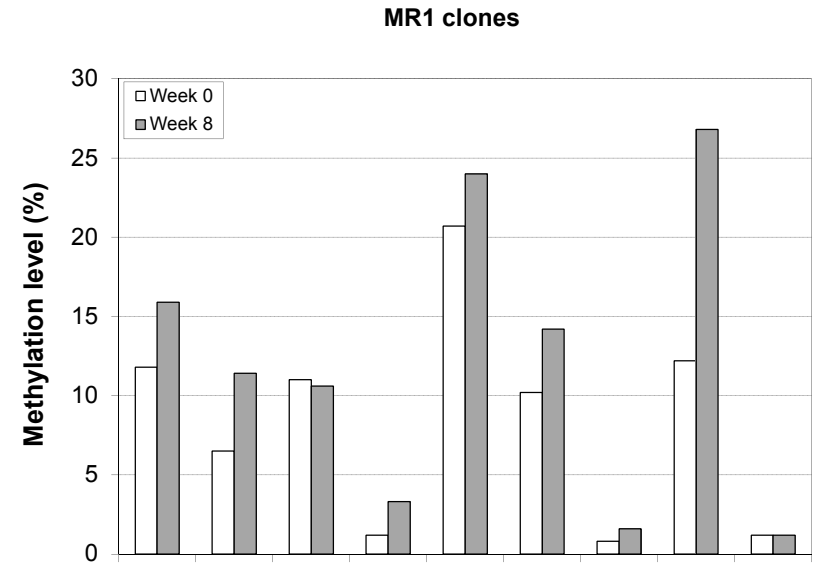
	A2	B3	B1	C1	A3	B2	C2	A1	C3
Retention of EGFP mRNA (%)	93	58	50	70	29	41	56	63	17
Retention of EGFP expression (%)	95	72	72	52	43	41	37	27	14

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1 Fig. 5C.

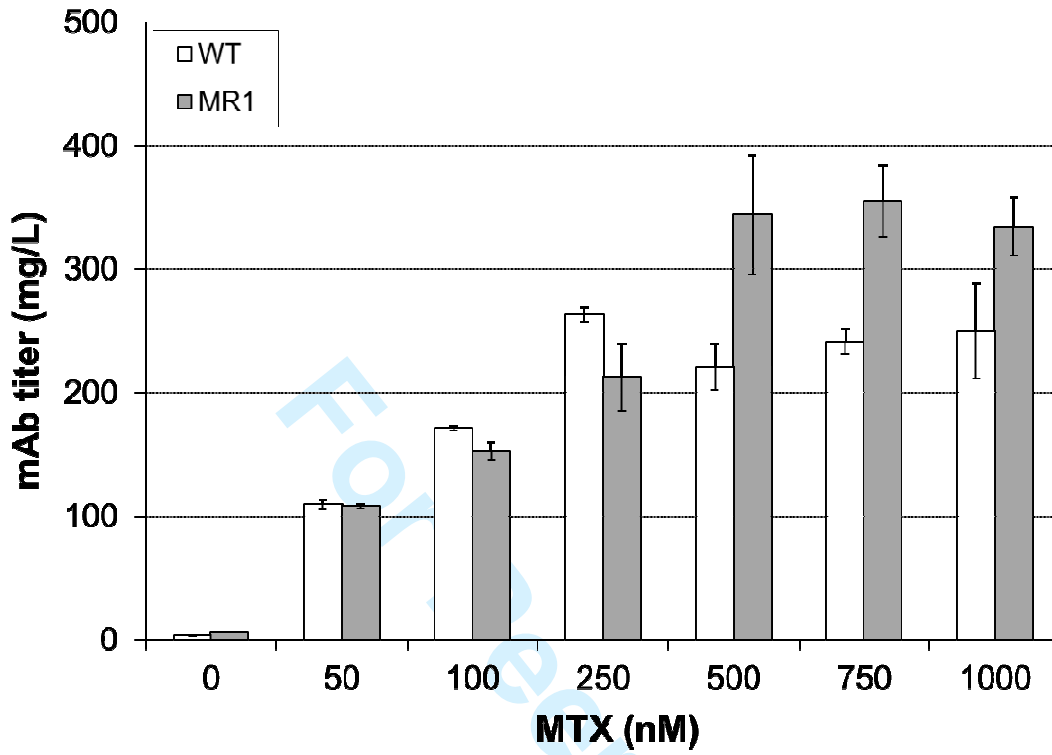


	A3	A1	A2	C1	B2	C2	C3	B1	B3
Changes in methylation (%)	6.8	5.3	7.8	7.8	13.1	4.1	2.1	7.8	6.8
Retention of EGFP expression (%)	41	22	21	20	14	11	8	2	1

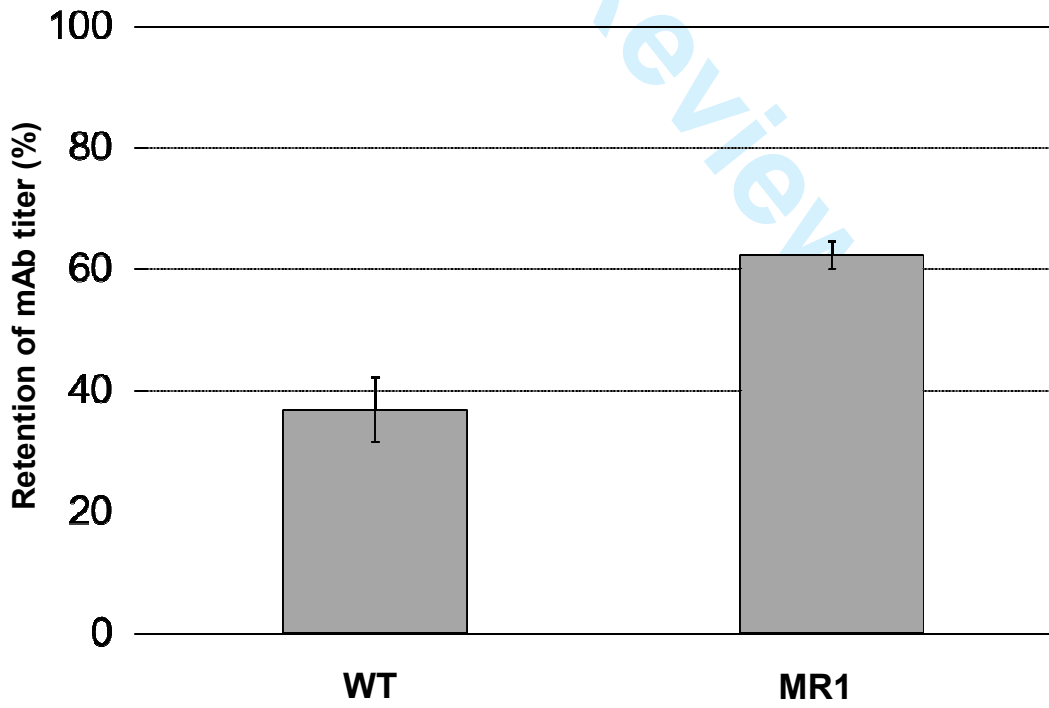


	A2	B3	B1	C1	A3	B2	C2	A1	C3
Changes in methylation (%)	4.1	4.9	-0.4	2.1	3.3	4.0	0.8	14.6	0
Retention of EGFP expression (%)	95	72	72	52	43	41	37	27	14

1 Fig. 6A

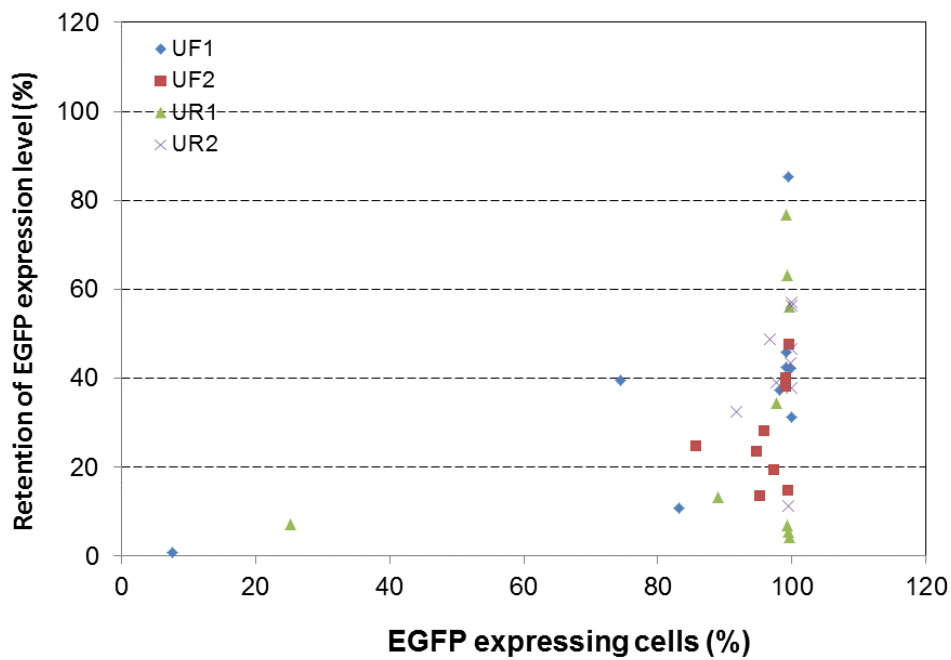


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3 Fig. 6B

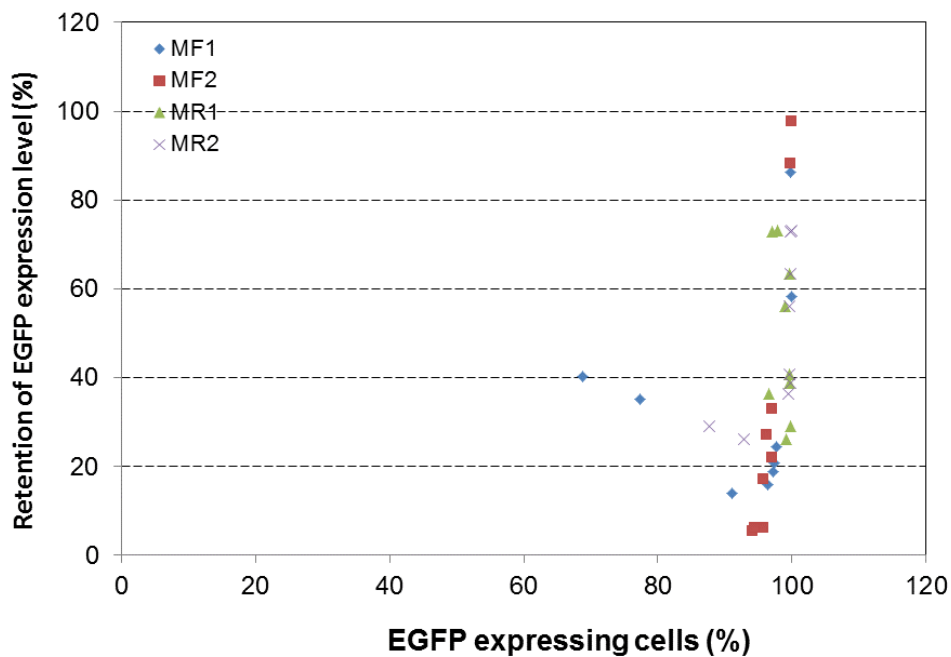


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1 Fig. S1A.



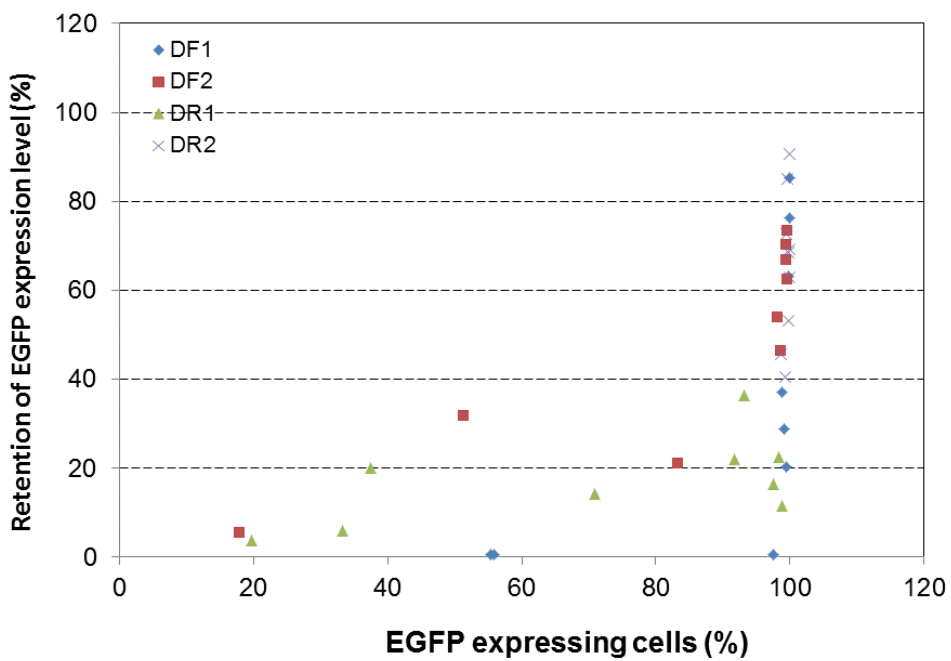
4 Fig. S1B



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1 Fig. S1C

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For Peer Review