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2 **Alternative polyadenylation expands the mRNA**  
3 **isoform repertoire of human *CD46***

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### Keywords

Alternative polyadenylation; intronic polyadenylation; CD46; soluble isoforms; pseudogene

### Abbreviations

- 5'ss: 5' Splice Site
- 3'RACE: 3' Rapid Amplification of cDNA Ends
- 3'UTR: 3' UnTranslated Region
- APA: Alternative PolyAdenylation
- ASO: AntiSense Oligonucleotide
- CCP: Complement Control Protein
- CD46: Cluster of Differentiation gene 46
- CD46P1: CD46 Pseudogene 1
- CR1L: Complement Receptor 1-Like
- CYT1 / CYT2: CYtoplasmic Tail 1 / 2
- FBS: Fetal Bovine Serum
- IPA: Intronic PolyAdenylation
- MCP: Membrane Cofactor Protein
- NSD: Non-Stop mediated mRNA Decay
- PAS: PolyAdenylation Site
- RCA: Regulators of Complement Activation
- RTK: Receptor Tyrosine Kinase
- RT-PCR: Reverse Transcription - Polymerase Chain Reaction
- snRNP: small nuclear RiboNucleoProtein
- SP: Signal Peptide
- STP: Serine Threonine Proline rich
- TM: TransMembrane
- U: Unknown

### Highlights

1. Intronic PolyAdenylation potentially generates soluble or tail-less CD46
2. Alternative polyadenylation in *CD46* also shortens 3' UTRs of *CD46* mRNAs
3. *CD46P1* pseudogene is transcribed but terminated by polyadenylation in intron 2
4. Alternative PolyAdenylation may increase the functional repertoire of CD46

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**Abstract**

Alternative polyadenylation is a prevalent mechanism regulating mammalian gene expression. While tandem 3'-Untranslated-Region (3'UTR) polyadenylation changes expression levels, intronic polyadenylation generates shorter transcripts encoding truncated proteins. Intronic polyadenylation regulates 20% of genes and is especially common in receptor tyrosine-kinase transcripts, generating soluble repressors. Here we report that human *CD46*, encoding a transmembrane repressor of complement and T-cell co-stimulator, expresses multiple isoforms by alternative polyadenylation. We provide evidence for polyadenylation at several introns by RT-PCR of 5' intronic fragments, and by increase in such isoforms via functional U1 knockdown. We mapped various intronic polyadenylation sites by 3' Rapid Amplification of cDNA Ends (3'RACE), which could generate soluble or membrane-bound but tail-less CD46. Intronic polyadenylation could add to the source of soluble CD46 isoforms in fluids and tissues, which increase in cancers and autoimmune syndromes. Furthermore, 3'RACE identified three polyadenylation sites within the last intron and exon, whose transcripts with shortened 3'UTRs could support higher CD46 expression. Finally, 3'RACE revealed that the *CD46* pseudogene only expresses short transcripts by early polyadenylation in intron 2. Overall, we report a wide variety of *CD46* mRNA isoforms which could generate new protein isoforms, adding to the diverse physiological and pathological roles of CD46.

(198 words)

## 1. Introduction

Alternative polyadenylation (APA) regulates expression of ~70% of human protein-coding genes [1, 2]. Hence, APA together with alternative splicing largely contribute to transcriptome and proteome diversity. The four basic APA types depend on the location of the alternative polyadenylation sites (PASs): (i) tandem PASs within the last exon, generating 3' Untranslated Regions (3'UTRs) of different lengths which negatively correlate with mRNA stability and expression; (ii) alternative terminal exons with their own PASs; (iii) PASs at exonic sequences, and (iv) Intronic Polyadenylation (IPA) by usage of an intronic PAS, thereby extending the immediately upstream exon and making it the terminal one, and also potentially changing the protein's C-terminal domain. IPA affects about ~20% of all genes [3], and is common in mRNAs encoding receptor tyrosine kinases (RTKs), in which shorter open reading frames lacking the exons encoding the transmembrane domain generate soluble receptors with repressive activity [4]. IPA is inhibited by U1 small nuclear ribonucleoprotein (snRNP) binding to the nearest upstream 5' splice site (5'ss), an essential step during splicing [5], thus revealing a competition between splicing and IPA. Consistently, IPA tends to happen in long introns with weak 5'ss [3], it is prevalent upon functional U1 snRNP knockdown by antisense oligonucleotides (ASOs) [6, 7], it is enhanced upon UV damage [8], and it can be artificially induced by other ASOs blocking U1 binding to a specific 5'ss [4], with potential therapeutic applications [9]. As the detailed information on IPA in genes outside RTKs is very limited [3, 10], here we report this phenomenon for *CD46*, which encodes an important type I transmembrane protein without enzymatic activity.

*CD46* (or Membrane Cofactor Protein, MCP) is expressed in all nucleated human cells, and plays roles in both innate and adaptive immunity [11, 12], as well as in epithelial and sperm cells [13-15]. *CD46* halts the complement attack by acting as a cofactor for Factor I mediated inactivation of C3b and C4b bound to the same host cell [16]. *CD46* also functions as a costimulatory molecule in immune cells such as T lymphocytes [17-19]. *CD46* deficiency causes a rare autoimmune disease termed atypical Hemolytic Uremic Syndrome [11, 12], and is associated with the much more common age-related macular degeneration [12, 20]. Furthermore, cancer cells overexpress *CD46* for protection from the immune system [21], and its expression is altered in autoinflammatory syndromes [22, 23]. Finally, *CD46* serves as an entry receptor for certain bacteria and viruses [11], further emphasizing the multipronged connections between *CD46* and human disease.

*CD46* belongs to the Regulators of Complement Activation (RCA) gene family cluster in chromosome 1q3.2 [12]. From its N-terminus, mature *CD46* comprises four Complement Control Protein (CCP) regions which bind C3b/C4b, one to three Serine, Threonine, Proline-rich regions (STP A, B, and C), a region of unknown function (U), a transmembrane anchor (TM) and two alternative cytoplasmic tails (CYT1 or CYT2) (Figure 1A) [24]. The *CD46* gene has fourteen exons encoding the signal peptide (SP) in exon 1, the four CCPs in exons 2 to 6, the A-C STPs respectively encoded in exons 7 to 9, the U region in exon 10, the TM domain in exons 11 and 12, and the two cytoplasmic tails in exons 13 or 14. *CD46* undergoes extensive alternative splicing

1 affecting the STP region and cytoplasmic tail [11, 12, 25, 26]. For STP, exon 7 is mostly  
2 skipped, exon 8 is either skipped or included, and exon 9 is mostly included in mature  
3 transcripts, and the resulting isoforms differ in the preferential complement pathways  
4 and binding of pathogens. For the cytoplasmic tails, cassette exon 13 is either included  
5 or skipped to respectively generate either CYT1 encoded in exon 13, or CYT2 in exon  
6 14. Overall, the four most common CD46 isoforms are BC-CYT1, BC-CYT2, C-CYT1  
7 and C-CYT2, yet there are additional splice isoforms with uncertain function. The two  
8 cytoplasmic tails differ in length and sequence, and bind different kinases with different  
9 functional consequences, best described in activation of T helper 1 cells [27, 28]. Upon  
10 ligand binding, the extracellular and intracellular domains of CD46-CYT1 are  
11 proteolytically ejected to induce gamma interferon production and subsequent T  
12 regulatory 1 phenotype by Interleukin 10 secretion, while later CD46-CYT2 cleavage  
13 restores homeostasis. The extracellular domain shedding is catalyzed by Matrix  
14 Metalloproteinases, and in apoptotic or necrotic cells soluble CD46 promotes  
15 complement activation and inflammatory clearance [29]. Furthermore, the elevated  
16 soluble CD46 from cancer cells retains its role as cofactor for C3b cleavage [30], and its  
17 levels are also increased in autoimmune disorders [31, 32]. Soluble CD46 was early on  
18 detected in body fluids, especially from the reproductive tract, but not cell-line  
19 supernatants [33-35]. The heterogeneity of soluble CD46, with multiple isoforms with  
20 different molecular weights, might be generated by not only proteolysis but also other  
21 mechanisms, such as the proposed intron retention [36], yet here we show that IPA  
22 might likely contribute to it.

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24 We recently elucidated regulatory mechanisms of *CD46* alternative splicing [26]. We  
25 established that the 5'ss of STP cassette exons 7 and 8 are recognized by  
26 noncanonical base-pairing to U1 snRNA (the RNA moiety of U1 snRNP) through  
27 asymmetric loop registers [26, 37, 38]. We found numerous *cis*-acting elements  
28 regulating exon 13 inclusion vs skipping, and several *trans*-acting factors like SRSF1,  
29 PTBP1 and TIA1/L1 [26]. In addition, we reported that exon 13 inclusion is influenced by  
30 the speed of RNA polymerase II transcription and by nonsense mediated mRNA decay.  
31 Here we expand the knowledge of the posttranscriptional regulation of *CD46*, by  
32 describing multiple APA isoforms at mRNA level, especially via IPA, with potential to  
33 alter the various physiological and/or pathological CD46 functions.

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## 37 **2. Materials and methods**

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### 40 **2.1. Cell culture and transfection**

41 We cultured HEK293T and HeLa cell lines in DMEM/Hi-Glucose supplemented with  
42 10% Fetal Bovine Serum (FBS) (Hyclone, United States) and 1% penicillin-streptomycin  
43 (Gibco, United States). We maintained Jurkat E6.1 and K562 cells in RPMI-1640  
44 (Hyclone, Thermo Scientific) supplemented with 10% FBS (Hyclone, United States), 1%  
45 penicillin-streptomycin (Gibco, United States), and 500 mM  $\beta$ -mercaptoethanol. We  
46 incubated all cells at 37°C with 5% CO<sub>2</sub>.

1 24 hours prior to transfection, we seeded HEK 293T cells onto 6-well plates at  $10^5$   
2 cells/ml. We transfected cells with 833ng of Mock or U1-decoy (D1) or mutated U1-  
3 decoy (D7) plasmid [4, 39] using X-tremeGENE 9 DNA transfection reagents (Roche,  
4 Switzerland) according to the manufacturer's protocol. Additionally, we included 167ng  
5 of Mock plasmids in each experimental sample to make up to the amount of DNA  
6 required (1 $\mu$ g) for transfection. We then cultured the transfected cells for another 48  
7 hours before RNA extraction.

## 8 9 **2.2. RNA extraction and DNase I treatment**

10 We isolated total RNA from cells using RNeasy kit (Qiagen, Germany) as per  
11 manufacturer's recommendation. Then we treated RNA with RQ1 RNase-Free DNase  
12 (Promega, USA) to degrade contaminant genomic DNA.

## 13 14 **2.3. Reverse Transcription – Polymerase Chain Reaction and gel electrophoresis**

15 We used these RNAs for cDNA synthesis via Reverse Transcription (RT) with M-MuLV  
16 Reverse Transcriptase (New England BioLabs, USA) and Oligo-dT primer as before  
17 [26]. We carried out all PCRs using GoTag Polymerase (Promega, USA), for 35 cycles  
18 with 56-60°C annealing temperature ( $\sim 4^\circ\text{C}$  lower than  $T_m$  of primers) and 1 min  
19 elongation (72°C) per kb of amplicon. We separated and visualized the PCR products in  
20 1.8% (w/v) agarose gels. We gel-purified bands using QIAquick gel extraction kit  
21 (Qiagen Sciences Inc., Germany) and sequenced them (1st BASE holding, Singapore)  
22 to determine the identity of the bands. We obtained all primers from Integrated DNA  
23 Technology (IDT), with their sequences available upon request.

24  
25 To detect intronic retention in mRNAs, we performed PCR with forward (F) primers  
26 located in exons upstream of the exon preceding each examined intron, to ensure that  
27 the amplified product derived from processed mRNAs and not from unspliced RNA or  
28 residual genomic DNA. We placed the reverse intronic primers upstream the potential  
29 PASs or within the first 100 nt of examined introns.

30  
31 For differential 5'/3' intronic expression experiment, for each intron that is suspected to  
32 undergo IPA, we performed PCR with reverse primers annealing to the region either  
33 upstream or downstream the suspected or confirmed PASs, used together with a  
34 corresponding forward primer.

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36 For U1 functional knockdown experiments with decoys [4, 39], we carried out three-  
37 primer PCR as follows: we paired a common forward (F) with two reverse (R) primers,  
38 one for a downstream exon for full-length mRNA, and one for the examined intron, at a  
39 1:3 ratio to enhance amplification of the IPA isoform. We quantified band intensities on  
40 agarose gels by area under curve from the lane plot, under gel analysis tool in Image J  
41 software. We performed these RT-PCRs with samples from three independent  
42 transfections (triplicates) with consistent results.

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44 To detect *CD46 Pseudogene 1 (CD46P1)* transcripts, we performed PCR with specific  
45 primers with mismatches to *CD46*, and under three different annealing temperatures

1 (56°C, 58°C and 60°C), to establish the amplification specificity in comparison to that of  
2 homologous genes (*CD46* and *CR1L*).

#### 3 4 **2.4. 3' Rapid Amplification of cDNA Ends**

5 We used DNase-treated total RNA from K562 cells as template for cDNA synthesis with  
6 SuperScript™ II reverse transcriptase (Invitrogen) and an oligo-dT adapter primer (5'-  
7 GCCACGCGTCGACTAGTACTTTTTTTTTTTTTTTTTTTT-3') for 3' Rapid Amplification of  
8 cDNA Ends (3'RACE), according to the manufacturer's instructions. For each PAS, we  
9 carried out 3'RACE using the first and second (nested) forward primers that annealed to  
10 the exon upstream each poly(A) tail, together with reverse Abridge Universal Adapter  
11 primer (AUAP) 5'- GGCCACGCGTCGACTAGTAC -3'. We performed the nested PCR  
12 with moderately stringent annealing temperature (2°C lower than T<sub>m</sub>) to limit spurious  
13 amplifications. Primer sequences and detailed amplification conditions are available  
14 upon request.

### 15 16 17 18 **3. Results**

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20 We aimed to identify new APA mRNA isoforms in *CD46*, focusing on IPA and tandem  
21 3'UTR APA. Expression of IPA-generated transcripts would be supported by the  
22 following evidence (Figure 1B): First, detection of 5' intronic fragments in mRNAs due to  
23 the usage of intronic PASs. Second, the ratio of these intron-retained vs full-length  
24 transcripts should increase upon functional U1 knockdown [4]. Third, IPA-derived  
25 mRNAs must be identified by 3'RACE. We also used 3'RACE to identify tandem 3'UTR  
26 APA in *CD46* 3'-terminal exon 14. As poly(A) tails are deposited 15-30 nucleotides  
27 downstream the poly(A) signal (consensus AAUAAA), the new PASs would only be  
28 considered as such if located at least 30 nt away from the well-known *CD46* PAS [1].  
29 Concordant results generated by the three experiments would be considered as strong  
30 evidence for IPA.

#### 31 32 **3.1 Retention of 5' intronic regions in *CD46* mRNAs suggests IPA**

33 We performed RT-PCR using a reverse primer at the 5' portion of several *CD46* introns,  
34 and a forward primer located two or more exons upstream (Figure 1B; Figure 2A). This  
35 design enhances the amplification of processed mRNAs with respect to unspliced RNA  
36 or genomic DNA which are much larger, but these mRNAs would retain an intronic  
37 fragment potentially as a result of IPA. We conducted a systematic screening on almost  
38 all *CD46* introns, only excluding introns 1 and 7 because exon 1 encodes the signal  
39 peptide that is removed from mature protein [24], and exon 7 is included at very low  
40 levels in all tissues [26]. To enhance detection of cell-type specific IPA events, we used  
41 the cDNA templates from HEK293T, HeLa, K562 and Jurkat cell lines. Our RT-PCR  
42 results showed retention of the 5' portion of introns 2, 4, 6 and 10 in all mRNAs, of  
43 intron 3 in only K562 and Jurkat, and of intron 12 in all except HEK293T (Figure 2B).  
44 These results suggest that these introns undergo IPA via at least one PAS.

1 Both splicing and 3'-end formation are largely co-transcriptional processes, so it is  
2 possible that the detected RNA species with intronic retention were derived from  
3 partially processed pre-mRNAs (Figure 2C). To further support that the above detected  
4 bands come from IPA, we performed RT-PCR with reverse primers placed downstream  
5 of the putative intronic PASs. We observed no amplification with these 'downstream  
6 primers' in introns 2, 4, and 10, in comparison to reverse primers upstream of the PASs  
7 (Figure 2D). Nevertheless, intron 12 might contain additional polyadenylation sites  
8 upstream of the 3'-most primer (I12-dR), and the faint band seen with the intron 6  
9 'downstream primer' is consistent with mRNAs exhibiting intron 6 and 7 retention as  
10 previously reported [36]. Overall, the 5'-intronic retention strongly suggests that the  
11 detected transcripts (except perhaps for intron 6) are generated by IPA and not by  
12 partial processing.

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### 15 **3.2 Induction of IPA upon functional U1 knockdown**

16 We transfected HEK293T cells with a U1 decoy plasmid to express an RNA molecule  
17 with a consensus 5'ss sequence (D1), which base pairs to U1 and prevents its binding  
18 to *bona-fide* 5'ss [39] (Figure 1B). In addition to repressing splicing, the U1 decoy also  
19 enhances IPA [4]. As negative controls we used a decoy plasmid with a mutation that  
20 compromises its binding to U1 (D7), as well as mock transfection. As a positive control  
21 for D1-mediated inhibition of U1, we tested its effect on alternative splicing. D1 but not  
22 D7 or mock decreased *CD46* exon 8 inclusion, consistent with this exon being  
23 recognized by U1 (Figure 3A) [26]. In addition, D1 decoy increased the ratio of intron-  
24 retained to full-length transcripts for introns 2, 4, 10 and 12 (Figure 3B), consistent with  
25 IPA. For introns 10 and 12, D1 increased both exon 13 skipping and IPA, but this assay  
26 with different primers at different ratios cannot directly reveal which of these two effects  
27 is more dominant. All these results further support IPA occurring in introns 2, 4, 10 and  
28 12.

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### 30 **3.3 Several APA-derived *CD46* mRNAs identified by 3'RACE**

31 To detect APA isoforms, we used 3'RACE on total RNA extracted from K562 myeloid  
32 cell line, with nested PCR to increase the amplification specificity (Figure 1B, Figures 4-  
33 6). For IPA, we identified mRNAs from the use of three intronic PASs in intron 6 (with  
34 one reported just by cDNA/EST analysis [3]), one in intron 10 (Figure 4) and one in  
35 intron 12 (Figure 5). We did not detect the mRNAs polyadenylated in introns 2 and 4. All  
36 but one of the detected IPA-derived isoforms have an in-frame stop codon, thus being  
37 capable of producing truncated CD46 proteins, as either soluble or tail-less membrane-  
38 bound isoforms. The isoform generated by IPA at the 12<sup>th</sup> nucleotide of intron 6 lacks an  
39 in-frame stop codon, thereby serving as a possible target for degradation by Non-Stop  
40 mediated mRNA Decay (NSD) [40]. We also identified one IPA event in intron 13  
41 (Figure 6A) and two tandem 3'UTR APA events in exon 14 in addition to the mRNA with  
42 the reference poly(A) tail (Figure 6B), all generating mRNA isoforms with shortened  
43 3'UTRs.

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### 45 **3.4 *CD46 Pseudogene* only produces short transcripts via IPA**

1 By 3'RACE with moderate stringency, we inadvertently identified one polyadenylated  
2 mRNA transcript from the *CD46 Pseudogene (CD46P1)*. This gene is a partial duplicate  
3 of *CD46* exons 1 to 5 [41] within the RCA cluster (Figure 7A), yet no evidence of its  
4 expression was ever reported until now [12], even though active transcription marks  
5 such as H3K27 acetylation are found around *CR1L/CD46P1* first exon (UCSC genome  
6 browser), as well as RNA pol II footprints sit along the *CD46P1* gene (data not shown)  
7 [42]. The identified transcript was cleaved and polyadenylated at the 5' portion of  
8 *CD46P1* intron 2 (Figure 7B), with a point mutation 22 nucleotides upstream the poly(A)  
9 tail creating a consensus poly(A) signal (AAUAAA). To test whether *CD46P1* produces  
10 longer transcripts, we performed RT-PCR on total K562 RNA. We failed to detect any  
11 products from *CD46P1* downstream intron 2, but instead we found *CD46*-derived  
12 transcripts (Figure 7C). We also conducted 3'RACE with first and nested primers  
13 specific to *CD46P1* annotated exons, in order to identify longer polyadenylated  
14 transcripts from this pseudogene. We observed no *CD46P1* transcripts under very  
15 stringent 3'RACE with high annealing temperature to minimize cross-priming to *CD46*.  
16 The failure to detect any polyadenylated *CD46P1* transcripts was not likely due to  
17 primer inefficiency because we detected polyadenylated transcripts from the RCA gene  
18 Complement receptor 1-like (*CR1L*) (data not shown), whose first exon overlaps with  
19 that of *CD46P1*. We conclude that *CD46P1* is very likely a nonfunctional gene, from  
20 which only short transcripts are generated due to IPA in intron 2.

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#### 24 **4. Discussion**

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We demonstrated that *CD46* undergoes APA at several sites, within some introns and  
in exon 14, generating alternative isoforms with potentially relevant functions (Figure 8).

##### 29 **4.1 Evidence for IPA-generated *CD46* transcripts**

30 We provided three lines of evidence for the various *CD46* IPA isoforms, which include  
31 detection of 5' intronic fragments in mRNAs, U1-decoy enhancement of IPA, and  
32 3'RACE. While 3'RACE provides the most solid proof, the first two criteria help rule out  
33 3'RACE artifacts by oligo-dT spuriously binding to genome-encoded A-rich regions.  
34 Indeed, none of these three experiments stands on its own as absolute proof for IPA,  
35 but their combination result in strong evidence. In intron 6, we identified three IPA  
36 transcripts by 3'RACE (Figure 4) but we failed to detect their response to functional U1  
37 knockdown (data not shown), possibly because the levels of such transcripts are very  
38 low due to the lack of an in-frame stop codon in one isoform which might mostly be  
39 degraded by NSD [40]. Finally, there might be other intron 12 IPA transcript(s) besides  
40 the one we found, because the intronic sequence downstream of the found intron 12  
41 PAS was retained in mRNA (Figure 2D).

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##### 45 **4.2 IPA may generate heterologous *CD46* isoforms with distinct functions and 46 therapeutic applications**

Our study provides a means of generating soluble *CD46* independent of proteolysis,  
and in addition to intron retention [36]. Proteolysis-generated soluble *CD46* containing

1 all CCP and STP regions retains C3b/C4b binding capacity, as it can also facilitate fluid  
2 cleavage by Factor I [30] thus protecting CD46-nonbearing cells from complement  
3 damage, albeit at much lower efficiency than membrane-bound CD46 [35]. The  
4 potential CD46 protein isoform by intron 10 IPA, possessing all CCP- and STP-  
5 encoding exons but lacking the TM exons, might show a similar function. Similarly, the  
6 predicted tail-less CD46, encoded by intron 12 IPA transcript, is likely to retain its ligand  
7 affinity and cofactor activity but should be unable to induce intracellular signaling.

8  
9 Many primary tumors and cancerous cell lines overexpress complement regulatory  
10 proteins, including CD46, which appear to be important for immune evasion [43, 44].  
11 Therefore it is tempting to propose that, similar to the induction of soluble RTKs at the  
12 expense of the full-length counterpart via IPA, the same intervention for *CD46* would be  
13 beneficial in cancer treatment. However, unlike RTKs whose function entirely depends  
14 on intracellular signaling by the cytoplasmic tails, complement inhibition by CD46 is  
15 merely mediated by its ligand binding which can be partially maintained in soluble  
16 isoforms. Indeed, the increased levels of soluble CD46 generated by proteolytic  
17 cleavage after STP regions has been documented in sera from cancer patients [30],  
18 which, in this case, was suggested to limit inflammation in vicinity of solid tumors or to  
19 misdirect the immune effectors from attacking the tumor. In addition, the elevated  
20 soluble CD46 in multiple sclerosis [32] plus its association with Human Herpes Virus 6  
21 genome in this disease [45] suggest that soluble CD46 might play a role in the etiology  
22 of this and perhaps other autoimmune disorders. More studies are required to establish  
23 the roles of soluble CD46 and the therapeutic value of *CD46* IPA manipulation in these  
24 diseases.

#### 25 26 **4.3 APA might regulate CD46 protein levels and offer opportunities for artificial** 27 **manipulation**

28 As tandem 3'UTR APA is very prevalent, it was not very surprising to find two PASs in  
29 addition to the major or annotated site at the *CD46* 3'-terminal exon 14, which is 1995 nt  
30 long. The two novel PASs within exon 14 and the site extending exon 13, all generate  
31 shorter 3'UTRs that lack the microRNA miR520b- and miR520e-binding site. As CD46  
32 downregulation by these miRNAs caused insensitivity of breast cancer cell lines to  
33 complement-dependent cytotoxicity [46], tumors could upregulate CD46 by increasing  
34 use of these proximal PASs, and artificial repression of the proximal PASs (i.e. by  
35 ASOs) could restore normal CD46 levels. In turn, intron 6 IPA followed by NSD might be  
36 physiologically used under certain conditions to quickly downregulate CD46 before  
37 transcriptional shutdown.

#### 38 39 **4.4 Mutation-induced IPA severely shortens CD46 Pseudogene 1 transcripts**

40 We identified one mRNA from *CD46P1* as the result of IPA at the beginning of intron 2  
41 (Figure 7B). Compared to *CD46* genomic sequence, *CD46P1* shows a G to A change at  
42 position +5 of intron 2 5'ss. This change both strongly weakens the 5'ss of *CD46P1*  
43 intron 2 [5], and simultaneously creates a perfect consensus poly(A) signal hexamer  
44 AAUAAA. Hence, the IPA in intron 2 of *CD46P1* is due to this single mutation which  
45 strongly tilts the competitive balance between splicing and polyadenylation in favor of  
46 the latter.

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2 Whether the *CD46P1* intron 2 IPA transcript would be translated is unclear. The  
3 encoded protein would contain only the CCP1 region, which does not directly bind  
4 C3b/C4b but together with CCP2, is essential for the binding and entry of measles virus  
5 [47] and adenovirus [48]. Hence, this *CD46P1*-derived CCP1 might act as a decoy to  
6 prevent infection of these viruses, as recently shown for soluble CCP1-only CD46  
7 preventing infection of bovine viral diarrhoea virus in *Bos taurus* [49]. Most likely *CD46P1*  
8 IPA in intron 2 might serve as a means to prevent *CD46P1* expression by inducing early  
9 polyadenylation, as our lack of detection of *CD46P1* regions downstream exon 2 makes  
10 expression of full-length *CD46P1* (exons 1-5) very rare at best. Intron 2 IPA might  
11 explain the so-far elusive detection of *CD46P1* mRNA, despite possessing comparable  
12 promoter activity [50] and high homology to 5' portion of *CD46* [41].  
13

## 14 **5 Conclusion and future work**

15 Future studies should test the abundance of these novel *CD46* APA transcripts and  
16 detection of the encoded proteins in human tissues. Based on the lack of reports on  
17 most *CD46* APA isoforms until now, we anticipate that their expression levels will be low  
18 or confined to specific cells and/or physiological or pathological conditions. The lack of  
19 conservation of *CD46* gene structure and expression between human and most  
20 mammals, including mice, and the very low detection of soluble CD46 in cell lines [33],  
21 restricts the search of such isoforms to human tissues or fluids. Nevertheless, this first  
22 description of the diversity of *CD46* APA isoforms identified PASs all along the *CD46*  
23 transcript (Figure 8). Whereas the intron 6, 10 and 12 IPA would generate soluble or  
24 membrane-bound but tail-less isoforms, intron 13 IPA and tandem APA in exon 14  
25 would regulate CD46 abundance. This report further confirms IPA as a widespread  
26 mechanism regulating expression of transmembrane receptors beyond RTKs. Lastly,  
27 *CD46P1* is likely nonfunctional because it generates very short transcripts via intron 2  
28 IPA. This and future studies could open therapeutic avenues by manipulating the  
29 balance of these APA isoforms in the context of CD46-related pathologies, such as  
30 cancer and autoimmunity.  
31

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## 44 **Conflicts of Interest**

45 The authors have no conflicts of interest to declare.  
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## 41 **Figure Legends**

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44 **Figure 1. A.** Schematic of CD46 protein motifs and their coding sequence in *CD46* mRNA, with  
45 boxes as exons. Cassette exons regulated by alternative splicing are shown as black boxes,  
46 while constitutive exons are in gray. The two functional stop codons are indicated by the stop

1 signs. See text for details. **B.** Schematic of experimental approaches to test for APA in *CD46*  
2 transcripts.

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5 **Figure 2.** Retention of 5' intronic fragments as evidence for *CD46* IPA. **A.** Schematic of RT-  
6 PCR design with primers depicted as arrows mapping to exons (boxes) or introns (lines). The  
7 forward primers in exons are paired in the same row with one or more reverse primers (one per  
8 reaction) mapping to 5' portion of introns. **B.** Agarose-gel electrophoresis of RT-PCR data for  
9 each combination of primers in four cell lines to test for IPA in each indicated intron. The identity  
10 of the PCR bands are schematically depicted on the right, as confirmed by sequencing. The  
11 position of the marker bands are indicated with their size in bp. **C.** Rationale of the 5' to 3'  
12 intronic retention as evidence of IPA. Right, partially-spliced transcripts would be amplified with  
13 all reverse primers along the intron, upstream (U) or downstream (D) the PAS (as long as the  
14 product is not too long). Conversely, IPA-derived transcripts on the left would only be amplified  
15 by reverse primers upstream but not downstream the PAS. **D.** Schematics depict primer design  
16 for detection of 5' to 3' intronic fragments by RT-PCR. Right of each schematic shows  
17 corresponding agarose-gel images of RT-PCR data for each intron, with the exonic or intronic  
18 reverse primer indicated on top of each lane.

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21 **Figure 3.** U1 functional knockdown by decoys enhanced IPA in several *CD46* introns. **A.**  
22 Control experiment for U1 decoys. Left schematic indicates the position of the primers, and right  
23 agarose gel image of RT-PCR upon HEK293T transfection with decoy plasmids shows that  
24 exon 8 inclusion decreased by U1 decoy (D1) but not by mock transfection (M) or mutant U1  
25 decoy (D7). RT-PCR bands are represented on the right of the gel. **B.** Representative gel  
26 images of RT-PCRs for each combination of forward with intronic and exonic reverse primers  
27 (upper schematics) upon HEK293T transfection with mock or decoy plasmids. In all cases in  
28 this figure, the ratio of intronic retention vs splicing increased by D1 decoy. Numbers below  
29 each lane indicate the IPA percentage in this experiment, while the increased IPA by D1 was  
30 seen in the other two experimental replicates (not shown). This result is consistent with the  
31 decoy de-repressing IPA by reducing U1 occupancy at the 5'ss upstream the PAS.

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34 **Figure 4.** 3'RACE in K562 cells as definitive evidence for IPA isoforms encoding different *CD46*  
35 proteins. Sequencing results of 3'RACE for different introns potentially generating soluble *CD46*.  
36 The sequencing chromatograms are aligned to the genomic sequence, with yellow boxes as  
37 putative poly(A) signals. For each IPA mRNA, the exon-intron structure and the predicted  
38 soluble protein are schematically shown, highlighting the position of the PAS (first A of the tail)  
39 and the intronic in-frame stop codon from the beginning of the intron as +1. The top intron 6 IPA  
40 transcript lacks a stop codon so it is likely a substrate for NSD.

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42 **Figure 5.** Sequencing results of 3'RACE for intron 12 potentially generating a membrane-bound  
43 but tail-less *CD46*.

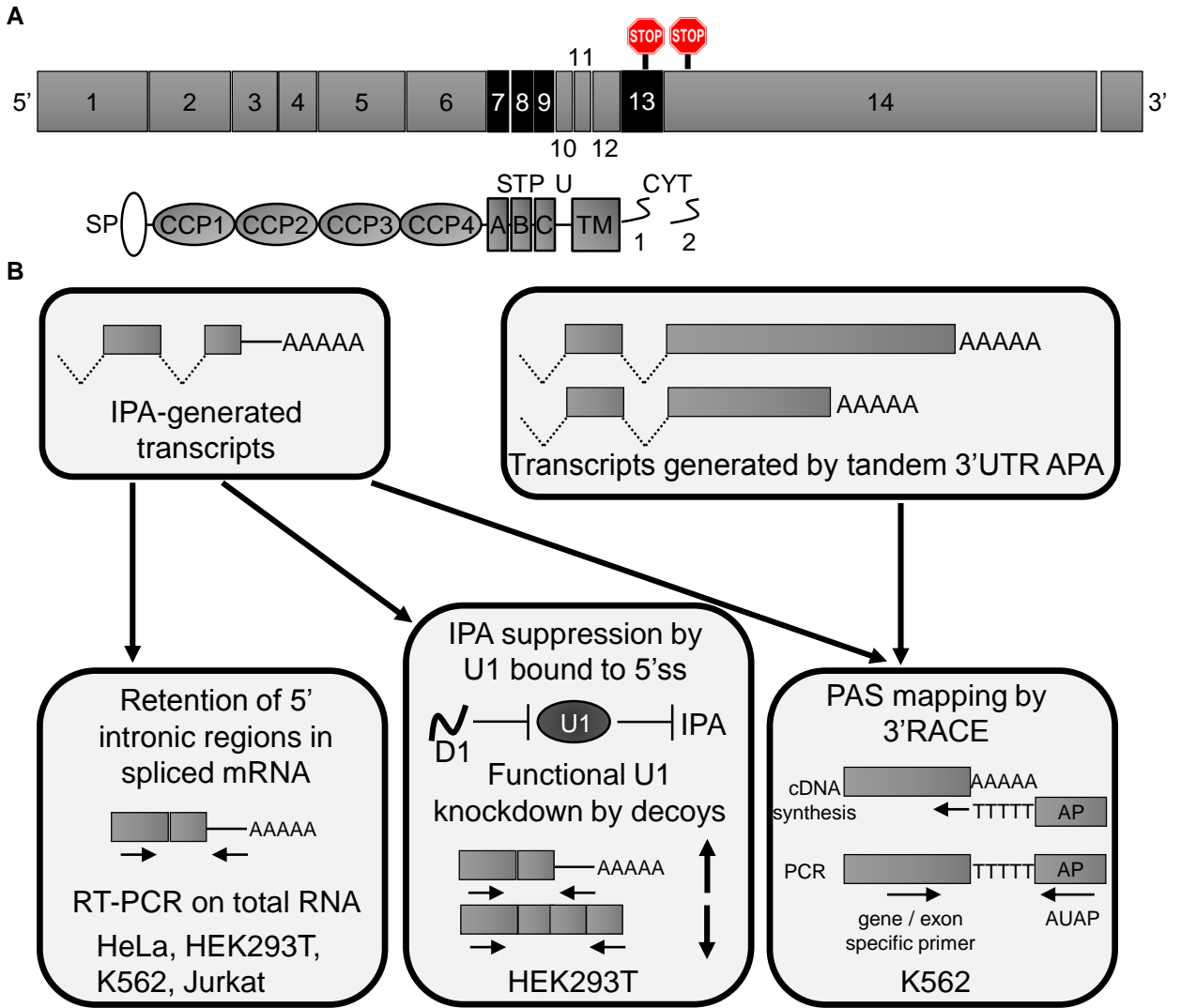
44  
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46 **Figure 6.** 3'RACE in K562 as definitive evidence for APA mRNA isoforms that might change the  
47 expression levels of *CD46* proteins. **A.** Sequencing results of 3'RACE for intron 13 IPA,  
48 resulting in a very short 3'UTR which could enhance translation of *CD46*-CYT1 isoforms. The  
49 sequencing chromatograms are aligned to the genomic sequence, highlighting the putative  
50 poly(A) signal and the distance from the beginning of intron 13 to the PAS. **B.** Sequencing  
51 results of 3'RACE for exon 14 tandem 3'UTR APA, showing two upstream PASs at the indicated

1 positions counted from the first nucleotide of exon 14 as +1. Even though some of the 3'RACE  
2 results for alternative exon 14 PAS exhibited additional 'noisy' sequences downstream the  
3 poly(A) tail (not shown), the finding of these isoforms in both exon 13-containing or lacking  
4 *CD46* mRNAs, plus the proximity of these PASs to the yellow boxed putative poly(A) signals  
5 argues for these representing real APA isoforms.  
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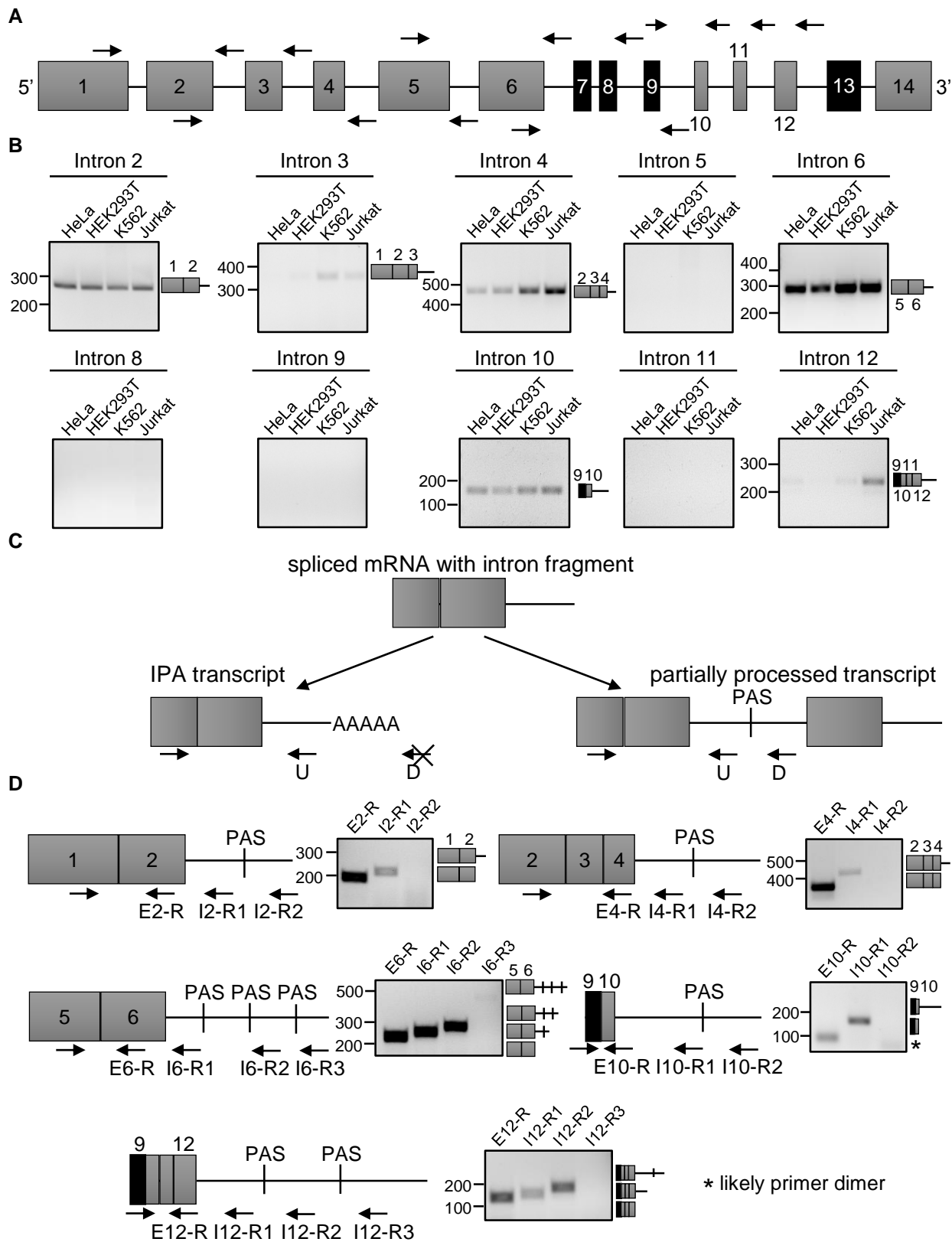
8 **Figure 7.** *CD46* Pseudogene (*CD46P1*) only generates short transcripts by IPA. **A.** Schematic  
9 representation of *CD46P1* compared with *CD46*, with boxes and lines respectively representing  
10 exons and introns. **B.** Sequencing results of 3'RACE with nested PCR in K562 for intron 2 IPA  
11 showed the *CD46P1* transcripts polyadenylated in intron 2. Top, genomic *CD46* and *CD46P1*  
12 regions aligned with the 3'RACE mRNA results, highlighting the G to A transition at position +5  
13 of intron, weakening the 5'ss and creating a perfect consensus poly(A) signal (yellow box). The  
14 mRNA and potentially encoded soluble *CD46* fragment are also shown. **C.** RT-PCR to detect  
15 other *CD46P1* mRNA isoforms in K562, instead only showed amplification of *CD46*. Primers are  
16 represented in the diagram with vertical lines depicting nucleotide differences between *CD46*  
17 and *CD46P1*. The annealing temperature for each PCR is shown on top. Note that  
18 noncontiguous lanes are placed next to one another, as highlighted by white space separating  
19 them. Amplification disappears at high temperature because the primers were designed for  
20 *CD46P1* and not *CD46*.  
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23 **Figure 8.** Confirmed alternative PASs along the *CD46* pre-mRNA found in this study, with their  
24 putative encoded protein isoforms. The PASs are numbered 1-3 relative to each intron or exon.  
25 See text for details.  
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**Figure 1**

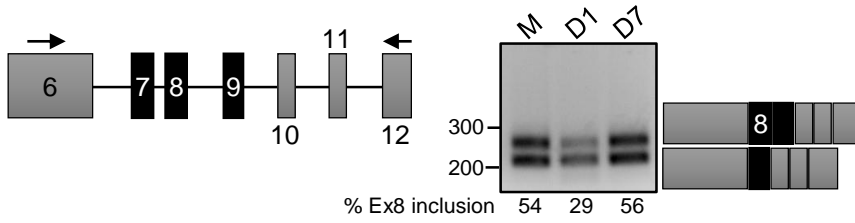


**Figure 2**



**Figure 3**

**A**



**B**

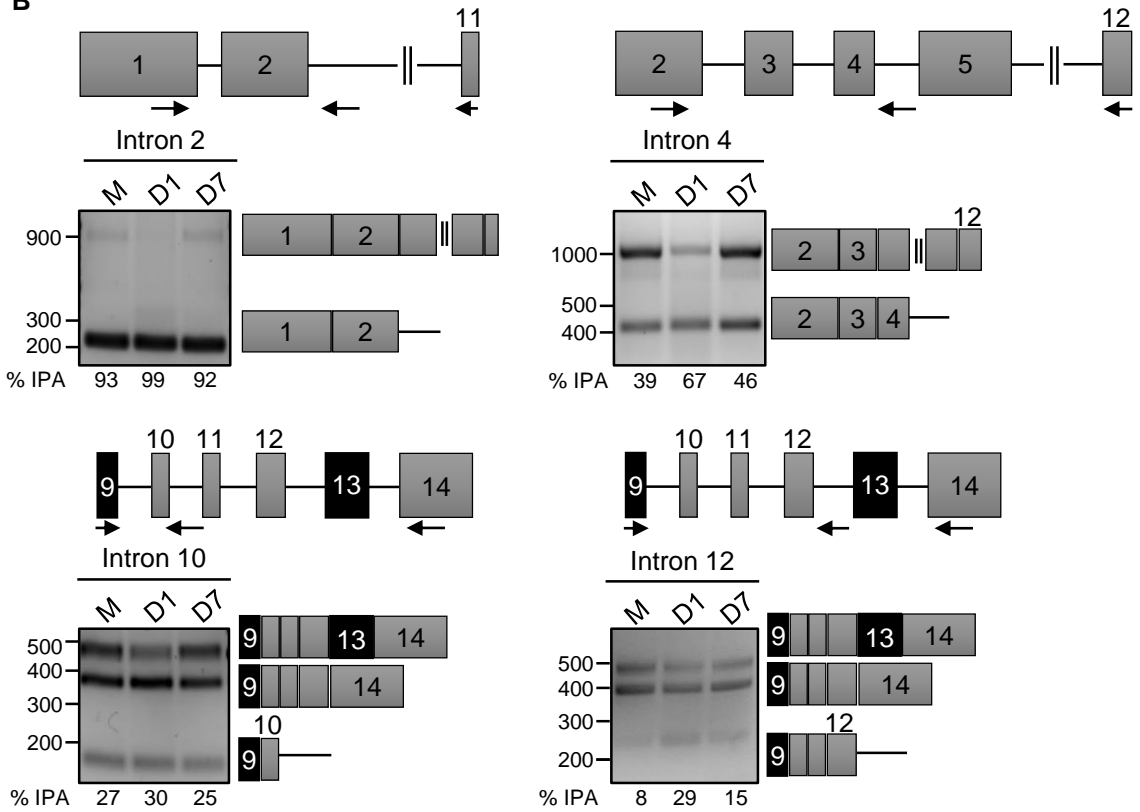




Figure 5

A

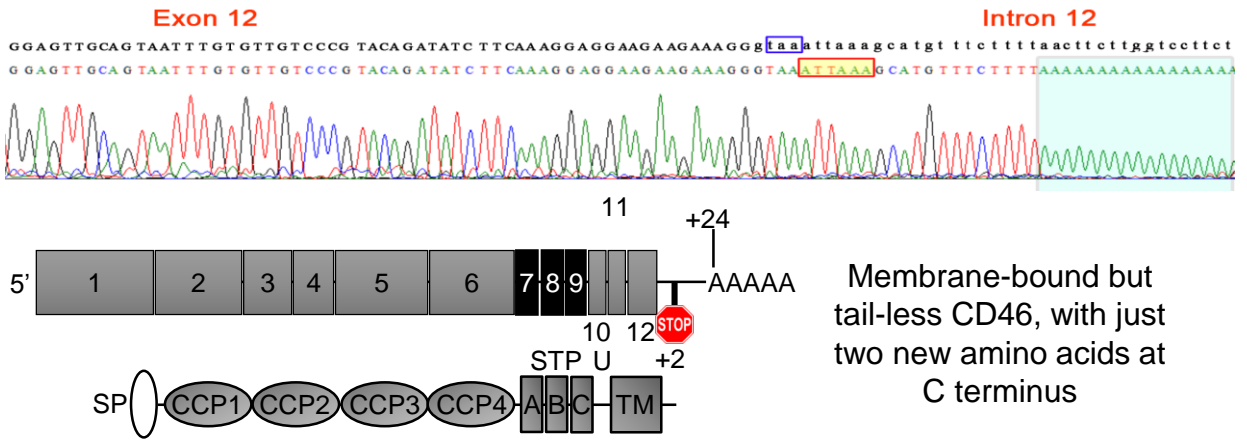
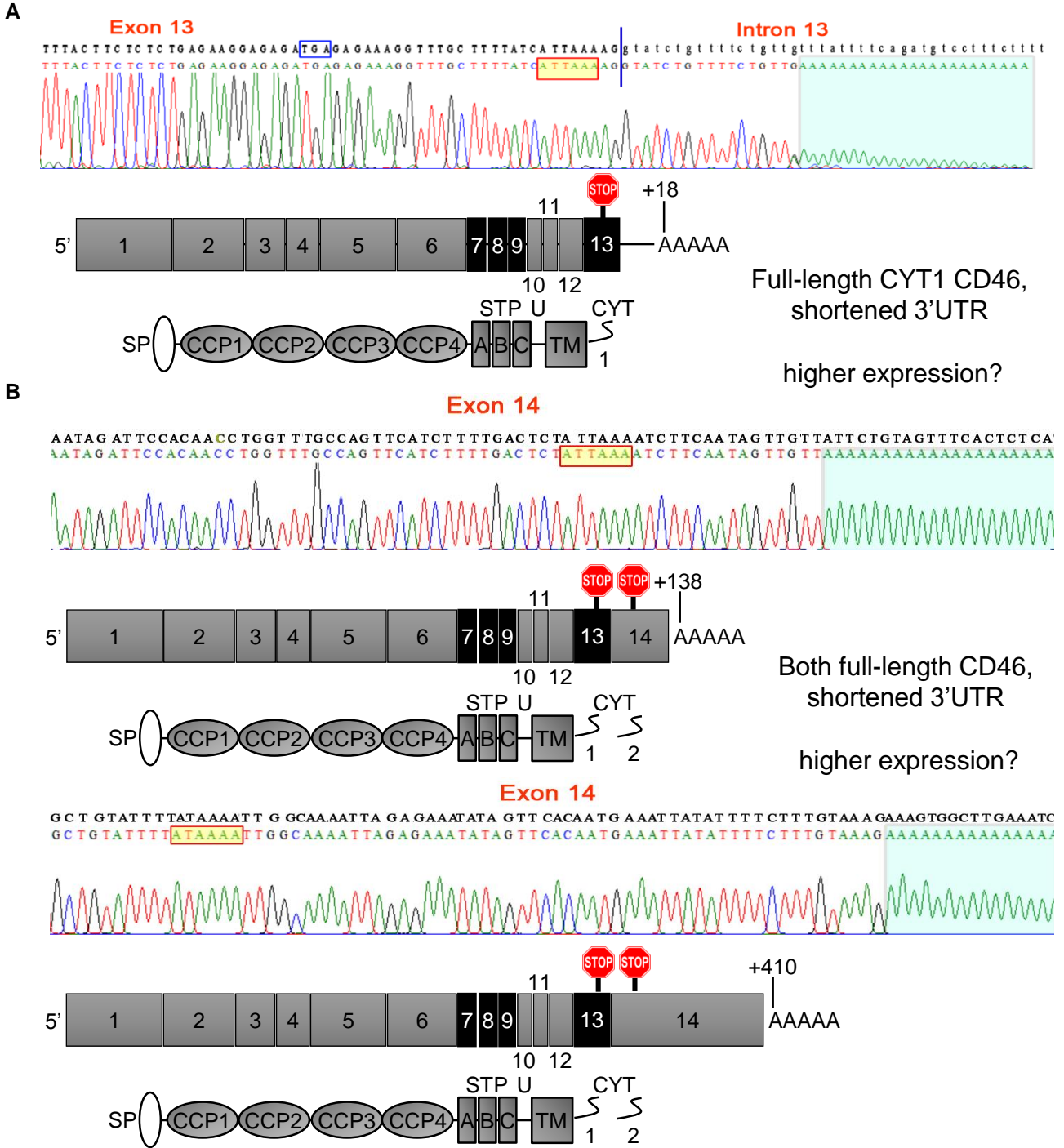


Figure 6



**Figure 7**

