

1        **Reducing Emissions of Atmospheric Pollutants along Major Dry**  
2        **Bulk and Tanker Routes through Autonomous Shipping**

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8  
9        **Abstract**

10    The present study investigates the environmental benefits of phasing-in autonomous ships in  
11    global maritime transportation along major dry bulk and tanker routes using Bayesian  
12    probabilistic forecasting algorithm. The focus is on the simulations and calibrations on the  
13    navigational behavior of autonomous ships at both port and high-sea, as well as the potential  
14    emission abatement of atmospheric pollutants compared to the conventional fleet along the  
15    sailing routes. We use historical data on major international tanker and dry bulk trade routes to  
16    characterize the ship movements and trends in ship emission. Different scenarios are evaluated  
17    with a combination of autonomous ship phase-in rates (25, 75, 100%) and cleaner fuel choices  
18    in Years 2030 and 2050 (from the baseline Year 2020). The results show that the magnitude of  
19    the emission reduction generally increases with a higher level of autonomous ships in the fleet  
20    as expected, and the magnitude ranges from small increments to major reductions of 37-64%  
21    along the different routes. Overall, we hope that our findings can contribute towards the  
22    realization of environmental benefits with the adoption of autonomous shipping along the  
23    major shipping routes in the future.

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25    *Keywords:* autonomous shipping; emission; Bayesian forecasting; port-to-port optimization.

## 26 **1. Introduction**

27           The advancement of Maritime Autonomous Surface Ships (MASS) is progressing  
28 rapidly with their potentials to enhance navigation, efficiency, and environmental  
29 protection. Their practical adoption into the maritime fleet can occur as coastal vessels  
30 from as early as the first half of Year 2020s, and as commercial cargo ships by Year 2035  
31 (Rødseth Ørnulf, 2013; Rolls-Royce, 2016a). The disruption can transform existing  
32 practices in the logistic chain and redefine the maritime industry in numerous ways,  
33 including: 1) the port can better integrate the transport system and facilitate port entries  
34 and ship manoeuvring from dock to dock; 2) the fairway navigation, which encompasses  
35 the entire voyage performance from origin to destination port including the high-sea, can  
36 be tightly coordinated; and 3) the operational efficiencies can be significantly enhanced by  
37 the technological advancement of the autonomous ships themselves. To propel the  
38 autonomous shipping forward, it is critical to perform valid demonstrations and  
39 simulations to quantify these potentials and benefits. The present study is initiated to  
40 explore the environmental benefit of the reduction in atmospheric pollutant emissions with  
41 the incorporation of autonomous shipping in the existing major international trading routes  
42 for dry bulk and tankers.

43           Autonomous ships have been on the agenda of marine community for some time.  
44 The concept was first mentioned in the 1980's by Schonknecht (1983), followed by the  
45 Japanese Intelligent Ship Project to develop automatic operation systems in the maritime  
46 field. Later, the Korea Research Institute of Ship and Ocean Engineering (KRISO)  
47 suggested the autonomous ships for maritime survey and surveillance to deal with national  
48 issues (KRISO, 2011). The recent wave of digitalization has further boosted the interest in  
49 leveraging the advantages of technology to address the current and future challenges in the  
50 global shipping industry. Many projects around autonomous ships had already been

51 initiated in the last decade (DNV GL, 2015; NFAS, 2017; SINTEF Ocean, 2020), with the  
52 primary objective to increase sea capacity so that more cargoes can be moved from road  
53 networks to waterways to alleviate the overcapacity of existing roads. In terms of  
54 implementation, Europe has been at the forefront of efforts to develop the necessary rules  
55 of autonomous ships, with Rolls-Royce and Kongsberg Maritime being the leading  
56 players. MUNIN (2016), Rolls-Royce (2016b) and MOL (2017) developed commercially  
57 and technically viable plans for ship navigation, machinery and all onboard operating  
58 systems at the concept level. Numerous research studies had also been performed to  
59 examine the various aspects with the practical applications of autonomous shipping, such  
60 as technological progress and path planning (Candeloro et al., 2017; Song et al., 2017;  
61 Singh et al., 2018; Topaj et al., 2019; Xia et al., 2019; Du et al., 2020; Garrido et al., 2020),  
62 manoeuvring control (Skjetne et al., 2004; Fossen, 2011; Zhao et al., 2014; Park, 2015;  
63 Dai et al., 2016; Zheng et al., 2017; Abdelaal et al., 2018), as well as collision avoidance  
64 and detection aspects (Statheros et al., 2008; Tam et al., 2009; Xue et al., 2011; Van Den  
65 Berg et al., 2011; Liu et al., 2016; Shen et al., 2019; Zhang et al., 2019; Huang et al., 2020).

66 Despite the extensive research work above, there remain some major research gaps  
67 on autonomous shipping based on our review. Firstly, prior work has focused more on the  
68 safe operation of autonomous ships with new digital technologies, such as sensors and  
69 artificial intelligence. However, the manner how these technologies can be operated in an  
70 integrated port-to-port manner to realise environmental gains has not been adequately  
71 addressed. Only a few pilot exercises have been conducted to assess the environmental  
72 performance of autonomous ships under controlled environment and short sea voyages,  
73 *e.g.*, DNV GL (2015) for a new efficient battery-propulsion system along the coast of  
74 southern Norway, and Kongsberg Maritime (2017) for an electric and zero-emission  
75 container ship that is scheduled to be put into service by 2022. Secondly, to our best

76 knowledge, no attempt has been made to investigate the impact due to potential adoption  
77 of autonomous ships in major international trade routes so far. This limitation is  
78 paramount, since 90% of the current world trade are carried by ships and it is predicted  
79 that the tonne-mileage will rise to 74,000 billion in 2030 (Lloyd's Register et al., 2013).  
80 Finally, the current researches are all based on a single ship, while a thorough assessment  
81 will require the inclusion of an autonomous tonnage group.

82 To address the research gaps, we perform detailed simulations of the adoption of  
83 autonomous ships in the major dry bulk and tanker shipping routes in this study, and  
84 forecast the ship emissions in Years 2030 and 2050 using the Bayesian probabilistic  
85 forecasting framework (Liu and Duru (2020) and Liu et al., (2021a)). With the framework,  
86 important features of ship emissions with temporal and spatial variables can be  
87 incorporated for coherent and unbiased forecasts. We noted that we had earlier employed  
88 this framework to examine the adoption of autonomous ships during port stays (Liu et al.,  
89 2021b). In this study, the framework is extended to the entire voyage and fleet from port-  
90 to-port to account for the total emission abatement with the autonomous ship adoption  
91 along the major dry bulk and tanker routes globally. Forecasting of port-to-port is of great  
92 importance because high-sea sailing represents a considerable proportion of the overall  
93 emissions, therefore its incorporating is critical for the planning of many stakeholders in  
94 the industry. In this study, we adopt the Bayesian algorithm and establish the relevant  
95 operational profile of autonomous ships, with considerations of ship types, cleaner future  
96 viable fuels, engine efficiency improvement, and traffic volume. In addition, since the  
97 adoption of autonomous ships shall be gradual, there shall be a co-existing period of  
98 manned and autonomous vessels traversing the maritime routes simultaneously. Hence,  
99 several phase-in scenarios are also included, and the mixed emissions are compared with  
100 manned shipping only over the forecasting time horizon. Overall, we hope that the present

101 results on the environmental benefits can further aid the in-depth evaluation of the adoption  
102 and scaling up of autonomous ships in the shipping industry in the future.

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## 104 **2. Methodology**

### 105 *2.1 Site selection and Research Design*

106 Simulations studies have been carried out for major shipping routes from origin to  
107 destination ports in the following: (a) three dry bulk carrier routes, i.e. Tubarao - Rotterdam,  
108 Tubarao- Kashima, and Hedland- Tianjin, and (b) three tanker routes, i.e. Ras Tanura- Qingdao,  
109 Ras Tanura- Galveston, and Houston- Singapore. The rationales for selecting these routes are  
110 multifold. First, they are core routes linking major continents and connecting important  
111 commercial shipping hubs. They also represent shipping flows with forecasted surges in traffic,  
112 which calls for more efficient and environmental-friendly handling. Second, the selected sea  
113 routes consist of varying voyage distance, transit time, and sailing conditions, thus representing  
114 nearly all the shipping scenarios of such trades. Finally, these origin and destination ports are  
115 major bulk cargo importers and exporters which together constitute a large percentage of global  
116 seaborne trade annually. Hence, these ports can reveal the magnitude of potential environmental  
117 benefits due to the adoption of autonomous ships.

118 There are two types of data collected in this study. The first type is the ship movement  
119 data (AIS data), which analyzes the sailing pattern of manned ships and designs the operation  
120 of autonomous ships. Ship movement data includes the geographical position, transmit time  
121 and sailing speed, which are sampled from AXSMarine<sup>1</sup> for the full year of 2020. To ensure  
122 that the algorithm captures the data in details, all the effective dynamic AIS observations on  
123 the voyages are retained after removing erroneous and duplicated records. In total, 36,000 final  
124 AIS observations with valid information are included this study for the data-processing,

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<sup>1</sup> AXSMarine [website], <https://public.axsmarine.com>, (accessed 6 January 2021).

125 calculation and analysis, of which high-sea and port movements accounted for 85% and 15%,  
126 respectively. Based on these findings, approximately 15% of marine fuel consumption for the  
127 fleet occurred in ports and anchorages where ships often operate in very inefficiently in their  
128 port call processes, *e.g.*, frequent speed changes with abrupt stoppages, prolonged slow-speed  
129 sessions to wait for berth allocation, and avoidance of collision with nearby ships or floating  
130 objects. Even during high-sea voyages, unproductive maneuvers could often be identified from  
131 the observations, such as unnecessary speed variations and frequent accelerating and  
132 decelerating operations. The observed sailing pattern of manned ship creates energy surges  
133 typically, which leads to sub-optimal combustion processes and an increase in specific fuel  
134 consumption per unit of power produced (Faber et al., 2012).

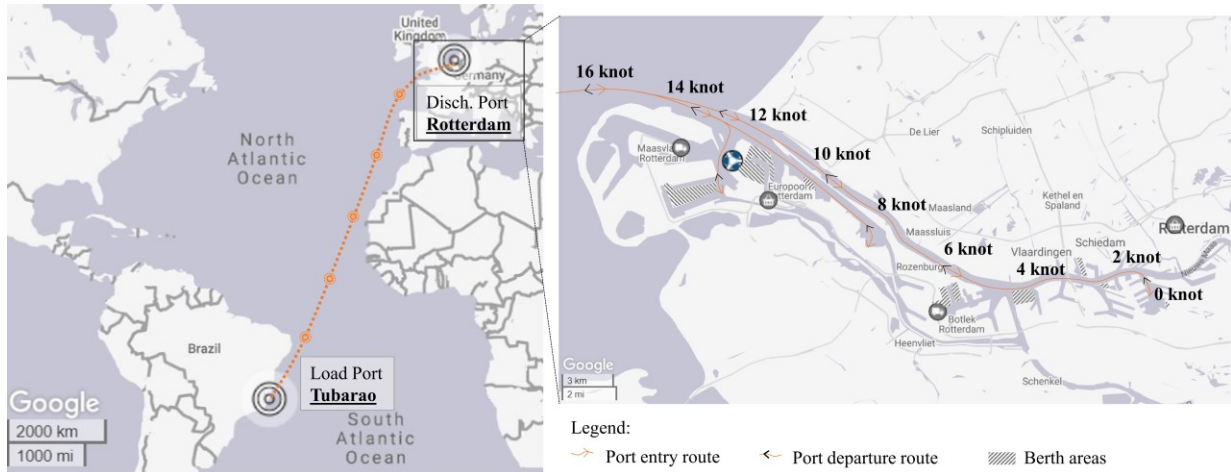
135         Comparatively, autonomous shipping is modelled using an optimized operational  
136 profile enabled by the advanced technology on board, with a constant sailing speed on the high-  
137 sea and a gradually decreased speed pattern in ports when approaching the harbor. Figure 1  
138 provides a demonstration for the profile, which a proposed speed is designed based on real AIS  
139 data along the selected sea routes from January to December 2020. Currently, ships with  
140 different types and sizes sail with different operational speeds. A typical large tanker is  
141 designed to sail at ~15 knots, whereas a large dry bulk carrier at ~13-14 knots. In addition,  
142 large ships are usually associated with a higher speed than smaller ships due to their design  
143 constraints and hence the actual sailing speed may vary considerably among the different sizes.  
144 For example, a typical 200,000 Dead Weight Tonnage (DWT) crude oil tanker has an average  
145 sailing speed of 15 knots, whereas a 60-79,999 DWT crude oil tanker has a sailing speed of 13  
146 knots. Such variations are important in determining the navigational characteristics of  
147 autonomous ships because a single speed for all ships would affect the ship type differently.  
148 Hence, differentiated proposed speed is essential to be assigned to ships of types and sizes.

149         After establishing the proposed speed, the modelling proceeds to modify the existing

150 ship movements by incorporating the sailing profile of autonomous ships. First, a complete  
151 voyage is divided into numerous partial ship trajectories with the time and speed information  
152 recorded. Then, the partial trajectories are calibrated by substituting new speed information  
153 with corresponding changes made in the time duration for that trajectory. It is important to  
154 adjust the time interval to prevent overestimation or underestimation of the distance covered  
155 due to the modified version of sailing speed. This can be done by using the Haversine Rule,  
156 which calculates the distance between two points on Earth. For example, given a sailing  
157 distance of  $x$  nautical miles from origin to destination port, the  $x$  nautical miles are split into  $k$   
158 equally partitioned ship trajectories. The coordinates are recorded to determine the distance  
159 travelled for each trajectory. As a result, there are  $k$  modifications for each step, then the  
160 problem is to find an efficient way to convert the speed to ensure the assigned values are  
161 reasonable estimates.

162 In this study, the voyage time including both the sailing time on high-sea and within  
163 ports serves as the benchmark to evaluate the proposed scenario. This is because the voyage  
164 time reflects the shipping demand on the selected types of trade. Changing the relative  
165 performance of autonomous ships below the benchmark, *e.g.*, shorter voyage time, would  
166 simply render the current fleet less efficient. Based on our assumptions, the simulations with  
167 autonomous shipping result in a shorter turnaround time for all the study areas and ship types,  
168 which is consistent for the purpose of our analysis. The final output is a sequence of partial  
169 ship trajectories with newly embedded speed and time values. Moreover, since the existing sea  
170 routes demonstrate reliable and realistic trading choices with en-route bunkering stops, the  
171 scenario of autonomous ships shall also follow the same ship trajectories.

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**Fig.1.** Concept of autonomous ship navigation (Tubarao to Rotterdam).

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The second data type is the historical ship traffic data, which tracks the development over time on the selected routes and forecasts the future traffic volume in Years 2030 and 2050. The data are collected from AXSMarine for the period of 2013 to 2020 monthly. Specifically, time series forecasting is applied to establish a function based on the historical data which can then be used to predict future values. Instead of basing on a single forecasting technique, this study adopts an ensemble approach which combines the results of several forecasting models, namely the AutoRegressive Integrated Moving Average (ARIMA), Exponential Smoothing (ETS), Holt-Winters (HW), and Neural Network Auto-Regressive (NNAR).

The computation procedures are given as follows. First, the available historical traffic data are split into training, validation and test subsets based on a 40-20-40 ratio. The training subset allows the models to learn the real properties of the input, build up the prediction models, and subsequently predict on the validation subset. Then, multiple accuracy metrics are established to give an unbiased assessment of the trained models, tune the parameters, and select the final models with lower forecast errors on the validation dataset. Subsequently, the test subset is again split 70-30 into two parts (test1, test 2). The test1 trains the ensembles on a completely unseen real-world data and adjusts the weights (normalized to 1) accordingly. The

192 test2 evaluates different ensemble schemes on their performance between actual and forecasted  
 193 results and filters the best fitted ensemble model. Finally, the selected ensemble is used to  
 194 predict the future traffic on the sea routes.

195 Overall, this process can be expressed using the following mathematical formulas.  
 196 Suppose the actual data are  $Y = \{y_1, y_2, \dots, y_N\}$  and the forecast results are  $\hat{Y}^{(i)} =$   
 197  $\{\hat{y}_1^{(i)}, \hat{y}_2^{(i)}, \dots, \hat{y}_N^{(i)}\}$  based on the  $i^{th}$  time series forecasting method ( $i = 1, 2, \dots, N$ ). Then, the  
 198 ensemble series obtained from combining these  $n$  forecasted series are:

$$199 \quad \hat{Y}^{(c)} = \{\hat{y}_1^{(c)}, \hat{y}_2^{(c)}, \dots, \hat{y}_N^{(c)}\} \quad (1)$$

$$200 \quad \hat{y}_k^{(c)} = w_1 \hat{y}_k^{(1)} + w_2 \hat{y}_k^{(2)} + \dots + w_n \hat{y}_k^{(n)} = \sum_{i=1}^n w_i \hat{y}_k^{(i)}; \forall k = 1, 2, \dots, N \quad (2)$$

201 where  $w_i$  is the weight assigned to the  $i^{th}$  time series forecasting model.

202 The calculated results are given in details in the Appendix. Particularly, the  
 203 performance of the ensemble model is superior to the best single model for different sea routes  
 204 in most of the accuracy metrics. This can be attributed to the fact that the shipping industry is  
 205 volatile in nature, hence it is very difficult for a single model to capture all the underlying  
 206 trends of the data. Since the ensemble method offers a diverse pool of models, each can learn  
 207 a different set of temporal knowledge and together their ensemble predictions can aggregate  
 208 the forecasting strengths of the contributing models and enhance the overall forecasting  
 209 accuracy. In addition, we base the future ship size distributions of dry bulk carriers and tankers  
 210 on the information given in the IMO report (2020). This is to ensure the potential changes in  
 211 ship sizes are well reflected in the emission forecasts and the simulations represent the realistic  
 212 sailing environment as much as possible.

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## 214 2.2 The Bayesian probabilistic forecasting algorithm

215 The Bayesian probabilistic forecasting algorithm provides a platform for different data

216 sources to merge and form probability distribution. In other words, the sampled movements of  
 217 conventional ships can be transformed into time distributions and updated by newly calibrated  
 218 movements of autonomous ships to form the posterior distribution as:

$$219 \quad P(\theta|y_t) = \frac{P(y_t|\theta)P(\theta)}{P(y_t)} \propto P(y_t|\theta)P(\theta) \quad (3)$$

220 where  $P(\theta|y_t)$  is the posterior time distribution given the sampled ship movement data  $y_t$ ,  $t$  is  
 221 the time of the data,  $P(y_t|\theta)$  is the likelihood function, and  $P(\theta)$  is the prior time distribution.  
 222 The denominator is a normalizing constant which ensures that  $P(\theta|y_t)$  integrates to unity.

223 The prior distribution is based on the posterior distribution of an independent pilot study  
 224 conducted from June to December 2019 along the selected sea routes. Due to the absence of  
 225 real prior information, the prior distribution of the pilot study assumes an uniform distribution,  
 226 i.e.  $f(\theta) = \text{constant}$ . The lower and upper bounds  $[a_{min}, b_{max}]$  denote the historical minimum  
 227 and maximum time to travel on the routes according to published records (AXSMarine, 2021),  
 228 and assume equal probability for a ship to consume any amount of time within the range  
 229 depending on ship condition and real sailing environment. The ship movement data  $y_t$  sampled  
 230 during the pilot study is fed into the computation as new observations to update the prior  
 231 distribution. In this case, the data points are fitted with multiple candidate distributions using  
 232 the Anderson-Darling test at 0.05 significant level. Overall, the log-normal distribution is  
 233 observed with the highest  $p$ -value and further validated by the probability plot, which  
 234 demonstrates good alignment of datapoints in a straight line. The transformed log-scale is:

$$235 \quad P(y_0|\theta) = \frac{1}{\sqrt{2\pi}\sigma_{y_0}} \exp\left[-\frac{(y_0 - y_0(\theta))^2}{2\sigma_{y_0}^2}\right] \quad (4)$$

236 Hence,

$$237 \quad P(\theta|y_0) \propto \frac{1}{\sigma_{y_0}} \exp\left[-\frac{(y_0 - y_0(\theta))^2}{2\sigma_{y_0}^2}\right] 1_{\{\theta \in [a_{min}, b_{max}]\}} \quad (5)$$

238 where  $(\theta, \sigma_{y_t})$  are the mean and standard deviation of  $y_t$ .

239 After the pilot study, the posterior distribution derived from Eq. (5) is applied as the  
 240 prior distribution in the current research. A completely new set of ship movement data  $y_1$  are  
 241 collected from January to December 2020 to form the likelihood function and update the prior  
 242 knowledge. In this case,  $y_1$  embeds the features of autonomous ship navigation scenario with  
 243 corresponding modifications made in speed and time. The calibrated ship movements can be  
 244 converted into time distributions. The Bayesian modeling adopts an ensemble of functions,  
 245 each representing an independent time distribution for a specific speed interval *e.g.*, 1-2 knots,  
 246 2-3 knots, ..., and 14-15 knots. The likelihood function of the final time distribution is a  
 247 probabilistic combination of those from individual time distributions grouped by their  
 248 respective speed intervals. This result is then combined with the prior distribution (Eq. (5)) to  
 249 formulate the updated posterior distribution of autonomous ships as:

$$250 \quad P(\Theta|y_t) = \frac{1}{\sigma_{y_0}\sigma_{y_1}} \exp \left[ \frac{-(y_0 - y_0(\theta))^2}{2\sigma_{y_0}^2} - \frac{(y_1 - y_1(\theta))^2}{2\sigma_{y_1}^2} \right] \quad (6)$$

251 In the calculation of the posterior distribution, the Markov Chain Monte Carlo (MCMC)  
 252 Metropolis-Hastings algorithm is employed to produce a sufficiently large number of samples  
 253 from the posterior distribution function described by Eq. (6). The following illustrates the  
 254 MCMC algorithm performed for  $t = 1, \dots, t - 1$ :

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- 256 1. Initialize the starting state  $\Theta_t$  at  $t = 0$
- 257 2. Draw a sample  $\Theta_*$  from a normal proposal distribution  $\Theta_* \sim q(\Theta_*|\Theta_t)$ . Note that this  
 258 proposal is now a function of the previously drawn sample  $\Theta_t$ , at time step  $t$ .
- 259 3. Decide whether the computation should accept this new state by calculating the acceptance  
 260 ratio  $r(\cdot)$  and probability  $\alpha(\cdot)$ , as shown by Eqs. (7) and (8) below.

$$261 \quad r(\Theta_*|\Theta_{t-1}) = \frac{Posterior(\Theta_*)}{Posterior(\Theta_{t-1})} = \frac{p(\Theta_*|y_t) q(\Theta_{t-1}|\Theta_*)}{p(\Theta_{t-1}|y_t) q(\Theta_*|\Theta_{t-1})} \quad (7)$$

$$262 \quad \alpha(\Theta_*, \Theta_{t-1}) = \min\{r(\Theta_*, \Theta_{t-1}), 1\} \quad (8)$$

- 263 4. Draw a random number from the uniform distribution  $u \sim U(0, 1)$ .
- 264 5. Let  $\Theta_{t+1} = \Theta_*$  if  $u < \min(1, \alpha)$ , and  $\Theta_t = \Theta_{t+1}$  otherwise.
- 265 6. Repeat steps 2 and 3 until the samples converge. In this calculation, approximately 8,000
- 266 postburn-in samples are used for inference and forecast.

267

268 The Bayesian-based model relates the posterior time distribution with the ship

269 parameters specified in Eq. (9) to calculate the ship emission for a single voyage, which is then

270 combined with the forecasted traffic to obtain the total emissions in any forecasting year. The

271 entire calculation process is summarized in a study flowchart shown in Figure 2.

$$272 \quad E_{i,j,k,l,m} = \sum_{i=1}^n VAN_m \times P_j \times LF_{j,l} \times T_{j,l} \times EF_{i,j,k} \times CF_{i,k} \times LLAF_j / 10^6 \quad (9)$$

273 where  $i, j, k, l, m$  and  $n$  represents the pollutant species, engine type, fuel type, operating mode,

274 ship type and number of AIS observations, respectively.  $E$  is the estimated emissions (t);  $VAN$

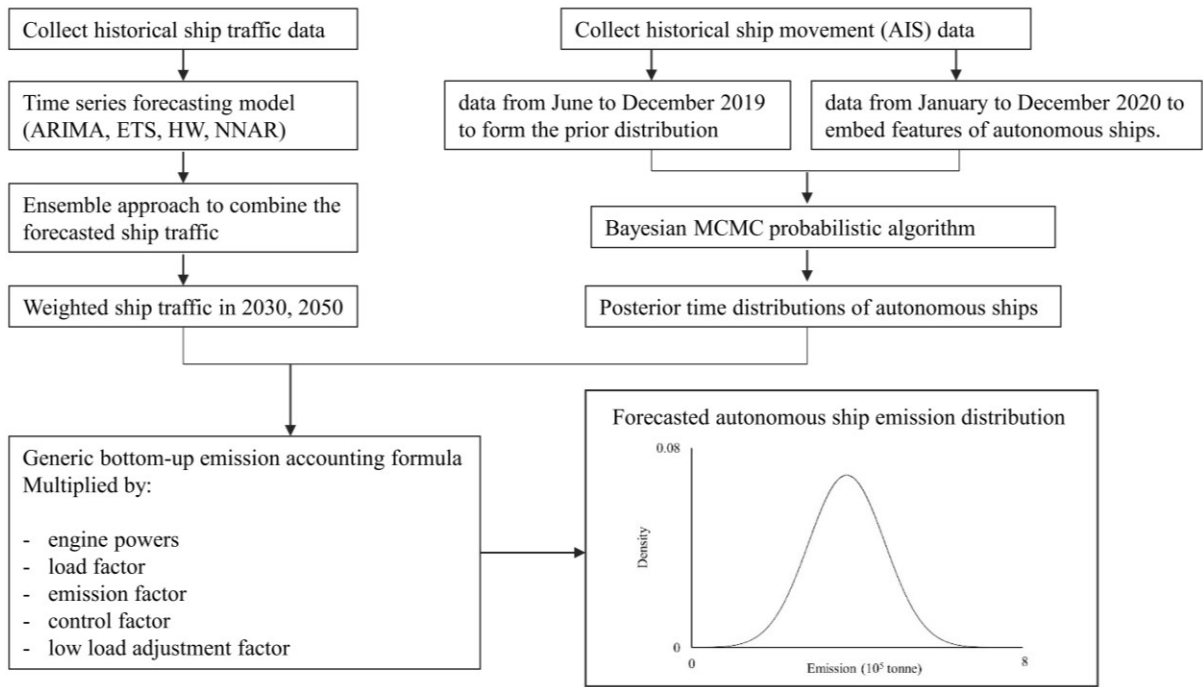
275 is the total ship traffic on each selected route;  $P$  is the engine power (kW);  $LF$  is the load

276 factor;  $CF$  is the control factor for any emission reduction measures (Table A.3);  $T$  is the

277 posterior time distribution (h);  $EF$  is the emission factor (g/kW·h, Table A.4-A.10); and  $LLAF$

278 is the low load adjustment factor.

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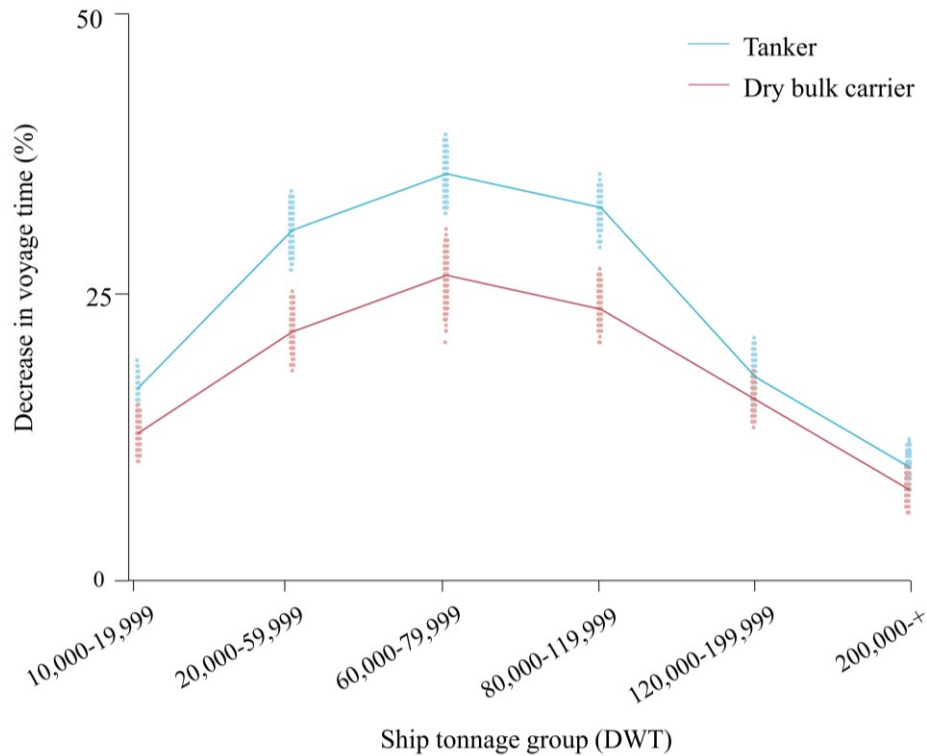
**Fig.2.** Study flowchart.

281 **3 Results and Discussion**

282 *3.1 Emission results*

283 The simulated results of autonomous ships, shown in Figure 3, show that the voyage  
 284 time savings on the selected sea routes increase in the smaller tonnage groups before rising  
 285 rapidly and then falling gradually for the larger tonnage groups. The savings are generally  
 286 higher for tankers than dry bulk carriers, and the difference is largest for the 60,000-79,999  
 287 tonnage group but reduces substantially for larger tonnage groups. This ship type difference is  
 288 similar for autonomous ships, which superior navigational performance can be realized  
 289 together with the emission reduction in atmospheric pollutants.

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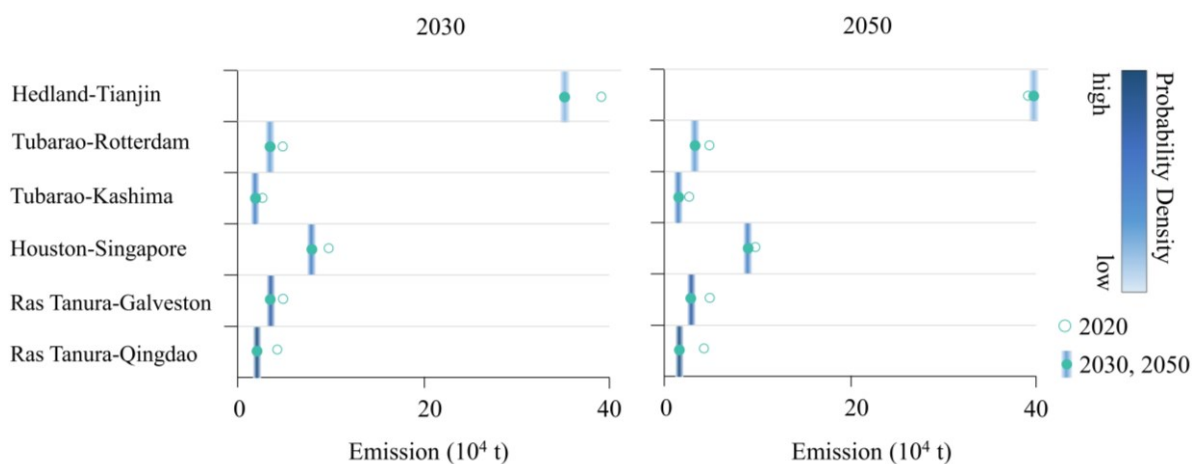
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292 **Fig.3.** Decrease in voyage time by ship size group (the solid line shows average decrease  
 293 and each point shows change in each ship).

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295 Ship emissions in Year 2020 ranged from  $4.45 \times 10^4$  to  $9.99 \times 10^4$  ton for tankers, and  
 296 from  $2.63 \times 10^4$  to  $3.92 \times 10^5$  ton for dry bulk carriers. The above number in ton is the sum of  
 297 some major pollutants, which includes  $\text{CO}_2$ ,  $\text{SO}_x$ ,  $\text{NO}_x$ , PM, CO, and  $\text{CH}_4$ . We note that the  
 298 emission results in 2020 only include the conventional ships, while the projections in 2030 and  
 299 2050 reflect the 50% autonomous ship phase-in scenario. The future scenarios are based on the  
 300 forecasted ship traffic as detailed in Section 2.1. Figure 4 shows that between 2020 and 2030,  
 301 there is 90% probability that ship emissions will decrease by 20-49% for tankers and 11-30%  
 302 for dry bulk carriers, reaching a level of  $3.37 \times 10^4$  ton (Tubarao to Rotterdam),  $1.92 \times 10^4$  ton  
 303 (Tubarao to Kashima),  $3.50 \times 10^5$  ton (Hedland to Tianjin) for tankers, and  $2.22 \times 10^4$  ton (Ras  
 304 Tanura to Qingdao),  $3.66 \times 10^4$  ton (Ras Tanura to Galveston), and  $8.03 \times 10^4$  ton (Houston to  
 305 Singapore) for dry bulk carriers. Ship emission gains varied substantially across sea routes

306 because large cross-route variations exist in how much ship-specific performance improved.  
 307 The variation can be attributed to the sailing route choice i.e. bunkering stop priorities and  
 308 distances, and the voyage time savings for the individual ship size group. For example, about  
 309 two-thirds of the forecast reductions in dry bulk carriers and more than three-quarters of those  
 310 in tankers are due to the voyage time reductions and optimized speed configuration of  
 311 autonomous ships. The voyage time reductions of autonomous ships are uneven across the  
 312 different ship sizes, with percentage averaging ~22% in small tonnage groups (20,000-59,999  
 313 DWT), larger in middle-size ships (60,000-119,999 DWT), but smaller in bigger vessels  
 314 (120,000-199,000 DWT), especially in the tanker segment. The notable exception is the dry  
 315 bulk carrier route from Hedland to Tianjin, where the emission in 2050 is projected to stagnate  
 316 or increase relative to 2020, with at least 35% probability if the current traffic continues to  
 317 grow with no additional action. Going forwards, environmental benefits could be realized in  
 318 most of the sea routes, reaching or surpassing  $4.52 \times 10^4$  ton (~39% less compared to 2020) for  
 319 tankers and  $1.49 \times 10^5$  ton (~23% less compared to 2020) for dry bulk carriers by Year 2050  
 320 with over 50% probability. In a few sea routes (Tubarao-Kashima; Ras Tanura-Qingdao), the  
 321 emissions of autonomous ships reach  $1.64 \times 10^4$  ton for dry bulk carriers and  $1.59 \times 10^4$  ton for  
 322 tankers at 90% probability, yet they represent drastic reductions of 37-64% relative to 2020.  
 323



324 **Fig.4.** Posterior distribution of projected ship emissions (Year 2030, 2050).  
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326 A larger relative reduction in ship emissions can be expected in tankers than dry bulk  
327 carriers, because their voyage time is forecasted to shrink more comparatively with noticeable  
328 improvement in the sailing performance of small and middle-size tankers. The difference is  
329 more pronounced for tonnage ranges between 20,000-119,999 DWT, whereas, in very-small  
330 and very-large ships, the relative effects are similar in dry bulk carriers and tankers. For  
331 example, the autonomous tankers have an estimated 39% reduction in emissions between  
332 20,000-119,999 DWT, compared to 28% in dry bulk carriers of the same size. In absolute  
333 terms, the total emission reduction due to the adoption of autonomous ships is overwhelmingly  
334 in the tonnage range between 60,000-119,999 DWT which contributes 61% of the total gains.

335 As a result of these trends, the emission difference across the different ship-size groups  
336 increases between 2020 and 2050. For example, between the middle-size ships i.e. 60,000-  
337 119,999 DWT and bigger vessels i.e. 120,000-199,999 DWT, the difference increased from  
338  $1.15 \times 10^3$  to  $1.91 \times 10^3$  ton for tankers, and from  $1.10 \times 10^3$  to  $1.85 \times 10^3$  ton for dry bulk  
339 carriers. The widening occurs because the current sailing profile of small- and middle-size  
340 ships are more volatile with speed surges. Because the engine power bears a nonlinear  
341 relationship with the speed, therefore the sailing profile has a significant influence on the fuel  
342 consumption and the engine emissions. In other words, improving the time and speed features  
343 of small- and middle-size ships can yield a higher degree of emission reduction compared to  
344 the larger vessels, and is thus highly beneficial towards the planning of future regulations and  
345 ship deployment. Another difference is that the bigger vessels between 110,000-200,000 DWT,  
346 which currently takes up a significant portion of the world fleet (~17% for tankers and ~15%  
347 for dry bulk carriers in 2018) are expected to yield greater benefits with the adoption of  
348 autonomous ships by 2050. This is due to their growing shares in the fleet in the future (~53%  
349 and 39%, respectively (IMO, 2020)) and hence longer-term implications on the environment.

350 In the literature, some research studies (*e.g.*, Lindstad et al. 2012) had noted that bigger

351 vessels tend to yield better environmental performance per tonnage due to the economy of scale.  
352 The findings in this study, however, show that the autonomous progress would come at the cost  
353 of rising ship-size divergence, and the future emission outlooks will be associated more with  
354 fleet-level compositions than individual ship characteristics. Thus, steps should be taken in the  
355 future to focus on ship-size inequalities as part of worldwide ship emission accounting systems.

356

### 357 *3.2 Emission result by phase-in rates and fuel types*

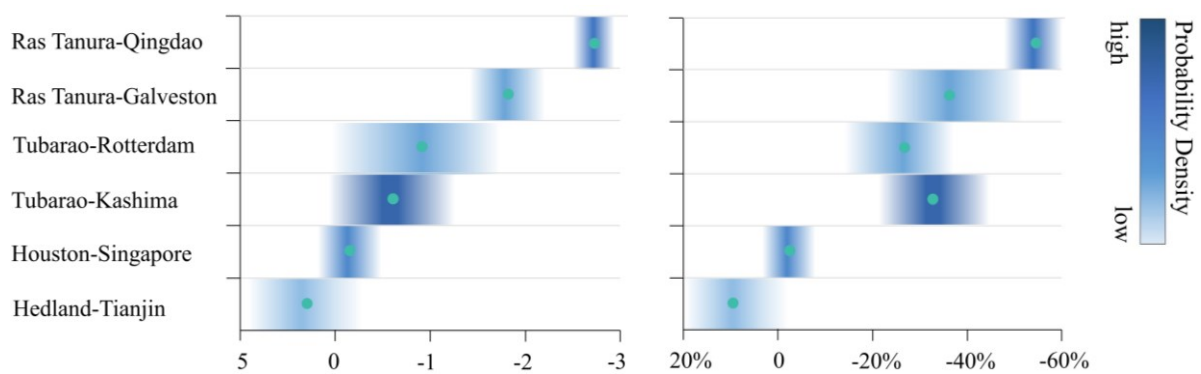
358 As stated earlier, there is a high probability that future emissions by autonomous ships  
359 will continue to decrease from 2020 to 2050 for the selected sea routes. To investigate the  
360 opportunity space of such technology, this study constructs a series of scenarios, each defining  
361 a specific combination of potential reductions based on two types of influential drivers: the  
362 autonomous ship phase-in rate, i.e. 25, 75, and 100%, and alternative fuel type. In this section,  
363 we focus on three types of future viable fuels, namely the Liquefied Natural Gas (LNG), Very  
364 Low Sulphur Fuel Oil (VLSFO), and methanol. For each scenario and sea route, we evaluate  
365 whether the specific combination of reductions can achieve larger emission reductions by  
366 comparing with 2020, which is the baseline year for measuring emission progress, as well as  
367 the overall emission results of autonomous ships in Section 3.1 (referred to as S0 hereafter).

368 Figure 5(a) plots the posterior probability of the emission reductions with 25% phase-  
369 in rate, which shows that a decline in ship emissions over the forecasting period 2050 is less  
370 than 50%, i.e. an increase is more likely due to projected ship traffic growth from Hedland to  
371 Tianjin; 75-90% for tankers from Houston to Singapore and dry bulk carriers from Tubarao to  
372 Kashima; 99% for dry bulk carriers from Tubarao to Rotterdam; and more than 99% for tankers  
373 from Ras Tanura to Galveston and Ras Tanura to Qingdao. Figure 5(b) maps the posterior  
374 distributions of percent reduction from 2020 to 2050. The relative reduction in ship emissions,  
375 compared to the 2020 level, ranges from an average of 17% for dry bulk carriers to more than

376 25% for tankers. The largest reduction in ship emissions for tankers is most likely to be from  
 377 Ras Tanura to Qingdao (75%), followed by Ras Tanura to Galveston and Houston to Singapore;  
 378 and for dry bulk carriers, the largest reduction is likely from Tubarao to Kashima (35%),  
 379 followed by Tubarao to Rotterdam and Hedland to Tianjin.

380 The relatively minor reduction in some of the sea routes, such as the dry bulk carrier  
 381 route from Hedland to Tianjin, and to some extent the tanker route from Houston to Singapore,  
 382 is a consequence of having both a long duration of speed rises and large proportion of big  
 383 vessels that are more than 200,000 DWT in the traffic. In some parts of the voyage, the  
 384 observed sailing speed is more than twice of the other legs of the journey. In contrast, the routes  
 385 from Ras Tanura to Qingdao and Ras Tanura to Galveston have smaller speed rises and shorter  
 386 times, and, hence, an overall decrease of more than 12 times compared to Hedland to Tianjin  
 387 and Houston to Singapore. At the same time, Tubarao to Rotterdam and Tubarao to Kashima  
 388 had the longest duration of speed rises but with a smaller proportion of large vessels in the  
 389 fleet. Hence, the overall relative emission reduction falls between the sea routes with moderate-  
 390 to-high (for example, Ras Tanura to Qingdao and Ras Tanura to Galveston) and moderate  
 391 emission savings (for example, Houston to Singapore and Hedland to Tianjin).

392



393

(a) Emission reduction ( $10^4$  t).

(b) Emission reduction (%).

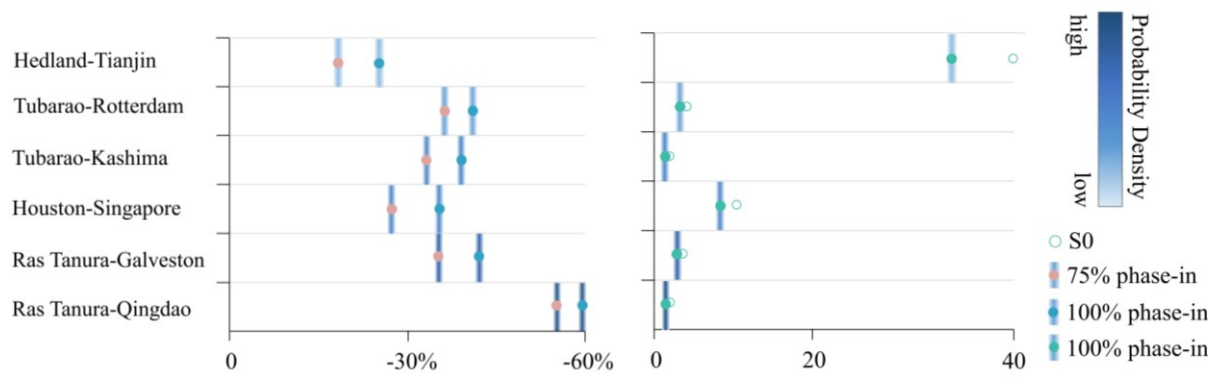
394

395

**Fig.5.** Posterior distribution of emission reduction at 25% phase-in rate.

396           Taken across all the sea routes in this study, the amount of emission reduction due to  
397 autonomous ships is expected to grow with higher phase-in rates (Figure 6(a)). For example,  
398 with 75% autonomous ships in the fleet, there is 90% probability that the total ship emission  
399 will be reduced by at least 27% for tankers and 18% for dry bulk carriers by 2030. At the  
400 extreme, if fully autonomous ship navigation would be adopted in every sea route, the overall  
401 emission in 2030 would decline by 35-60% for tankers and 25-41% for dry bulk carriers with  
402 50% probability. By 2050, the median decline would be 49% for tankers and 34% for dry bulk  
403 carriers compared to 2020. The relative effect of the phase-in rate on ship emissions can be  
404 compared with the S0 results presented in Section 3.1. Under the S0 scenario, there is a  
405 projected reduction in ship emissions between 2020 and 2050 in five of the six sea routes, with  
406 the reduction ranging from 11-62% for tankers and -2-36% for dry bulk carriers of the 2020  
407 levels. A higher rate of autonomous ships adoption can therefore further accelerate the  
408 reduction in future emissions in all the sea routes on top of the projected S0 decline, and can  
409 even reverse the rising trend in the dry bulk carrier route from Hedland to Tianjin (Figure 6(b)).  
410 At the regional level, the accelerated adoption of 100% can help delay or prevent between  $2.58$   
411  $\times 10^4$  ton in the tanker routes and  $7.30 \times 10^4$  t in the dry bulk carrier routes over the analysis  
412 period of 2050. In sea routes and ship types where the emission reduction relative to S0 has a  
413 posterior probability of at least 50%, the reduction is lowest for tankers from Ras Tanura to  
414 Qingdao and for dry bulk carriers from Tubarao to Kashima. The additional benefit is the  
415 largest from Hedland to Tianjin and from Houston to Singapore, with posterior median  
416 estimates for tankers and dry bulk carriers ranging from  $7.22 \times 10^4$  to  $3.34 \times 10^5$  ton, a level  
417 that is above and beyond the reduction under the S0 scenario. The posterior median decrease  
418 is also more than  $5.26 \times 10^4$  ton for both ship types in Tubarao to Rotterdam and Ras Tanura  
419 to Galveston, equivalent to a minimum reduction of 16% compared to S0.

420



(a) Emission reduction in 2030 (%).

(b) Emission in 2050 (10<sup>4</sup> t).

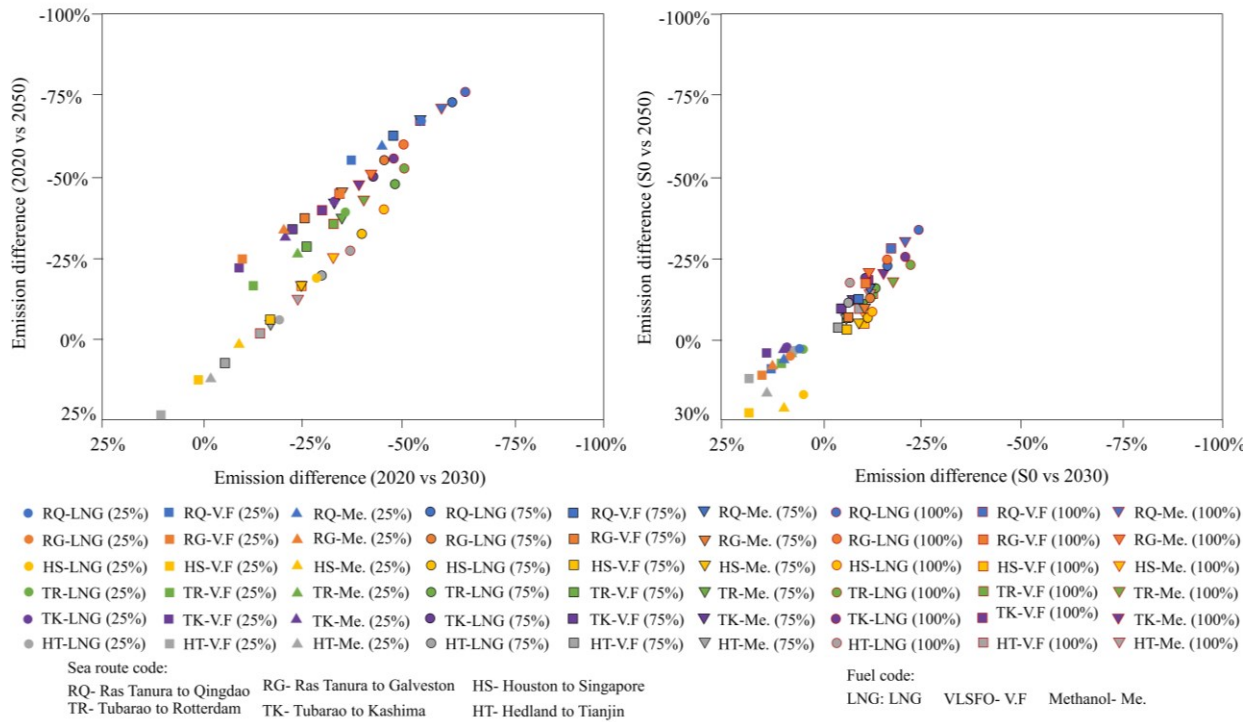
**Fig.6.** Posterior distribution of ship emissions (Year 2030, 2050).

Another important driver of ship emission is the fuel choice. From Year 2020 onwards, it has been made mandatory to switch from the high-Sulphur 3.50% bunker fuel to cleaner fuels with maximum 0.50% m/m Sulphur content. We have included the effect of regulation by simulating the complying fuel-mix in the ship emission calculations. Previous studies on ship emission pointed out that the transition to low-Sulphur fuels can bring a reduction of ship emissions and a reduced impact on human health (Tao et al., 2013; Contini et al., 2015). However, the recent study by Contini and Merico (2021) highlighted that there are still relevant impacts that require further mitigation efforts. In this study, we simulate the impact of cleaner fuels in combination with the adoption autonomous ships. Figure 7 presents the comparison of percent reduction in emissions between different fuel scenarios in the forecasting years - LNG only, VLSFO only, and methanol only. In relative terms, the LNG advantage is the largest in the tanker routes between 2020 and 2030 at 75% phase-in rate (49% reduction (40-62%) with LNG, followed by 38% (25-54%) with methanol and 30% (17-48%) with VLSFO) as well as dry bulk carrier routes (41% (31-48%) with LNG, 28% (17-35%) with methanol and 18% (6-26%) with VLSFO). A full adoption of automation in the fleet combined with LNG as combustion fuel has an even larger impact in 2050 than that of 2020 (~59% reduction in the

441 tanker routes and ~45% in the dry bulk carrier routes) and S0 (~26 and 20%, respectively), and  
442 is therefore the most efficacious approach. In particular, the tanker route from Ras Tanura-  
443 Qingdao is forecasted to have an emission greater than  $1.08 \times 10^4$  ton in 2050 with probabilities  
444 of over 50%, which is approximately 75% less than the amount in 2020. There is also a  
445 noticeable decrease of emission in the dry bulk carrier route from Tubarao to Kashima during  
446 the 2020-2050 period (from  $2.63 \times 10^4$  to  $1.17 \times 10^4$  ton), with a probability of 50%. As a result,  
447 its emission level by 2050 is projected to fall by 56% in the fuel scenario of LNG, followed by  
448 Tubarao-Rotterdam (53%) and Hedland-Tianjin (28%).

449         Of the remaining two fuel choices, the scenarios with methanol generally have a higher  
450 reduction ratio than VLSFO. Similar to LNG, the projected emissions from VLSFO and  
451 methanol are declining rapidly in most of the sea routes, from very high reduction in tankers,  
452 with some dry bulk carriers on track to achieve a reduction of one-third by 2030. These trends  
453 are expected to continue into 2050, when all routes have a 2-17% reduction at 100% phase-in  
454 rate compared to 2020, and at least 5-10% emission reductions compared to the levels of S0.  
455 The results imply that all tankers and dry bulk carriers can achieve lesser emissions compared  
456 to the baseline 2020, and a decline of more than the levels of S0 scenario, though ambitious, is  
457 within reach by utilizing cleaner fuels. They also point to the situation that the emission control  
458 strategy has to be implemented on a fast-track in successful scenarios for each sea route, which  
459 measures the degree of necessity of phasing-in autonomous ships at the fast rate to achieve the  
460 emission goals.

461



462

463

**Fig.7.** Comparison of percent change in emissions between different fuel scenarios.

464

Numbers in bracket *e.g.*, 25, 75, and 100% represent the autonomous ship phase-in rates.

465

Points represent the posterior median emission with respective phase-in rates).

466

467

We also investigate the ship emissions by pollutants and their rate of change over the period of 2020-2050 for various fuel types, shown in Figure 8. There are substantial variations in the decline rate, with the posterior median rate ranging from 38 to 80% in tankers and 22 to 78% in dry bulk carriers. SO<sub>x</sub> is the fastest declining pollutant (-80% in tankers and -78% in dry bulk carriers), followed by PM (-72, -67%), NO<sub>x</sub> (-65, -58%), CO<sub>2</sub> (-45, -33%), and CO (-35, -20%), with over 50% probabilities. Among all, the CH<sub>4</sub> emission in 2050 and its growth rate are lower than those by other studies (Liu & Duru, 2020; Liu et al., 2021a, b), with a moderate-to-medium decrease of 30 and 40% in tanker and dry bulk carrier routes by 2050, respectively. This difference might be attributed to the adoption and simulation of a diesel-cycle and high-pressure engine in autonomous shipping, which eliminates most of the methane slip (MAN Diesel & Turbo, 2016). On a CO<sub>2</sub>-equivalent (CO<sub>2e</sub>) basis using the 100-year

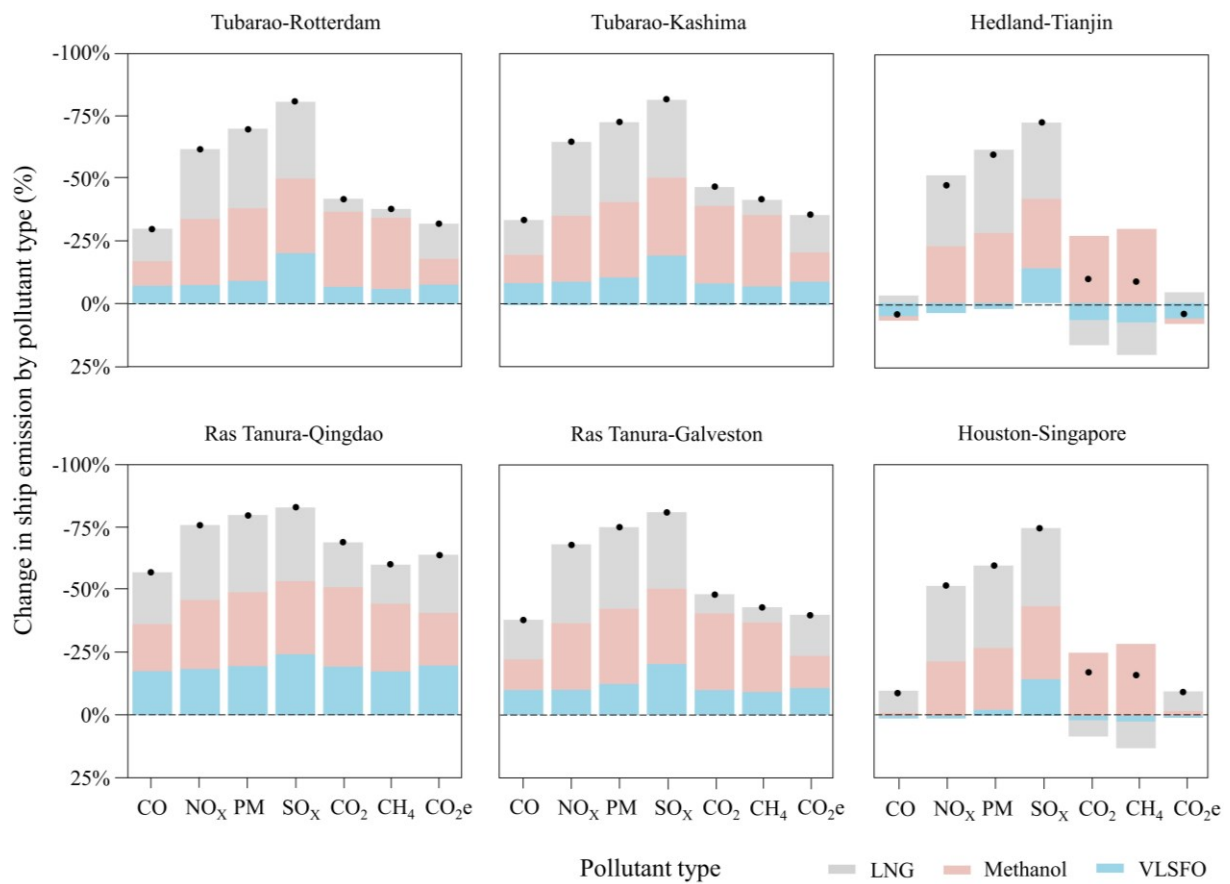
477

478 Global Warming Potential (GWP) factor of 1 for CO<sub>2</sub> and 25 for CH<sub>4</sub>, the overall CO<sub>2</sub>e  
479 emissions in 2050 are forecasted to decline by 9-64% for tankers and 4-36% for dry bulk  
480 carriers in different sea routes compared to 2020. The median decline would be 39% for tankers  
481 and 23% for dry bulk carriers. On top of that, there are notable differences in the reduction rate  
482 of pollutant types attributable to LNG versus VLSFO and methanol. For example, LNG can  
483 yield more than 1.5 times the decline of CO<sub>2</sub> (40%) and NO<sub>x</sub> (74%) as compared with VLSFO  
484 (20% for CO<sub>2</sub> and 29% for NO<sub>x</sub>). Similarly, the projected decrease of SO<sub>x</sub>, PM, CO and CO<sub>2</sub>e  
485 in tankers and dry bulk carriers with LNG is also substantially higher than methanol.

486         The sea routes in this study have different options to achieve emission reductions by  
487 2050. However, each route needs to examine its emission control strategy carefully, with the  
488 possible outcome in the present study ranging from 10% excess emissions to 71% reduced  
489 emissions. A clear choice is the switch to cleaner fuels. To provide a general picture, an  
490 emission abatement of 28-30% can be realized with the use of LNG for conventional ships by  
491 2050 compared with the 2020 level across various trading routes; 13-15% with methanol; and  
492 7% with LSFO. Significant higher emission cuts can also be achieved when combining the  
493 alternative fuel mixes with autonomous ships, reaching a higher range of 45-59% emission  
494 reductions by 2050. In addition, the projections highlight the importance of efficient port-call  
495 processes to reduce emissions. The results imply that a significant amount of ship emission  
496 occurs during manoeuvres in ports. With the efficient navigation of autonomous ships, the total  
497 emissions are expected to be curbed by 32-48% across ports by 2050 compared with 2020.  
498 This finding is critical because maritime emissions have a severe impact on local air quality of  
499 port-cities nearby the harbours (Merico et al., 2019). Given the vast amount of time that ships  
500 spend at docks, the fuel quality also has a large impact on the total emissions in ports. Hence,  
501 further studies on the rigorous comparative analysis of emission outcomes and their energy and  
502 technology system determinants, similar to the analysis carried out in this study, will be

503 essential to monitor the evolutionary trends and advocate the needed policies and actions.

504



505

506 **Fig.8.** Change in emissions between 2020 and 2050 by pollutant type. The black dots

507 represent posterior median change in emissions for each set of bars. The different color

508 represents the ratio of decrease/increase in emissions by different fuel types.

509

#### 510 4 Conclusion

511 This study develops the Bayesian probabilistic forecasting algorithm to simulate the

512 total abatement of pollutant emissions due to the progressive adoption of autonomous ships

513 from now to Year 2050 along three major international tanker and dry bulk carrier sea routes.

514 The methodology has the advantages of quantifying the uncertainty involved, beyond the

515 subjectivity and expert-judgement in conventional ship emission accounting studies. The use

516 of the modelling framework enables the quantification of the emission outcomes across various

517 sea routes, ship size, and speed patterns, which, because of the lack of real navigational data,  
518 may not be possible otherwise. The simulation results show that the progressive adoption of  
519 autonomous ships has a high potential to cut the emissions significantly by 11-64% for tankers  
520 and -2-37% for dry bulk carriers in Year 2050 compared to 2020. The findings, together with  
521 other ship-specific factors such as maritime regulation, port control and infrastructure that are  
522 needed for delivering the positive outcomes, can aid the related planning and advocacy of  
523 autonomous shipping for tankers and dry bulk carriers in the future. In addition, the results also  
524 point to the important role of faster phase-in rate for autonomous ship adoption, i.e. 75 and 100  
525 instead of 25%, as well as cleaner fuels, i.e. LNG, VLSFO, methanol, and up to about half of  
526 the ship emissions attributable to CO<sub>2</sub> and 65% of emissions attributable to NO<sub>x</sub> could be  
527 reduced progressively from 2020 to 2050. Both SO<sub>x</sub> and PM emissions will also have the  
528 highest reduction, with the posterior median declining by at least 72-75% and 59-60% in Year  
529 2050 for dry bulk carriers and tankers, respectively.

530         The key limitations of the present modelling analysis, in our opinion, are the  
531 uncertainties in the improvement of the future ship design and technological elements of  
532 autonomous ships as well as the possibility of future route changes. We forecast the emission  
533 changes at the ship-, port-, and voyage-level because they cover most of the ship's operation  
534 and fuel consumption, therefore together produce a more comprehensive and realistic emission  
535 outlook of autonomous shipping scenario. However, the transportation and supply chain might  
536 evolve because of future inter-operation among digital ports and autonomous port operation.  
537 Efforts should continue to examine these evolutionary trends in the future to achieve the  
538 overarching environmental goals.

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## Appendix

Table A.1 – Comparison of time series models (dry bulker carrier).

<i>Sea Routes</i>	<i>Method</i>	<i>Model</i>	<i>MAE</i>	<i>MASE</i>	<i>MAPE</i>	<i>MPE</i>	<i>RMSE</i>	<i>MDA</i>
Tubarao-Rotterdam	ARIMA	(0,1,1)	60.5	0.8	3.7	-0.6	80.5	0.7
	ETS	(M, M, N)	70.0	1.4	4.3	2.4	94.0	0.8
	Holt-Winters	additive	78.5	1.6	5.0	2.5	110.0	0.7
	NNAR	NNAR (12,1)	55.0	0.8	3.5	0.3	65.0	0.8
	Combined		12.0	0.8	8.7	-1.5	12.0	1.0
Tubarao-Kashima	ARIMA	(1,1,0) (0,0,1)12	60.5	0.8	5.0	2.5	80.5	1.2
	ETS	(M, N, M)	48.5	0.8	4.6	-1.5	55.0	0.8
	Holt-Winters	additive	70.0	1.2	6.7	-4.5	79.0	0.7
	NNAR	NNAR (1,1)	70.9	1.2	7.0	-5.2	79.9	0.9
	Combined		12.0	0.5	8.5	-2.0	14.5	0.9
Houston-Singapore	ARIMA	(1,1,1) (0,0,1)12	30.5	0.4	5.0	-3.0	35.0	0.8
	ETS	(M, N, M)	30.5	0.3	4.5	0.8	32.6	0.8
	Holt-Winters	additive	25.5	0.4	4.2	-1.2	33.4	0.8
	NNAR	NNAR (2,1)	25.5	0.3	5.6	-4.4	36.5	0.9
	Combined		16.5	0.2	4.4	-2.5	20.0	0.9

Table A.2 – Comparison of time series models (tanker).

<i>Sea Routes</i>	<i>Method</i>	<i>Model</i>	<i>MAE</i>	<i>MASE</i>	<i>MAPE</i>	<i>MPE</i>	<i>RMSE</i>	<i>MDA</i>
Ras Tanura-Qingdao	ARIMA	(0,0,0) 1,0,0)12	55.0	0.8	3.6	-0.8	73.4	0.9
	ETS	(A, N, N)	95.1	1.6	8.3	-9.1	104.5	0.8
	Holt-Winters	multiplicative	112.8	3.4	14.3	-15	119.5	0.8
	NNAR	NNAR (1,1)	46.5	0.9	3.6	0.5	55.5	0.8
	Combined		14.0	0.7	7.5	-2.3	15.5	0.8
Ras Tanura-Galveston	ARIMA	(1,0,0) (0,1,1)12	53.4	0.8	5.5	2.0	66.4	0.8
	ETS	(A, N, A)	47.9	0.5	3.9	-2.2	59.0	0.9
	Holt-Winters	additive	69.3	0.9	5.9	-3.8	77.5	0.8
	NNAR	NNAR (2,1,2)12	76.2	1.0	6.7	-4.8	76.8	0.9
	Combined		15.7	0.7	8.3	-2.4	14.4	0.9
Hedland-Tianjin	ARIMA	(1,1,0) (1,0,1)12	28.0	0.5	4.6	-2.7	40.3	0.8
	ETS	(M, A, N)	26.0	0.3	4.6	1.2	29.9	0.8
	Holt-Winters	additive	23.3	0.3	3.9	-0.8	26.4	0.8
	NNAR	NNAR (12,1)	35.5	0.6	6.5	-5.0	39.3	0.9
	Combined		17.6	0.2	3.2	-2.0	23.0	0.9

540 Table A.3 – Control Factor for NO<sub>x</sub> and SO<sub>x</sub> Emission Reduction.

<i>Abatement Technique</i>	<i>Fuel Sulphur S%</i>	<i>NO<sub>x</sub> Reduction</i>	<i>SO<sub>x</sub> Reduction in non-ECA Sulphur limit: 0.5%</i>
Exhaust gas recirculation		-35.0%	
	3.5%		-85.7%
	3.0%		-83.3%
	2.5%		-80.0%
Sea water scrubber	2.0%		-75.0%
	1.5%		-66.7%
	1.0%		-50.0%

Notes: Table A.3 lists the various abatement techniques and the emission reduction efficiency in the cases of NO<sub>x</sub> and SO<sub>x</sub>. The scrubber system is an emission abatement system equivalent to meet the low-Sulphur fuel requirement. To achieve that, the scrubber abatement efficiency depends on the Sulphur content in the fuel related to the Sulphur limit (Jalkanen et al., 2009; MAN Diesel & Turbo, 2015).

Table A.4 – Emission Factor (PM).

Load	<i>Emission factor- PM</i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	2.59	0.22	0.00	2.62	0.22	2.62	1.46	0.22	1.46
3%	1.54	0.13	0.00	1.56	0.13	1.56	0.87	0.13	0.87
4%	1.10	0.09	0.00	1.11	0.09	1.11	0.62	0.09	0.62
5%	0.87	0.07	0.00	0.88	0.07	0.88	0.49	0.07	0.49
6%	0.72	0.06	0.00	0.73	0.06	0.73	0.41	0.06	0.41
7%	0.64	0.05	0.00	0.64	0.05	0.64	0.36	0.05	0.36
8%	0.57	0.05	0.00	0.58	0.05	0.58	0.32	0.05	0.32
9%	0.53	0.04	0.00	0.53	0.04	0.53	0.30	0.04	0.30
10%	0.49	0.04	0.00	0.50	0.04	0.50	0.28	0.04	0.28
11%	0.46	0.04	0.00	0.47	0.04	0.47	0.26	0.04	0.26
12%	0.44	0.04	0.00	0.45	0.04	0.45	0.25	0.04	0.25
13%	0.42	0.04	0.00	0.43	0.04	0.43	0.24	0.04	0.24
14%	0.41	0.03	0.00	0.41	0.03	0.41	0.23	0.03	0.23
15%	0.39	0.03	0.00	0.40	0.03	0.40	0.22	0.03	0.22
16%	0.38	0.03	0.00	0.39	0.03	0.39	0.22	0.03	0.22
17%	0.38	0.03	0.00	0.38	0.03	0.38	0.21	0.03	0.21
18%	0.37	0.03	0.00	0.37	0.03	0.37	0.21	0.03	0.21
19%	0.36	0.03	0.00	0.37	0.03	0.37	0.20	0.03	0.20
>20%	0.36	0.03	0.00	0.36	0.03	0.36	0.20	0.03	0.20

Notes: data on biofuel (biodiesel) emission factors are taken from Starcrest Consulting Group, LLC (2007); data on methanol emission factors are taken from Gilbert, et al., (2018).

Table A.5 – Emission Factor (SO<sub>x</sub>).

Load	<i>Emission factor- SO<sub>x</sub></i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	8.81	0.013	0.00	10.26	0.013	10.26	13.79	0.013	13.79
3%	5.56	0.008	0.00	6.47	0.008	6.47	8.70	0.008	8.70
4%	4.21	0.006	0.00	4.90	0.006	4.90	6.58	0.006	6.58
5%	3.48	0.005	0.00	4.06	0.005	4.06	5.45	0.005	5.45
6%	3.05	0.00	0.00	3.55	0.004	3.55	4.77	0.004	4.77
7%	2.76	0.004	0.00	3.21	0.004	3.21	4.32	0.004	4.32
8%	2.57	0.004	0.00	2.99	0.004	2.99	4.02	0.004	4.02
9%	2.42	0.003	0.00	2.81	0.003	2.81	3.78	0.003	3.78
10%	2.32	0.003	0.00	2.70	0.003	2.70	3.63	0.003	3.63
11%	2.23	0.003	0.00	2.59	0.003	2.59	3.48	0.003	3.48
12%	2.17	0.003	0.00	2.53	0.003	2.53	3.40	0.003	3.40
13%	2.11	0.003	0.00	2.46	0.003	2.46	3.31	0.003	3.31
14%	2.06	0.003	0.00	2.39	0.003	2.39	3.22	0.003	3.22
15%	2.02	0.003	0.00	2.35	0.003	2.35	3.16	0.003	3.16
16%	2.00	0.003	0.00	2.33	0.003	2.33	3.13	0.003	3.13
17%	1.96	0.003	0.00	2.28	0.003	2.28	3.07	0.003	3.07
18%	1.94	0.003	0.00	2.26	0.003	2.26	3.04	0.003	3.04
19%	1.92	0.003	0.00	2.24	0.003	2.24	3.01	0.003	3.01
>20%	1.90	0.003	0.00	2.22	0.003	2.22	2.98	0.003	2.98

Table A.6 – Emission Factor (NO<sub>x</sub>, Year 2020).

Load	<i>Emission factor- NO<sub>x</sub></i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
3%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
4%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
5%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
6%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
7%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
8%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
9%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
10%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
11%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
12%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
13%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
14%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
15%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
16%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
17%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
18%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
19%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
>20%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97

Table A.7 – Emission Factor (NO<sub>x</sub> from Year 2030-2050).

Load	<i>Emission factor- NO<sub>x</sub></i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
3%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
4%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
5%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
6%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
7%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
8%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
9%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
10%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
11%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
12%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
13%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
14%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
15%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
16%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
17%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
18%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
19%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97
>20%	17.01	1.30	3.05	13.82	1.30	13.82	1.97	1.30	1.97

Table A.8 – Emission Factor (CO).

Load	<i>Emission factor- CO</i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	13.58	12.61	0.00	10.67	12.61	10.67	1.94	12.61	1.94
3%	9.09	8.44	0.00	7.14	8.44	7.14	1.30	8.44	1.30
4%	6.80	6.32	0.00	5.35	6.32	5.35	0.97	6.32	0.97
5%	5.46	5.07	0.00	4.29	5.07	4.29	0.78	5.07	0.78
6%	4.56	4.24	0.00	3.59	4.24	3.59	0.65	4.24	0.65
7%	3.92	3.64	0.00	3.08	3.64	3.08	0.56	3.64	0.56
8%	3.43	3.19	0.00	2.70	3.19	2.70	0.49	3.19	0.49
9%	3.05	2.83	0.00	2.40	2.83	2.40	0.44	2.83	0.44
10%	2.76	2.56	0.00	2.17	2.56	2.17	0.39	2.56	0.39
11%	2.51	2.33	0.00	1.97	2.33	1.97	0.36	2.33	0.36
12%	2.30	2.13	0.00	1.80	2.13	1.80	0.33	2.13	0.33
13%	2.13	1.98	0.00	1.67	1.98	1.67	0.30	1.98	0.30
14%	1.97	1.83	0.00	1.55	1.83	1.55	0.28	1.83	0.28
15%	1.85	1.72	0.00	1.45	1.72	1.45	0.26	1.72	0.26
16%	1.74	1.61	0.00	1.36	1.61	1.36	0.25	1.61	0.25
17%	1.64	1.52	0.00	1.29	1.52	1.29	0.23	1.52	0.23
18%	1.55	1.44	0.00	1.22	1.44	1.22	0.22	1.44	0.22
19%	1.47	1.37	0.00	1.16	1.37	1.16	0.21	1.37	0.21
>20%	1.40	1.30	0.00	1.10	1.30	1.10	0.20	1.30	0.20

Table A.9 – Emission Factor (CO<sub>2</sub>).

Load	<i>Emission factor- CO<sub>2</sub></i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	590	457	522	686	457	686	922	457	922
3%	590	457	522	686	457	686	922	457	922
4%	590	457	522	686	457	686	922	457	922
5%	590	457	522	686	457	686	922	457	922
6%	590	457	522	686	457	686	922	457	922
7%	590	457	522	686	457	686	922	457	922
8%	590	457	522	686	457	686	922	457	922
9%	590	457	522	686	457	686	922	457	922
10%	590	457	522	686	457	686	922	457	922
11%	590	457	522	686	457	686	922	457	922
12%	590	457	522	686	457	686	922	457	922
13%	590	457	522	686	457	686	922	457	922
14%	590	457	522	686	457	686	922	457	922
15%	590	457	522	686	457	686	922	457	922
16%	590	457	522	686	457	686	922	457	922
17%	590	457	522	686	457	686	922	457	922
18%	590	457	522	686	457	686	922	457	922
19%	590	457	522	686	457	686	922	457	922
>20%	590	457	522	686	457	686	922	457	922

Table A.10 – Emission Factor (CH<sub>4</sub>).

Load	<i>Emission factor- CH<sub>4</sub></i>								
	<i>Main Engine</i>			<i>Auxiliary Engine</i>			<i>Auxiliary Boiler</i>		
	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol	VLSFO	LNG	Methanol
2%	0.25	180.03	0.00	0.17	180.03	0.17	0.04	180.03	0.04
3%	0.14	99.28	0.00	0.09	99.28	0.09	0.02	99.28	0.02
4%	0.09	65.54	0.00	0.06	65.54	0.06	0.02	65.54	0.02
5%	0.07	47.69	0.00	0.04	47.69	0.04	0.01	47.69	0.01
6%	0.05	36.98	0.00	0.03	36.98	0.03	0.01	36.98	0.01
7%	0.04	29.92	0.00	0.03	29.92	0.03	0.01	29.92	0.01
8%	0.04	25.08	0.00	0.02	25.08	0.02	0.01	25.08	0.01
9%	0.03	21.42	0.00	0.02	21.42	0.02	0.01	21.42	0.01
10%	0.03	18.53	0.00	0.02	18.53	0.02	0.00	18.53	0.00
11%	0.02	16.66	0.00	0.02	16.66	0.02	0.00	16.66	0.00
12%	0.02	14.96	0.00	0.01	14.96	0.01	0.00	14.96	0.00
13%	0.02	13.60	0.00	0.01	13.60	0.01	0.00	13.60	0.00
14%	0.02	12.50	0.00	0.01	12.50	0.01	0.00	12.50	0.00
15%	0.02	11.56	0.00	0.01	11.56	0.01	0.00	11.56	0.00
16%	0.02	10.71	0.00	0.01	10.71	0.01	0.00	10.71	0.00
17%	0.01	10.03	0.00	0.01	10.03	0.01	0.00	10.03	0.00
18%	0.01	9.44	0.00	0.01	9.44	0.01	0.00	9.44	0.00
19%	0.01	8.93	0.00	0.01	8.93	0.01	0.00	8.93	0.00
>20%	0.01	8.50	0.00	0.01	8.50	0.01	0.00	8.50	0.00