

1 **Optimal Design of Multistage Centrifugal Pump Based on the**
2 **Combined Energy Loss Model and Computational Fluid Dynamics**

3
4 Chuan Wang^{a,b}, Weidong Shi^a, Xikun Wang^{a,b},
5 Jiang Xiaoping^a, Yang Yang^a, Wei Li^a and Ling Zhou^{a,*}

6
7 ^a *Research Center of Fluid Machinery Engineering and Technology*
8 *Jiangsu University*
9 *Zhenjiang, Jiangsu Province*
10 *212013, China*

11
12 ^b *Maritime Research Centre*
13 *Nanyang Technological University*
14 *639798, Singapore*

15
16 * Corresponding author.

17 *E-mail address: lingzhou@ujs.edu.cn*
18
19
20

21 **Abstract:** This paper proposes a method to optimize the design of a typical multistage
22 centrifugal pump based on energy loss model and Computational Fluid Dynamics
23 (ELM/CFD). Different grid numbers, turbulence models, convergence precisions, and
24 surface roughness are calculated for a typical multistage centrifugal pump. External
25 characteristic experiments are also conducted to benchmark the numerical simulation.
26 Based on the results, the ELM/CFD method was established including various kinds
27 of energy loss in the pump, such as disk friction loss, volumetric leakage loss,
28 interstage leakage loss as well as the hydraulic loss, which occurred at inlet section,
29 outlet section, impeller, diffuser and pump cavity, respectively. The interactive
30 relationships among the different types of energy losses were systematically assessed.
31 Applying suitable setting methods for numerical calculation renders more credible
32 results, and ensuring the integrity of the calculation model is the key contributor to the
33 accuracy of the results. The interstage leakage loss is converted by the disk friction
34 loss; thus, they are positively correlated, that is, the disk friction loss can be reduced

1 by decreasing the interstage leakage loss. Concurrently, the volumetric leakage loss is
2 negatively correlated with the disk friction loss; thus, increasing the volumetric
3 leakage loss can effectively reduce the disk friction loss. The increment of the
4 volumetric leakage loss is greater than the decrement of the disk friction loss for
5 general centrifugal pumps. This relationship between these types of losses, however,
6 does not apply to pumps with significantly low specific speed. Therefore, reducing the
7 volumetric leakage and interstage leakage losses is the most effective technique to
8 increase the efficiency of general centrifugal pumps. The impeller should be designed
9 according to the maximum flow design method, because the inevitable volumetric
10 leakage loss will improve the pump efficiency under rated flow condition. Several
11 methods have been proposed to improve the pump efficiency.

12 **Keywords:** Energy loss model; Pump optimization; Multistage centrifugal pump;
13 Computational fluid dynamics.

14 **1. Introduction**

15 Pumps are a kind of general machinery with varied applications. According to
16 the statistics, pumps' energy consumption accounts for nearly 22% of the world's
17 energy used by electric motors, so pumps have huge energy consumption and great
18 energy saving potential [1-3]. More and more energy saving strategies and end-users
19 push industries and researchers to concentrate on improving the pump efficiency [4-5].
20 In addition, multistage centrifugal pumps, as fundamental elements for providing
21 high-energy liquid, have attracted increasing attention in the past several years [6-10].

22 At present, there are several methods to improve the pump efficiency, namely,
23 test optimization, velocity coefficient optimization, Computational Fluid Dynamics
24 (CFD) optimization and energy loss model (ELM) optimization. Being
25 semi-theoretical and semi-empirical, test optimization plays an important role in the
26 pump design, and orthogonal tests are widely used in the industry. For example, Zhou
27 et al. [11] designed 16 impellers with the same diffuser base on the orthogonal table,
28 and the best parameter combination for the highest pump efficiency was captured by
29 employing the orthogonal tests optimization. In order to improve the efficiency of

1 stainless steel stamping multistage pump, Wang et al. [12] established the function
2 relationship between the efficiency and three factors of impeller through the quadratic
3 regression orthogonal test. To realize the multiobjective optimization of pump
4 efficiency and cavitation performance, Xu [13] used orthogonal method to carry out
5 the range analysis and studied the influence order of each parameter. Although
6 orthogonal test is very credible and effective for the pump optimization, but it
7 consumes too much time and material because of a series of testing schemes.

8 Velocity coefficient optimization is a kind of similar conversion method based on
9 lots of excellent hydraulic models, and suitable velocity coefficient should be selected
10 as the basis of pump size according to the specific speed. This method is simple to use,
11 but it's very difficult to design new excellent hydraulic model due to the limitation of
12 existing models and experience. In 1948, Stepanoff [14] presented the Stepanoff
13 velocity diagram by collecting a large number of measured data, using which pump
14 designer can choose the appropriate geometry parameters of the pump according to its
15 specific speed. Lobanof [15] provided the newest velocity coefficient data to calculate
16 the geometric parameters of centrifugal impeller. Yan et al. [16] solved the Lobanof's
17 data through numerical analysis. Although velocity coefficient method is rather
18 effective, but it completely depends on the past empirical coefficients.

19 Computational Fluid Dynamics (CFD) optimization is to guide the pump
20 optimization through the simulation of the three-dimensional incompressible flow
21 field of the pump by means of high performance computer [17-18]. In recent years,
22 researchers have developed this method firstly on the preliminary design with
23 one-dimensional theory and then CFD optimization. Goto et al. [19] presented the
24 hydraulic design method of centrifugal impeller based on the whole three-dimensional
25 inverse problem design. Passrucker [20] designed inversely the axial plane projection
26 and blade molded line of impeller by using CFD. Zhou et al. [21] simulated the
27 internal flow of a new type of three-dimensional surface return diffuser to improve the
28 hydrodynamic performance of the deep-well centrifugal pump. Although this method
29 is very convenient to optimize the pump efficiency, but it entirely relies on the
30 high-performance computer and experienced technicians using CFD.

1 The core idea of energy loss model (ELM) optimization is to build the
2 relationships between the geometrical parameters and the various kinds of energy
3 losses in the pump, with an ultimate goal to minimize the total energy loss. The
4 energy losses in the pump are composed of mechanical loss, leakage loss and
5 hydraulic loss. The mechanical loss refers to the energy loss due to the mechanical
6 friction in the pump, including the disk friction loss, bearing friction loss and shaft
7 seal friction loss. The leakage loss refers to the energy loss because of clearance
8 leakage in the pump, including the leakage loss at front ring, rear ring and balance
9 mechanism. The hydraulic loss refers to the energy loss through the various
10 components in the pump, including the inlet section, outlet section, impeller, diffuser
11 and pump cavity. It should be noted that the leakage loss at front ring and rear ring are
12 also called the volumetric leakage loss and the interstage leakage loss, respectively.
13 Due to the complex turbulent flow in the pump, it is very difficult to exactly calculate
14 all the kinds of energy losses in theory, but researchers have deduced many
15 semi-theoretical formulas to estimate the energy losses. For instance, the National
16 Engineering Laboratory of Britain (NELB) applied successfully the ELM
17 optimization in the design of centrifugal pump and mixed-flow pump [22]. Yuan et al.
18 [23] established the predicted ELS of centrifugal pump according to the flow channel
19 centerline method of NELB. Based on the hydraulic loss in the pump, Neumann [24]
20 built the relationship between the geometric parameters and the hydraulic parameters
21 such as flow coefficient, head coefficient and velocity coefficient. Li [25] generalized
22 the Neumann's method to all the blade-pump, and proposed the correction measure
23 for all the blade-pump under the un-design working condition in the principle of
24 minimizing the sum of all the energy losses. Although there are a great number of
25 semi-theoretical formulas for ELM, it is worth asking whether the formulas are
26 accurate or not, either by experimental or numerical methods.

27 As reviewed above, each of the four optimization methods has its own pros and
28 cons. Test optimization is accurate and reliable, but is time-and resource-consuming.
29 Velocity coefficient optimization is simple and effective, but it is rather difficult to
30 develop new excellent hydraulic model. ELM optimization is based on strict

1 mathematical theory, but its accuracy is hard to ensure because a lot of internal energy
2 losses are deduced by semi-theoretical formulas. CFD optimization is not restricted by
3 physical model and test apparatus, which saves time and money substantially, but due
4 to the lack of standards for judging whether CFD results are accurate or not, it has to
5 be validated against experimental results. Moreover, most of current numerical
6 calculations can only predict the pump's overall performance, and fall short in
7 detailed analysis of the various kinds of energy losses in the pump.

8 Therefore, in this paper we propose to combine the ELM optimization and CFD
9 optimization together, namely ELM-CFD. By employing numerical simulations, all
10 the kinds of energy losses in a typical multistage centrifugal pump are calculated to
11 assess their individual or combined effects on the pump performance. The optimal
12 design were obtained by the combining method of ELM-CFD and verified by
13 prototype test. This paper provides certain guidance for the optimization of multistage
14 centrifugal pumps.

15 **2. Setting methods for numerical calculation**

16 CFD is suitable for simulating the internal flow field of rotating machinery.
17 Nevertheless, the numerical settings of CFD should be selected appropriately to
18 ensure the reliability of results. Therefore, a series of numerical calculations for a
19 typical multistage centrifugal pump were performed using different grid numbers,
20 turbulence models, convergence precisions, and surface roughness.

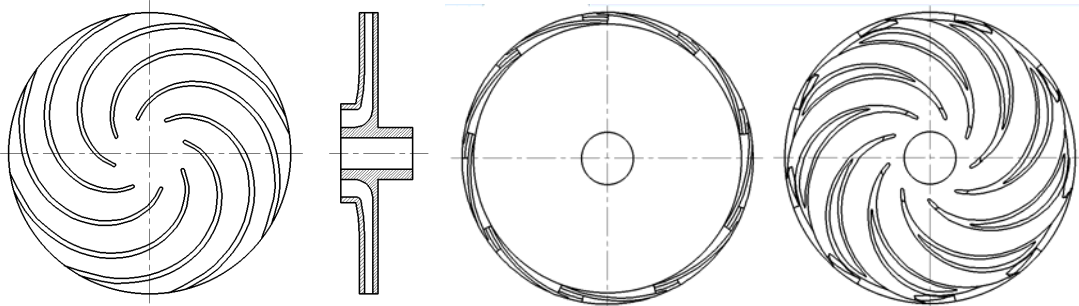
21 **2.1 Hydraulic design of the impeller and diffuser**

22 Impellers and diffusers are the core components of centrifugal pumps. The
23 geometric parameters of impellers and diffusers can be obtained using velocity
24 coefficient method, as shown in Table 1. The inlet angle of positive diffuser blades has
25 a small value of $\alpha_3 = 5^\circ$ to extend the flow channel of the positive diffuser. Reducing
26 the outlet angle of the negative diffuser blade will help obtain a steeper head-flow rate
27 curve, lower maximum shaft power [26-27]. Thus, the outlet angle of the negative
28 diffuser blades was set to 50° . Table 1 shows that the two-dimensional models of the
29 impeller and diffuser can be obtained, as respectively shown in Figures 1 and 2.

1

Tab. 1 Basic geometric parameters of the pump

Geometric parameter	Value	Geometric parameter	Value
Inlet diameter of the impeller D_1 (mm)	20	Outlet width of the impeller blade b_2 (mm)	3
Hub diameter of the impeller D_{hb} (mm)	33.5	Number of positive diffuser blades Z_p	9
Outlet diameter of the impeller D_2 (mm)	108	Number of negative diffuser blades Z_n	9
Inlet angle of the impeller blade β_1 ($^\circ$)	40	Inlet diameter of the positive diffuser D_3 (mm)	109
Outlet angle of the impeller blade β_2 ($^\circ$)	15	Inlet angle of the positive diffuser blade α_3 ($^\circ$)	5
Wrap angle of the impeller blade θ_w ($^\circ$)	150	Outlet angle of the negative diffuser blade α_6 ($^\circ$)	50
Number of the impeller blades Z	8	Rated flow Q_f (m^3/h)	3.3



2

3

(a) Plane projection (b) Axial projection (a) Positive diffuser (b) Negative diffuser

4

Fig. 1 Two-dimensional diagram of the impeller Fig. 2 Two-dimensional diagram of the diffuser

5

2.2 Establishing the calculation model

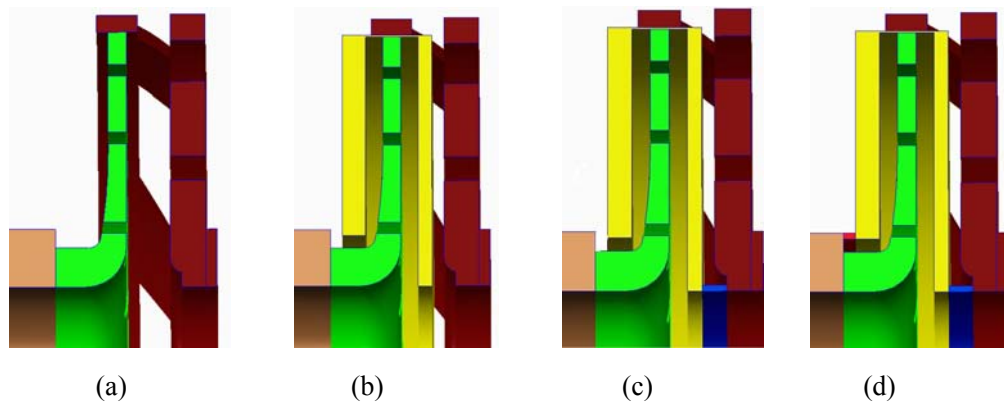
6

7

8

9

The calculation model was the basis for the numerical calculation, and its integrity significantly influenced the numerical results. Four different calculation models were considered to study the various types of energy losses in the pump systematically, as shown in Figure 3.



10

11

12

Fig. 3 Calculation models: (a) Impeller and diffuser; (b) Impeller, diffuser, and pump cavity;

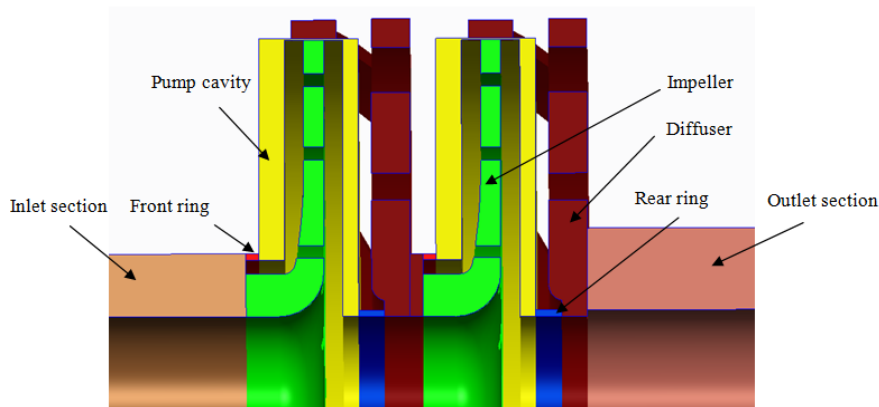
1 (c) Front or rear ring, impeller, diffuser, and pump cavity; (d) Front and rear rings, impeller,
2 diffuser, and pump cavity.

3 Only the impeller and diffuser were considered for calculation model (a),
4 ignoring the losses of disk friction and volumetric leakage. Pump cavity was added
5 to calculation model (b), still ignoring volumetric leakage loss was still. A portion of
6 the volumetric leakage loss was disregarded for calculation (c) because only one of
7 the two rings was considered. The entire flow field of the multistage pump was
8 considered in calculation model (d); thus, the numerical result of this model was
9 closer to the actual value than those of the preceding three calculation models.

10 **2.3 Establishing the calculation domain**

11 The stage number of multistage centrifugal pumps depends on user demands. If
12 the flow field of the calculation model with all the stage is simulated, the grid number
13 becomes too large to meet the requirements of a practical engineering application. In
14 addition, multistage centrifugal pumps are more complicated than single-stage
15 centrifugal pumps. The swirling of the inlet flow of the impellers, except for the first
16 one, is caused by the outlet flow of diffusers. The two-stage pump was selected in this
17 study considering the increase of grid number with the increase of the pump stage.

18 Figure 4 shows that the calculation domain of the two-stage pump includes the
19 inlet section, two impellers, two pump cavities, two diffusers, two front rings, two
20 rear rings, and the outlet section. The lengths of the inlet and outlet sections are
21 respectively five and four times of the diameter of the impeller.



22

23

Fig. 4 Calculation domain of the two-stage pump

1 This analysis suggests that the predicted external characteristics of the multistage
2 pump depend on the parameters of the two-stage pump, which are shown as follows:

$$3 \quad H = H_{in} + H_1 + (N - 1)H_2 + H_{out} \quad (1)$$

$$4 \quad P = P_1 + (N - 1)P_2 \quad (2)$$

$$5 \quad \eta = \frac{\rho g Q H}{P} \quad (3)$$

6 where H is the total head of the multistage pump (in m); H_{in} is the loss head of the
7 inlet section (in m); H_1 is the head of the first stage (in m); H_2 is the head of the
8 second stage (in m); H_{out} is the loss head of the outlet section (in m); Q is the flow rate
9 (in m^3/h); P , P_1 , and P_2 are the shaft power values of the multistage pump, and the
10 first and second stages (in W), respectively; and η is the efficiency of the multistage
11 pump. The calculation results are then translated (through an appropriate procedure)
12 to extrapolate the five-stage pump, for which the experimental data are available and
13 discussed hereinafter.

14 **2.4 Analyzing grid independence**

15 The calculation domain should be discretized before simulation based on grids.
16 In this study, the calculation domain was divided into structured grids by ICEM CFD
17 software. Theoretically, the calculating errors would decrease gradually with an
18 increase in grid number; however, too many grids would pose prohibitive demands on
19 computational resources and time. Five grid sizes (G) with the same numerical
20 settings were selected to determine the appropriate grid number. The results under
21 different flow conditions of $Q = 2.64, 3.3$ and $3.96 \text{ m}^3/\text{h}$ are shown in Table 2. Due to
22 that the pump generally works under nearly rated flow condition, so small flow
23 condition and large flow condition are not included herein.

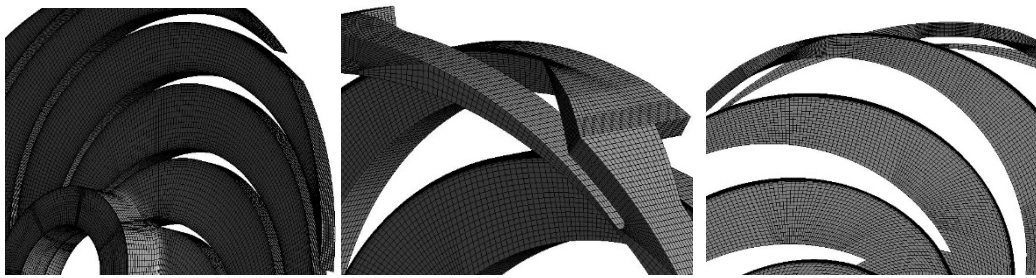
24 It can be seen that the grid size minimally influences the numerical results, and
25 the overall difference is within 2%. The efficiency η and total head H are slightly high
26 when the grid size is relatively large ($G \geq 1.0 \text{ mm}$) and are basically stable when $G \leq$
27 0.8 mm . G is set to 0.8 mm after considering the computational accuracy and time,
28 and the structured grids of the calculation domain are shown in Figure 5. Of course,

1 0.8 mm grid size is not a universal principle that can be applied to all scenarios,
 2 because the grid size completely depends on the size of the calculation model and the
 3 requirement of the grid quality. In principle, grid independence should be made to
 4 obtain a suitable grid size for any new calculation models.

5 Tab. 2 Grid independence test of the pump under different flow conditions

Grid size G (mm)	1.5	1.2	1.0	0.8	0.7
Grid number	1321154	1773142	2399892	3674514	4839030
Nodes	1146888	1537022	2091526	3233200	4289372
Efficiency η of $Q = 2.64 \text{ m}^3/\text{h}$ (%)	40.73	40.12	39.73	39.55	39.51
Efficiency η of $Q = 3.3 \text{ m}^3/\text{h}$ (%)	42.19	41.79	41.43	41.13	41.15
Efficiency η of $Q = 3.96 \text{ m}^3/\text{h}$ (%)	41.64	40.84	40.47	40.26	40.22
Head H of $Q = 2.64 \text{ m}^3/\text{h}$ (m)	48.27	48.04	47.71	47.68	47.64
Head H of $Q = 3.3 \text{ m}^3/\text{h}$ (m)	42.11	41.99	41.62	41.62	41.64
Head H of $Q = 3.96 \text{ m}^3/\text{h}$ (m)	35.68	35.32	35.07	35.02	35.06

6



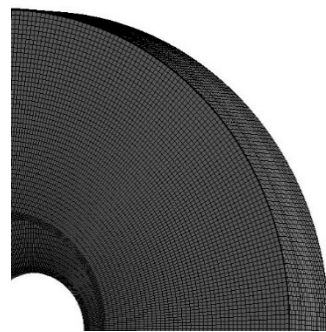
7

8

(a) Impeller

(b) Positive diffuser

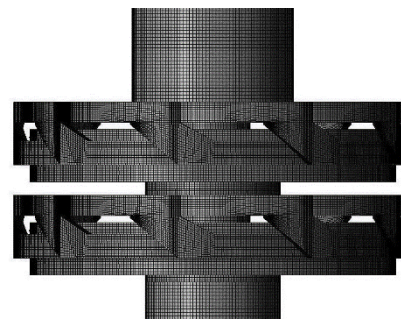
(c) Negative diffuser



9

10

(d) Pump cavity



(e) Entire calculation domain

11

Fig.5 Structured grids of the calculation domain

12

2.5 Selecting the turbulence model

13

14

A universal turbulence model that is applicable for all flow problems has not yet been formulated; thus, scholars have selected different turbulence models for different

1 turbulent flows. In this study, numerical calculations were performed with ANSYS
 2 CFX software, which provides a number of turbulence models. Among the turbulence
 3 models, $k-\varepsilon$ and $k-\omega$ are known to be the most suitable for the internal flow of rotating
 4 machines. Therefore, five models, i.e., standard $k-\varepsilon$, RNG $k-\varepsilon$, BSL $k-\omega$, standard $k-\omega$,
 5 and SST $k-\omega$, were selected, and their results were compared with the experimental
 6 results. Table 3 shows the numerical and experimental results of the pump with
 7 different turbulent models under different flow conditions of $Q = 2.64, 3.3$ and 3.96
 8 m^3/h . It can be found that, after comprehensive comparison, the prediction by standard
 9 $k-\varepsilon$ model is closest to the experimental data; thus, this model was selected in this
 10 study.

11 Tab. 3 Numerical and experimental results with different turbulent models

12 under different flow conditions

Turbulence model	Standard $k-\varepsilon$	RNG $k-\varepsilon$	BSL $k-\omega$	Standard $k-\omega$	SST $k-\omega$	Test value
Efficiency η of $Q = 2.64 \text{ m}^3/\text{h}$ (%)	39.55	39.84	43.87	43.22	42.53	39.36
Efficiency η of $Q = 3.3 \text{ m}^3/\text{h}$ (%)	41.13	41.28	44.96	44.55	44.59	40.73
Efficiency η of $Q = 3.96 \text{ m}^3/\text{h}$ (%)	40.26	40.49	43.14	42.67	42.15	38.21
Head H of $Q = 2.64 \text{ m}^3/\text{h}$ (m)	47.68	48.04	51.73	51.41	51.56	49.62
Head H of $Q = 3.3 \text{ m}^3/\text{h}$ (m)	41.62	41.12	43.54	43.46	43.50	41.87
Head H of $Q = 3.96 \text{ m}^3/\text{h}$ (m)	35.02	35.41	38.49	38.16	37.72	33.69

13 2.6 Selecting the convergence precision

14 Three convergence precisions (10^{-3} , 10^{-4} , and 10^{-5}) were selected to determine a
 15 suitable convergence criterion. As shown in Table 4, the numerical results are
 16 considerably lower than the experimental results when the convergence precision is
 17 10^{-3} . By contrast, the numerical results tend to be stable when the convergence
 18 precision is lower than 10^{-4} . Therefore, 10^{-5} was selected as the value of convergence
 19 precision in this study.

20 Tab. 4 Numerical and experimental results with three convergence precisions

21 under different flow conditions

Convergence precision	10^{-3}	10^{-4}	10^{-5}	Test value
Efficiency η of $Q = 2.64 \text{ m}^3/\text{h}$ (%)	35.43	39.42	39.55	39.36

Efficiency η of $Q = 3.3 \text{ m}^3/\text{h}$ (%)	37.21	41.02	41.13	40.73
Efficiency η of $Q = 3.96 \text{ m}^3/\text{h}$ (%)	34.29	40.19	40.26	38.21
Head H of $Q = 2.64 \text{ m}^3/\text{h}$ (m)	46.87	47.61	47.68	49.62
Head H of $Q = 3.3 \text{ m}^3/\text{h}$ (m)	40.62	41.60	41.62	41.87
Head H of $Q = 3.96 \text{ m}^3/\text{h}$ (m)	32.82	35.09	35.02	33.69

1 2.7 Influence of surface roughness on the pump performance

2 Different values of surface roughness ($\mu = 0, 1, 10, 20, 40, 80 \text{ }\mu\text{m}$) were selected
3 for the numerical calculation of the pump to study the influence of surface roughness
4 on the performance of the pump. The results under different flow conditions are
5 presented in Table 5, wherein H and η continue to decrease with an increase in μ ;
6 however, the decrease rate of η is significantly greater than that of H , indicating that
7 the pump shaft power is also increasing. In addition, η and H decreases quickly when
8 μ is low, but their reduction decelerates with the increase of μ . When μ increases from
9 $0 \text{ }\mu\text{m}$ to $80 \text{ }\mu\text{m}$ for the pump under rated flow condition of $Q = 3.3 \text{ m}^3/\text{h}$, η decreases
10 from 41.67% to 28.96% , and H decreases from 41.83 m to 35.91 m , with their
11 amplitudes decreasing by 31.06% and 14.19% , respectively. These results
12 demonstrate the significant influence of surface roughness on the numerical results.
13 Therefore, suitable surface roughness should be selected according to the material
14 properties in CFD; otherwise, significant deviations may occur. In this study, the
15 pump was made of polyphenol oxidase and stainless steel, and the value of μ was
16 usually within $1 \text{ }\mu\text{m}$. Therefore, $1 \text{ }\mu\text{m}$ was finally selected as the value of surface
17 roughness in the present study. Actually, the surface roughness has significant
18 influence on the numerical results, which was generally underestimated in the past.
19 Sometimes, the value of surface roughness is only selected according to the
20 annotation of the 2D processing chart by many researchers, which might deviates
21 significantly from the actual values of the real machining parts. Therefore, suitable
22 surface roughness should be selected according to the material properties in CFD;
23 otherwise, significant deviations may occur.

24 Tab. 5 Efficiency and head of the pump with different surface roughness
25 under different flow conditions

Roughness μ (μm)	0	1	10	20	40	80	Test value
Efficiency η of $Q = 2.64 \text{ m}^3/\text{h}$ (%)	40.04	39.55	36.13	34.01	31.74	28.28	39.36
Efficiency η of $Q = 3.3 \text{ m}^3/\text{h}$ (%)	41.67	41.13	37.71	35.34	32.46	28.96	40.73
Efficiency η of $Q = 3.96 \text{ m}^3/\text{h}$ (%)	40.86	40.26	35.82	33.63	30.38	26.22	38.21
Head H of $Q = 2.64 \text{ m}^3/\text{h}$ (m)	47.88	47.68	46.41	45.49	44.46	42.58	49.62
Head H of $Q = 3.3 \text{ m}^3/\text{h}$ (m)	41.83	41.62	40.27	39.25	37.83	35.91	41.87
Head H of $Q = 3.96 \text{ m}^3/\text{h}$ (m)	35.28	35.02	33.44	32.27	30.43	28.26	33.69

2.8 Setting of boundary conditions

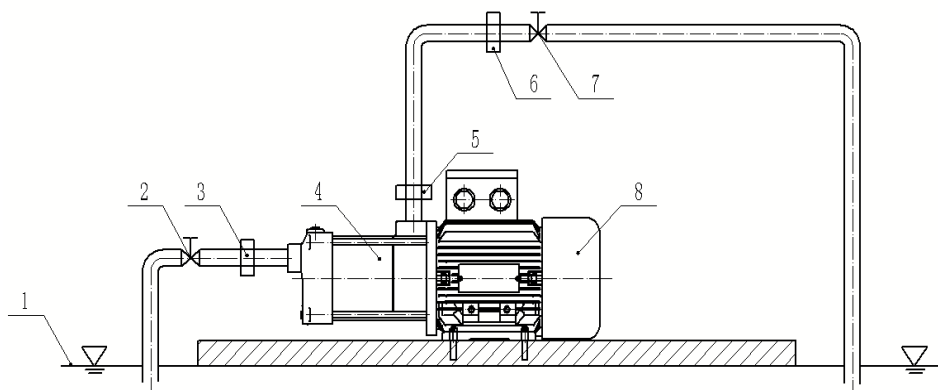
The impeller and shroud in the pump chamber were based on the rotating reference frame, whereas the other sub-domains were based on the stationary reference frame throughout the entire calculation domains. The interfaces between the impeller and its adjacent sub-domains were set to “Frozen Rotor” mode, and the other interfaces were set to “General Connection” mode. Moreover, the non-slip walls were selected as the wall boundaries. The open inlet and mass outflow were selected as the inlet and outlet boundaries.

2.9 Comparison between the numerical and experimental results

All field numerical calculations for the two-stage pump were performed with 0.8 mm grid size, Standard k - ϵ turbulence model, 10^{-5} convergence precision, and 1 μm surface roughness based on the above research. The simulations were performed at five flow points ($Q = 1.65, 2.64, 3.3, 3.96, 5.4 \text{ m}^3/\text{h}$). At the same time, the hydraulic models were shown to a pump company in Fujian Province, and a five-stage centrifugal pump was manufactured. Then, the pump was sent to the Mechanical Products Testing Center of the Fujian Academy of Mechanical Sciences for performance testing. As shown in Figure 6, the test rig is an open-type system, which is composed of two parts—acquisition and water circulation systems. A turbine flowmeter was used to measure the flow rate Q with a precision of $\pm 0.3\%$. The pump speed n was measured by a tachometer (PROVA RM-1500, Taiwan) with a precision of $\pm 0.04\%$. During the experiment, two pressure transmitters (CYG1401, China) with a precision of $\pm 0.2\%$ were used to measure the inlet and outlet pressures.

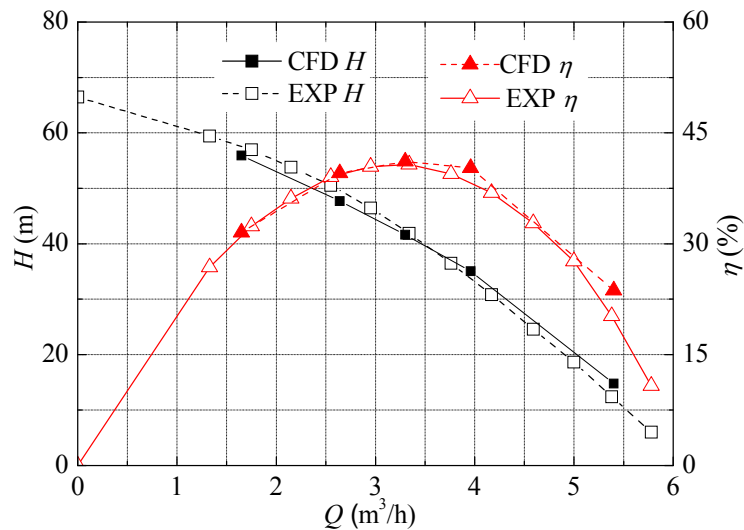
The comparisons between the numerical and experimental results are presented

1 in Figure 7. Generally, the predicted H and η are in good agreement with the
 2 experimental results at different flow conditions, and they nearly coincide under a
 3 rated flow condition of $Q=3.3 \text{ m}^3/\text{h}$. Some discrepancies exist between the numerical
 4 and experimental results because of the flow separation or recirculation in the pump
 5 under the non-rated flow conditions, but the deviations are still within 3%. The results
 6 show that the performances of the multistage centrifugal pump can be credibly
 7 predicted using CFD through the entire calculation model and appropriate numerical
 8 setting method.



9
 10 1. Pool 2. Inlet control valve 3. Inlet pressure transmitter 4. Pump 5. Outlet pressure transmitters
 11 6. Turbine flowmeter 7. Flow control valve 8. Motor

12 Fig. 6 Schematic diagram of the test rig



13
 14 Fig. 7 Numerical and experimental results of the head (H) and efficiency (η) of the pump in
 15 relation to roughness (Q)

16 3. CFD-based assessment of energy losses

17 3.1 Concept formulas of energy loss model

1 Based on the foregoing study of numerical setting methods, a loss model method
 2 was established to determine the relationships among the different types of energy
 3 losses in a multistage centrifugal pump.

4 First, the efficiency of the multistage pump was divided into three types of
 5 component efficiencies. With the mechanical friction loss disregarded, the formulas
 6 for component efficiency are as follows:

$$7 \quad P = P_m + P_h \quad (4)$$

$$8 \quad P_m = P_{1m} + (N - 1)P_{2m} \quad (5)$$

$$9 \quad P_h = P_{1h} + (N - 1)P_{2h} \quad (6)$$

$$10 \quad q = \frac{q_1 + (N - 1)q_2}{N} \quad (7)$$

$$11 \quad \eta_m = 1 - \frac{P_m}{P} \quad (8)$$

$$12 \quad \eta_v = \frac{Q}{Q + q} \quad (9)$$

$$13 \quad \eta_h = \frac{\eta}{\eta_m \eta_v} \quad (10)$$

14 where P is the shaft power of the multistage pump (in W), P_m is the loss of disk
 15 friction power of the multistage pump (in W), P_h is the hydraulic power of the
 16 multistage pump (in W), P_{1m} is the loss of disk friction power at the first stage pump
 17 (in W), P_{2m} is the loss of disk friction power at the second stage pump (in W), P_{1h} is
 18 the hydraulic power of the second-stage pump (in W), P_{2h} is the hydraulic power of
 19 the second-stage pump (in W), q is the average ring leakage amount of the multistage
 20 pump (in m³/h), q_1 is the ring leakage amount of the first-stage pump (in m³/h), q_2 is
 21 the ring leakage amount of the second-stage pump (in m³/h), η_m is mechanical
 22 efficiency, η_v is volumetric efficiency, and η_h is hydraulic efficiency.

23 Second, the total shaft power of the multistage pump was divided into several
 24 energy losses, which is as follows:

$$1 \quad P_h = P_v + P_u + \Delta P_h = \rho g(Q+q)H_t \quad (11)$$

$$2 \quad P_v = \rho gqH_t = \rho gq(H+h) \quad (12)$$

$$3 \quad P_u = \rho gQH \quad (13)$$

$$4 \quad \Delta P_h = \rho gQh \quad (14)$$

$$5 \quad h = H_{in} + h_{ip} + h_{df} + h_{ca} + H_{out} \quad (15)$$

6 Substituting Equation (15) into Equation (14) yields:

$$7 \quad \Delta P_h = \rho gQ(H_{in} + h_{ip} + h_{df} + h_{ca} + H_{out}) = \Delta P_{in} + \Delta P_{ip} + \Delta P_{df} + \Delta P_{ca} + \Delta P_{out} \quad (16)$$

8 Then, substituting Equations (11) and (16) into Equation (4) yields:

$$9 \quad P = P_m + P_v + P_u + \Delta P_{in} + \Delta P_{ip} + \Delta P_{df} + \Delta P_{ca} + \Delta P_{out} \quad (17)$$

10 where P_v is loss of volumetric leakage power (in W), P_u is working power (in W),
 11 ΔP_h is hydraulic loss power (in W), ΔP_{in} is the hydraulic loss power of the inlet
 12 section (in W), ΔP_{ip} is the hydraulic loss power of the impeller (in W), ΔP_{df} is the
 13 hydraulic loss power of the diffuser (in W), ΔP_{ca} is the hydraulic loss power of the
 14 pump cavity (in W), ΔP_{out} is the hydraulic loss power of the outlet section (in W), H_t
 15 is the theoretical head of the multistage pump (in m), h is the hydraulic loss head of
 16 the unit fluid through the pump (in m), h_{ip} is the hydraulic loss head of the unit fluid
 17 through the impeller (in m), h_{df} is the hydraulic loss head of the unit fluid through the
 18 diffuser (in m), and h_{ca} is the hydraulic loss head of unit of the unit fluid through the
 19 pump cavity (in m).

20 **3.2 Performance comparison of different calculation models under rated flow** 21 **condition**

22 Figure 3 shows that the four calculation models (i.e., without pump cavity,
 23 without front ring or rear ring, without front ring, and with 1 mm front ring)
 24 gradually considered the losses of hydraulic, friction disk, and interstage leakage at
 25 the rear ring, and the volumetric leakage loss at the front ring. The four models were
 26 denoted by $M1$, $M2$, $M3$, and $M6$, and two additional models, one with 0.25 mm
 27 front ring and the other with 0.5 mm front ring, were denoted by $M4$ and $M5$,

1 respectively. The numerical results under rated flow condition are shown in Table 6
 2 and Table 7.

3 Tab. 6 Pump performance in the different calculation models under rated flow condition

Type	M	H (m)	P (W)	q (m ³ /h)	η_m (%)	η_v (%)	η_h (%)	η (%)
Without pump cavity	1	50.97	707.04	0	100	100	64.76	64.76
Without ring	2	53.29	875.96	0	79.40	100	68.83	54.65
Without front ring	3	50.21	919.39	0	75.48	100	64.90	49.06
With 0.25 mm front ring	4	45.46	921.57	0.455	78.34	87.89	64.36	44.32
With 0.5 mm front ring	5	43.63	915.91	0.534	79.05	86.07	62.90	42.79
With 1 mm front ring	6	41.62	909.12	0.687	79.15	82.78	62.77	41.13

4

5 Tab. 7 Components of the shaft power for different calculation models under rated flow condition

M	P_m (W)	P_v (W)	P_u (W)	ΔP_{in} (W)	ΔP_{ip} (W)	ΔP_{df} (W)	ΔP_{ca} (W)	ΔP_{out} (W)
1	0	0	457.85	0.023	63.12	184.68	0	1.37
2	180.45	0	478.74	0.022	54.65	149.12	11.67	1.30
3	225.45	0	451.05	0.024	50.34	164.94	25.87	1.71
4	199.59	87.41	408.41	0.25	52.02	158.70	13.39	1.79
5	191.88	114.54	383.37	0.14	57.73	156.22	10.24	1.79
6	189.55	123.93	373.89	0.058	59.86	154.99	5.07	1.76

6

7 Figure 8 shows the head and efficiency values for different calculation models
 8 under rated flow condition. With increasing integrity of the calculation model, the
 9 efficiency constantly decreases, and the largest deviation is greater than 23%, whereas
 10 the head initially increases and subsequently decreases. The pump head is increased
 11 by the rotating disk of $M2$ in the pump and is significantly decreased by the interstage
 12 and ring leakages of $M3$, $M4$, $M5$, and $M6$. The largest head difference is
 13 approximately 12 m (or about 30%), indicating that the integrity of the calculation
 14 model is the key contributor to the accuracy of the results.

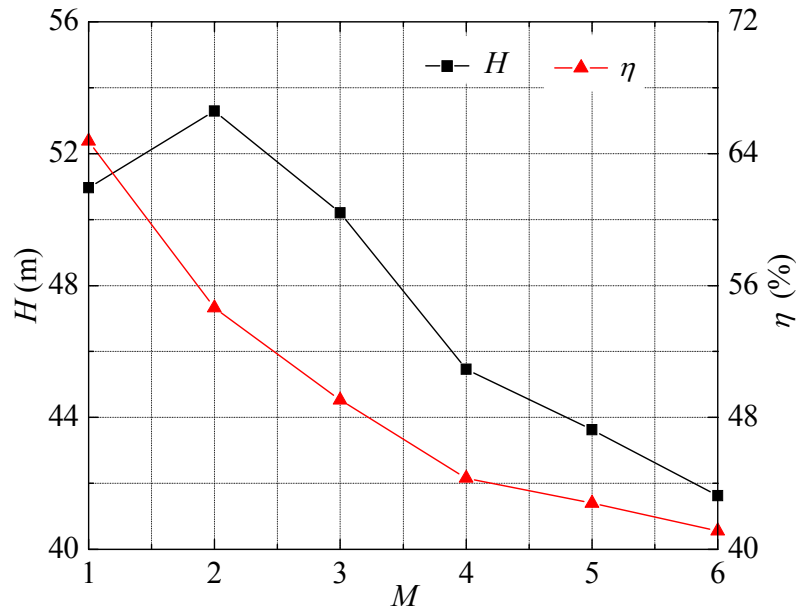
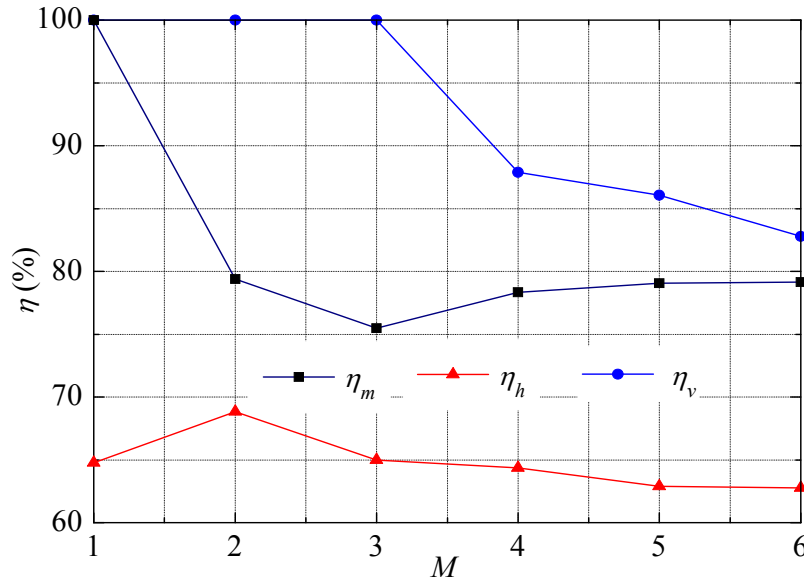


Fig. 8 Head and efficiency values of the different calculation models

Figure 9 presents the mechanical efficiency η_m , hydraulic efficiency η_h , and volumetric efficiency η_v for different calculation models under rated flow condition. As shown in Figure 9 and Table 6, η_v is equal to 100% in the first three models ($M = 1, 2, 3$), in which the front ring leakage is disregarded. As the clearance of the front ring increases, η_v reduces constantly, but the reduction rate decelerates gradually. This result is attributed to the significant reduction in the pump head rapidly reducing the pressure difference between the two sides of the front ring, leading to the growth rate deceleration of the front ring leakage. For $M2$, η_m decreases by 20% by considering the disk friction loss, whereas it continues to decrease by 4% for $M3$ by considering the interstage leakage loss. This result indicates that a certain portion of the interstage leakage loss is reversed by the disk friction loss. For $M4$, in which the front ring leakage is considered, η_m increases by 3% instead, suggesting that the volumetric leakage can actually decrease disk friction loss. As the clearance of the front ring increases (from $M5$ to $M6$), η_m continues to increase, but the increase rate reduces significantly. Moreover, η_h increases by 4% for $M2$ by considering the disk friction loss, which is ascribed to the increasing head by the rotational disk. By contrast, η_h decreases by 4% again for $M3$ by considering the interstage leakage loss, indicating that the remaining interstage leakage loss is also converted by the disk friction loss. After the front ring leakage is considered, η_h decreases, and the reduction rate

1 decelerates gradually because the actual flow through the impeller is enhanced, and
 2 the operation point shifts to the large flow direction.



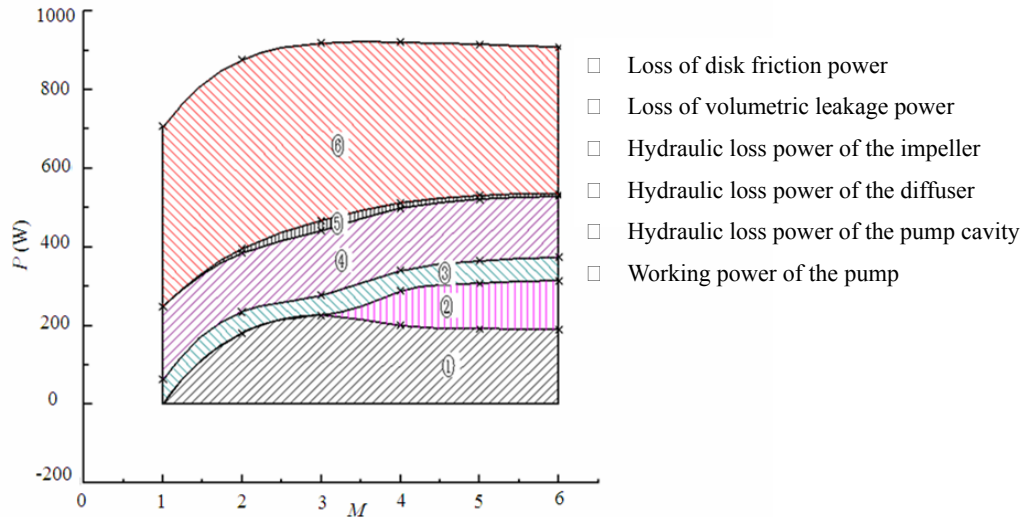
3

4 Fig. 9 Mechanical, hydraulic, and volumetric efficiencies of the different calculation models

4

5 Figure 10 shows the components of the shaft power of the pump for different
 6 calculation models under rated flow condition. The hydraulic loss powers of the inlet
 7 and outlet sections are negligible; thus, they are not included in Figure 10. The shaft
 8 power is minimal for $M1$ because only the hydraulic losses power of the impeller and
 9 diffuser are considered. The losses of disk friction and working powers increase
 10 significantly for $M2$ by considering the flow in the pump cavity. The losses of disk
 11 friction and hydraulic powers increase for $M3$ by considering the interstage leakage.
 12 The loss of volumetric power increases significantly, and that of the disk friction
 13 power decreases obviously for $M4$ by considering the front ring leakage. With an
 14 increase in the clearance of the front ring, the loss of volumetric power continues to
 15 increase, and that of the disk friction power continues to decrease for $M5$ and $M6$;
 16 however, their change rate gradually decelerates. Using the components of the shaft
 17 power of the pump for $M6$ as an example, the losses of disk friction, hydraulic, and
 18 volumetric powers of the diffuser account for 21%, 17%, and 13%, respectively,
 19 whereas the working power of the pump only accounts for 40%. These results support
 20 the premise that reducing the proportion of these three types of energy losses
 21 increases pump efficiency. The loss of disk friction power has a significant negative

1 correlation with that of the volumetric power; thus, reducing the hydraulic loss power
 2 of the diffuser is an effective method to increase the efficiency of the centrifugal
 3 pump with low specific speed. Previous scholars, however, paid more attention to the
 4 hydraulic improvement of the impeller.



5
 6 Fig. 10 Components of the shaft power of the different calculation models

7 **3.3 Performance comparison of three typical calculation models under different**
 8 **flow conditions**

9 $M2$, $M3$, and $M6$ were selected for case studies to further investigate the
 10 interaction relationships among the three sub-efficiencies. These three calculation
 11 models successively considered the losses of disk friction, interstage leakage at the
 12 rear ring, and volumetric leakage at the front ring, whereas the clearances of the front
 13 and rear rings were set to 1 mm. The performances of the three calculation models
 14 under different flow conditions are shown in Tables 8 and 9.

15 Tab. 8 Pump's performances in the three models under different flow conditions

Q (m ³ /h)	M	H (m)	P (W)	q (m ³ /h)	q_b (m ³ /h)*	η_m (%)	η_v (%)	η_h (%)	η (%)
1.65	2	69.22	744.20	0	0	73.66	100	56.72	41.78
2.64	2	60.03	817.67	0	0	77.24	100	68.31	52.76
3.3	2	53.29	875.96	0	0	79.40	100	68.83	54.65
3.96	2	45.63	934.27	0	0	80.79	100	65.17	52.65
5.4	2	21.91	969.65	0	0	81.40	100	40.27	32.78
1.65	3	67.09	776.03	0	0.247	68.09	100	57.03	38.83
2.64	3	56.87	859.27	0	0.210	72.70	100	65.43	47.56
3.3	3	50.21	919.39	0	0.178	75.48	100	65.00	49.06
3.96	3	42.91	967.50	0	0.134	77.78	100	61.48	47.81

5.4	3	21.45	971.34	0	0.00602	81.27	100	39.94	32.46
1.65	6	55.92	796.63	0.877	0.224	73.83	65.29	65.71	31.53
2.64	6	47.68	866.52	0.752	0.190	77.33	77.83	65.88	39.55
3.3	6	41.62	909.12	0.687	0.156	79.15	82.78	62.77	41.13
3.96	6	35.02	937.65	0.636	0.114	80.62	86.15	57.97	40.26
5.4	6	14.78	917.09	0.479	0.00351	82.57	91.86	31.25	23.70

1 * q_b is interstage leakage.

2 Tab. 9 Components of the shaft power in the three models under different flow conditions

Q (m ³ /h)	M	P_m (W)	P_v (W)	P_u (W)	ΔP_{in} (W)	ΔP_{ip} (W)	ΔP_{df} (W)	ΔP_{ca} (W)	ΔP_{out} (W)
1.65	2	196.00	0	310.91	0.0093	93.77	117.80	25.30	0.39
2.64	2	186.07	0	431.46	0.0097	55.48	127.11	16.73	0.83
3.3	2	180.45	0	478.74	0.022	54.65	149.12	11.67	1.30
3.96	2	179.50	0	491.86	0.038	64.37	189.44	6.90	2.16
5.4	2	180.32	0	317.87	0.078	110.35	356.29	0.033	4.71
1.65	3	247.61	0	301.36	0.0022	74.21	86.83	65.54	0.47
2.64	3	234.62	0	408.68	0.012	47.43	129.16	38.26	1.12
3.3	3	225.45	0	451.05	0.024	50.34	164.94	25.87	1.71
3.96	3	215.02	0	462.59	0.039	62.29	210.17	14.64	2.75
5.4	3	181.94	0	315.30	0.078	110.49	358.72	0.052	4.76
1.65	6	208.48	204.17	251.12	-0.53	36.18	83.50	13.26	0.49
2.64	6	196.45	148.19	343.56	-0.060	41.17	122.19	13.13	1.04
3.3	6	189.55	123.93	373.89	0.058	59.86	154.99	5.07	1.76
3.96	6	181.76	103.98	379.98	0.10	67.43	197.04	2.20	2.71
5.4	6	159.90	61.65	217.32	0.090	119.13	353.97	0.43	4.61

3

4 Figure 11 presents the head H and efficiency η for $M2$, $M3$, and $M6$ under the
5 five flow conditions ($Q = 1.65, 2.94, 3.3, 3.96, 5.4$ m³/h). As shown in Figure 11 and
6 Table 8, the head and efficiency under the five flow conditions all decrease after the
7 volumetric and interstage leakages are considered, and the decrease rate caused by the
8 volumetric leakage is noticeably faster than that caused by the interstage leakage.
9 These results indicate that the loss of volumetric leakage loss is greater than that of
10 the interstage leakage. With an increase in flow rate, the decrease rates of the head and
11 efficiency caused by the interstage leakage initially increase, subsequently decrease,
12 and finally approach zero. By contrast, the decrease rates caused by volumetric
13 leakage constantly decrease.

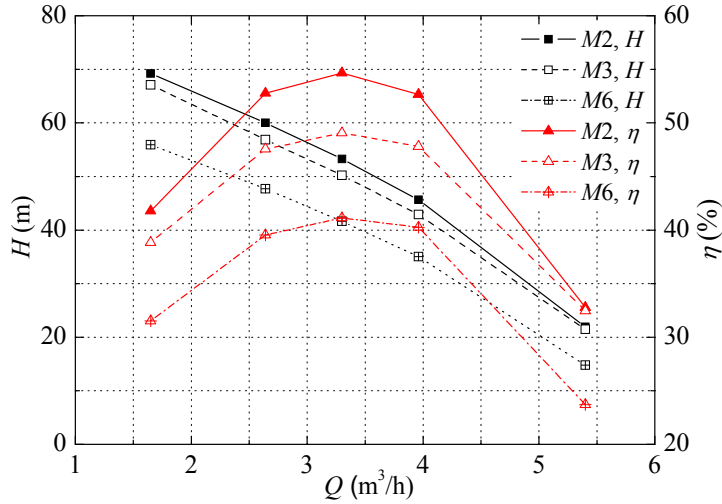
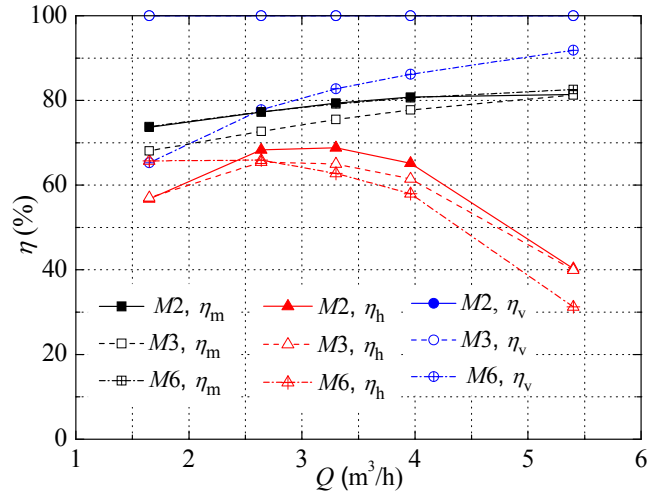


Fig. 11 Head and efficiency of three calculation models under different flow conditions

The mechanical efficiency η_m , hydraulic efficiency η_h , and volumetric efficiency η_v for $M2$, $M3$, and $M6$ under the five flow conditions are shown in Figure 12. As shown in Figure 12 and Table 9, the volumetric efficiencies for $M2$ and $M3$ are both 100% without volumetric leakage. With the increase of the flow rate and the decrease in the pressure difference between the two sides of the front ring, the volumetric efficiency for $M6$ increases from 65.29% to 91.86%, indicating that the influence of the flow rate on volumetric efficiency is extremely significant. Moreover, the mechanical efficiencies for $M2$ and $M6$ are nearly the same, but that for $M3$ is relatively low. This outcome indicates that the volumetric and interstage leakages respectively have nearly the same positive and negative correlations with mechanical efficiency. With the increase of the flow rate, the mechanical efficiencies of three models all increase, and the increase rate for $M3$ is the fastest. Under large flow conditions, the mechanical efficiencies of the three models are basically the same, indicating that the interstage and volumetric leakages have minimal impact on mechanical efficiency. In addition, both the interstage and volumetric leakages lead to the decrease of hydraulic efficiency and a shift in the highest hydraulic efficiency points of the three models to the small flow direction. With the increase of flow rate, the decrease rate of the hydraulic efficiency caused by the interstage leakage initially increases and subsequently decreases, whereas that caused by the volumetric leakage constantly increases.



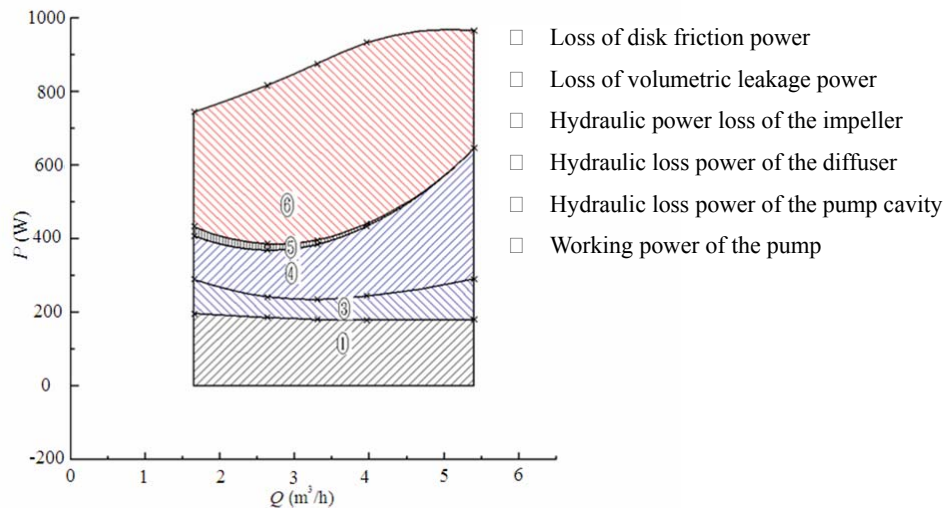
1

2 Fig. 12 Three component efficiencies for *M2*, *M3*, and *M6* under different flow conditions

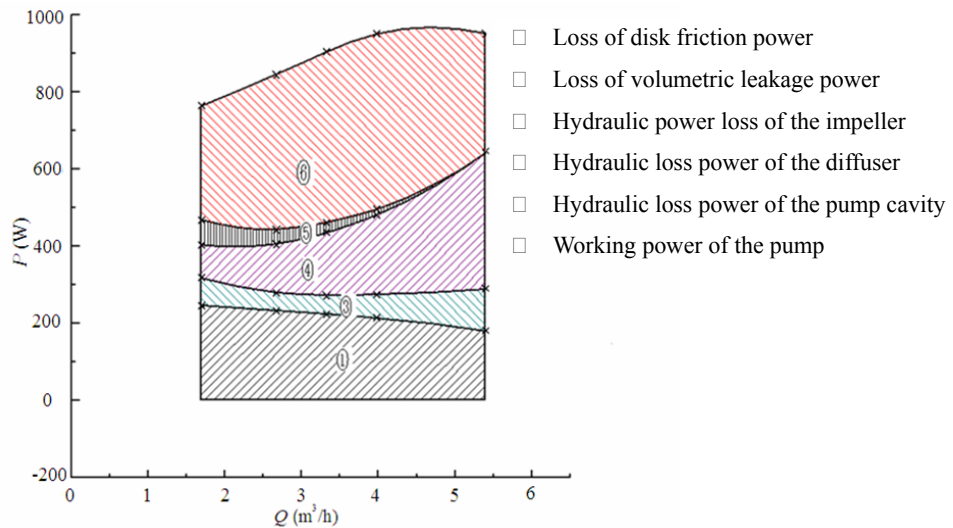
3 Figure 13 shows the components of the shaft power for *M2*, *M3*, and *M6* under
 4 the five flow conditions. A comparison of Figure 13(a) and 13(b) shows that the loss
 5 of disk friction power increases after the interstage leakage is considered. The
 6 interstage leakage gradually decreases to zero with the increase of flow rate, resulting
 7 in the decline of the increase rate of the loss of disk friction power to zero; this
 8 outcome shows that a portion of the energy loss from the interstage leakage is
 9 converted by the loss of disk friction power. In addition, the hydraulic loss power of
 10 the impeller and that of the diffuser decrease, whereas that of the pump cavity
 11 increases after considering the interstage leakage. However, with the increase of flow
 12 rate, the sum of the three types of hydraulic losses decreases, and the decrease rate
 13 reduces gradually to zero. This result indicates that the remaining energy loss from the
 14 interstage leakage is also converted by the hydraulic power loss. Moreover, the
 15 working power decreases after considering the interstage leakage, and the decrease
 16 rate initially increases and subsequently reduces to approximately zero with an
 17 increase of flow rate.

18 A comparison of Figures 13(b) and 13(c) reveals that the loss of disk friction
 19 power decreases after the volumetric leakage is considered, and the decrease rate
 20 reduces gradually because of the decreasing volumetric leakage with the increase of
 21 flow rate. This outcome indicates that the increasing volumetric leakage decreases the
 22 loss of disk friction power. Moreover, the actual flow through the impeller increases

1 after the volumetric leakage is considered; as a result, the hydraulic power loss of the
 2 impeller and that of the diffuser initially decrease and subsequently increase, and the
 3 hydraulic power loss of the pump cavity decreases gradually. Additionally, the
 4 working power also decreases after the volumetric leakage is considered, and the
 5 decrease rate increases gradually with the increase of flow rate. These results show
 6 that with the increase of flow rate, the influences of the interstage and volumetric
 7 leakages on the components of the shaft power gradually weaken and approach zero
 8 under a large flow condition.



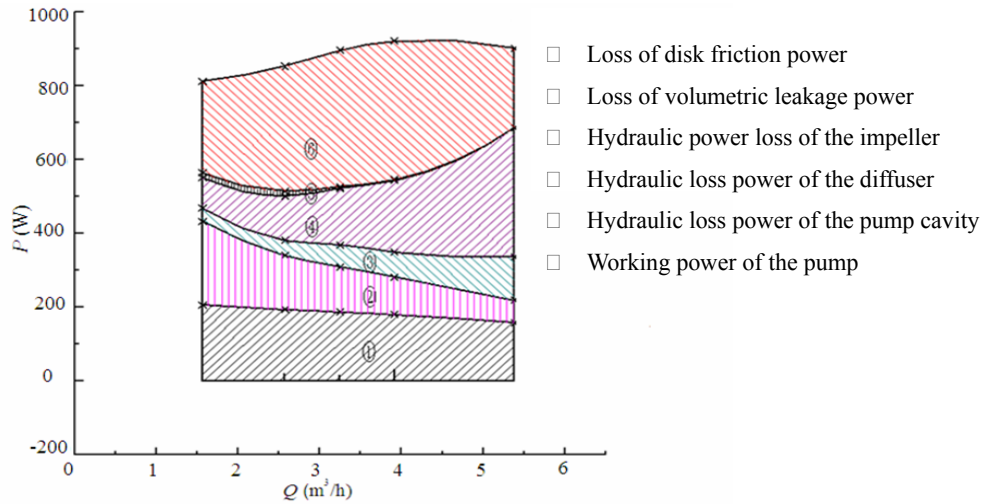
(a) M2



(b) M3

9
10

11
12



(c) *M6*

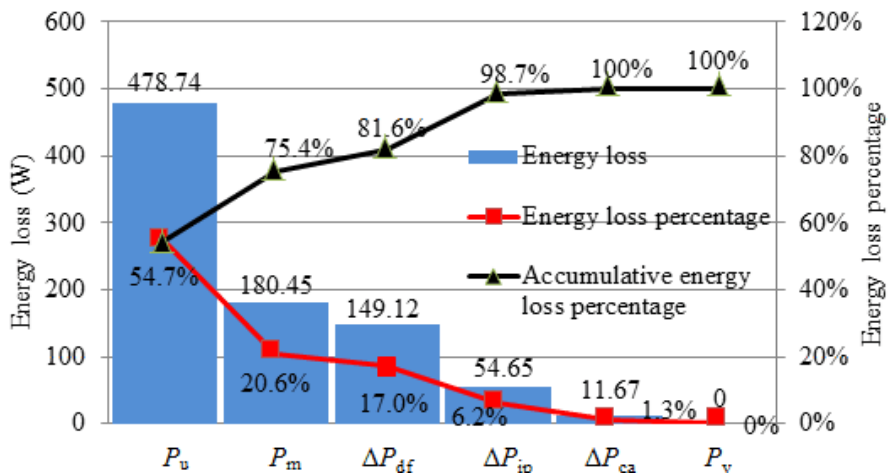
Fig. 13 Components of the shaft power for *M2*, *M3*, and *M6* under different flow conditions

3.4 Pareto charts of shaft power's components for three typical calculation models

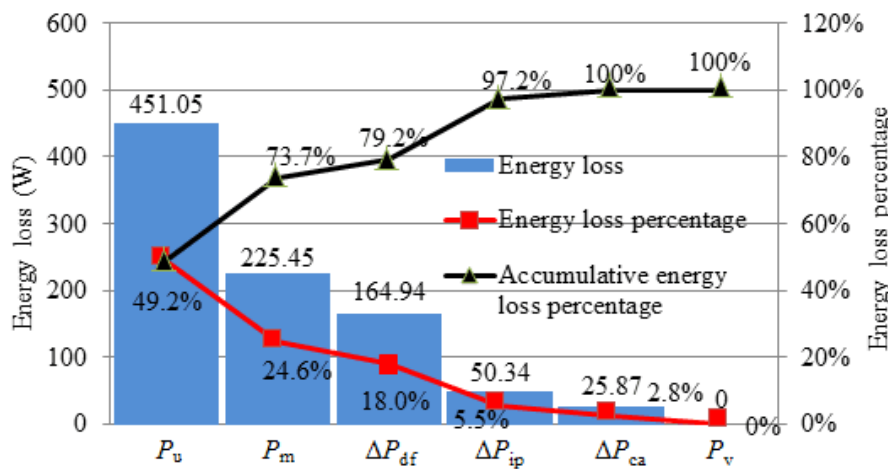
The Pareto charts are very useful and beautiful, using which readers can understand rapidly the interrelationship of each factor. As this manuscript focuses on the interrelationship among the different kinds of energy loss in the pump, Pareto charts of shaft power's components for different calculation models (*M2*, *M3* and *M6*) under rated flow condition of $Q = 3.3 \text{ m}^3/\text{h}$ are shown in Figure 14, and those for the calculation model *M6* under different flow conditions ($Q = 1.65, 3.3$ and $5.4 \text{ m}^3/\text{h}$) are shown Figure 15.

As can be seen in Figure 14, three typical calculation models, which respectively consider the disk friction loss, interstage leakage loss of rear ring and volumetric leakage loss of front ring, are selected as the research object for the energy loss model. For *M2*, after considering the disk friction loss, the shaft power's components are in the following descending order of magnitude: the working power (P_u), the loss of disk friction power (P_m), the hydraulic loss power of the diffuser (P_{df}), the hydraulic loss power of the impeller (P_{ip}) and the hydraulic loss power of the pump cavity (P_{ca}). Additionally, P_m accounts for 20.6% of the total shaft power, indicating that it is necessary to include the disk friction loss for the calculation model. Moreover, P_{ip} and P_{df} respectively account for 17% and 6.2% of the total shaft power, which indicates

1 the hydraulic performance of the diffuser is rather bad compared with the impeller.
 2 For $M3$ when the interstage leakage is considered, the order of magnitude does not
 3 change, but P_m increases sharply, while P_{df} and P_{ca} increase slightly due to the
 4 interstage backflow from the diffuser to the pump cavity. For $M6$, after considering
 5 the front ring leakage, the loss of volumetric leakage power (P_v) increases from 0 to
 6 13.7% of the total shaft power rapidly, indicating that the leakage from the front ring
 7 is really volumetric leakage. However, the proportions of main energy losses such as
 8 the loss of friction disk power and the hydraulic loss power are almost the same as
 9 that for $M2$, implying that the influences of the front ring leakage and rear ring
 10 leakage on the main energy losses are just the reverse. Finally, with increasing
 11 integrity of calculation model, the working power continues to decrease, while the
 12 loss of friction disk power and the hydraulic loss power swings back and forth.



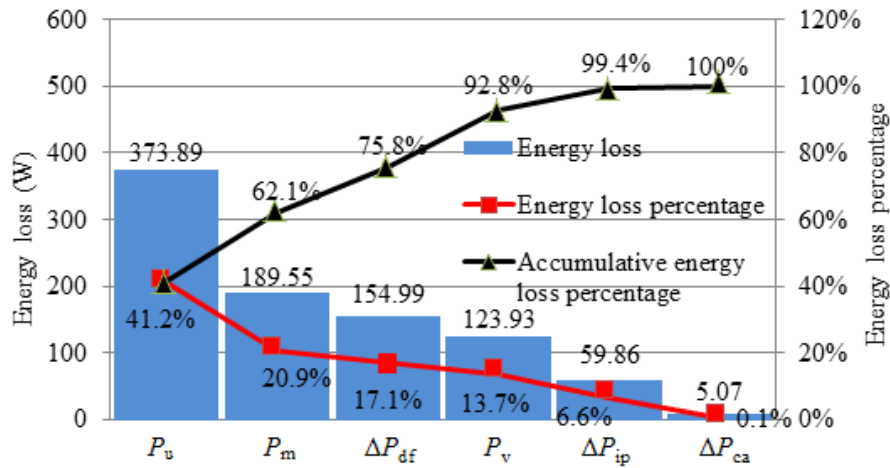
(a) $M2$



(b) $M3$

13
14

15
16

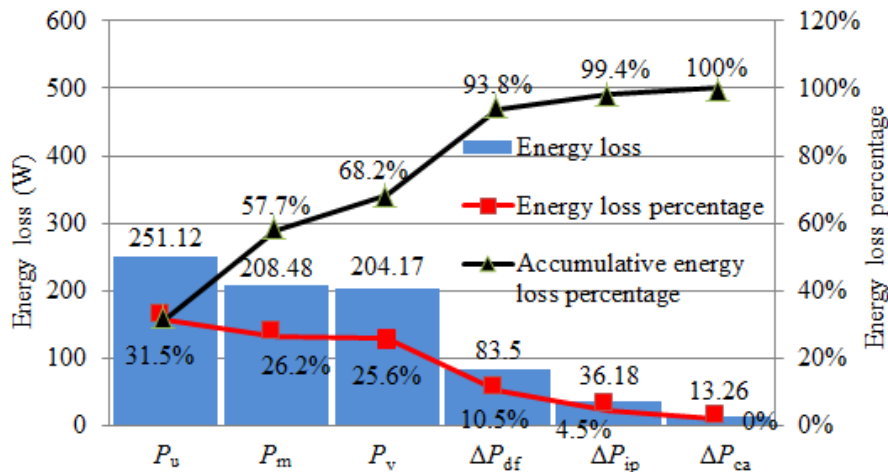


(c) M6

Fig. 14 Pareto charts of shaft power's components for different calculation models

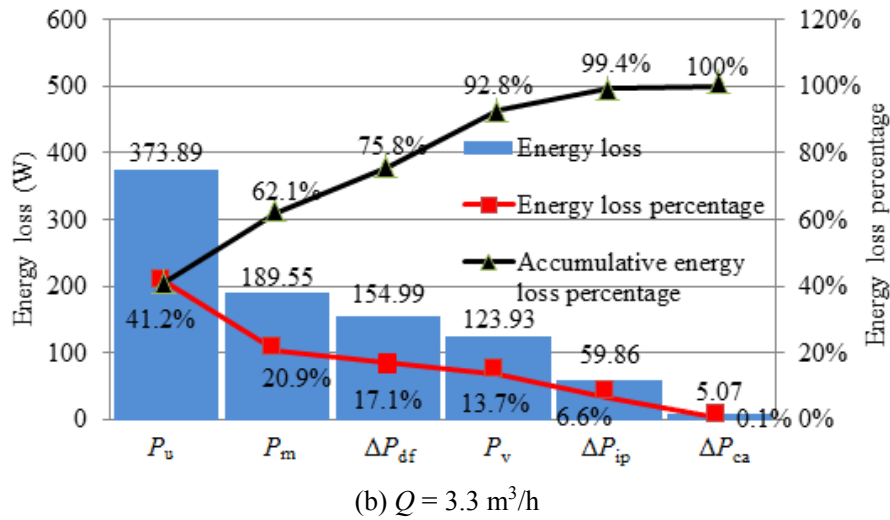
under rated flow condition of $Q = 3.3 \text{ m}^3/\text{h}$

As can be seen in Figure 15, the working power accounts for a small proportion of the shaft power, indicating the large total energy loss in the pump. With the increase of flow rate, the loss of disk friction power decreases; the hydraulic loss power of the impeller initially decreases and subsequently increases; the hydraulic loss power of the diffuser increases gradually; the hydraulic loss power of the pump cavity gradually decreases to zero; and the working power initially increases and subsequently decreases until it finally reaches a maximum value near the rated flow condition ($Q = 3.3 \text{ m}^3/\text{h}$). In a word, with the change of flow rate, each individual shaft power's component is always varying, which indicates that energy loss proportion is rather sensitive to Reynolds number.

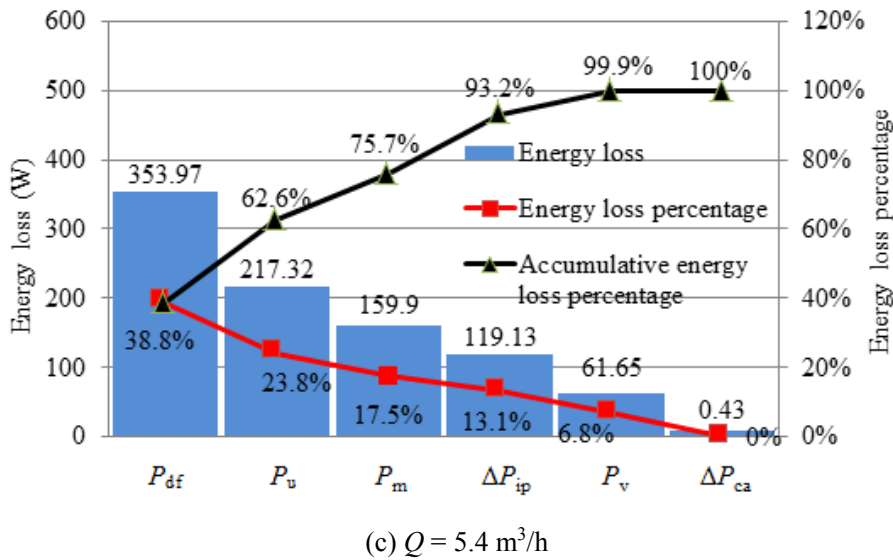


(a) $Q = 1.65 \text{ m}^3/\text{h}$

15
16



1
2



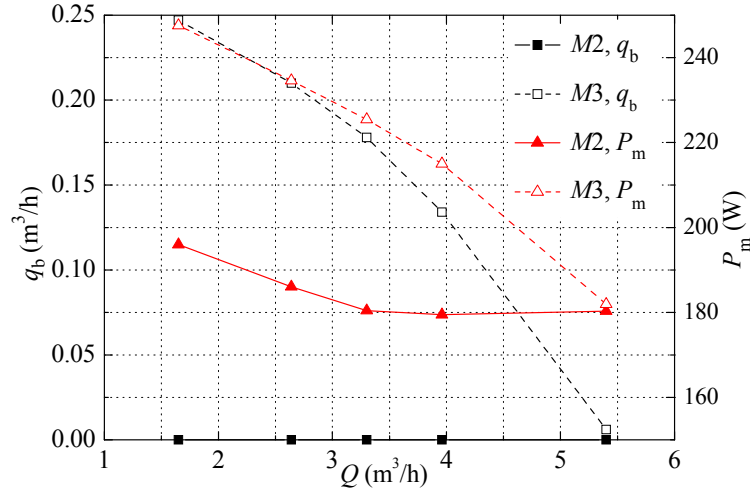
3
4

5 Fig. 15 Pareto charts of shaft power's components for $M6$ under different flow conditions

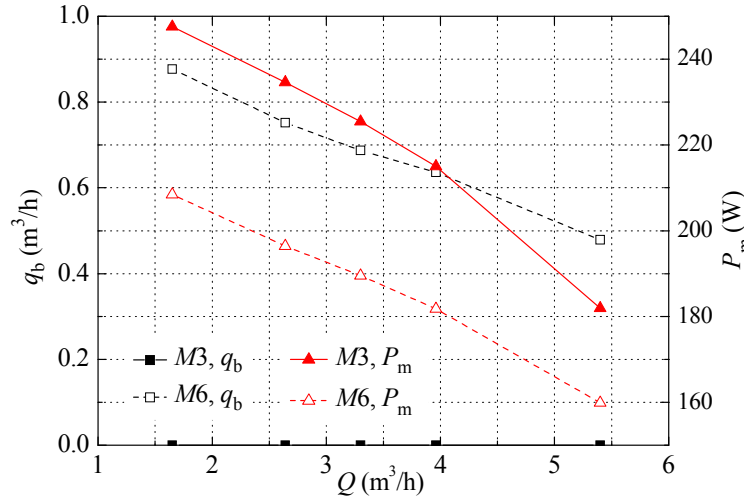
6 3.6 Relationships between the leakage amount and energy loss power

7 Figure 16 shows the relationships between the leakage amount and the loss of
 8 disk friction power under the conditions where the interstage or volumetric leakage is
 9 considered or not. With the increase of flow rate, the amounts of interstage and
 10 volumetric leakages reduce continuously, and the interstage leakage is approximately
 11 zero under a large flow condition. The loss of disk friction power decreases with an
 12 increasing flow rate, but the decrease rate is considerably slow and essentially remains
 13 stable under the large flow condition for $M2$ without the interstage and volumetric
 14 leakages. The loss of disk friction power for $M3$ interstage leakage increases
 15 significantly compared with that of $M2$, and the increase rate reduces constantly with

1 the increase of flow rate. The loss of disk friction power decreases sharply for *M6*
 2 with volumetric leakage compared with that of *M3*.



(a) With and without the interstage leakage



(b) With or without volumetric leakage

Fig. 16 Relationships between the leakage amount and loss of disk friction power

8 Assume $\Delta\zeta_1 = \frac{P_{M3} - P_{M2}}{P_m}$, $\Delta\gamma_1 = \frac{q_b}{Q_r}$, $\Delta\zeta_2 = \frac{P_{M3} - P_{M6}}{P_m}$, and $\Delta\gamma_2 = \frac{q}{Q_r}$,

9 where $\Delta\zeta_1$ is the increasing coefficient of the loss of disk friction power with
 10 interstage leakage, $\Delta\zeta_2$ is the decreasing coefficient of the loss of disk friction power
 11 with volumetric leakage, $\Delta\gamma_1$ is the leakage coefficient with interstage leakage, $\Delta\gamma_2$
 12 is the leakage coefficient with volumetric leakage, P_{M2} is the loss of disk friction power
 13 of *M2*, P_{M3} is the loss of disk friction power of *M3*, P_{M6} is the loss of disk friction
 14 power of *M6*, P_m (= 180.454 W) is the reference for the loss of disk friction power

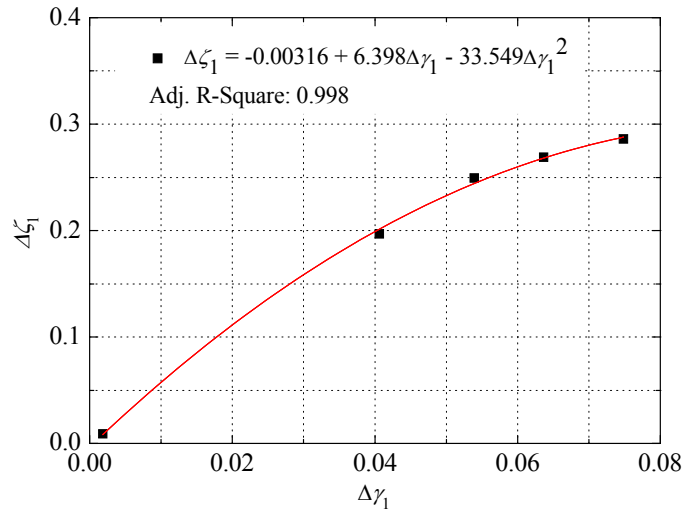
1 (i.e., that for $M2$ under rated flow condition), and $Q_r (= 3.3 \text{ m}^3/\text{h})$ is the reference flow
 2 under a rated condition.

3 Figure 17 presents the variations of the loss of disk friction power $\Delta\zeta$ with
 4 leakage coefficient $\Delta\gamma$. The following relationships can be obtained using quadratic
 5 curve fitting:

$$6 \quad \Delta\zeta_1 = -0.00316 + 6.398\Delta\gamma_1 - 33.549\Delta\gamma_1^2 \quad (18)$$

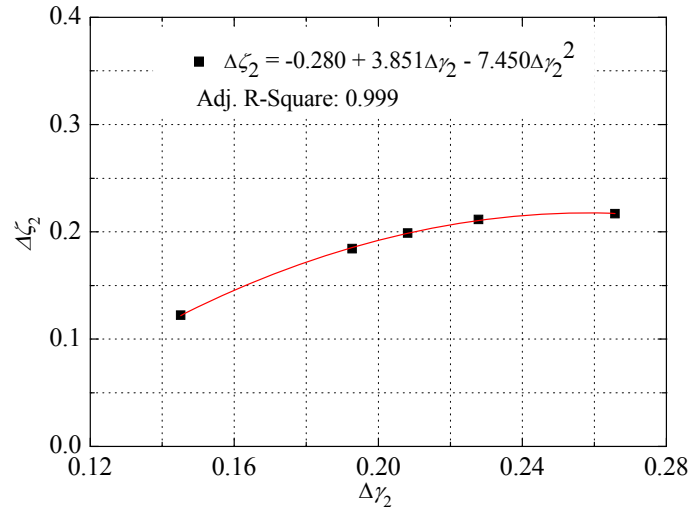
$$7 \quad \Delta\zeta_2 = -0.280 + 3.851\Delta\gamma_2 - 7.450\Delta\gamma_2^2 \quad (19)$$

8 Both interstage and volumetric leakages exert vital influences on the loss of disk
 9 friction power. When $\Delta\gamma_1$ is approximately 0.08, $\Delta\zeta_1$ approaches 0.3. When $\Delta\gamma_2$ is
 10 approximately 0.2, $\Delta\zeta_2$ approaches 0.2. These results indicate that the interstage
 11 leakage has a more significant effect on the loss of disk friction power than the
 12 volumetric leakage has. Moreover, the changing coefficient of the loss of disk friction
 13 power increases with the leakage coefficient, but the increase rate gradually
 14 decelerates.



15
 16

(a) Increasing coefficient of the loss of disk friction power with interstage leakage



1

2

(b) Decreasing coefficient of the loss of disk friction power with volumetric leakage

3

Fig. 17 Relationship between the coefficients of disk friction loss and leakage

4

5

Figure 18 presents the shear stresses τ at different radii ratio r/R ($R = 0.5D_2$) of any locations on the front shroud for $M3$, $M4$, $M5$, and $M6$, which have different amounts of volumetric leakage, investigating further the relationship between the leakage amount and disk friction loss. As shown in Figure 18, the shear stress on the front shroud of the second-stage impeller is slightly larger than that of the first-stage impeller, but their overall trends are nearly the same. The shear stress on the front shroud increases linearly with the increase of radius for $M3$ with volumetric leakage. As the radii increase, the shear stresses on the front shrouds initially decrease, subsequently increase, and finally approach zero at $r/R \approx 0.5$ for $M4$, $M5$, and $M6$ with volumetric leakage. With the increase in the amount of volumetric leakage, the shear stress on the front shroud decreases gradually at $r/R > 0.5$, especially for $M3$ and $M4$.

15

16

17

18

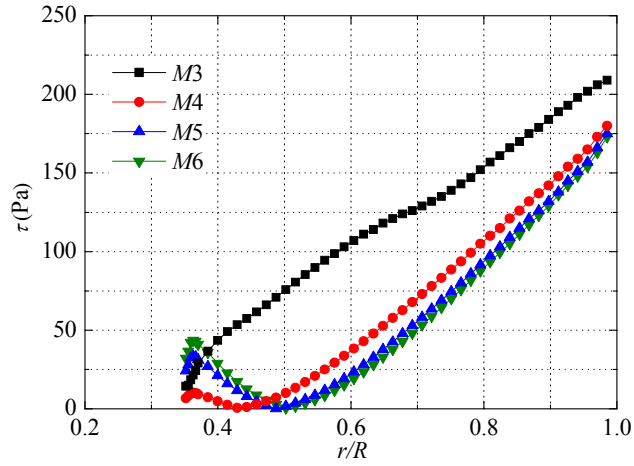
19

20

21

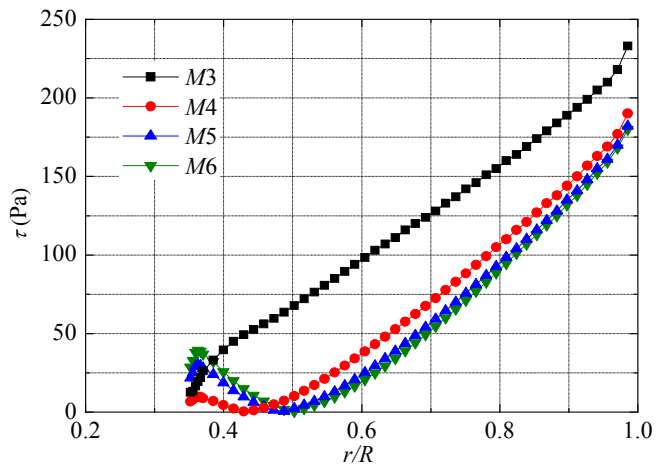
22

Figure 19 shows the shear stresses at different radii ratio of any locations on the rear hub for $M2$ and $M3$, which have different amounts of interstage leakage. As shown in Figure 19, the shear stresses on the rear hub of the two impellers are almost the same. Once considered the interstage leakage, the shear stress on the rear hub increases rapidly. These results indicate that the increase of the shear stress on the front shroud is caused by the volumetric leakage, whereas the decrease of the shear stress on the rear hub is ascribed to the interstage leakage. Thus, these two types of leakages have opposite effects on the loss of disk friction power.



1
2

(a) Front shroud of the first-stage impeller

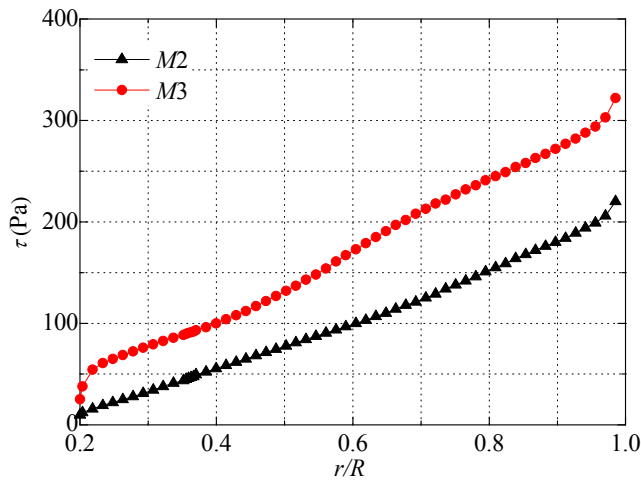


3
4

(b) Front shroud of the second-stage impeller

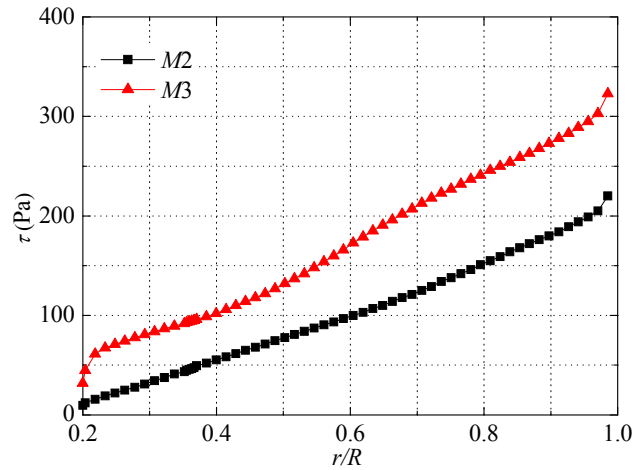
5

Fig. 18 Shear stresses on the front shroud with different amounts of volumetric leakage



6
7

(a) Rear hub of the first-stage impeller



(b) Rear hub of the second-stage impeller

Fig.19 Shear stresses on the rear hub with different amounts of interstage leakage

The loss of disk friction power accounts for a small proportion of the shaft power, and the hydraulic power loss reaches the minimum value under a rated flow condition for general centrifugal pumps. With the increase of the volumetric leakage, the loss of disk friction power reduces slightly, whereas that of the hydraulic power increases sharply under a rated flow condition (actual flow shifted to a large flow condition); thus, the overall power loss remarkably increases. Therefore, the pump efficiency is reduced by increasing the gap at the front ring.

However, the loss of disk friction power for centrifugal pumps with significantly low specific speed accounts for a huge proportion of the shaft power, and these pumps are generally designed using the maximum flow design method. Consequently, the supposed rated flow condition is actually a small flow condition. With the increase of volumetric leakage, the loss of disk friction power is considerably reduced, and the hydraulic power loss also decreases rapidly under a rated flow condition (actual flow shifted to the rated flow condition). By contrast, the loss of volumetric leakage power increases significantly. However, the overall power loss may be reduced because of the interactions of the three types of energy losses, that is, the efficiency of a centrifugal pump with a significantly low specific speed under a rated flow condition may be enhanced by increasing the amount of volumetric leakage. Kurokawa conducted the following tests on a centrifugal pump with a significantly low specific speed. Cutting off a hole with a diameter of 5 mm on the front shroud was found to

1 increase the efficiency of the pump by 3.5%, whereas cutting another hole could
2 decrease the efficiency to the value of the original model without any hole. The model
3 in this study is only a centrifugal pump with a generally low specific speed. to which
4 this law is applicable; however, the interactive relationships among the three types of
5 energy losses cannot be ignored.

6 **4. Optimization methods for pump efficiency**

7 The pump efficiency can be improved by reducing the energy losses in the pump,
8 namely, disk friction, volumetric leakage, and hydraulic losses. The disk friction loss
9 is affected by two factors. The first factor includes the geometric parameters, such as
10 disk diameter, rotating speed, and surface roughness, whereas the second factor
11 includes the interstage and volumetric leakages. The disk diameter, rotating speed,
12 and surface roughness are positively correlated with disk friction loss. The disk
13 diameter and rotating speed are not allowed to reduce because of the high-head
14 requirement, and the surface roughness has basically reached the theoretical minimum
15 value. Therefore, reducing the disk friction loss by decreasing the parameters is
16 challenging. Meanwhile, the interstage and volumetric leakages respectively show
17 positive and negative correlations with disk friction power loss; thus, the loss of disk
18 friction power can be decreased by reducing the amount of interstage leakage and
19 increasing that of the volumetric leakage. However, an increase in the amount of
20 volumetric leakage also leads to a remarkable increase in volumetric leakage loss.
21 The increment of the volumetric leakage loss is usually greater than the decrement of
22 the disk friction loss for general centrifugal pumps. Therefore, the total energy loss
23 can be decreased by reducing the volumetric leakage loss, resulting in an improved
24 pump efficiency, as shown in this paper.

25 As shown in Figure 13, the hydraulic loss power of the diffuser increases
26 significantly with the increase of flow rate, implying that the carrying capacity of the
27 diffuser is insufficient, and the size of the diffuser should be increased. Moreover, the
28 pump in this study has a cantilever structure, and only one rolling bearing exists in the
29 connective part between the pump and motor; thus, a large runout of the shaft may

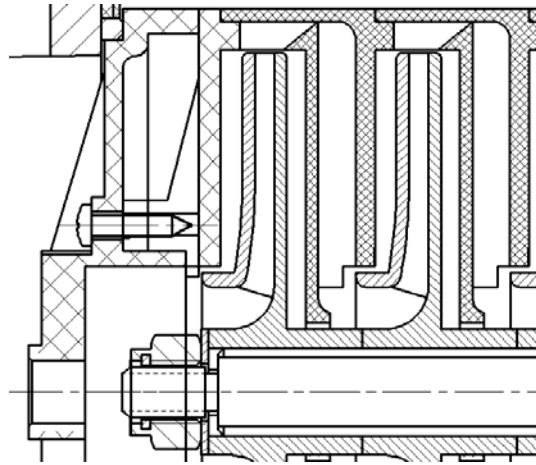
1 ensue. For this reason, the clearances of the ring have to be maintained between 0.5
2 mm and 1 mm, as shown in Figure 20(a). Therefore, the pump efficiency can be
3 improved effectively by the following three methods:

4 (1) A plastic seal ring may be placed on the front ring of the impeller, as shown
5 in Figure 20(b). The seal ring is close to the cavity wall during pump operation, and
6 the volumetric leakage is prevented effectively because of the pressure difference.
7 However, this method is inapplicable for the interstage leakage because the pressure
8 difference reduces the gap between the seal ring and the rear hub of the impeller.

9 (2) A sliding bearing may be installed on the inlet of the pump, as shown in
10 Figure 20(c). The runout of the shaft decreases rapidly because both its ends are fixed,
11 and the clearances of the front and rear rings can be set within 0.2 mm to improve the
12 pump efficiency remarkably. However, the pump will inevitably vibrate during the
13 operation if this method is applied.

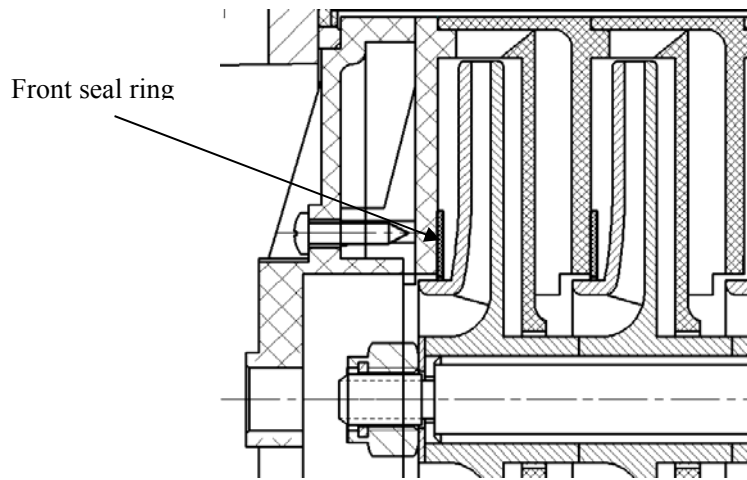
14 (3) The radial dimension of the positive diffuser may be increased, as shown in
15 Figure 20(d). A high head ($H_{\max} \geq 13$ m) and a small shaft power ($P_{\max} \leq 200$ W) are
16 both required by users; thus, a small angle of the impeller outlet and a large impeller
17 diameter have to be selected. Moreover, the unilateral radial dimension of the positive
18 diffuser is only 4.5 mm because of the limitation of the pump diameter ($D_{\max} \leq 118$
19 mm), resulting in an insufficient carrying capacity of the diffuser. With the increase of
20 flow rate, the hydraulic loss of the diffuser increases significantly. Therefore, two
21 techniques are proposed to solve these problems: removing the restrictions on either
22 the high head or the pump diameter. The first technique can increase the radial
23 dimension of the positive diffuser by reducing the impeller diameter. This technique
24 can decrease not only the friction disk loss at the impeller but also the hydraulic loss
25 at the diffuser. The second technique can increase the radial dimension of the positive
26 diffuser directly by increasing the pump diameter.

1
2



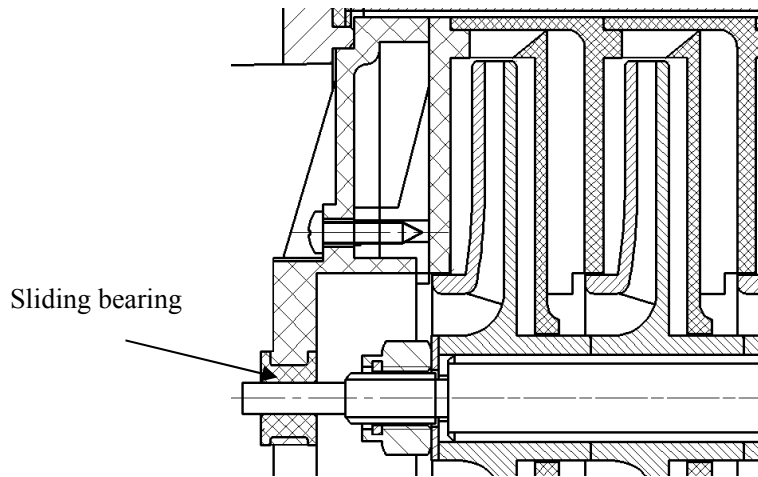
(a) Original pump model

3
4

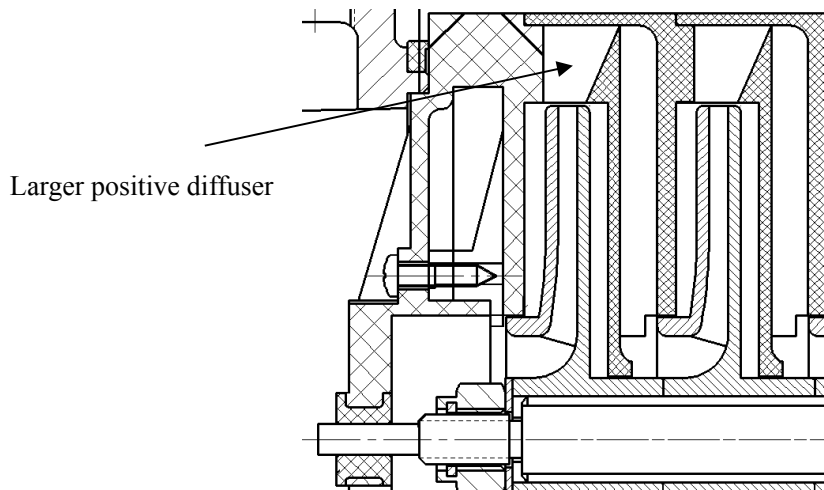


(b) Pump model with front seal ring

5
6
7



(c) Pump model with sliding bearing



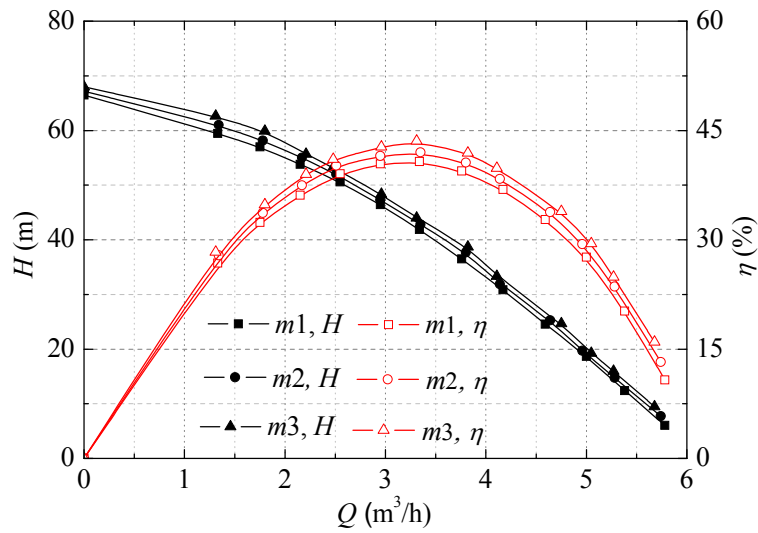
(d) Pump model with a positive diffuser with larger radial dimension

Fig. 20 Two-dimensional drawings of the proposed methods to improve pump efficiency

Due to that the plastic seal ring on the front ring of the impeller always moves slightly in the working operation of the pump, it's very difficult to make accurate calculation. Therefore, the authors decided to conduct some experiments to verify the theoretical optimal pump models. Note that only the first and the second optimal methods are used, because the third optimal method by increasing the radial dimension of the positive diffuser has not been tried due to the great change of the pump's structure and the limitation of the manuscript's revision time.

The original pump model with the front ring of 1 mm unilateral clearance is selected as model 1 (referred to as $m1$). Moreover, model 2 (referred to as $m2$) is also selected through placing a plastic seal ring on the front ring of $m1$, and the volumetric leakage is prevented effectively because of the pressure difference due to that the seal ring is close to the cavity wall during pump operation. The theoretical clearance of the front ring of $m2$ is 0.5 mm, while the actual clearance of the front ring should be larger due to the slight movement of the seal ring in the working operation of the pump. Additionally, it's not very necessary to place the sliding bearing on the inlet of the pump if only some performance experiments with short-time operation are done. Therefore, through adjusting the radial dimension of the front ring, the optimal pump model with the front ring of 0.5 mm unilateral clearances is also selected as model 3 (referred to as $m3$). The efficiency and the head of the three pump models are also shown in Figure 21. As can be seen that, compared with $m1$ and $m3$, with decreasing

1 the clearance of the front ring, the head and the efficiency under different flow
 2 conditions all decrease, and the maximum efficiency point shifts to the small flow rate
 3 condition due to the decrease of the volumetric leakage. What's more, compared with
 4 $m1$ and $m2$, the head and the efficiency of the pump are improved indeed through
 5 placing a plastic seal ring on the front ring, but the improvement of the pump
 6 performance is slightly smaller than that through decreasing directly the clearance of
 7 the front ring compared with $m2$ and $m3$. Therefore, the theoretical optimal pump
 8 models are verified through the experimental methods.



9
 10 Fig. 21 Experimental results of three kinds of pump models

11 **5. Conclusions**

12 In this study, a combined energy loss model and Computational Fluid Dynamics
 13 (ELM/CFD) optimization method was proposed, and has been applied successfully to
 14 the design of a multistage centrifugal pump. Four different calculation models were
 15 established to systematically study the various kinds of energy losses in the pump. A
 16 series of numerical calculations were performed with different grid numbers,
 17 turbulence models, convergence precisions and surface roughness, to find the
 18 appropriate numerical settings and ensure the reliability of the results. Then, all the
 19 kinds of energy losses in a typical multistage centrifugal pump are calculated to assess
 20 their individual or combined effects on the pump performance. Finally, the optimal
 21 design were obtained by the combined method of ELS/CFD and verified by prototype

1 test. The analysis of the results enables the following observations to be drawn:

2 (1) Suitable setting methods of numerical calculation for multistage centrifugal
3 pump could render the numerical results more credible, and the integrity of the
4 calculation domain is the key contributor to the accuracy of CFD results. When the
5 integrity of the calculation domain increases, the efficiency constantly decreases, and
6 the largest deviation exceeds 50%; the head initially increases and subsequently
7 decreases, with the largest deviation being approximately 30%. According to the
8 detailed comparisons, the numerical and experimental results are almost coincident
9 under rated flow condition, but there are some minor discrepancies (within 3%) under
10 non-rated flow conditions because of flow separation or recirculation in the pump.
11 Therefore, it is rather creditable to predict the performances of multistage centrifugal
12 pump using CFD based on the whole calculation model and appropriate numerical
13 setting methods.

14 (2) An ELM/CFD method was established for the optimal design, which includes
15 the various kinds of energy loss in the pump, such as disk friction loss, volumetric
16 leakage loss, interstage leakage loss as well as the hydraulic loss, which occurred at
17 inlet section, outlet section, impeller, diffuser and pump cavity, respectively. The
18 energy distribution in any pump models could be displayed clearly, and the abnormal
19 energy loss could be obtained for further improvement of pump performance. For
20 example, the hydraulic loss power of the diffuser accounts for 17% of the total shaft
21 power, which indicates that the hydraulic performance of the diffuser is rather poor.
22 Through the analysis found that the radial dimension of the positive diffuser is too
23 small and large impact energy loss exists on the inlet of the positive diffuser.
24 Therefore, the problem of low efficiency can be solved by increasing the radial
25 dimension of the positive diffuser.

26 (3) The interstage leakage loss is converted by the disk friction loss, while the
27 volumetric leakage loss is negatively correlated with the disk friction loss. The
28 increment of the volumetric leakage loss is greater than the decrement of the disk
29 friction loss for general centrifugal pumps. Therefore, reducing the volumetric
30 leakage and interstage leakage losses is the most effective technique to increase the

1 efficiency of general centrifugal pumps. Under the premise of ensuring the working
2 reliability of the pump, the clearance of front and rear ring should be as small as
3 possible. Additionally, due to that the loss of disk friction power accounts for large
4 proportion of the total shaft power of the centrifugal pump, especially the low specific
5 centrifugal pump, so polishing the impeller shroud and pump cavity is beneficial in
6 improving pump efficiency and reducing pump shaft power under the premise that the
7 surface roughness has a significant influence on the pump performance.

8 9 **Acknowledgments**

10 Funding support from the Priority Academic Program Development of Jiangsu Higher
11 Education Institutions (PAPD) and the China Scholar Council (2014083201656) are
12 gratefully acknowledged.

13 14 **References**

- 15 [1] Shankar VK, Umashankar S, Paramasivam S, Hanigovszki N. A comprehensive review
16 on energy efficiency enhancement initiatives in centrifugal pumping system. *Applied*
17 *Energy*, 2016, 181:495-513.
- 18 [2] Hergt P H. Pump research and development: past, present, and future. *Journal of Fluids*
19 *Engineering*, 1999, 121(2): 248-253.
- 20 [3] Zhang J, Zhang HH, He YL, Tao WQ. A comprehensive review on advances and
21 applications of industrial heat pumps based on the practices in China. *Applied Energy*.
22 2016, 178:800-25.
- 23 [4] Olszewski P. Genetic optimization and experimental verification of complex parallel
24 pumping station with centrifugal pumps. *Applied Energy*, 2016, 178:527-39.
- 25 [5] Hydraulic Institute, Europump and US-DOE, 2004. *Variable speed pumping: a guide to*
26 *successful applications*, Hydraulic Institute, New York, NY.
- 27 [6] Zhou L, Shi W D, Li W, et al. Particle Image velocimetry measurements and performance
28 experiments in a compact return diffuser under different rotating speed. *Experimental*
29 *Techniques*, 2016, 40:245-252.
- 30 [7] Ma X H, Feng Q, Jiang X P, et al. Numerical simulation of unsteady pressure pulsation in
31 multistage centrifugal pump. *Journal of Drainage and Irrigation Machinery Engineering*,
32 2016, 34(1): 26-31.
- 33 [8] Kang C, Yang X, Yu X J. Inter-stage interaction and unsteady flow characteristics in
34 multistage centrifugal pump. *Journal of Drainage and Irrigation Machinery Engineering*,
35 2015, 33(7): 566-571.
- 36 [9] Zhou L, Shi W D, Li W, et al. Numerical and experimental study of axial force and
37 hydraulic performance in a deep-well centrifugal pump with different impeller rear

- 1 shroud radius. ASME Journal of Fluids Engineering, 2013, 135(10): 104501.
- 2 [10] Wang C, Shi W, Si Q, et al. Numerical calculation and finite element calculation on
3 impeller of stainless steel multistage centrifugal pump. Journal of Vibroengineering, 2014,
4 16(4): 2063-2074.
- 5 [11] Zhou L, Shi W, Wu S. Performance optimization in a centrifugal pump impeller by
6 orthogonal experiment and numerical simulation. Advances in Mechanical Engineering,
7 2013, 5: 385809.
- 8 [12] Wang C, Shi W, Zhou L, et al. Effect analysis of geometric parameters on stainless steel
9 stamping multistage pump by experimental test and numerical calculation. Advances in
10 Mechanical Engineering, 2013, 5: 575731.
- 11 [13] Xu Y, Tan L, Cao S, Qu W. Multiparameter and multiobjective optimal design of
12 centrifugal pump based on orthogonal method. Proceedings of the Institution of
13 Mechanical Engineers, Part C: Journal of Mechanical Engineering Science, 2016,
14 22:0954406216640303.
- 15 [14] Rong G P. Orthogonal test design and its application in the pump's research. Journal of
16 Drainage and Irrigation Machinery, 1995, 13(1): 27-30.
- 17 [15] Stepanoff A J. Centrifugal and axial flow pumps. New York: John Wiley, 1957.
- 18 [16] Lobanoff V S, Ross R R. Centrifugal pumps: design & applications. 2nd ed. Houston: Gulf
19 Publishing Company.
- 20 [17] Korakianitis T, Hamakhan IA, Rezaenia MA, et al. Design of high-efficiency
21 turbomachinery blades for energy conversion devices with the three-dimensional
22 prescribed surface curvature distribution blade design (CIRCLE) method. Applied Energy,
23 2012, 89(1):215-27.
- 24 [18] Ouchbel T, Zouggar S, Elhafyani M L, et al. Power maximization of an asynchronous
25 wind turbine with a variable speed feeding a centrifugal pump. Energy Conversion and
26 Management, 2014, 78: 976-984.
- 27 [19] Goto A, Nohmi M, Sakurai T, et al. Hydrodynamic design system for pumps based on
28 3-D CAD, CFD and inverse design method. ASME Journal of Fluids Engineering, 2002,
29 124(2): 329-335.
- 30 [20] Passrucker H, Van den Braembussche R A. Inverse design of centrifugal impellers by
31 simultaneous modification of blade shape and meridional contour. ASME Turbo Expo
32 2000: Power for Land, Sea, and Air.
- 33 [21] Zhou L, Shi W, Lu W, et al. Numerical investigations and performance experiments of a
34 deep-well centrifugal pump with different diffusers. ASME Journal of Fluids Engineering,
35 2012, 134(7): 071102.
- 36 [22] Stirling T E, Wilson G. A theoretically based CAD method for mixed flow pumps.
37 Proceedings, Eighth Tech Conf. of BPMA, Cambridge, 1983.
- 38 [23] Yuan W X, Zhang K W. The performance prediction of centrifugal pump. Pump
39 Technology, 1991, 2: 9-14.
- 40 [24] Neumann B. The interaction between geometry and performance of a centrifugal pump.
41 Mechanical Engineering Publications, 1991.
- 42 [25] Li S H. The un-design condition and optimization of blade-pump. Beijing: China
43 Machine Press, 2006.
- 44 [26] Zhou L, Shi W, Cao W, Yang H. CFD investigation and PIV validation of flow field in a

1 compact return diffuser under strong part-load conditions. Science China Technological
2 Sciences, 2015, 58(3):405-14.
3 [27] Bianchi G, Cipollone R. Theoretical modeling and experimental investigations for the
4 improvement of the mechanical efficiency in sliding vane rotary compressors. Applied
5 Energy, 2015, 142:95-107.

6

7 **Nomenclature**

<i>Symbols</i>		q_2	ring leakage amount of the second stage pump, m ³ /h
b_2	outlet width of the impeller blade	q_b	interstage leakage amount of the multistage pump, m ³ /h
D_1	inlet diameter of the impeller, mm	q_b	outlet width of the impeller blade
D_2	outlet diameter of the impeller, mm	R	radii of the front shroud or rear hub, mm
D_3	inlet diameter of the positive diffuser, mm	r	radii of any locations on the front shroud or rear hub, mm
D_h	hub diameter of the impeller, mm	Z	number of the impeller blades, –
G	grid size, mm	Z_p	number of positive diffuser blades, –
H	total head of the multistage pump, m	Z_n	number of negative diffuser blades, –
H_1	head of the first stage pump, m	α_3	inlet angle of the positive diffuser blade, °
H_2	head of the second stage pump, m	α_6	outlet angle of the negative diffuser blade, °
H_t	theoretical head of the multistage pump, m	β_1	inlet angle of the impeller blade, °
H_{in}	loss head of the inlet section, m	β_2	outlet angle of the impeller blade, °
H_{out}	loss head of the outlet section, m	η	efficiency of the multistage pump, –
h	hydraulic loss head of the unit fluid through the pump, m	η_h	hydraulic efficiency of the multistage pump, –
h_{ip}	hydraulic loss head of the unit fluid through the impeller, m	η_m	mechanical efficiency of the multistage pump, –
h_{df}	hydraulic loss head of the unit fluid through the diffuser, m	η_v	volumetric efficiency of the multistage pump, –
h_{ca}	hydraulic loss head of the unit fluid through the pump cavity, m	θ_w	wrap angle of the impeller blade, °
$M1$	calculation model without pump cavity, –	μ	surface roughness, μm
$M2$	calculation model without ring, –	τ	shear stresses, Pa
$M3$	calculation model without front ring, –	ΔP_h	hydraulic loss power of the multistage pump, W
$M4$	calculation model with 0.25 mm front ring, –	ΔP_{in}	hydraulic loss power of the inlet section, W
$M5$	calculation model with 0.5 mm front ring, –	ΔP_{ip}	hydraulic loss power of the impeller, W
$M6$	calculation model with 1 mm front ring, –	ΔP_{df}	hydraulic loss power of the diffuser, W

$m1$	real pump model with 1 mm front ring, –	ΔP_{ca}	hydraulic loss power of the pump cavity, W
$m2$	real pump model through placing a plastic seal ring on the front ring of $m1$, –	ΔP_{out}	hydraulic loss power of the outlet section, W
$m3$	real pump model with 0.5 mm front ring, –	$\Delta \gamma_1$	leakage coefficient with interstage leakage, –
N	Stage number of the multistage pump, –	$\Delta \gamma_2$	leakage coefficient with volumetric leakage, –
P	shaft power of the multistage pump, W	$\Delta \zeta_1$	increasing coefficient of the loss of disk friction power with interstage leakage, –
P_1	shaft power of the first stage pump, W	$\Delta \zeta_2$	decreasing coefficient of the loss of disk friction power with volumetric leakage, –
P_2	shaft power of the second stage pump, W		
P_{1h}	hydraulic power of the first stage pump, W	<i>Subscripts</i>	
P_{2h}	hydraulic power of the second stage pump, W	ca	pump cavity
P_{1m}	loss of disk friction power of the first stage pump, W	h	hydraulic
P_{2m}	loss of disk friction power of the first second pump, W	df	diffuser
P_m	loss of disk friction power of the multistage pump, W	hb	rear hub
P_{M2}	loss of disk friction power of $M2$, W	in	Inlet section
P_{M3}	loss of disk friction power of $M3$, W	ip	impeller
P_{M6}	loss of disk friction power of $M6$, W	m	mechanical
P_h	hydraulic power of the multistage pump, W	n	negative diffuser
P_v	loss of volumetric leakage power of the multistage pump, W	out	outlet section
P_u	working power of the multistage pump, W	p	positive diffuser
Q	flow rate, m ³ /h	r	rated
Q_r	rated flow, m ³ /h	t	theoretical
q	average ring leakage amount of the multistage pump, m ³ /h	v	volumetric
q_1	ring leakage amount of the first stage pump, m ³ /h	w	wrap