

# Lowering thermal conductivity in thermoelectric $\text{Ti}_{2-x}\text{NiCoSnSb}$ half Heusler high entropy alloys

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## **ABSTRACT**

$\text{Ti}_{2-x}\text{NiCoSnSb}$  ( $x = 0.125, 0.250, 0.375,$  and  $0.500$ ) half Heusler (HH) high entropy thermoelectric alloys were synthesized by the arc melting (VAM) – ball milling (BM) – spark plasma sintering (SPS) route. The impact of secondary phase content on the thermoelectric properties in these alloys was examined. Ni-rich intermetallic ( $\text{Ni}_3\text{Sn}_2, \text{Ni}_3\text{Sn}_4$ ) compounds were observed; the intermetallic content increased for lower Ti content, e.g.,  $\text{Ti}_{1.5}\text{NiCoSnSb}$ . A Ni-rich full Heusler (FH) secondary phase was also observed. These results were consistent with first-principles calculations that show that the formation enthalpy of  $\text{Ti}_{1.5}\text{NiCoSnSb}$  was higher than that of  $\text{Ti}_2\text{NiCoSnSb}$  and the full Heusler (FH)  $\text{TiNi}_2\text{Sn}$  phase. In lower Ti content samples, the electrical conductivity increased, and lattice thermal conductivity decreased at the expense of thermopower owing to higher FH and the  $\text{Ni}_3\text{Sn}_2$  phase content.  $\text{Ti}_{1.5}\text{NiCoSnSb}$  exhibited lower lattice thermal conductivity of  $3.5 \text{ W/mK}$ , compared to  $5.4 \text{ W/mK}$  at  $823 \text{ K}$  for  $\text{Ti}_2\text{NiCoSnSb}$  due to increased phonon scattering at HH/ $\text{Ni}_3\text{Sn}_2$  interfaces. But considering the decreasing power factor with lower Ti content, the maximum ZT obtained in  $\text{Ti}_{1.875}\text{NiCoSnSb}$

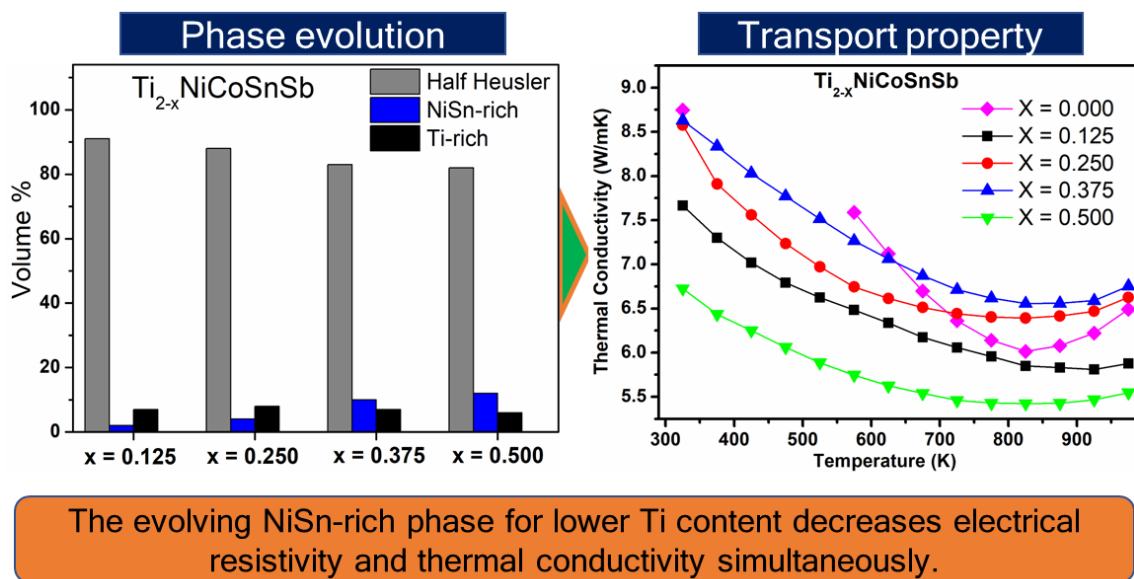
(0.171 at 973 K) was only marginally higher than the value for  $\text{Ti}_2\text{NiCoSnSb}$ . Further, compositional tuning is hence necessary to maximize the power factor.

**Keywords:** Full-Heusler, Half-Heusler, High entropy alloy, Thermoelectric.

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### Graphical abstract:



## 1. Introduction

Due to the depletion of natural reserves and an impending energy crisis, there is an urgent need for energy conservation and recycling. The conversion of waste energy to electricity makes thermoelectric effect-based energy recovery and recycling an extremely attractive and viable technology. Since the discoveries of the Seebeck and Peltier effects in the early 19<sup>th</sup> century [1], several efforts have been made to enhance thermoelectric (TE) efficiency. Thermoelectricity today finds diverse applications in microelectronics [2], as TE generators in hybrid cars, as Peltier refrigerators and heaters, and in space applications [3, 4]. Solar and Photo TE applications have also been ongoing research topics [5, 6]. The efficiency of TE devices ( $\eta$ ) is a product of their Carnot efficiency ( $\Delta T/T_h$ ), which primarily depends on the system design and the figure of merit ( $ZT$ ) which characterizes the constituent TE material [7].  $ZT$  depends on both electrical (Seebeck coefficient ( $S$ ), electrical conductivity ( $\sigma$ )) and thermal (thermal conductivity ( $\kappa$ )) parameters [2] and is given by:

$$ZT = \frac{S^2 \sigma}{\kappa} \quad (i)$$

Thermoelectric alloy composition selection has been based on Ioffe's findings [8] on the optimized concentration needed to obtain the maximum power factor ( $S^2 \sigma$ ). The total thermal conductivity ( $\kappa$ ) is due to the collisions of free electrons in the crystal ( $\kappa_e$ ) and the effect of phonons resulting from the vibrations of the crystal lattice ( $\kappa_l$ ). The electronic component  $\kappa_e$  depends directly on  $\sigma$ , the lattice phononic component  $\kappa_l$  is determined by the complexity of the crystal structure and tunable microstructural features. Presently, the materials employed for high temperature thermoelectricity include oxides, SiGe, and half Heusler (HH) alloys [9, 10]. However, the high contact resistance of the oxides [11] and the high cost of SiGe [9] hinder their applications. Hence, HH are attractive candidates for such applications [12, 13].

Heusler alloys with the  $\text{Co}_2\text{MnSi}$  type structure, are composed of four FCC lattices at  $0\ 0\ 0$  (4a),  $\frac{1}{4}\ \frac{1}{4}\ \frac{1}{4}$  (4b),  $\frac{1}{2}\ \frac{1}{2}\ \frac{1}{2}$  (4c), and  $\frac{3}{4}\ \frac{3}{4}\ \frac{3}{4}$  (4d), adopting the  $Fm\bar{3}m$  (225) space group. The half-Heusler counterparts have the 4d Wyckoff position vacant and adopt the  $F\bar{4}3m$  space group, as in the  $\text{MgAgAs}$  structure. HH alloys with a total valence electron count (VEC) of 18 are semiconducting and find applications as TE materials. HH alloys possess a high-power factor ( $\sim$  up to  $6\ \text{mW/mK}^2$ ) due to their narrow band gap, are composed of earth abundant elements, and do not need toxic or radioactive precursors. Their machinability, low contact resistance with metallic contacts and low thermal fatigue makes them attractive for high temperature TE generators [7, 14]. However, they possess a *relatively higher*  $\kappa$  value ranging from 1.8 to  $20\ \text{W/mK}$  [15, 16].

These alloys are generally synthesized by melting routes [17], solid-state synthesis (SSS) [18], or mechanical alloying (MA) [19], with the crushed or milled powders being subsequently compacted through hot isostatic pressing (HIP) or spark plasma sintering (SPS) under vacuum [18]. Generally, a single-phase material is not obtained and long annealing times are often required. However, the resulting grain growth, structural disorder and compositional changes are detrimental to the TE transport properties [20, 21]. Chen *et al.* have shown that the *ex-situ* dispersion of nanocrystalline zirconia (9 vol %) in Hf and Pd doped ZrNiSn lowers  $\kappa$  and enhances  $ZT$  by 1.35 times to 0.75 [22]. Similarly, Makongo *et al.*, have shown that precipitation of the nano full-Heusler (FH) phase in  $Zr_{0.25}Hf_{0.75}Ni_{1+x}Sn_{0.975}Bi_{0.025}$ , enhances electrical transport properties *via* effective carrier filtering, while simultaneously lowering  $\kappa_l$  [23]. Isovalent heavy atom doping can promote mass scattering to lower  $\kappa$ , with a  $ZT$  of 0.94 obtained in Ta and Hf-doped ZrNiSn at 923K [24].

High entropy alloys (HEAs) are considered prospective TE materials owing to the lower  $\kappa_l$  value observed due to phonon scattering by the distorted lattice. These single-phase materials with high crystal symmetry possess a high power factor [25]. Ren *et al.* suggested crystallographic design through aliovalent substitution to form double, triple, or quadruple HH. A double HH is formed by combining two 18 electron HH or a 17 and 19 electron HH alloys in such a way that the overall VEC is a multiple of 18. Similarly, in triple HH, three HH phases combine to give a VEC which is a multiple of 18. With the increasing number of atoms in the crystal, the crystal complexity escalates, the order-disorder transitions helps lower  $\kappa_l$  further at higher temperatures [26]. In such alloy systems, phase separation can also contribute to the lowering of  $\kappa_l$  [27]. High entropy has been realized in HH alloys by adding multiple elements to lower  $\kappa$ , which is called entropy engineering. For instance, entropy engineering in NbCoSb by equimolar additions of Hf, Zr, Ta, V, and Ti, resulted in a  $ZT$  of 0.31 at 923K [15].

Karati *et al.* synthesized double HH  $Ti_2NiCoSnSb$  and demonstrated a lower  $\kappa_l$  owing to high entropy effect and HH/HH phase separation [28, 29], and a simultaneous increase in  $S$  and  $\sigma$  due to charge carrier filtering. Additionally, unlike in the case of TiNiSn or TiCoSb, no additional annealing was required in this entropy stabilized alloy [28]. Park *et al.* found that annealing of sintered MNiSn (M=Ti, Zr, Hf) drastically reduced  $\sigma$  and increased  $\kappa$ , resulting in a lower  $ZT$  [21]. This necessitates a lower annealing time in HH alloys.

First-principles calculations further revealed the impact of phase separation in  $Ti_2NiCoSnSb$  on its thermal properties [29]. However, the presence of TiC impurities lowers the overall power factor in  $Ti_2NiCoSnSb$  [30]. While lowering of Ti content to increase phase purity has not been studied in TiNiSn, in HH NbCoSn, lower Nb decreased the NbSn type

intermetallic and FH phase fraction [31]. To enhance phase purity of the HH phase in NbCoSn, excess Co was added, or Nb content was decreased to lower the FH phase fraction [32]. Ferluccio *et al.* showed that  $\kappa_l$  reaches as low as 4 W/mK at an optimum Nb content of 0.94 atomic fraction [32]. In Nb<sub>1-x</sub>CoSb,  $\kappa$  is significantly lowered. Due to the lower metallic Nb<sub>5</sub>Sb<sub>4</sub> secondary phase content, the thermopower is also enhanced, leading to a  $ZT$  of 0.9 at 1123K for Nb<sub>0.83</sub>CoSb [33]. Similar results have been observed by lowering V and Ta content in 19 electron n-type V<sub>1-x</sub>CoSb and Ta<sub>1-x</sub>CoSb [34]. Recently, the combined effect of simultaneously lowering Nb and Sb content in NbCoSb on their TE properties was investigated [35]. And the optimum level of FH phase content in TiNi<sub>1+x</sub>Sn improved TE properties [36].

To increase the phase purity, lower Ti content was investigated in this present study. With lower Ti content, in the case of the formation of the single HH phase, the VEC would decrease leading to an apparent p-type doping that would influence the electrical transport properties. Hence, in this present study, we report the electrical and thermal transport properties of the Ti<sub>2-x</sub>NiCoSnSb set of alloys where  $x = 0.125$  (VEC = 17.75), 0.25 (VEC = 17.5), 0.375 (VEC = 17.25) and 0.5 (VEC = 17) and they were compared with the Ti<sub>2</sub>NiCoSnSb ( $x = 0.000$ ) sample. However, for lower Ti content, an increase in Ni<sub>3</sub>Sn<sub>2</sub> second phase content significantly influences the transport properties in these alloys. Based on the effect of the processing routes analyzed in Ti<sub>2</sub>NiCoSnSb, the arc melting followed by 1h ball milling, and sintering (VAM-1hBM-SPS) route with the highest  $ZT$  was chosen for synthesizing these alloys. And though mechanical alloying under argon led to close  $ZT$  values, owing to the chances of additional contamination like WC pickup from the vials, above method was preferred.

## 2. Materials and methods

For synthesizing the Ti<sub>2-x</sub>NiCoSnSb ( $x = 0.125, 0.250, 0.375, \text{ and } 0.500$ ) set of alloys, elemental granules of Ti and Sn, and chunks of Ni, Co, and Sb (all 99.5% pure) obtained from Loba Chemie were utilized. The elements were taken in stoichiometric proportion (with 5% excess Sb to compensate for vaporization losses) for vacuum arc melting (VAM) in a Vacuum Technology arc melting unit. To reduce losses due to evaporation, elemental Sn and Sb were first alloyed, followed by melting with high melting point Ti, Ni, and Co [28]. Finally, these master alloys were arc melted by placing the higher melting master alloy on top to form the VAM alloy. A Ti getter was used to minimize oxidation.

The VAM alloys were crushed and milled in a Fritsch Pulverisette P-5 high energy planetary ball mill. 1 hour milling was done at 300 rpm under acetone media using Tungsten

carbide (WC) vials and 10 mm WC balls. The obtained powders were then consolidated in a Dr. Sinter SPS-650 Spark plasma sintering unit of Sumitomo Metals, Japan in 20 mm graphite dies at 1273 K, with the temperature applied in 10 min and held for 5 min. 50 MPa pressure was applied within 3 min from the start of the sintering process and maintained throughout the process. Final pellets obtained were 3-3.5 mm thick.

About 500  $\mu\text{m}$  was scraped off from both sides of the compacted samples. The samples were resized for TE property measurements using a Struers Minitom low-speed IsoMet. The electrical properties ( $S$  and  $\sigma$ ) were measured by the differential and four-probe method, respectively. The Linseis LSR-3 system for  $S$  and  $\sigma$  measurement was used in the temperature range of 300 K to 973 K using samples resized to dimensions of  $12 \times 2 \times 2 \text{ mm}^3$ . To obtain thermal conductivity ( $\kappa$ ), the thermal diffusivity ( $\alpha$ ) was measured using a laser heating source and IR detector in a Netzsch LFA-427 setup.

The density of the samples was measured using Archimedes setup with the weight of the samples measured in air and water (Table S1 of the Supporting information). Theoretical density was calculated using the rule of mixtures of the known phases found from SEM (summation of the phase fraction and density of respective phase). The samples were resized to dimensions of  $10 \times 10 \times 2 \text{ mm}^3$  for laser flash measurements. The electronic thermal conductivity ( $\kappa_e$ ) dependence on electrical conductivity is given by the Wiedemann-Franz law as  $\kappa_e = LT\sigma$ . The Lorentz number ( $L$ ) at a given temperature was determined from the equivalent  $S$  values using the single parabolic band (SPB) model, which estimates the reduction of chemical potential due to phonon scattering [37].

The crystallography of the phases and their evolution in these alloys were determined utilizing an X'Pert Pro mpd powder X-Ray diffractometer, which is equipped with a copper- $K\alpha$  radiation source (wavelength of 0.15406 nm). The XRD pattern was fitted with a Pseudo-Voigt function to analyze the phase and crystallite size and strain. A polycrystalline silicon standard was used to subtract instrumental broadening effects. A high-intensity micro-XRD (RINT RAPID-II by Rigaku Corporation, Japan) was used to characterize the minority phases in the sample.

The microstructural evaluation was evaluated by a Helios G4 UX DualBeam microscope (FESEM) unit manufactured by Thermo Fisher Scientific FEI Company. A Bruker-Quantax energy-dispersive X-ray spectroscopy (EDS) unit attached to the FESEM was used for elemental analysis of the phases and compositional mapping. In the focused ion beam (FIB) unit attached to a Carl-Zeiss-cross beam 540, a gallium ion beam was employed to thin down cross-sectional transmission electron microscopy samples to  $\sim 70 \text{ nm}$ . Scanning

/Transmission electron microscopy (STEM/TEM) studies were conducted using a spherical aberration corrected JEM ARM200F (JEOL corporation, Japan) microscope operated at 200 kV. STEM-EDS mapping was performed using the Oxford SDD STEM-EDS detector.

Density functional theory (DFT) based analysis with similar parameters for the  $x = 0.000$  sample [29] was used for first-principles calculations in the  $x = 0.500$  sample with 8 vacancy sites in the 96-atom supercell simulated from Monte Carlo calculations in alloy theoretic automated toolkit (ATAT) [38]. For DFT calculations Vienna Ab initio Simulation Package (VASP) was employed, and a generalized gradient type (GGA) pseudopotential (for inner shell electrons) was used for local approximation along with  $2 \times 2 \times 2$  K-point grid generated using Monkhorst-Pack calculations [39, 40]. Structural relaxation was carried out with cut-off energy and ionic displacement levels of  $10^{-5}$  eV and  $10^{-2}$  eV/Å, respectively.

### 3. Results and discussion

#### 3.1. Crystal structure and Phase evolution:

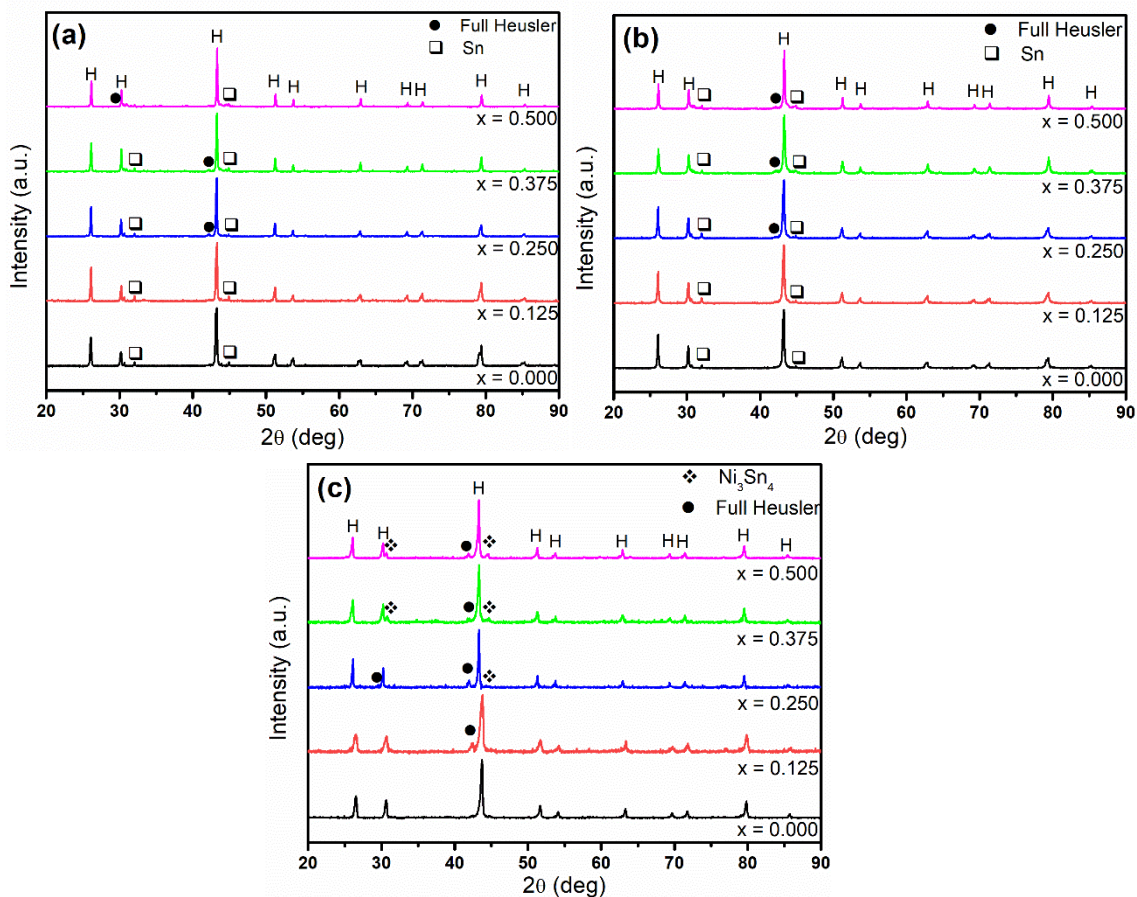
##### 3.1.1. Vacuum arc melting

In the VAM samples, a small tin and full Heusler (FH) phase content could be observed in the X-ray diffractograms (Figure 1(a)). These samples are denoted as  $x = 0.125$  ( $\text{Ti}_{1.875}\text{NiCoSnSb}$ ),  $x = 0.250$  ( $\text{Ti}_{1.75}\text{NiCoSnSb}$ ),  $x = 0.375$  ( $\text{Ti}_{1.625}\text{NiCoSnSb}$ ), and  $x = 0.500$  ( $\text{Ti}_{1.5}\text{NiCoSnSb}$ ), respectively, where  $x$  denotes the difference between the Ti content in the sample and that in  $\text{Ti}_2\text{NiCoSnSb}$ .  $\text{Ti}_2\text{NiCoSnSb}$  shall be denoted as  $x = 0.000$ . In the case of formation of the pure HH phase, the occupancy of Ti in its lattice site would decrease from 1.00 in the  $x = 0.000$  sample to 0.75 in the  $x = 0.500$  sample, correspondingly the valence electrons count (VEC) would decrease from 18 in the  $x = 0.000$  sample to 17 in the  $x = 0.500$  sample.

The BSE micrographs in Figure 3 show that the white Sn-rich phase and whitish-grey phase content increased from ~3.8 vol % in the  $x = 0.125$  sample (3(a)), to ~6.7 vol% in the  $x = 0.250$  sample (3(b)), to ~ 12.1 vol % in the  $x = 0.375$  sample (3(c)) and decreased slightly to ~11.5 vol % in the  $x = 0.500$  sample (3(d)), as can be seen from the phase analysis in Table S2 of the Supporting information. The whitish-grey phase was found to be NiSn-rich in Table S2 of the Supporting information. Due to its low phase fraction, it was not observed in the XRD results.

In the VAM samples, the dark grey phase had ~ 45 % Ni and Co (Table S2 of the Supporting information), indicating the presence of the full Heusler (FH) phase. Precipitation of a secondary FH phase of the  $\text{Ni}_2\text{TiSn}$  type in the half Heusler (HH) matrix of the  $\text{NiTiSn}$

type was greater in the sintered alloys as compared to VAM samples, as is evident from the X-ray diffractograms in [Figure 1\(a\) and 1\(c\)](#). Hazama *et al.* [41] suggested that Ni and Sn were expelled from the compound during SPS. It was found that the excess Ni had occupied the empty tetrahedral vacancies (interstices) of HH TiNiSn to form the Ni-rich FH phase. Surprisingly, the FH phase was observed in the sub-stoichiometric Ti samples, with the expected lowering of VEC. The FH phase fraction marginally decreased with lower Ti content in these samples [[Figure 1\(c\)](#)]. The composition analysis ([Table S2 of the Supporting information](#)) revealed the HH phase to be TiCoSb rich and the FH phase to be Ni<sub>2</sub>TiSn rich, indicative of phase separation in these alloys.



**Fig. 1.** X-Ray Diffractograms of (a) vacuum arc melted, (b) 1h ball milled and (c) spark plasma sintered Ti<sub>2-x</sub>NiCoSnSb ( $x = 0.125, 0.250, 0.375, 0.500$ ) samples and a comparison with Ti<sub>2</sub>NiCoSnSb (reported in [28]). In all the diffractograms H denotes the half Heusler phase.

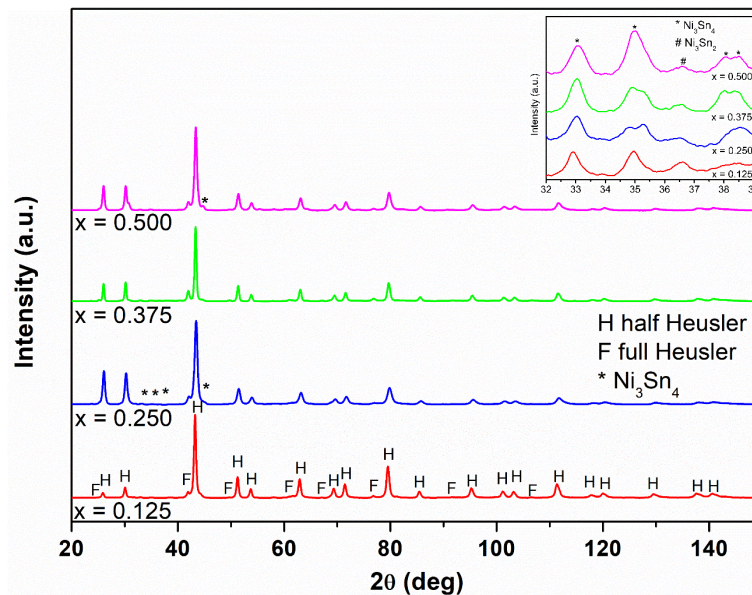
### 3.1.2. Ball milling and spark plasma sintered samples

Almost no change was observed after 1h milling ([Figure 1\(b\)](#)). In the sintered samples, the  $x = 0.125$  sample revealed only the FH secondary phase, as can be seen in the high intensity

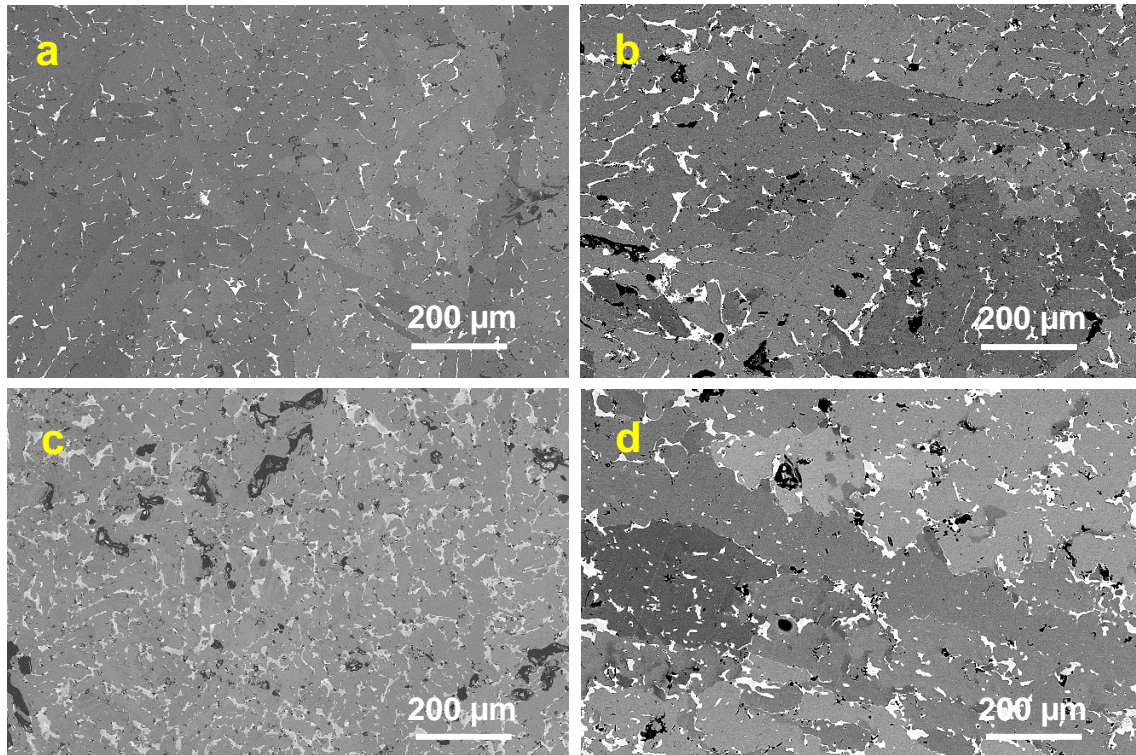
XRD diffractogram in Figure 2, the XRD pattern in Figure 1(c), and the BSE micrograph in Figure 4a. Considering the difficulty in clearly discerning the minority phases in normal XRD, high intensity XRD was performed. The black Ti-rich phase observed in the  $x = 0.000$  sample was also seen in these samples [28]. The FH phase is expected to be present when VEC is greater than 18 in HH alloys. But here, even with VEC decreasing from 18 to 17, the FH phase was observed by XRD; with decreasing VEC, there is a marginal decrease in FH phase content.

The NiSn-rich phase increased for lower Ti content owing to the reduced availability of Ti to form the HH matrix phase. The low melting point tin present in the VAM samples (Figure 1(a)) started to dissolve into the matrix during ball milling (Figure 1(b)) and intermetallic  $\text{Ni}_3\text{Sn}_4$  and  $\text{Ni}_3\text{Sn}_2$  phases were observed after sintering (Figure 2, Figure 1(c)).

In the  $x = 0.000$  sample, no Co based intermetallics were observed, as was the case in samples with up to 25% lower Ti content ( $x = 0.500$  sample). The NiSn-rich phase can be seen in the high intensity XRD pattern in Figure 2, with a zoomed view revealing a NiSn-rich intermetallic as inset of Figure 2. From the high intensity XRD pattern, it is observed that with decreasing Ti fraction from  $x = 0.125$  to  $x = 0.500$  samples, the FH phase fraction marginally decreased while the metallic  $\text{Ni}_3\text{Sn}_4$  phase content increased.



**Fig. 2.** High intensity X-ray diffractograms revealing phase evolution in sintered  $\text{Ti}_{2-x}\text{NiCoSnSb}$  (Minority NiSn phase shown in insets). Here in the Diffractogram H denotes the half Heusler phase and F the full Heusler phase.



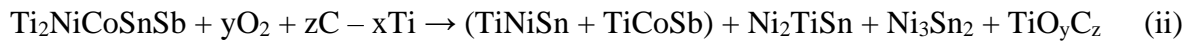
**Fig. 3.** BSE micrographs showing 4 phases (grey, dark grey, black and white) in vacuum arc melted (a)  $x = 0.125$ , (b)  $x = 0.250$ , (c)  $x = 0.375$  and (d)  $x = 0.500$  samples

This is evident from the increase in the volume fraction of the white phase with a NiSn-rich composition, from 0.02 in the  $x = 0.125$  sample (Figure 4a) to 0.04 in the  $x = 0.250$  sample (Figure 4b) to 0.10 in the  $x = 0.375$  sample (Figure 4c) to 0.12 in the  $x = 0.500$  sample (Figure 4d), as seen in the BSE imaging results. This trend is also evident from the phase and composition analysis in Figure 6 and Table S3 of the Supporting information. Therefore, further tuning of Ti content may yield a composition between  $x = 0.125$  and  $x = 0.250$  sample with the minimum amount of FH and NiSn rich phase, yielding the double HH of TiNiSn and TiCoSb with the desired highest HH phase fraction.

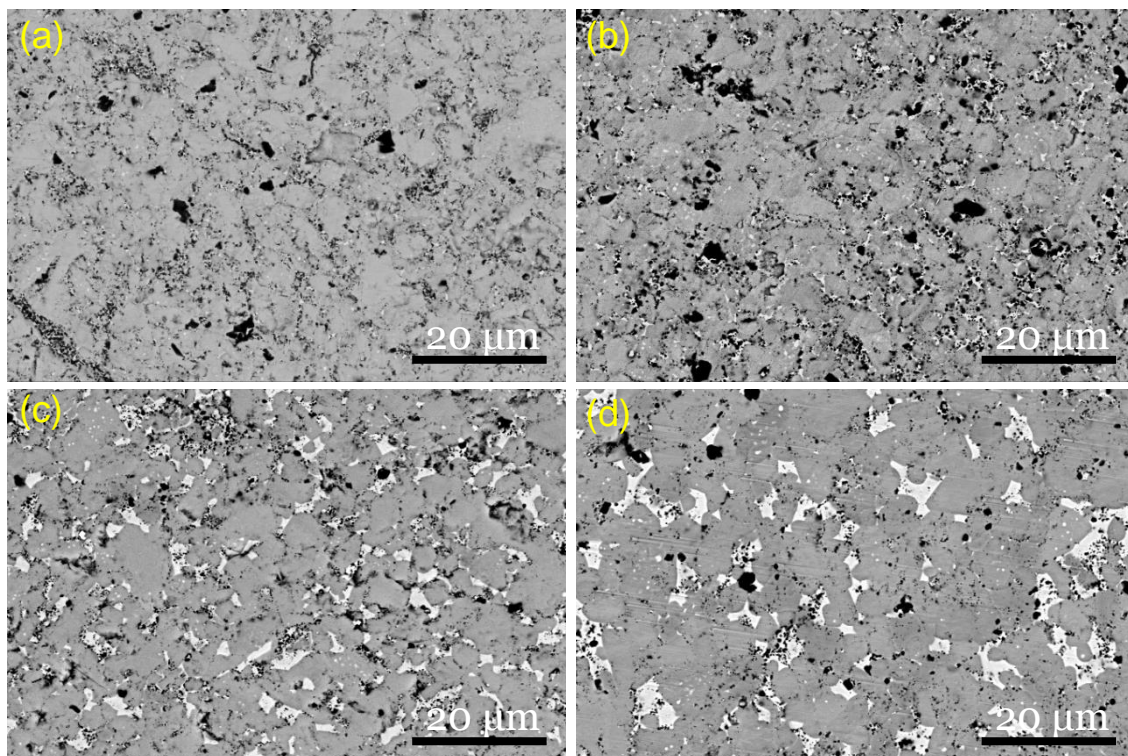
Small pockets of dark Ti-rich (oxycarbide) phases were seen in  $\text{Ti}_2\text{NiCoSnSb}$  [28]. White NiSn-rich phases could be seen in the EDS elemental mapping of the  $x = 0.375$  sample in Figure 5. A black phase, comprising Ti oxycarbides as well as porosities, was found to be ~8 volume % in all the alloys (Figure 6d, Table S3 of the Supporting information). However, it is difficult to accurately separate the Ti oxycarbide phase from the porosity. In the  $x = 0.125$  and  $x = 0.250$  samples, the black phase surrounded the white phase; however, the black phase was seen inside the white phase in the  $x = 0.375$  and  $x = 0.500$  samples.

The occurrence of the TiCoSb-rich grey phase and the TiNiSn-rich dark grey phase in these samples could be indicative of phase separation between the two HH components of the double HH. However, compared to the  $x = 0.000$  sample, the dark grey phase fraction was

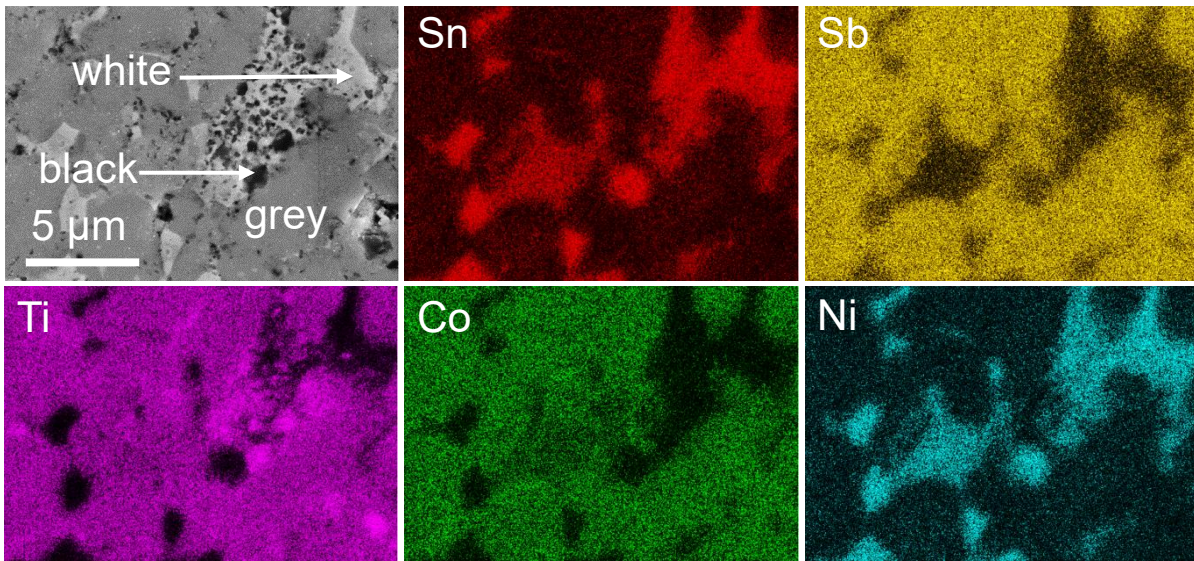
lower in the  $x = 0.125$  sample ( $\sim 0.03$ ) and not clearly discernible in the other compositions, owing to increased HH/ $\text{Ni}_3\text{Sn}_4$  phase separation. Due to its lower phase fraction and small size, the FH phase was not visible in these samples. In the high-intensity XRD, the FH phase is discernible; it is difficult to separate the grey and dark grey phases in the SEM micrographs of the sintered samples. The overall equation pertaining to the mechanochemical reaction in these alloys can be given as:



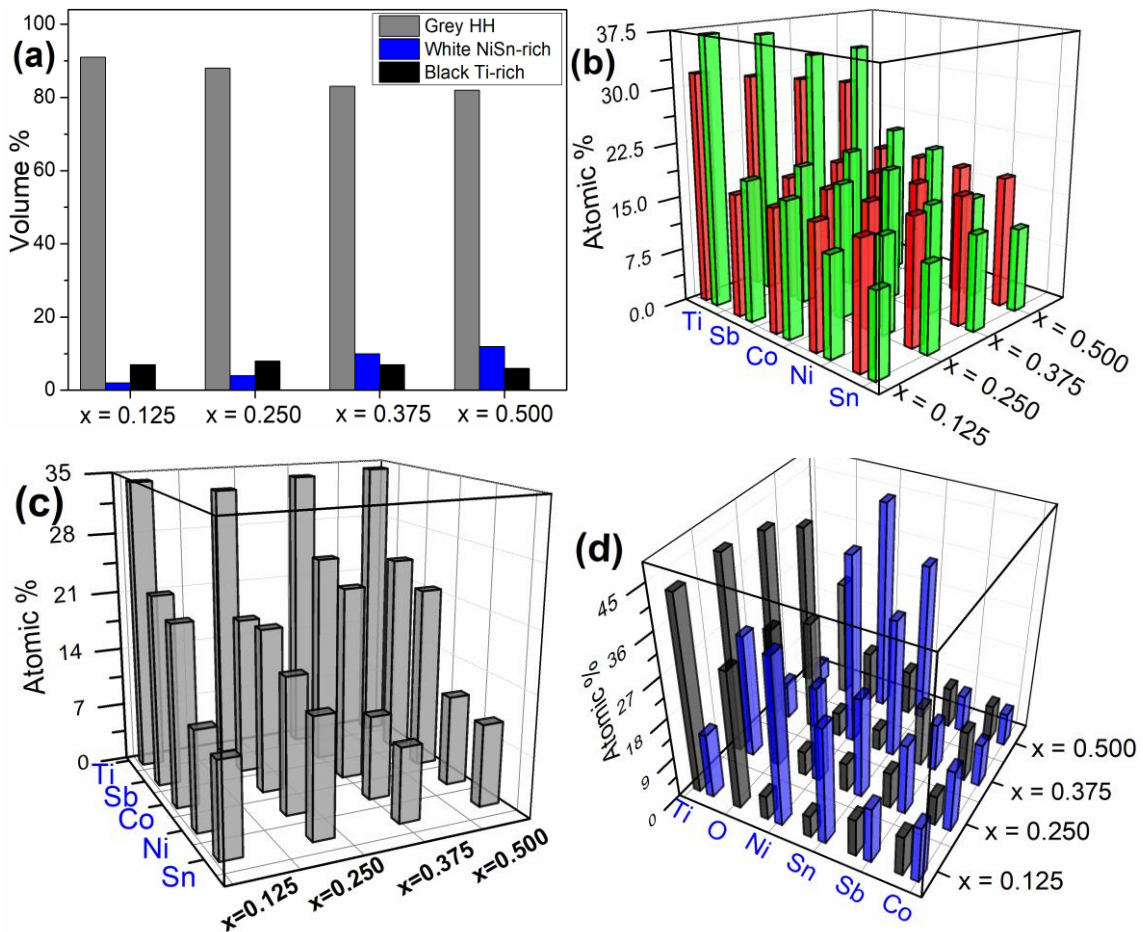
The  $\text{Ni}_3\text{Sn}_2$  phase content increased with larger  $x$ ,  $\text{O}_2$  was picked up during melting, and C was picked up from the graphite dies during sintering. The matrix was  $\text{TiCoSb}$ -rich, while the FH phase was  $\text{Ni}_2\text{TiSn}$ -rich. Considering that the Ti-Ni-Co-Sn-Sb quinary system is constituted of 10 binary (Ti-Ni, Ti-Co, ...) phase systems [42], the above phase separation could be attributed to the low solubility of Ni-Sn near equilibrium with Ti-Co exhibiting extended solubility near equilibrium and Ti-Ni and Ti-Sn forming line compounds at equilibrium. Hence, on deviating from the stoichiometric Ti content,  $\text{Ni}_3\text{Sn}_2$ , and FH phases were observed.



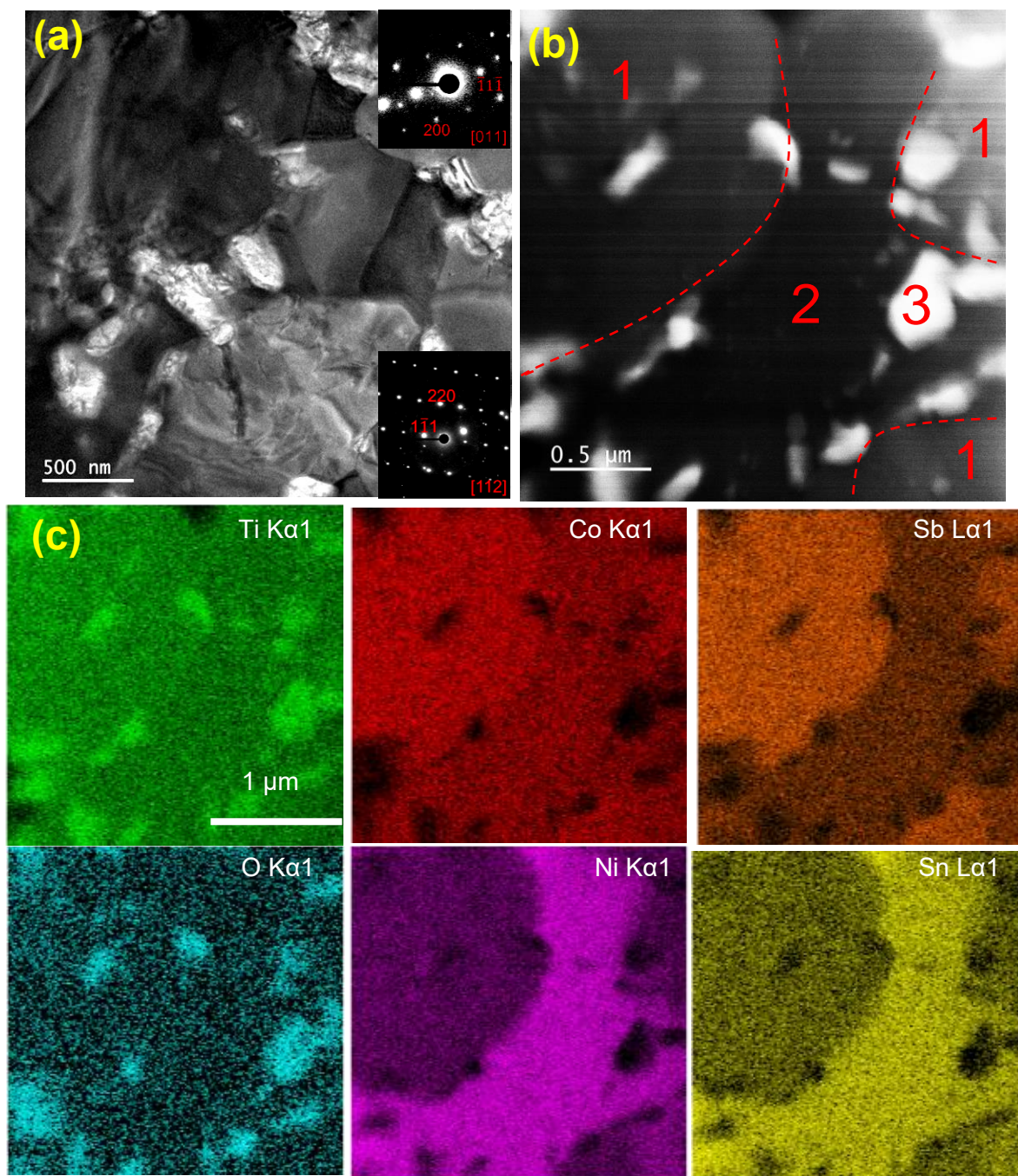
**Fig. 4.** BSE Micrographs depicting 3 phases in 1h ball milled and spark plasma sintered  $\text{Ti}_{2-x}\text{NiCoSnSb}$  ( $x = 0.125$  (a), 0.25 (b), 0.375 (c) and 0.5 (d) respectively).



**Fig. 5.** EDS Mapping showing 3 (TiCoSb rich grey, Ni-Sn rich White and Ti-rich Black) phases in  $Ti_{1.625}NiCoSnSb$  ( $x = 0.375$ ) alloy.



**Fig. 6.** (a) Volumetric phase analysis of  $Ti_{2-x}NiCoSnSb$  ( $x = 0.125, 0.250, 0.375,$  and  $0.500$ ) samples, comparative analysis of their (b) Overall (green) and Nominal (red) composition, (c) Grey phase, and (d) black (black) and white (blue) phase compositions.



**Fig. 7.** (a) Bright field micrograph with  $\text{Ni}_3\text{Sn}_2$  orthorhombic SADP and HH FCC SADP inset, (b) bright field STEM micrograph of  $\text{Ti}_{1.625}\text{NiCoSnSb}$ , and (c) STEM EDS mapping of the corresponding region (in b) depicting HH phase (1),  $\text{Ni}_3\text{Sn}_2$  phase (2) and  $\text{TiO}_2$  particles (3) in the phase boundary.

The bright field TEM images in [Figure 7a](#) exhibited three types of contrast: grey, black and bright white. The STEM EDS mapping shown in [Figure 7c](#) correspond to the STEM bright field image [Figure 7b](#). Point EDS in [Table S4 of the Supporting information](#) revealed that the bright white particles were titania, the grey phase is the HH phase which is  $\text{TiCoSb}$  rich. The

black phase is NiSn rich with a relatively low Ti content. The black phase with ~25% Ti, ~50% Ni+Co and ~25% Sn+Sb is indicative of the presence of the Full Heusler phase.

The SADP analysis revealed the grey phase to possess an FCC crystal symmetry as in the case of HH alloys (Figure 7a inset), while the black phase revealed the orthorhombic crystal structure of Ni<sub>3</sub>Sn<sub>2</sub>, as shown in the inset of Figure 7a. As was observed in the SEM results, the titania particles appear to be pinning the HH/Ni<sub>3</sub>Sn<sub>2</sub> phase boundaries. The Ni<sub>3</sub>Sn<sub>2</sub> phase of ~1 micron size was distributed uniformly in the matrix.

### 3.2. Thermoelectric properties and microstructural correlation:

#### 3.2.1. Electrical transport properties:

*In these double HH samples, with decreasing Ti content, the valence electron count (VEC), assuming a single HH phase ought to drop from 18 to 17 for the double HH phase and display p-type doping. Interestingly, all the samples exhibited negative  $S$  values, signifying n-type behavior [28].* Compositional analysis in Table S3 (Supporting information) reveals that even though the HH content decreased with lower Ti content, the amount of Ti in the HH phase remains almost constant. This and the zero-peak shift in the HH Phase observed in Figure 2 indicate that no p-type doping has occurred. *Instead, all the samples are n-type semiconductors with the metallic phase increasing for lower Ti content.* Furthermore, the Ti-rich phase in the  $x = 0.000$  sample was also present in the samples with lower Ti content, and the semiconducting HH (grey) phase content diminished with lower Ti content. So, enhancement of the HH phase purity of the  $x = 0.000$  sample by lowering the Ti content to increase the  $S$  value was not realized.

With declining semiconducting phase content, the  $S$  values decreased for lower Ti content samples (Figure 8a). The  $S$  value reached as high as 118  $\mu\text{V/K}$  at 873 K in the  $x = 0.125$  sample, which is marginally lower than that of 127  $\mu\text{V/K}$  in the  $x = 0.000$  sample at 873 K [28]. The maximum  $S$  value decreased systematically to 112, 98, and 84  $\mu\text{V/K}$  for the  $x = 0.250$ ,  $x = 0.375$ , and  $x = 0.500$  samples, respectively.

With decreasing Ti content from the  $x = 0.000$  sample to  $x = 0.500$  sample, apart from a decrease in the semiconducting (grey HH-rich) phase content, an increase in the metallic (white NiSn-rich) phase content was also observed (Figure 6a). Hence, the electrical conductivity followed an inverse trend as that of the  $S$  values and increased from the  $x = 0.000$  sample to the  $x = 0.500$  sample (Figure 8b). A 33.8% decrease in  $S$  values and an 48.2% increase in electrical conductivity were observed with lowering Ti content from the  $x = 0.000$  sample [28] to the  $x = 0.500$  sample. For accurate analysis of the results, an average of 3

readings for each transport property was taken at each temperature. The mean data with error margins for the electrical resistivity and thermopower is given in [Figure S2 of the supporting information](#).

In the higher Ti content ( $x = 0.000, 0.125, \text{ and } 0.250$ ) samples, at  $\sim 870$  K, the  $S$  values saturated, possibly due to the electron filling of the conduction band [28]. This effect has been reported to be due to the bipolar effect. Due to the increase in minority charge carrier (here holes) concentration, there is no appreciable change in the  $S$  values. In HH systems, there are a few reports of a transition from a semiconducting to a metallic state [43] but the Goldsmid-Sharp band gap, calculated from the saturated  $S$  value by the equation  $E_g = 2e|S|_{\max}T_{\max}$ , does not support this possibility [44]. For instance, in the  $x = 0.250$  sample, the calculated Goldsmid-Sharp band gap of 0.19 eV indicates a narrow band semiconductor and is suggestive of the bipolar effect. But, surprisingly, in the  $x = 0.375$  and  $x = 0.500$  samples, the  $S$  values didn't saturate and were found to be increasing at 973 K. The saturation of the  $S$  values due to the electron filling of the conduction band has been observed at around 600 K in pristine TiCoSb [45]. Since the TiCoSb-rich semiconducting HH phase decreased for lower Ti content, the saturation of the  $S$  values in the lower Ti content samples might occur at higher temperatures.

While thermopower does not show an appreciable change due to the bipolar effect, a change in the slope of the electrical conductivity indicates the bipolar effect. In all the samples, the electrical conductivity increased and then decreased, indicating a transition from an n-type semiconducting to a p-type semiconducting state and an increase in minority (hole) carrier concentration [32]. The critical temperature associated with activation of minority carriers increased for lower Ti content. [Figure 8b](#) shows that electrical conductivity decreased beyond 473 K for the  $x = 0.125$  and  $x = 0.250$  samples, while electrical conductivity decreased beyond 523 K for the  $x = 0.375$  sample and beyond 623 K for the  $x = 0.500$  sample. This effect can be associated with an increasing metallic character (increase in Ni-rich metallic phase content) of the samples with decreasing Ti content, which inversely affects the onset of minority charge carriers (holes) activation [32, 46]. Due to the increasing metallic behavior, the maximum electrical conductivity increased from  $0.81 \times 10^5$  S/m at 473 K in the  $x = 0.125$  sample to a maximum electrical conductivity of  $1.17 \times 10^5$  S/m at 623 K in the  $x = 0.500$  sample ([Figure 8b](#)).

The  $S^2$  values decreased by 79% from the  $x = 0.000$  sample to the  $x = 0.500$  sample, while electrical conductivity increased by 48.2 % from the  $x = 0.000$  sample to the  $x = 0.500$  sample ([Figure 8a and 8b](#)). Since the power factor depends on the square of the  $S$  values and only linearly on the electrical conductivity values, the power factor decreased systematically

from the  $x = 0.000$  sample to the  $x = 0.500$  sample. And owing to this the bipolar transition observed in the electrical conductivity was not observed in the power factor. Instead, the power factor seemed to saturate around 873 K in higher Ti content ( $x = 0.000, 0.125,$  and  $0.250$ ) samples and was increasing even at 973 K for lower Ti content samples. Thus, the power factor follows a similar dependency upon temperature as the  $S$  values. A power factor of  $1.02 \text{ W/mmK}^2$  was obtained at 873 K in the  $x = 0.125$  sample, compared to  $1.11 \text{ W/mmK}^2$  in the case of the  $x = 0.000$  sample (Figure 8c). The maximum power factor decreased to  $0.90 \text{ W/mmK}^2$  at 923 K for the  $x = 0.250$  sample, to  $0.88 \text{ W/mmK}^2$  at 973 K for the  $x = 0.375$  sample, and to  $0.77 \text{ W/mmK}^2$  at 973 K for the  $x = 0.500$  sample. The inverse relation between the  $\text{Ni}_3\text{Sn}_2$  second phase volume and power factor at 50 and 873 K is evident from Figure 9a.

### 3.2.2. Lowering of thermal conductivity and analyzing the figure of merit:

It has been observed in the case of metallic FH phase precipitation in  $\text{TiNi}_{1+x}\text{Sn}$  alloys that both the metallicity of the second phase and the interfacial scattering of phonons at the FH/HH phase interface affects the thermal conductivity [36, 46]. At an optimum level of FH phase precipitation, the effect of the metallicity of the second phase starts to overcome the effect of interfacial scattering, and the lowest possible thermal conductivity ( $\kappa$ ) and lattice thermal conductivity ( $\kappa_l$ ) values are obtained at that point.

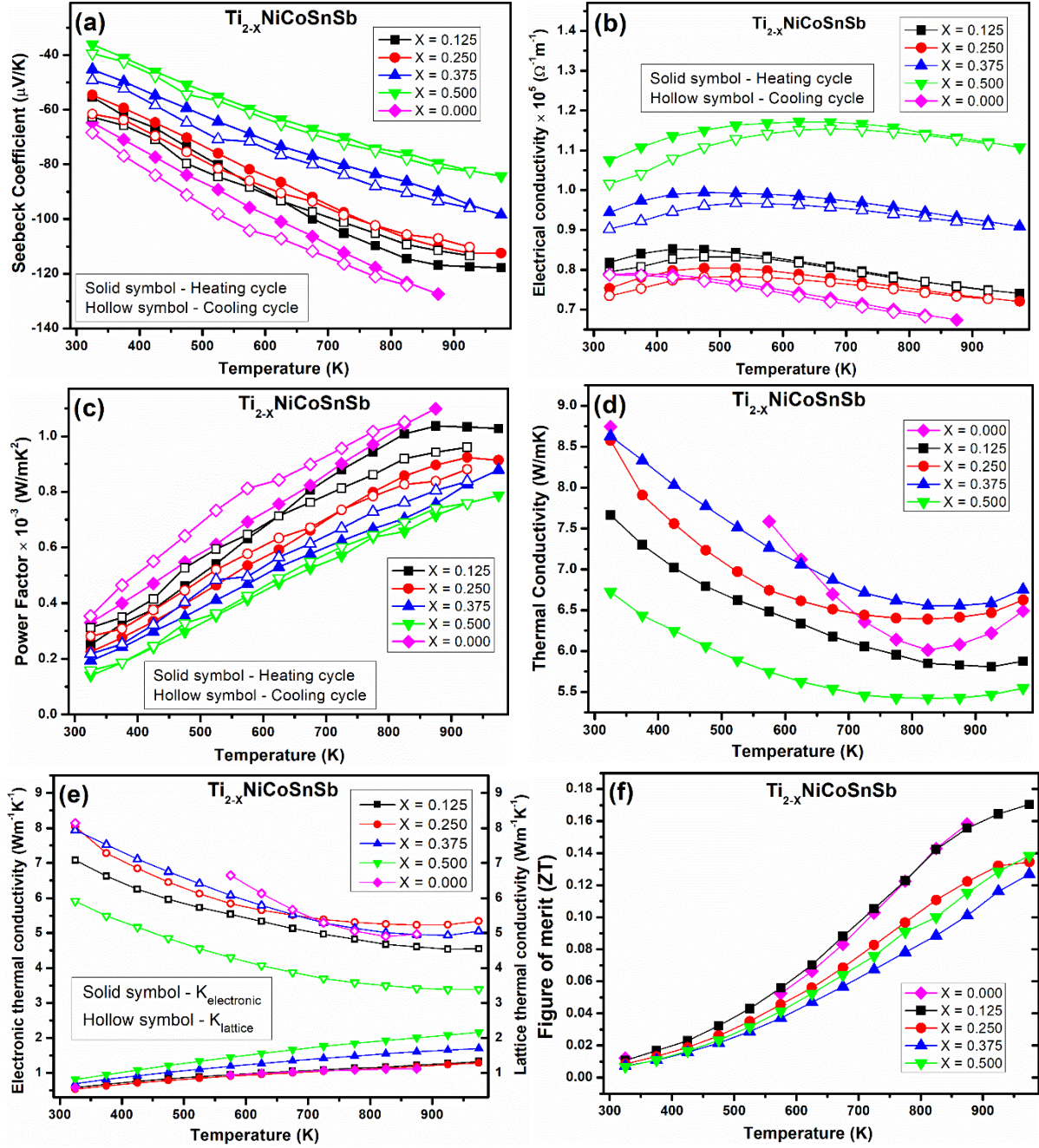
In the alloys studied here, owing to phase separation, the grey HH phase was found to be  $\text{TiCoSb}$  rich. The  $\text{Ni}_2\text{TiSn}$  type FH phase was found in the  $x = 0.125$  and  $x = 0.250$  samples, though the FH phase fraction decreased in the  $x = 0.375$  and  $x = 0.500$  samples (Figures 2 and 6). The Ti-rich oxycarbide phase did not show appreciable change in volume fraction compared to the  $\text{Ti}_2\text{NiCoSnSb}$  ( $x = 0.000$ ) sample [28]. The NiSn-rich phase content increased from the  $x = 0.000$  to the  $x = 0.500$  sample, leading to a lowering of power factor, as seen in metallic samples. At the same time, it led to lower  $\kappa$  through interfacial scattering.

In these alloys, phonon scattering can happen at the HH/FH interface or HH/ $\text{Ni}_3\text{Sn}_2$  interface, or at grain boundaries. Even the fine nanosized black Titanium oxycarbide phase can preferentially scatter phonons. The metallicity of the decreasing FH phase and increasing  $\text{Ni}_3\text{Sn}_2$  intermetallic phase for lower Ti content also impacts the thermal conductivity in these samples. The combination of NiSn-rich phases and the FH phase in the  $x = 0.125$  sample led to lower  $\kappa_l$  ( $\sim 4.5 \text{ W/mK}$  at 923 K) through phonon scattering (Figure 8e). Lower lattice thermal conductivity due to phonon scattering at the FH/HH interface in  $\text{TiNiSn}$  has been observed by Douglas *et al* [36]. The black sub-micron-sized Ti-rich phase inside and around the  $\text{Ni}_3\text{Sn}_2$  phase forms additional interfaces for phonon scattering. The effect of scattering by the

nanosized black phase has also been shown by Karati *et al.* in double HH  $\text{Ti}_2\text{NiCoSnSb}$  and  $\text{Ti}_2\text{FeNiSb}_2$  [28, 47].

The trade-off between increasing secondary phase size (increase in interfacial area) and metallicity with lower Ti content led to the  $x = 0.250$  and  $x = 0.375$  sample having relatively similar  $\kappa$  and  $\kappa_l$  values as the  $x = 0.000$  sample. The large ( $\sim 7 \mu\text{m}$ )  $\text{Ni}_3\text{Sn}_2$  phase in the  $x = 0.500$  sample led to much lower  $\kappa_l$  ( $\sim 3.3 \text{ W/mK}$ ) (Figure 8e) and  $\kappa$  ( $5.4 \text{ W/mK}$ ) values (Figure 8d) as compared to the  $x = 0.000$  sample ( $\sim 4.95 \text{ W/mK}$ ,  $\sim 6.3 \text{ W/mK}$ , respectively) at 923 K due to enhanced phonon scattering. The  $\kappa$  value was lower than that of the parent HH alloys  $\text{TiNiSn}$  ( $6.3 \text{ W/mK}$ ) and  $\text{TiCoSb}$  ( $9.1 \text{ W/mK}$ ) at 873 K too [16, 36]. Ferluccio *et al.* showed that Nb depletion in  $\text{NbCoSb}$  was equally efficient in lowering  $\kappa$  compared to alloying or substitution of heavier elements [32]. In the present study, a 17% reduction in  $\kappa$  ( $x = 0.500$  sample) through the lowering of Ti content in the samples is consistent with the results of Ferluccio *et al.*  $\kappa_l$  and  $\kappa$  hence followed a parabolic trend with respect to lowering of Ti content (Figure 8e and 9b).

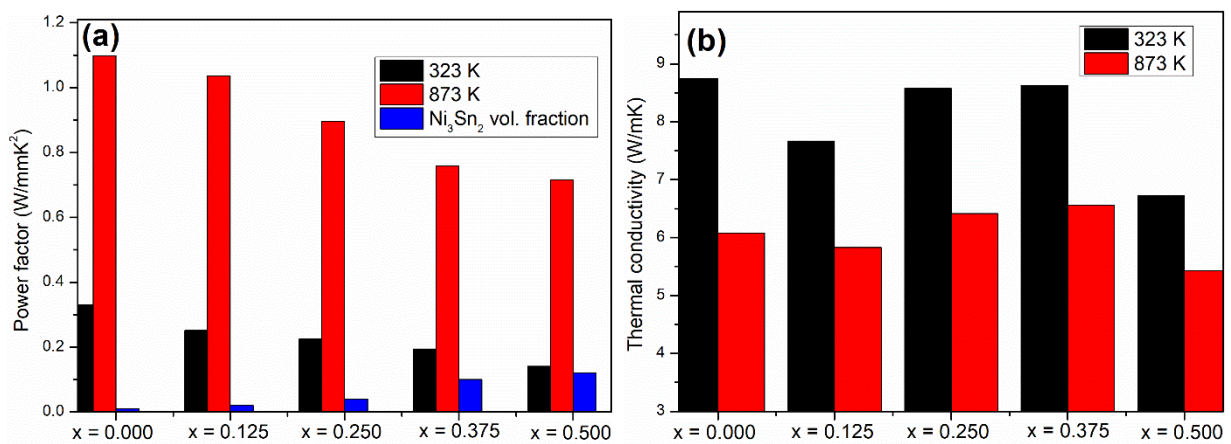
$\kappa_e$  (Figure 8e), on the other hand, varied with compositions similar to the electrical conductivity trends. In all the samples,  $\kappa_e$  was found to be increasing for higher temperatures. A closer look at Figure 8e revealed that the rate of increase of  $\kappa_e$  was larger for lower Ti content in these samples which is in accordance with the electrical conductivity trends. All the samples displayed Umklapp scattering with decreasing  $\kappa_l$  with temperature. The rate of decrease of  $\kappa_l$  was lower between 673 K to 973 K in samples with lower Ti content. This was observed because, owing to phonon-phonon scattering,  $\kappa_l$  varies as  $1/T$  with increasing temperature (Umklapp scattering) leading to saturation of  $\kappa_l$  values at higher temperatures. Similar saturation of  $\kappa_l$  at high temperatures has been observed in  $\text{MFe}_{1-x}\text{Co}_x\text{Sb}$  HH alloys [15] too. Since the  $\kappa$  values are a combination of the  $\kappa_l$  and  $\kappa_e$  values, from Figure 8d, the  $\kappa$  value can be seen to increase after 873 K in all the samples. This sort of *booster bipolar effect* has been observed in the thermal transport properties of  $\text{NbCoSb}$  [35] and in  $\text{MFe}_{1-x}\text{Co}_x\text{Sb}$  HH alloys [15]. This effect could be due to the enhanced  $\kappa$  value of the metallic phases at higher temperatures overcoming the phonon scattering occurring due to interfacial scattering. In other words, a combination of increasing  $\kappa_e$  values and almost constant  $\kappa_l$  values with higher temperatures led to increasing  $\kappa$  values at higher temperatures. Hence, while all the samples have lower  $\kappa$  values than the  $x = 0.000$  sample at lower temperatures, at elevated temperatures (beyond 673 K), the  $x = 0.000$  sample has lower  $\kappa$  and  $\kappa_l$  than the  $x = 0.250$  and  $x = 0.375$  samples. This is evident from the variation of thermal conductivity at 323 and 873 K with composition in Figure 9b.



**Fig. 8.** Thermoelectric properties - (a) Seebeck coefficient ( $S$ ), (b) electrical conductivity ( $\sigma$ ), (c) power factor, (d) thermal conductivity ( $\kappa$ ), (e) electronic (solid symbol) and lattice (hollow symbol) thermal conductivity and (f) figure of merit with respect to temperature for  $Ti_{2-x}NiCoSnSb$  ( $x = 0.125$  (black),  $0.250$  (red),  $0.375$  (blue) and  $0.500$  (green)) compared with  $Ti_2NiCoSnSb$  (extracted from [28]) in magenta.

Considering the  $\kappa$  trend across the temperature range, Figure 8f shows that the  $x = 0.125$  sample had higher  $ZT$  than the  $x = 0.000$  sample until 773 K. The  $x = 0.125$  sample had a maximum overall  $ZT$  of 0.171 at 973 K and  $ZT$  of 0.158 at 873 K, which is again comparable to the maximum  $ZT$  of 0.16 in the  $x = 0.000$  sample at 873 K [28]. The power factor declined proportionately with a decrease of Ti concentration owing to a rise in the metallic NiSn-rich

phase. But the  $x = 0.500$  sample, owing to its lower  $\kappa$  value, had a  $ZT$  value between that of the  $x = 0.250$  and  $x = 0.375$  samples. The  $ZT$  is higher than that of  $\text{TiCoSb}$  ( $\sim 0.016$  at 873 K) [16] without any additional annealing for obtaining phase purity, but this value is lower than that of  $\text{TiNiSn}$  ( $\sim 0.32$  at 873 K) [36]. Hence, while there was a marginal enhancement in the figure of merit through lower thermal conductivity, it was counterweighed by the lowering of power factor for lower Ti content. The maximum  $ZT$  may be enhanced by the introduction of dopants in addition to lowering the Ti content in  $\text{Ti}_2\text{NiCoSnSb}$  to tune the carrier concentration and enhance the power factor.



**Fig. 9.** (a) Variation of power factor and  $\text{Ni}_3\text{Sn}_2$  phase content with composition and (b) variation of thermal conductivity with composition.

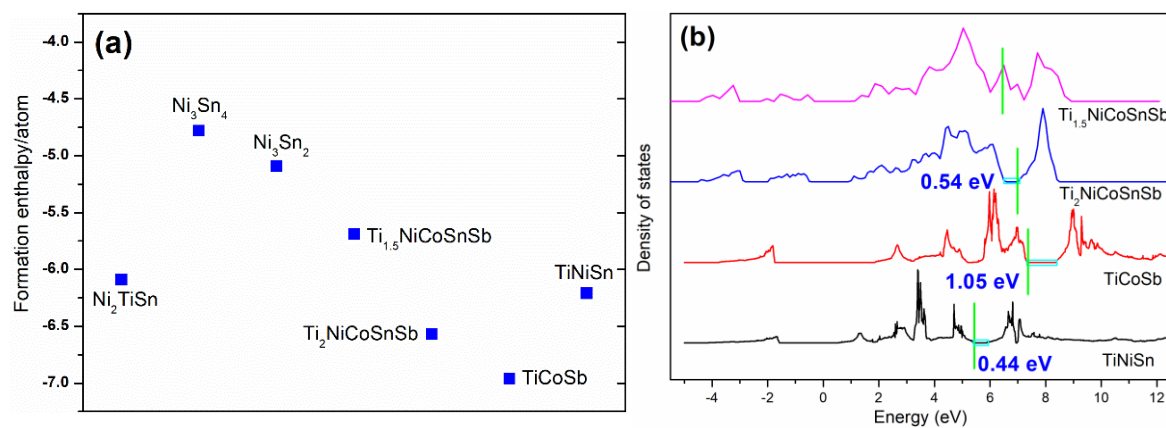
### 3.3. First principles analysis

The DFT analysis of  $\text{Ti}_2\text{NiCoSnSb}$  revealed a small positive enthalpy difference as compared to the parent HH  $\text{TiNiSn}$  and  $\text{TiCoSb}$ , which indicated phase separation in the alloy [29]. Apart from the 2 HH phases in the matrix, owing to the formation of Ti oxycarbides, the  $\text{Ni}_3\text{Sn}_2$  phase was observed, as rationalized by the mechanochemical reaction.

DFT analysis with similar parameters for the  $x = 0.000$  sample was used for first-principles calculations in the  $x = 0.500$  sample with 8 vacancy sites in the 96-atom supercell simulated from Monte Carlo calculations in ATAT. First-principles calculations of the competing secondary phases were carried out and the final enthalpy per atom is depicted in Figure 10a and in Table S5 of the Supporting information. The calculations were found to be in close agreement with the data in Materials Project [48], as shown in Table S5 of the Supporting information. For Ti content decreasing from the  $x = 0.000$  to the  $x = 0.500$  sample, the HH phase enthalpy increased from  $-6.57$  to  $-5.69$  eV/atom. Hence, further phase separation

was observed with the matrix containing a TiCoSb HH phase content (enthalpy of -6.96 eV/atom), the secondary phase fraction increased.

The HH phase fraction decreased by ~12 volume % from  $x = 0.000$  to  $x = 0.500$  sample. Even for a VEC value of 17 in the  $x = 0.500$  sample, the FH phase was detected owing to its lower enthalpy (-6.09 eV/atom) compared to that of  $\text{Ti}_{1.5}\text{NiCoSnSb}$ . Furthermore,  $\text{Ni}_3\text{Sn}_2$  with an enthalpy (-5.1 eV/atom) closer to that of  $\text{Ti}_{1.5}\text{NiCoSnSb}$  was also observed, which could be due to the matrix being TiCoSb rich and the fact that there is insufficient Ti to form the TiNiSn phase.



**Fig. 10.** (a) Formation enthalpy of selective compositions, (b) density of states comparison of  $\text{Ti}_2\text{NiCoSnSb}$  (reported in [29]) and  $\text{Ti}_{1.5}\text{NiCoSnSb}$  with their parent HH alloys.

It has been observed that the Fermi level and band gap lie between that of TiNiSn and TiCoSb for  $\text{Ti}_2\text{NiCoSnSb}$  [29]. The DOS of  $\text{Ti}_2\text{NiCoSnSb}$  (Figure 10b) is a superimposition of that of TiNiSn and TiCoSb, with the convergence of DOS of TiNiSn and TiCoSb discernible in the DOS of  $\text{Ti}_2\text{NiCoSnSb}$ . This, in addition to the flattening of the DOS near the Fermi level [49], leads to a higher Seebeck coefficient of  $\text{Ti}_2\text{NiCoSnSb}$  as compared to TiNiSn [28, 36]. The narrowing of the band gap as compared to TiCoSb leads to higher electrical conductivity in  $\text{Ti}_2\text{NiCoSnSb}$  [16, 45]. But, in the case of  $\text{Ti}_{1.5}\text{NiCoSnSb}$ , no band gap was observed (Figure 10b, Figure S3 of the supporting information). The Fermi level of  $\text{Ti}_{1.5}\text{NiCoSnSb}$ , as compared to  $\text{Ti}_2\text{NiCoSnSb}$  shifted to lower energy, indicating p-type doping, which is consistent with the lowering of VEC from 18 to 17. Experimentally though, the  $\text{Ti}_{1.5}\text{NiCoSnSb}$  HH phase was not obtained. Instead,  $\text{Ti}_2\text{NiCoSnSb}$  double HH (TiCoSb-rich) phase with  $\text{Ni}_3\text{Sn}_2$  metallic phase was found. The metallic phase lowered the Goldsmid-Sharp [44] thermal band gap from 0.23 eV in  $\text{Ti}_2\text{NiCoSnSb}$  to 0.14 eV in  $\text{Ti}_{1.5}\text{NiCoSnSb}$  as evident from the decreasing Seebeck coefficient for lower Ti content samples. Hence, considering the phase separation and the evolution of the FH and the  $\text{Ni}_3\text{Sn}_2$  phases, the actual band structure might vary from the ground

state DFT prediction. This could lead to deviation in obtained transport properties as compared to that calculated using first principles. Further investigation into the phase evolution at various temperature scales and their impact on the transport properties could help in optimizing the composition for obtaining the highest power factor and figure of merit.

#### **4. Conclusions**

The relative ease of formation of the majority phase in the entropy-stabilized HH HEA  $\text{Ti}_2\text{NiCoSnSb}$  has been previously reported [28]. The Ti content was lowered in  $\text{Ti}_{2-x}\text{NiCoSnSb}$  alloys to study the effect of the second phase on the TE properties of the HEA. Ti-rich and FH phases were observed in all the samples. Ni-based intermetallic ( $\text{Ni}_3\text{Sn}_2$ ,  $\text{Ni}_3\text{Sn}_4$ ) phases increased on lowering of Ti content owing to insufficient Ti concentration to form the  $\text{TiNiSn}$  phase. This improved the electrical conductivity and lowered  $\kappa_1$  at the expense of the  $S$  value. First-principles calculations revealed the formation enthalpy of  $\text{Ti}_{1.5}\text{NiCoSnSb}$  to be higher than that of  $\text{Ti}_2\text{NiCoSnSb}$  and full Heusler (FH)  $\text{TiNi}_2\text{Sn}$  and close to that of  $\text{Ni}_3\text{Sn}_2$ . As such, the FH and  $\text{Ni}_3\text{Sn}_2$  phases could be observed even in these alloys.  $\text{Ti}_{1.5}\text{NiCoSnSb}$  yielded lower thermal conductivity of 5.4 W/mK compared to 6.1 W/mK of  $\text{Ti}_2\text{NiCoSnSb}$  owing to phonon scattering at the HH/ $\text{Ni}_3\text{Sn}_2$  interfaces. The maximum  $ZT$  of 0.171 at 973 K for  $\text{Ti}_{1.875}\text{NiCoSnSb}$  is marginally higher than that of  $\text{Ti}_2\text{NiCoSnSb}$  (0.16 at 873 K). It is higher than that of  $\text{TiCoSb}$  ( $\sim 0.01$  at 873 K) [16] without any additional annealing for obtaining phase purity. This value is lower than that of  $\text{TiNiSn}$  ( $\sim 0.32$  at 873 K) [36]. Further compositional tuning is hence necessary to enhance the power factor and  $ZT$  in these alloys.

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#### **Notes: Data availability and supporting data**

The detailed parameters used for DFT calculations, and the csv files of the raw data are available on request to the corresponding author. Data on densities, EDS analysis, lattice parameters and enthalpies are given in the Supporting data file.

### **Author contributions**

**Soumya Ranjan Mishra and Anirudha Karati** - Conceptualization, Data curation, Formal analysis, Investigation, Methodology, and Writing – original draft

**Sanyukta Ghosh** – Investigation, Writing – review and editing.

**Ramesh Chandra Mallik, Rajashekhara Shabadi and P Sankara Rama Krishnan**

- Formal analysis and Investigation

**Satyesh Kumar Yadav** – Formal analysis, Writing – review and editing.

**R.V. Ramanujan and B.S. Murty** –Project administration, Formal analysis, Writing – review, and editing, and Supervision.

### **Conflict of interest declaration**

The authors declare **no competing financial or personal interests** concerning the work reported in this manuscript to the best of their knowledge.

### **Ethical approval**

Not applicable.

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