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A Novel Thin Film Composite Hollow Fiber Osmotic Membrane with
One-step Prepared Dual-Layer Substrate for Sludge Thickening

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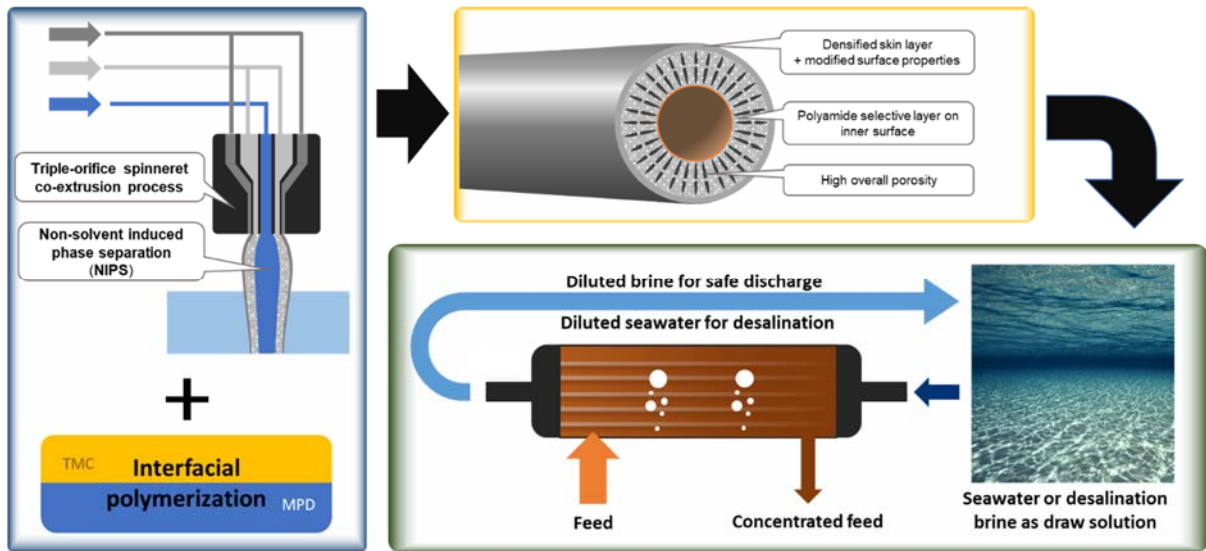
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28 **Graphical abstract**



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31 **Abstract**

32 Forward osmosis (FO) membranes have received attention as an energy-efficient and
33 low-cost technique in stream concentrating processes. In this work, a novel double-
34 skinned hollow fiber thin film composite (TFC) FO membrane has been successfully
35 fabricated, which consists of a one-step prepared dual layer substrate and a thin inner
36 selective layer formed via interfacial polymerization. The substrate consists of a
37 relatively dense ultrafiltration (UF) outer layer and a porous UF inter layer, both of
38 which were constructed from polyethersulfone (PES) as the substrate material by
39 using dual-layer co-extrusion technique. Compared to the commercial and reported
40 double-skinned FO membranes, the FO membrane developed in this work exhibited
41 a higher permeate flux with humic acid solution as feed. Furthermore, the double-
42 skinned FO membrane was applied in concentrating activated sludge using 0.5 M
43 NaCl as draw solution, and a permeate flux at 5.4 L/m²h was achieved after 5 h
44 operation, which was higher than or comparable to those of the reported FO
45 membranes. Membrane autopsies and foulant analysis suggested that the dense UF
46 skin layer helped to reject greater-sized organic foulants (> 300 Da). This study shed
47 light on the important fabrication features and promising application of the double-
48 skinned hollow fiber TFC FO membrane in sludge concentration.

49 **Key words:** Co-extrusion technique; Forward osmosis; Fouling control; Membrane
50 fouling; Sludge thickening

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54 **1. Introduction**

55 Forward osmosis (FO) membrane process, which is driven by the difference in osmotic
56 pressure across the membrane, has received much attention in recent years [1-3]. FO
57 process has been proposed as a potential solution, either on its own or integrated with
58 other separation process, in desalination [1, 4-7], wastewater treatment and resource
59 reclamation [7-9], landfill leachate treatment [10], direct fertigation [11] as well as
60 enrichment process of liquid food or other high value-added feed streams [12-14].
61 Owing to its lower fouling propensity and lower energy consumption, it is particularly
62 suitable for concentrating challenging feed streams which are difficult to be processed
63 with other membrane separation techniques. One of such examples is sludge
64 produced from wastewater treatment process, which has high water content that
65 needs to be removed to facilitate its disposal or other post-treatment processes [15-
66 18].

67 Large amounts of sewage sludge were generated worldwide, and sludge management
68 may constitute more than 50% of the construction and operating costs of a wastewater
69 treatment plant (WWTP) [15, 17, 19]. Generally, anaerobic digestion (AD) is used to
70 degrade the organic matter in sewage sludge and convert them into biogas [20],
71 followed by volume reduction of digested sludge via coagulation agent and filter press
72 [19]. Alternatively, sludge thickening may be performed prior to digestion process to
73 increase the organic content of sludge and improve the digestion efficiency [21].
74 Traditional sludge thickening and dewatering technologies cannot retain the soluble
75 matter, and nutrient-rich concentrate would be produced, which requires further
76 treatment to meet the discharge requirement [17]. As such, FO process may be

77 considered as a potential solution which could achieve sludge volume reduction and
78 nutrient retention simultaneously.

79 In the earlier studies on sludge thickening and dewatering using FO process, it has
80 been proposed to use seawater reverse osmosis (SWRO) brine or seawater as draw
81 solutions [15, 17, 18]. In addition to providing a higher driving force in FO process, the
82 SWRO brine will be diluted before discharged back into sea, which could avoid
83 negative environmental impact of direct brine discharge [22]. Meanwhile, the
84 regeneration of the draw solution becomes unnecessary, thus greatly reduce the
85 overall energy consumption of FO process. Alternatively, the diluted seawater can be
86 reused as feed again in seawater desalination process to produce potable water [23,
87 24], which allows simultaneously concentrating waste sludge and desalinating
88 seawater at high recovery.

89 In previous studies, the flat sheet FO membranes operated at active layer facing feed
90 solution (AL-FS) have been documented for sludge concentration [15-18, 21]. It is well
91 known that compared with flat sheet FO membranes, hollow fiber FO membranes offer
92 several advantages, such as mechanically self-supported nature, favourable flow
93 pattern during filtration and high packing density [25]. It is also noted that most of
94 commercial TFC hollow fiber membranes possess a polyamide selective layer on the
95 lumen side as it is technically feasible to achieve homogenous distribution of monomer
96 solutions in the lumen side during interfacial polymerization. Unexpectedly, in the AL-
97 FS orientation, the greater-sized particulates in the sludge could easily clog the lumen
98 of hollow fiber (inner diameter of around 1 mm or less). Thus, AL-DS orientation is
99 adopted for hollow fibre FO operation in sludge concentration. However, severe

100 membrane fouling is inevitable as the smaller-sized substances in the sludge could
101 potentially penetrate and accumulate in the porous substrate.

102 In order to prevent the penetration of foulants into the porous support layer, one
103 solution is to narrow down the pore size on the support side of FO membrane by
104 adjusting the fabrication conditions (such as increasing the polymer concentration in
105 dope solution). However, it would inevitably reduce the overall porosity of the porous
106 support layer. As a result, internal concentration polarization (ICP) may become more
107 severe and membrane permeability would be relatively low. Alternatively, addition of
108 another relatively dense layer on the porous substrate to form a double-skinned FO
109 membrane has been proposed [26-30]. The primary selective layer would possess
110 sufficient selectivity to draw solutes, while the other selective layer serves as the
111 barrier against the penetration of foulants. Meanwhile, the properties of the porous
112 support layer sandwiched between two selective layers are remained. The additional
113 dense layer of TFC hollow fiber membranes was generally introduced on shell side by
114 interfacial polymerization [29], chemical cross-linking [27] or layer-by-layer (LBL)
115 assembly [28, 31] before or after the formation of a selective layer at lumen side. The
116 previously-reported methods required three or more fabrication steps, which inevitably
117 increase the complexity of fabrication process and the cost of membrane production.

118 In this study, a novel double-skinned hollow fiber TFC FO membrane was fabricated
119 by a two-step preparation. The substrate possessed a relatively dense
120 ultrafiltration(UF)-like outer skin layer and a relatively porous UF inner layer, which
121 were formed simultaneously via one-step co-extrusion technique based on non-
122 solvent induced phase separation (NIPS) [32]. The inner-selective layer was formed
123 on the porous lumen-side support layer by interfacial polymerization. Furthermore, the

124 newly developed double-skinned hollow fiber FO membrane was characterized by a
125 series of standard protocols and its performance was benchmarked against the
126 commercial membrane and double-skinned hollow fiber membranes reported in
127 literature. The fouling mechanisms for the hollow fiber FO membrane in concentrating
128 sludge were also illustrated. This study aims to explore an effective FO membrane
129 fabrication technique and demonstrate the promising application of the double-skinned
130 hollow fiber FO membrane in sludge concentration.

131 **2. Materials and methods**

132 *2.1. Materials*

133 Polyethersulfone (PES, Gafone™ 3000P, Solvay, Belgium), N-methyl-2-pyrrolidone
134 (NMP, Merck Chemicals, USA), polyethylene glycol 200 (PEG, Mw = 200 g/mol, Merck
135 Chemicals, USA), acetone (Merck Chemicals, USA), polyvinylpyrrolidone (PVP, Mw =
136 1,300,000 g/mol, Acros Organics, USA), glycerol (85%, Merck Chemicals, USA) and
137 DI water was used for the fabrication of hollow fiber substrates. ϵ -caprolactam (Merck
138 Chemicals, USA), cyclohexane, 1,3,5-benzenetricarbonyl trichloride (TMC, Sigma-
139 Aldrich, USA), m-phenylenediamine (MPD, Sigma-Aldrich, USA), sodium dodecyl
140 sulfate (SDS, Sigma-Aldrich, USA) and DI water were used in the fabrication of TFC
141 membrane via interfacial polymerization. Polyethylene glycol (PEG) of various
142 molecular weights ranging from 200 to 20,000 g/mol (Merck Chemicals, USA) was
143 used to characterize the molecular weight cut-off (MWCO), mean pore size and pore
144 size distribution of the hollow fiber substrates. Sodium chloride (NaCl, Merck
145 Chemicals, USA), humic acid sodium salt (HA, Sigma-Aldrich, USA) were used for the
146 preparation of draw and feed solutions used in the characterization of TFC membrane

147 intrinsic properties and FO tests. Deionized (DI) water was obtained by a Milli-Q
148 system (Millipore, USA) and used to prepare all aqueous solutions in this study.

149 2.2. Fabrication of PES hollow fiber substrates

150 PES was dried in vacuum oven at 50°C overnight before it was used for the
151 preparation of dope solution. To prepare the dope solution, PES was dissolved into
152 NMP with constant stirring at 60 °C for 1 h. After the polymer was completely dissolved,
153 the additives were added into the dope solution and the mixture was stirred until a
154 homogeneous solution was obtained. Subsequently, the dope solutions were slowly
155 cooled down to room temperature and degassed under vacuum overnight prior to
156 hollow fiber spinning process. The dope compositions used in fabricating PES hollow
157 fiber substrate are listed in Table 1. **To evaluate the stability of the polymer dopes,**
158 **water was added slowly into polymer dopes (which were prepared separately) under**
159 **constant stirring until clouding of polymer dope was observed. The mass concentration**
160 **of water required to trigger clouding phenomenon was recorded as the cloud point of**
161 **the polymer dope.**

162 **Table 1.** Dope compositions in fabricating PES hollow fiber substrate

Polymer dope	Composition (wt%)						Cloud point (wt% of H ₂ O)
	PES	NMP	PEG	Acetone	PVP	DI water	
PES#1 (Inner dope)	20.0	40.0	40.0	-	-	-	4.2
PES#2 (Outer layer)	29.2	50.3	-	16.8	1.0	2.8	4.2

163

164 PES hollow fiber substrates were fabricated through dry-jet wet-spinning process
165 through the NIPS. The details of the procedures can be found in literature [25]. The
166 substrate was prepared from simultaneous co-extrusion of two different polymer dopes,
167 where the skin structure on the shell side was densified through increased polymer

168 concentration and exclusion of pore-forming additives to reduce the surface pore size
169 of the substrate. The detailed spinning conditions are listed in Table 2.

170 **Table 2.** Spinning conditions for fabricating PES hollow fiber substrates

Spinneret dimension	
Bore channel inner diameter (μm)	360
Middle channel inner/outer diameter (μm)	620/890
Outer channel inner/outer diameter (μm)	1230/1420
Spinning conditions	
Bore fluid composition	DI water
Bore fluid low rate (mL/min)	10
Inner dope composition	PES#1
Inner dope flow rate (mL/min)	6.7
Outer dope composition	PES#2
Outer dope low rate (mL/min)	0.10
Take up speed (m/s)	0.27
Air gap (cm)	1.0
Room temperature ($^{\circ}\text{C}$)	23-24
Coagulation bath temperature ($^{\circ}\text{C}$)	22-23
Relative humidity (%)	70

171

172 *2.3. Characterization of PES hollow fiber substrate*

173 The standard protocol of hollow fiber substrate characterization was described
174 previously [25, 33]. Specifically, the dimension of the hollow fiber membrane was
175 determined with a digital optical microscope (Keyence, Japan). The structure and
176 morphology of the hollow fiber membranes were measured by using a field emission
177 scanning electron microscope (FE-SEM, Jeol, Japan) after the membranes were
178 freeze-dried and coated with platinum. The average overall porosity of the hollow fiber
179 substrate was determined using the gravimetric method by measuring the mass of
180 water that was contained in membrane pores.

181 The pure water permeability (PWP) of the hollow fiber substrate was examined by
182 employing DI water circulating through the shell and lumen sides of the membrane
183 module at 1 bar. The MWCO of the hollow fiber substrate was determined by using

184 solute rejection experiments, in which 200 mg/L PEG solutions (with different
185 molecular weights) was circulated over the hollow fiber substrate under 1 bar of
186 transmembrane pressure (TMP) in the cross-flow filtration testing system. The feed
187 and permeate samples were collected and analysed by using gel permeation
188 chromatography (GPC) instrument equipped with refractive index (RI) detector
189 (Polymer Laboratories-GPC 50 plus system, Agilent, USA). The MWCO, pore size and
190 pore size distribution of hollow fiber substrate were then calculated from the solute
191 rejection based on the procedures reported elsewhere [34, 35].

192 *2.4. Interfacial polymerization on PES hollow fiber substrate*

193 The PES hollow fiber substrate was immersed in a 50 wt% glycerol solution for 1 day
194 and dried in air for 1 day prior to being used for preparation of TFC membranes via
195 interfacial polymerization. Then, hollow fiber substrate modules were made by placing
196 5 pieces of hollow fibers in each module casing assembled from two PP T-fittings
197 connected by PTFE tubes (1/2 inch outer diameter, 3/8 inch inner diameter), where
198 the gaps at two ends were sealed with epoxy adhesive (ARALDITE Rapid 5 minutes).
199 The effective length of the hollow fibers in each module was around 25 cm, and the
200 effective membrane area was around 38 cm². The selective layer was formed on the
201 lumen side of the hollow fiber through interfacial polymerization, as described
202 elsewhere [25, 36]. In detail, the lumen of PES hollow fiber substrate was filled with
203 MPD solution to allow the penetration of the monomer and additives into the substrate.
204 After removing the residual MPD solution from the fiber lumen, the TMC in
205 cyclohexane was pumped through the fiber lumen of the membrane. The TFC
206 membrane, denoted as “PES-HF-TFC”, was immersed in DI water for one day before
207 use.

208 2.5. TFC hollow fiber intrinsic properties and FO membrane performance evaluation

209 Water permeability coefficient (A, L/m²h/bar, LMH/bar) of the PES-HF-TFC membrane
210 was determined and calculated in the same way as the PWP of the hollow fiber
211 substrate. Salt rejection (R_s , %) of the PES-HF-TFC membrane was determined by
212 circulating 500 ppm NaCl solution through the membrane lumen under 1 bar, where
213 the conductivity of feed (EC_{feed}) and permeate (EC_{perm}) were measured using
214 conductivity meters (Mettler Toledo, Switzerland). The detailed procedures for
215 determination of salt rejection and salt permeability coefficient (B, L/m²h,LMH) can be
216 found elsewhere [37].

217 A bench-scale FO testing system was employed to examine the FO performance of
218 the PES-HF-TFC membrane in the AL-DS orientation by using a 0.5 M NaCl solution
219 as draw solution, while DI water was used as feed. The details of the setup were
220 described previously [38]. For the evaluation of FO performance, the osmotic-driven
221 water flux (J_w , L/m²h, LMH) was determined based on the following equation [33]:

222
$$J_w = \frac{\Delta w}{A_m \rho_w \Delta t} \quad (1)$$

223 where Δw (kg) is the change in feed weight over Δt (h); A_m (m²) is the effective
224 membrane area; ρ_w (kg/L) is the density of water. The salt reverse flux (J_s , g/m²h, gMH)
225 was examined according to the following equation:

226
$$J_s = \frac{C_t V_t - C_0 V_0}{A_m \Delta t} \quad (2)$$

227 where C_0 (g/L) and V_0 (L) are the salt concentration and feed volume at time 0; C_t and
228 V_t indicate the final salt concentration and feed volume over Δt , respectively. The

229 specific reverse salt flux is calculated based on the ratio of salt flux to water flux (J_s/J_w ,
230 g/L). Duplicate experiments (n=2) were performed and averaged data were presented
231 in the table.

232 To assess the fouling propensity of the PES-HF-TFC membrane, the model foulant
233 humic acid (Sigma-Aldrich, 50 mg/L) was employed as feed solution and 0.5 M NaCl
234 was used as draw solution. As shown in Figure 1, the feed solution was circulated over
235 the shell side of the PES-HF-TFC membrane at a flow rate of 1 L/min (corresponding
236 to crossflow velocity of 0.23 m/s) and the draw solution was circulated through the
237 lumen side of the membrane at a flow rate of 190 mL/min (corresponding to crossflow
238 velocity of 0.8 m/s), i.e., in the AL-DS orientation. After each 5-h FO experiment with
239 humic acid-containing feed, physical cleaning was applied by flushing the shell side of
240 the fiber for 30 sec with DI water, and then the recovered performance of the cleaned
241 membrane was evaluated by using DI water as feed. For comparison, the FO
242 performance of the commercially available FO membrane (OsmoF2O™ FO flat sheet
243 membrane, Fluid Technology Solutions, Albany, OR; with effective membrane area of
244 40 cm²) was also evaluated in the AL-DS orientation using the same operation
245 condition and cleaning protocol.

246 *2.6. Compositions of feed sludge sample*

247 Anaerobic digested sludge samples were collected from Ulu Pandan Water
248 Reclamation Plant in Singapore and stored at 4°C. The sludge was warmed to room
249 temperature (22-23°C) before use. The composition of feed digested sludge is
250 provided in Table 3.

251

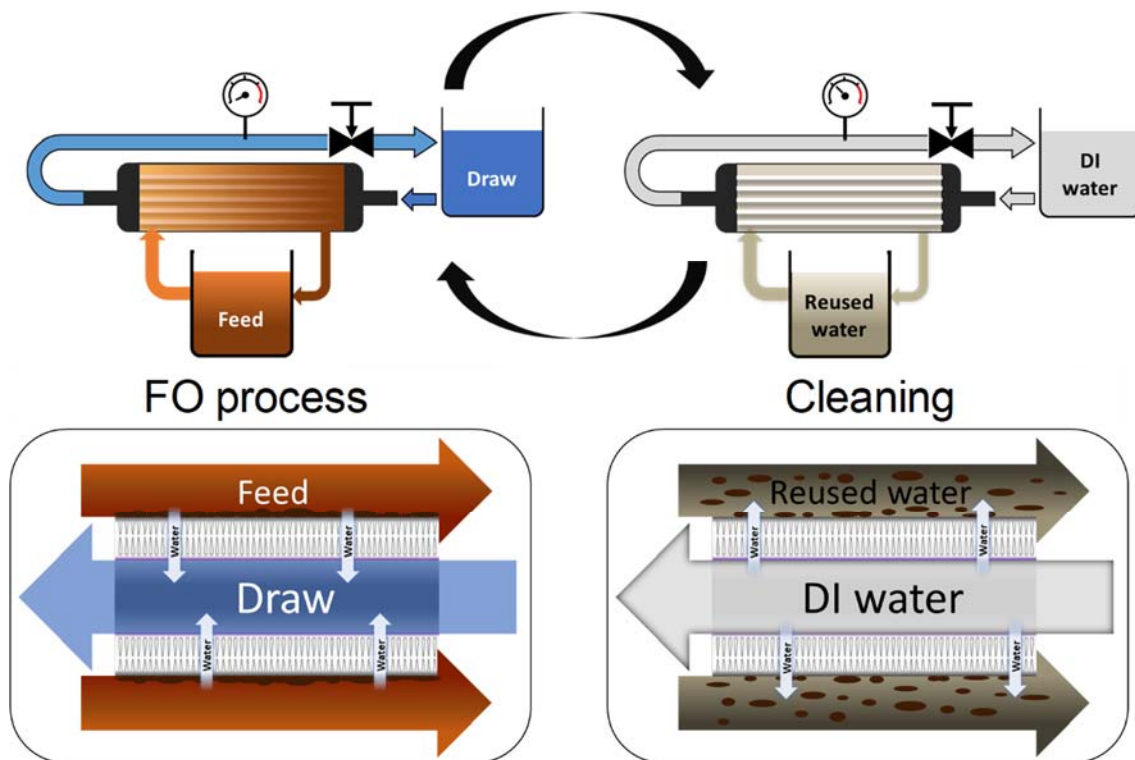
252 **Table 3.** Compositions of digested sludge used as feed in sludge thickening process

MLSS (g/L)	pH	Conductivity (mS/cm)	DOC (mg/L)	TN (mg/L)	NH ₄ ⁺ -N (mg/L)	PO ₄ ³⁻ -P (mg/L)	Ca (mg/L)	Mg (mg/L)	Si (mg/L)	Osmolality (mOsm/kg)
15.5	7.23	5.15	9.3	543.3	670	558	86.6	40.8	28.9	108

253

254 *2.7. Sludge thickening process by FO membrane*

255 A series of short-term FO sludge thickening experiments were carried out in the AL-
 256 DS orientation using 0.5 M NaCl as draw solution (Figure 1). The feed sludge was
 257 delivered to the shell side of the PES-HF-TFC membrane and the draw solution was
 258 delivered to the lumen side of the membrane at a flow rate of 1 L/min (corresponding
 259 to crossflow velocity of 0.23 m/s) and 190 mL/min (corresponding to crossflow velocity
 260 of 0.8 m/s), respectively. Physical cleaning was applied after 50 minutes of FO sludge
 261 thickening process operation, where the shell side of membrane is flushed with water
 262 at crossflow rate of 1.5 L/min for 5 minutes. At the same time, membrane backwashing
 263 was also carried out by circulating DI water on the lumen side of the membrane at 1
 264 bar pressure. A total of six FO sludge thickening-cleaning cycles were performed.



265

266 **Figure 1.** Schematic illustration of the experimental design of FO system operation.

267

268 2.8. Extraction of irreversible foulants from membranes

269 At the end of experiments, the fouled membranes were physically rinsed with DI water
 270 to remove loosely-attached foulants (i.e., cake layer foulants) on membrane surface.

271 After that, the fouled membranes were soaked into NaOH solution (pH 12) under
 272 constant agitation (by using vortex mixer) for 2 h to extract the organic foulants
 273 accumulated inside the porous substrate layer (i.e., chemically removable foulants).

274 2.9. Characterization of feed samples and foulants

275 Mixed liquor suspended solids (MLSS) was determined according to Standard
 276 Methods [39]. Conductivity and pH were examined by a conductivity/pH meter (Hanna,
 277 USA). The feed sample was filtered with a 0.45 μm filter (Pall Corporation, USA), and
 278 the dissolved total organic carbon (TOC) and total nitrogen were measured by a

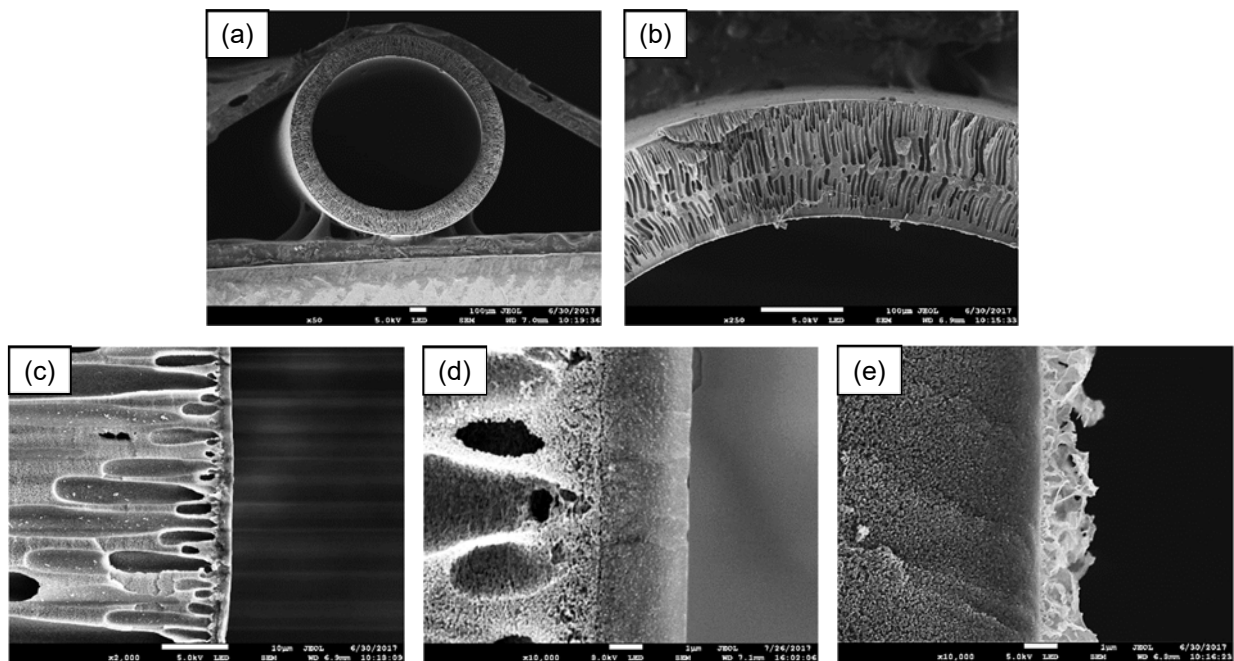
279 TOC/TN analyzer (Shimadzu, Japan). The concentration of NH_4^+ was determined by
280 using commercial test kits and measuring colour intensity with a spectrophotometer
281 (Hach, USA). Organic matter composition analysis was carried out by using liquid
282 chromatography-organic carbon detection (LC-OCD, DOC-Labor, Germany) [40].

283 **3. Results and discussion**

284 *3.1. Characteristics of PES hollow fiber substrate*

285 In this study, PES hollow fiber substrate with porous inner layer and dense outer skin
286 was fabricated by one-step co-extrusion technique with a variation of dope
287 composition (Table 1) and spinning parameter (Table 2). As shown in Figure 2, the
288 hollow fiber substrate exhibited finger-like cross-section morphology, where the
289 macrovoids extended from both lumen and shell sides and intercepted at the centre
290 of the membranes. The thin layers of defect-free skin lying on the surface of both
291 lumen and shell sides are formed, as the result of rapid vitrification (solid-liquid phase
292 separation) during the initial contact between the polymer dope and strong non-solvent.
293 Clearly, as shown in Figure 2d, the two layers formed from different dope compositions
294 fused perfectly with no clear sign of delamination, similarly to those dual-layer
295 membranes based on the simultaneous co-extrusion technique [41, 42]. Generally,
296 similar shrinkage ratios of outer and inner layers in the NIPS process promote the
297 formation of delamination-free structure. In this study, the difference in shrinkage ratio
298 was minimized by employing the same polymer in both outer and inner dopes. Also,
299 the cloud point of the outer dope was adjusted to the same level as the inner dope by
300 the addition of water into the dope, which is believed to have promoted the formation
301 of continuous phase at the interface.

302 In addition, the skin layer on shell side (i.e., tight UF skin layer) seems to be much
303 thinner than that on the lumen side (i.e., loose UF skin layer). This could be associated
304 with the fact that the higher polymer content in the outer layer dope solution impeded
305 the inward diffusion of water and delayed the vitrification process. Under this scenario,
306 the formation of porous structure close to the skin layer was promoted, that is attributed
307 to the nucleation and growth mechanism [43, 44].



308

309 **Figure 2.** SEM images displaying morphologies of the pristine PES-HF-TFC
310 membrane. (a-b) Overall cross-section; (c) Cross-section on shell side; (d) Magnified
311 cross-section on shell side (dense UF); (e) Magnified cross-section on lumen side
312 showing polyamide selective layer.

313

314 The properties of the PES hollow fiber substrate are summarized in Table 4. It had an
315 average inner and outer diameter of 999 μm and 1287 μm , respectively (i.e., a
316 thickness of $144 \pm 3 \mu\text{m}$). While the substrate had a considerably high porosity of
317 around 75%, its PWP was only 4.6 LMH/bar, which indicated that the outer skin layer
318 has much higher contribution to overall mass transfer resistance. Under an outside-in

319 filtration mode, the MWCO of the hollow fiber substrate was estimated to be around
 320 1.2 kDa, suggesting that the outer surface of the membrane was completely and
 321 evenly covered by the dense skin layer. It is noted that the MWCO of the substrate
 322 under inside-out filtration mode was about 32 kDa, which is much higher than that
 323 under the outside-in filtration mode. It is well known that the dextran molecules in the
 324 feed solution could easily penetrate into the porous matrix of the membrane and
 325 accumulate underneath the outer dense skin, which resulted in a concentrative internal
 326 concentration polarization and thus a relatively lower apparent rejection.

327 **Table 4.** Properties of the pristine PES hollow fiber substrate

Dimension (μm)			PWP (L/m ² h bar)	MWCO (kDa)		Mean pore diameter (nm)	Geometric standard deviation	Porosity (%)
Inner diameter	Outer diameter	thickness		[I-O] ^a	[O-I] ^b			
999 \pm 6	1287 \pm 9	144 \pm 3	4.6 \pm 1.5	32	1.2	1.25	1.35	74.6 \pm 0.3

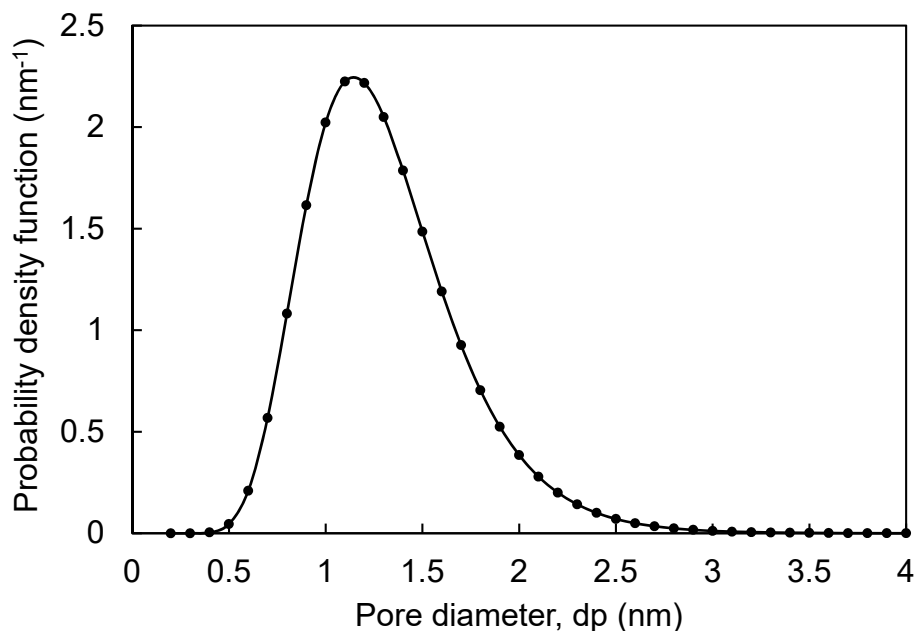
328 ^a[I-O] indicates that the membrane properties was tested in inside-out configuration, where the pressurized feed solution was
 329 circulated in the lumen side of the membrane.

330 ^b[O-I] indicates that the membrane properties was tested in outside-in configuration, where the pressurized feed solution was
 331 circulated in the shell side of the membrane.

332

333 The mean pore diameter was calculated from the solute rejection characterized under
 334 outside-in filtration mode, and the probability distribution function curve is shown in
 335 Figure 3. Based on the MWCO and pore size distribution, the selectivity of outer skin
 336 layer of the hollow fiber substrate can be considered to be within tight UF range (with
 337 MWCO between 1 kDa to 3 kDa) [45]. The improved selectivity of the substrate may
 338 help to suppress membrane fouling caused by pore plugging, which is mostly
 339 irreversible through physical cleaning [46, 47]. Such characteristics could help to
 340 improve the fouling resistance of inner-selective TFC membrane prepared from this
 341 substrate when operating in AL-DS orientation, since the smaller pore size of the outer

342 skin of the substrate layer would deter the entry and deposition of high molecular
343 weight organic foulants from feed solution into the matrix of the membrane.



344

345 **Figure 3. Pore size distribution of the outer skin layer of pristine dual-layer PES hollow**
346 **fiber substrate.**

347 3.2.1. Membrane morphology

348 The cross-section view of the polyamide selective layer on the inner surface of the
349 PES-HF-TFC membranes is shown in Figure 2e. Similar to the TFC hollow fiber
350 membranes reported in some other studies [28, 33, 48], the selective layer was laid
351 on the porous support layer as an extra layer and displayed typical ridge and valley
352 pattern.

353 3.2.2. Membrane performance: DI water as feed solution

354 The performance of the PES-HF-TFC membrane with DI water as feed solution in the
355 AL-DS orientation was characterized. When 0.5 M NaCl was used as the draw solution,
356 the water flux and reverse salt flux of PES-HF-TFC membrane was 24.1 LMH and 8.4

357 gMH, respectively (Table 5). Compared to other reported double-skinned FO
358 membranes, the clean water performance of this membrane in terms of water and
359 reverse salt fluxes was not superior (Table 5). It should be noted that such FO
360 performance may not be indicative in a situation with real feed solutions. Therefore,
361 the performance of the membrane was further assessed in the following sections with
362 humic acid and activated sludge as feed solutions.

363 3.2.3. Membrane performance: humic acid as feed solution

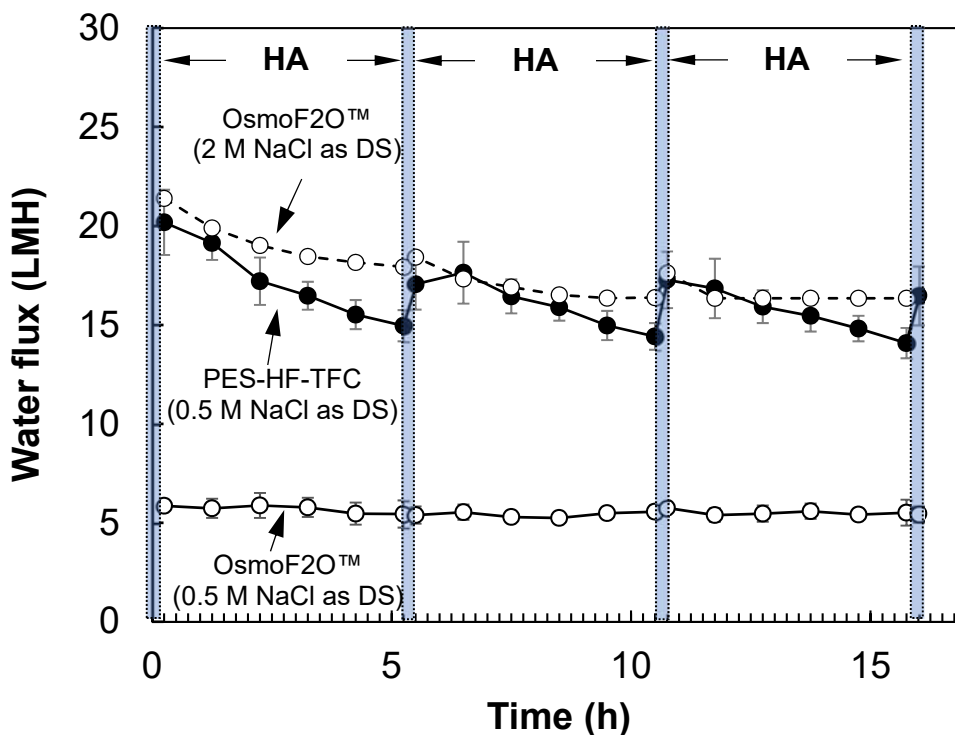
364 The FO membrane performance in the AL-DS orientation was examined by using
365 humic acid as feed solution, a model foulant which has been employed in several
366 earlier studies [49-51]. The water flux profile of the PES-HF-TFC membrane in three
367 FO filtration-physical cleaning cycles is presented in Figure 4. In the first cycle, the
368 water flux decreased linearly over time, approaching 75% of pristine membrane water
369 flux after 5 h. In the following two cycles, the water flux decline rate appeared to be
370 slower than the first cycle. The drop of water flux might be caused by (1) deposition of
371 the high molecular weight fraction of humic acid forming a thin cake layer and the
372 partial pore blocking by low molecular weight fraction of humic acid [50]; and (2) an
373 decrease in osmotic pressure due to the accumulation of draw solutes in feed solution.

374 It is noted that the short-term physical cleaning (30 sec) of the PES-HF-TFC
375 membrane could contribute to above 82% recovery of water flux during cycles 2 and
376 3, implying that cake layer fouling was predominant for the PES-HF-TFC membrane
377 during long term operation. Nevertheless, after 15-h filtration, compared to the
378 commercial OsmoF20™ membrane with humic acid as feed solution in the AL-DS
379 orientation (water flux at ~5.6 LMH), the PES-HF-TFC membrane (water flux at >14.1

380 LMH) displayed much better membrane performance when the same concentration of
381 draw solution (0.5 M NaCl) was employed (Figure 4).

382 To make a fair comparison, the same initial water fluxes of PES-HF-TFC and
383 commercial OsmoF2O™ membranes were set by regulating the draw solution
384 concentration (0.5 M NaCl for PES-HF-TFC membrane and 2 M NaCl for commercial
385 OsmoF2O™ membrane) and the water fluxes of the two membranes using humic acid
386 as feed were recorded in Figure 4. After 3 cycles, OsmoF2O™ membrane had slightly
387 higher water flux than the PES-HF-TFC membrane. However, short-term physical
388 cleaning could only recover less than 7% of water flux for the OsmoF2O™ membrane,
389 implying predominant irreversible fouling. It is reported that humic acid could cause
390 pore clogging in a porous support layer in the AL-DS orientation due to comparable
391 sizes of humic acid and support layer pore size, which resulted in enhanced ICP and
392 increased membrane resistance [50]. Also, the rather pronounced water flux decline
393 in PES-HF-TFC membrane tested with humic acid may be attributed to adsorptive
394 fouling [52]. Due to the lower hydrophilicity of PES, adsorption of humic acid onto the
395 surface of PES outer skin layer occurs more readily and leads faster increase in
396 membrane resistance.

397 These findings indicated that the dense outer skin of PES-HF-TFC membrane
398 fabricated in the current study, which was purposefully modified to reduce the average
399 surface pore size (with mean pore diameter of 1.25 nm), could effectively restrict the
400 penetration of high molecular weight humic acids (its hydration radius was estimated
401 to be between 2.3 nm and 7.7 nm solution [53]) into the support layer and mitigated
402 irreversible fouling. It also reveals a possibility to achieve sustainable long-term
403 operation of PES-HF-TFC membrane by employing periodic physical cleaning.



404

405 **Figure 4.** FO performance of PES-HF-TFC and OsmoF20™ FO membrane with
 406 humic acid (50 mg/L) as feed. The crossflow velocity on lumen side and shell side was
 407 at 0.8 m/s and 0.23 m/s, respectively). Shaded region indicate that physical cleaning
 408 was carried out and then the FO water flux of cleaned membrane was tested by using
 409 DI water as feed.

410

411 *3.2.4. Comparison with double-skinned FO membranes in literature*

412 The FO performances of the double-skinned FO membrane developed in this study
 413 and those reported in the literatures using humic acid as the model foulant are
 414 summarized in Table 5. Apparently, although the osmotic pressures in these previous
 415 studies were much higher than that in this study, the permeate flux of the PES-HF-
 416 TFC membrane was higher than or comparable to most of previous studies using the
 417 double-skinned FO membranes [49] or commercial FO membranes [54-56]. However,
 418 Fang et al. [28] from our group reported a double-skinned hollow fiber membrane (RO-
 419 like polyamide TFC selective layer on inner surface and NF-like crosslinked

420 polyelectrolyte LBL selective layer on outer surface) with a higher permeate flux (~23.6
421 LMH) at the same osmotic pressure (0.5 M NaCl) as in this study. This is likely due to
422 (1) much thinner LBL selective layer than the dense UF skin layer in current study; (2)
423 the stronger humic acid-PES membrane interaction because of lower hydrophilicity of
424 PES compared to the LBL layer, which led to faster deposition of humic acid on the
425 outer surface of the membrane; (3) the improved humic acid rejection effectiveness of
426 the NF-like layer compared to the dense UF-like layer, which possibly resulted in less
427 internal concentration polarization and irreversible fouling. Nevertheless, it should be
428 pointed out that the current method of making double-skinned hollow fiber membrane
429 is less complex in procedure. The PES-HF-TFC membrane developed in this study
430 exhibited moderate fouling reversibility, as depicted by the reproducible water flux
431 profile over consecutive cycles of FO experiments (Figure 4). To further reduce
432 irreversible fouling when the dual-layer FO membrane is operated in the AL-DS
433 orientation, improving surface hydrophilicity and minimizing surface pore size of the
434 secondary rejection layer by optimization of membrane fabrication process is
435 suggested. One simplistic approach to achieve such goal is to blend hydrophilic
436 additives (such as PES block-copolymers with hydrophilic segments) during dope
437 preparation process, such that inherently more hydrophilic substrates may be
438 fabricated. In addition, such approach could be adopted to modify only the outer dope
439 composition when fabricating hollow fiber substrates with dual-layer co-extrusion
440 technique.

441 ~~In addition, several fouling control approaches can be applied to control irreversible~~
442 ~~fouling on currently developed double-skinned FO membranes, such as feed pre-~~
443 ~~treatment, periodic chemical cleaning, coating an additional adsorption layer (such as~~
444 ~~powdered activated carbon) on membrane surface.~~

445 **Table 5.** Performance comparison of various TFC FO membranes using humic acid
 446 as feed solution

Membranes	Selective layer properties	Intrinsic properties			FO performance				Ref.
		A (LMH/bar)	R _s (%)	B (LMH)	Orientation	Draw	Feed	Water flux (LMH)	
Inner-selective PES-TFC hollow fiber membrane with densified outer skin	Tight-UF outer skin (PES), RO-like polyamide TFC (MPD-TMC) selective layer on inner surface	2.29	89	0.16	AL-DS	0.5 M NaCl	Humic acid (50 mg/L)	~14.1 after 5 h	Current work
Double-skinned flat sheet membrane	TFN (DA-TMC-CNT) selective layer on both top and bottom surface	-	-	-	AL-DS	2.0 M MgCl ₂	Humic acid (5 mg/L)	~9.9 ^g after 3 h	[49]
Double-skinned hollow fiber membranes	RO-like polyamide TFC (MPD-TMC) selective layer on inner surface, NF-like crosslinked LBL (PSS-PAH) selective layer on outer surface	2.01 ^a	95.4 ^b	0.16 ^b	AL-DS	0.5 M NaCl	Humic acid (200 mg/L) and 10 mM NaCl	~23.6 ^h after 4 h	[28]
Inner selective SPES-TFC FO membrane (Samsung Cheil Industries, Korea)	TFC selective layer on inner surface	-	-	-	AL-FS	2.0 M KCl	Humic acid (60 mg/L)	~13.9 ⁱ after 5 h	[55]
Asymmetric CTA flat sheet membrane (HTI, Albany, OR)	CTA selective layer (RO) with embedded polyester mesh	0.517 ^c	-	0.195 ^d	AL-FS	2.0 M NaCl	Humic acid (60 mg/L as DOC)	~13.1 ^j after 5 h	[54]
					AL-DS		Humic acid (60 mg/L as DOC)	~19.6 ^j after 5 h	
TFC FO membrane (Oasys Water, Boston, MA)	RO-like polyamide selective layer	4.70 ^e	-	0.164 ^f	AL-DS	1.0 M NaCl	Humic acid (100 mg/L in background electrolyte solution)	~15.5 ^k after 5 h	[56]

447 ^a Test conditions: RO test at 2.0 bar applied pressure; DI water as feed.
 448 ^b Test conditions: RO test at 2.0 bar applied pressure; 500 ppm NaCl as feed.
 449 ^c Test conditions: RO test at 0-15.5 bar applied pressure; DI water as feed.
 450 ^d Test conditions: RO test at 0-15.5 bar applied pressure; 10 mM NaCl as feed.
 451 ^e Test conditions: RO test at 8.62 bar applied pressure; feed temperature 20 °C; DI water as feed.
 452 ^f Test conditions: RO test at 8.62 bar applied pressure; feed temperature 20 °C; 2000 mg/L NaCl as feed.
 453 ^g Test conditions: crossflow velocity was 1.59 cm/s for both draw and feed.
 454 ^h Test conditions: cross-flow velocity was 10 cm/s for both feed and draw, room temperature (23 °C), all solutions exhibited neutral pH of ~7.
 455 ⁱ Test conditions: crossflow Reynolds number for feed and draw were 1900 and 700, respectively and temperature was 25 ± 0.5 °C.
 456 ^j Test conditions: crossflow rate were 0.19 L min⁻¹ (corresponding to a crossflow velocity of 9.8 cm/s) for both feed and draw; with membrane supported by mesh; temperature at 20 °C; pH = 6.5; ionic strength = 1 mM.
 457 ^k Test conditions: cross-flow rates of feed and draw were 1 L/min (corresponding to cross-flow velocity of 9 cm/s); temperatures of feed and draw solutions were 25 ± 1 °C.
 458
 459

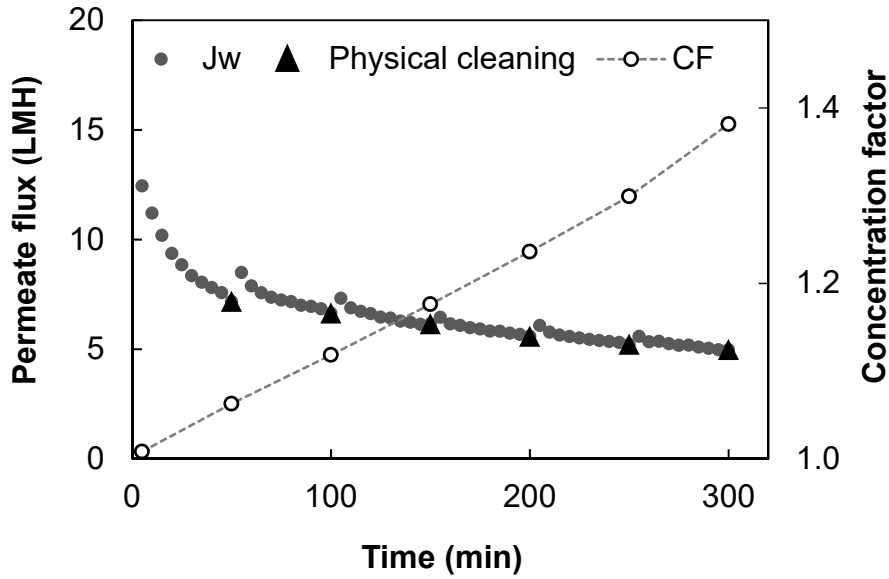
460 **3.3. FO performance in a sludge concentrating process**

461 **3.3.1. Membrane performance**

462 Generally, activated sludge contains greater-sized solids (having sizes up to a few
 463 millimetres) and can easily clog the hollow fiber membrane lumen side (i.e., active
 464 layer) in the AL-FS direction. Therefore, in this study, the sludge concentrating tests
 465 were performed in the AL-DS orientation and the dense nature of UF skin on the feed

466 solution side is expected to play a critical role in reducing the membrane fouling
467 propensity.

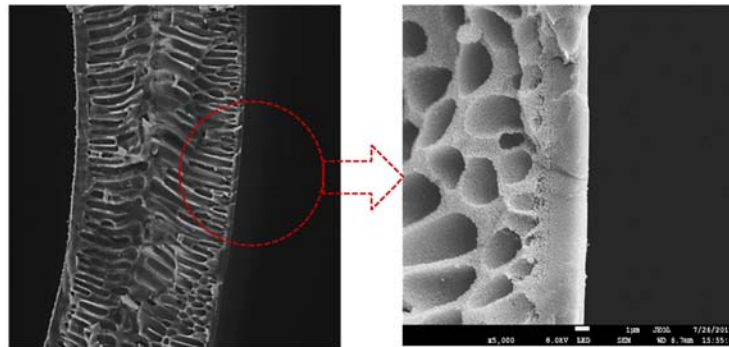
468 The membrane performance in six filtration-physical cleaning cycles is recorded in
469 Figure 5. The water flux of the PES-HF-TFC membrane dropped significantly during
470 the first cycle. After that, slowly declining water flux was observed. At the end of each
471 cycle, physical cleaning (flushing with backwashing for 5 min) was applied, which
472 resulted in merely 2.6-4.8% of water flux recovery. This hints that the cake fouling has
473 a negligible contribution to water flux decline, while irreversible fouling was
474 predominant. To further explore the membrane fouling mechanism, after 5-min
475 physical cleaning, the SEM images of the fouled membrane were taken (Figure 6). It
476 is observed that very limited foulants were deposited on the fouled membrane surface,
477 which suggests that pore blocking or narrowing predominantly caused flux decline
478 rather than physical-irremovable cake layers (such as gel layers). This observation
479 was inconsistent with the finding in concentrating sludge in the AL-FS direction, in
480 which reversible cake layer fouling was a major contributor to membrane flux decline
481 [17, 18]. It appears that the operation orientation plays an important role in affecting
482 membrane fouling mechanisms.



483

484 **Figure 5.** The PES-HF-TFC membrane performance with activated sludge as feed
 485 (0.5 L of feed sludge, 0.5 M NaCl was used as the draw solution. The crossflow velocity
 486 on lumen side and shell side was at 0.8 m/s and 0.23 m/s, respectively).

487



488

489 **Figure 6.** The SEM images of the feed side (tight UF) of the fouled membrane after
 490 the sludge concentrating test (300-min) and 5-min physical cleaning.

491

492 After 300-min of operation, the sludge was concentrated at 1.4 times and the water
 493 flux of PES-HF-TFC membrane was stabilized at ~5.2 LMH with ~24.6 bar of osmotic
 494 pressure driving force. The achieved water flux per osmotic pressure (~0.21 LMH/bar)
 495 in this study at the AL-DS orientation was higher than those using commercial TFC
 496 and CTA FO membranes reported in the literatures at the AL-FS orientation (~0.06-
 497 0.19 LMH/bar; Table 6). It is worth noting that the initial sludge concentration (15.5 g/L)

498 employed in this study was 1 to 7 times higher of those in the reported literatures. It
 499 can be expected to achieve even higher permeate flux ($> 5.2 \text{ L/m}^2\text{h}$) for the PES-HF-
 500 TFC membrane when a lower concentration of sludge is used. The combination of
 501 high water flux and low fouling tendency offers the possibility for such membranes to
 502 be used in concentrating sludge or other high solids contained feed solutions and in
 503 recovering valuable products.

504 **Table 6.** A summary of operation conditions and membrane performances of various
 505 FO-based sludge thickening processes

Membrane	Orientation	Draw solution	Draw osmotic pressure ^a (bar)	Initial MLSS (g/L)	Final MLSS (g/L) @ 5h	Water flux (LMH) @ 5h	Ref.
TFC, hollow fiber	AL-DS	0.5 M NaCl	~24.6	15.5	21.8	5.2	This work
CTA, flat sheet (HTI, Albany, Oregon, US)	-	1.5 M MgCl ₂	~111	1.8	~2.5 ^b	-	[57]
CTA, flat sheet (HTI, Albany, Oregon, US)	AL-FS	Simulated SWRO retentate (mixed inorganic salts)	~40	7.3	~24 ^c	-	[18]
CTA, flat sheet (HTI, Albany, Oregon, US)	AL-FS	Simulated seawater (0.62 M NaCl)	~30.3	8.0	~14.9 ^c	~5.1 ^d	[17]
CTA, flat sheet (HTIs OsMem™, Albany, Oregon, US)	AL-FS	Simulated seawater (0.62 M NaCl)	~30.3	8.0	~13.4 ^c	~5.6 ^d	[15]
CTA, flat sheet (HTI, Albany, Oregon, US)	AL-FS	0.7 M EDTA sodium salt at pH 8	~68.9	8.0	~12.7 ^c	~6.0 ^d	[16]
TFC, flat sheet (HTI)	AL-FS	2.2 M MgCl ₂	~163	5.43	~6.5 ^b	~9 ^d	[21]

^a Osmotic pressure of the draw at room temperature (23°C) was estimated by using van't Hoff equation, where the van't Hoff factor of NaCl, MgCl₂, CaCl₂, NaHSO₄, Na₂SO₄ and EDTA sodium salt were taken as 2, 3, 3, 2, 3 and 4. Note that the estimated values may only be valid for dilute solutions.

^b Final MLSS after 5 h of FO sludge thickening experiments were calculated from reported initial MLSS and volume reduction of sludge, where the latter was estimated from the figures.

^c Final MLSS after 5 h of FO experiments were estimated from the figures.

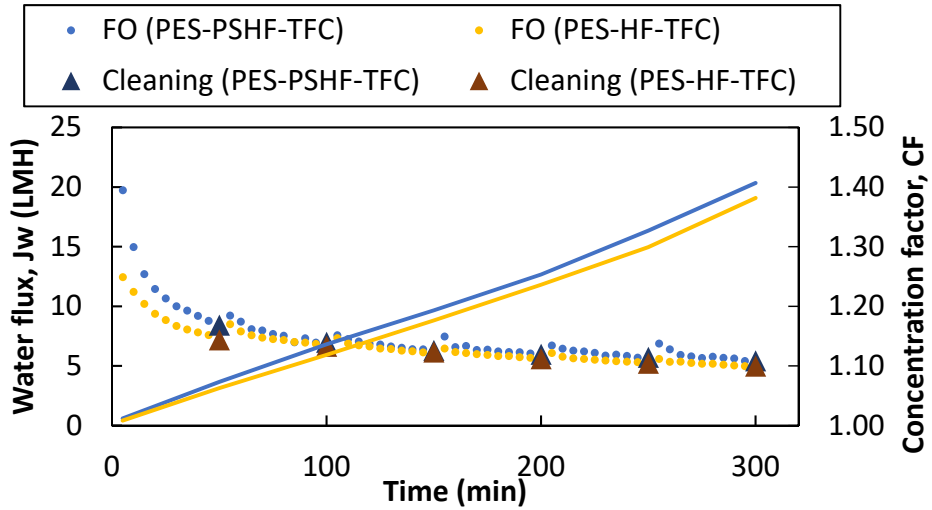
^d FO water flux after 5 h of FO experiments were estimated from the figures.

506 In order to further investigate the effect of the pore size of shell side skin layer on the
507 fouling resistance of the FO membrane in sludge thickening process, parallel
508 experiments were also carried out by using hollow fiber TFC membranes spun without
509 purposefully densified outer skin layer, denoted as PES-PSHF-TFC (with a PWP of
510 262 LMH/bar and MWCO of 32 kDa, characterized by outside-in filtration experiments).
511 The performance of the PES-PSHF-TFC membrane is shown together with the dual-
512 skinned membrane in Figure 7.

513 Under the same testing conditions, PES-PSHF-TFC membrane exhibit much higher
514 initial water flux but experienced more serious water flux decline over the first hour of
515 FO experiments when using sludge as feed. Both membranes exhibit similar patterns
516 of water flux decline over the next few hours as shown in Figure 7 (a-b). The observed
517 water flux decline may be attributed to increase in resistance of the membrane due to
518 accumulation of foulants, which could be estimated by simplified equation derived from
519 Darcy's Law:

$$520 \quad \Delta R = (R_f - R_0) = \frac{\Delta P}{\mu} \cdot \left(\frac{1}{J_{w,t}} - \frac{1}{J_{w,0}} \right) = \frac{\Delta \pi}{\mu} \cdot \left(\frac{1}{J_{w,t}} - \frac{1}{J_{w,0}} \right) \quad (3)$$

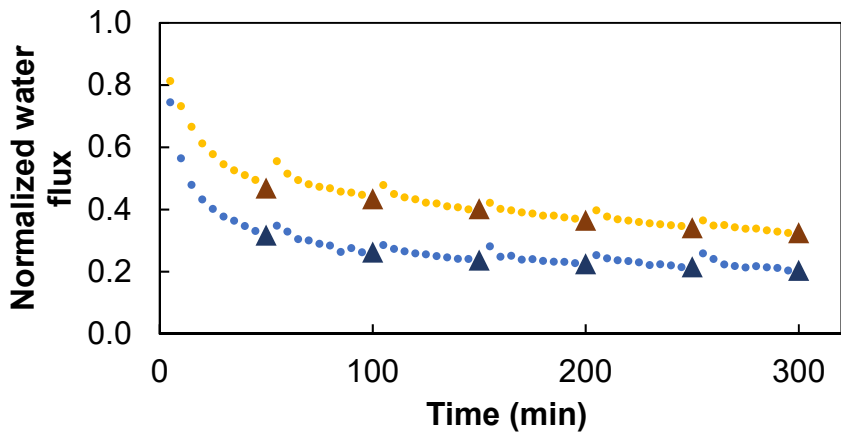
521 where R_f and R_0 are the resistance of pristine membrane and fouled membrane, ΔP
522 is the driving force across the membrane, μ is the viscosity of the water, $J_{w,0}$ and $J_{w,t}$
523 are the water flux of pristine membrane and the water flux of fouled membrane. For
524 FO process, osmotic pressure difference ($\Delta \pi$) across the selective layer of TFC
525 membrane is the sole driving force, which is estimated by van't Hoff's equation. As
526 shown in Figure 7(c), the increase in resistance of PES-PSHF-TFC membrane was
527 apparently higher than that of double-skinned membrane, which indicates that foulant
528 accumulation in double-skinned membrane is indeed slower.



529

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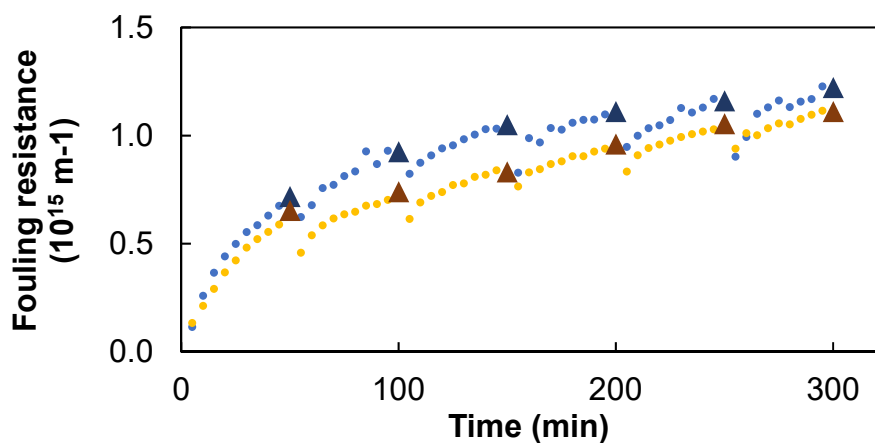
(a)



531

532

(b)



533

534

(c)

535 **Figure 7.** Water flux profiles (a), normalized water flux profiles (b), and apparent
 536 resistance increment (c) of the PES-HF TFC membranes in sludge thickening
 537 experiments (0.5 M NaCl as draw solution, crossflow velocity in membrane lumen side

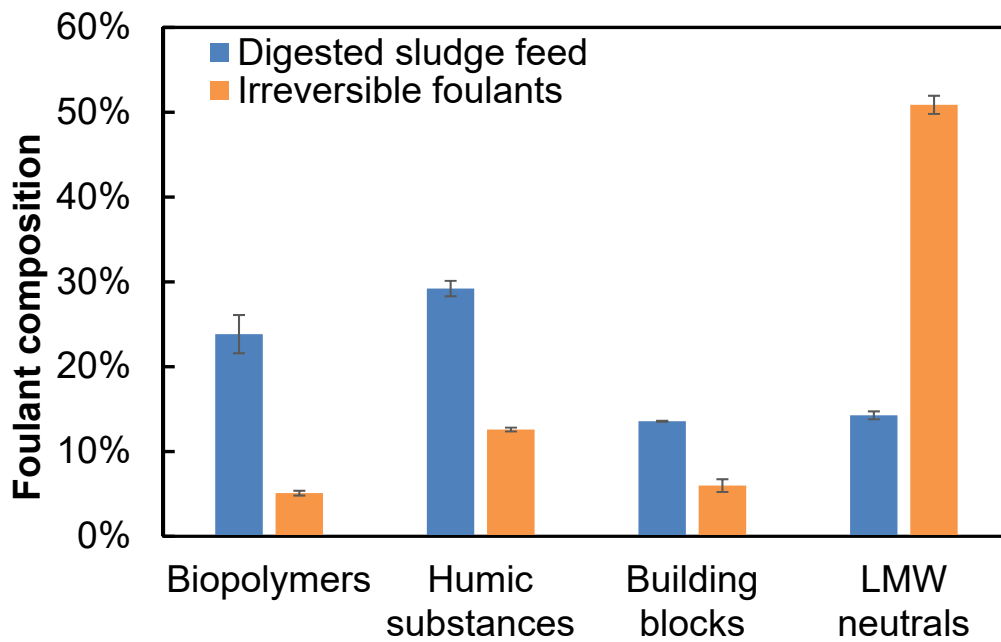
538 at 0.8 m/s; 0.5 L of sludge at 15.5 g/L as feed, crossflow velocity in membrane shell
539 side at 0.23 m/s).

540

541 3.3.2. Characteristics of irreversible foulants

542 At the end of FO experiments (300-min), the physical-cleaned membranes were
543 removed from the module and the organic foulants accumulated inside of the FO
544 membrane was extracted by NaOH (pH 12, for 2h). The LC-OCD results (Figure 8)
545 revealed that the dense UF layer of the PES-HF-TFC membrane could effectively
546 reduce the accumulation of the higher molecular weight substances such as
547 biopolymers (>10 kDa), humic substances (~1 kDa), and building blocks (300-500 Da),
548 which were 370%, 130% and 130% lower than those in the feed sludge. However, low
549 molecular weight neutrals (<350 Da) tended to be accumulated inside of the FO
550 membrane, which was 70% higher than that in the feed sludge. This finding highlights
551 the importance in further minimizing the surface pore size of the secondary rejection
552 layer of FO membranes in order to improve rejection efficiency of low molecular weight
553 substances from the sludge samples.

554



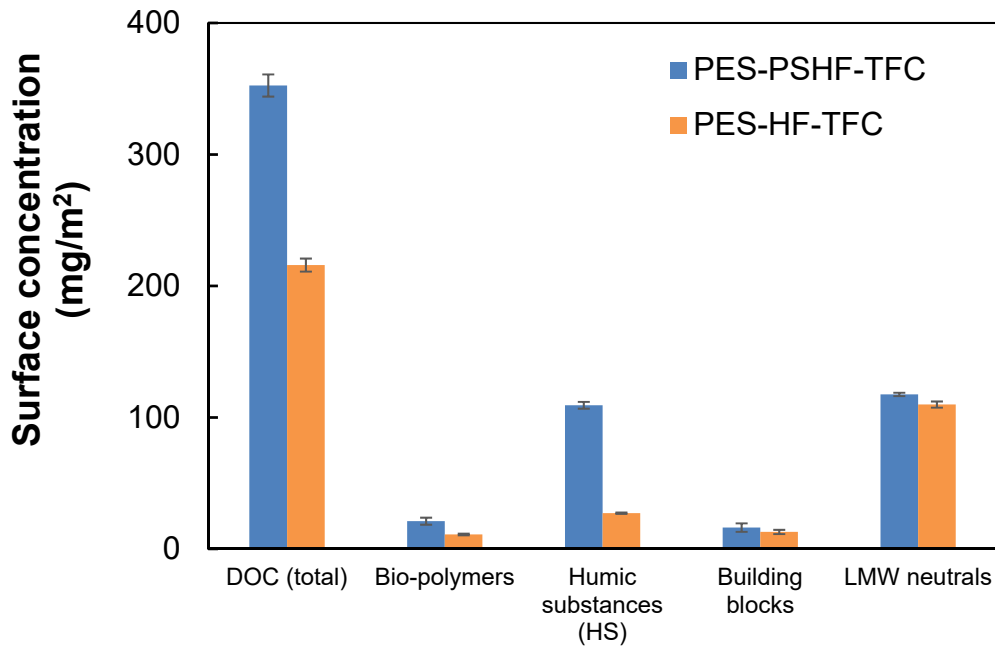
555

556 **Figure 8.** Composition ratios of organic substances in the feed sludge and irreversible
 557 foulants accumulated in the PES-HF-TFC membrane.

558

559 Organic foulant analysis was also carried out by using PES-PSHF-TFC membrane
 560 that has been tested in parallel experiments as PES-HF-TFC membrane as depicted
 561 in Figure 9. It was found that higher amount of organic foulants could be extracted
 562 from the former after sludge thickening experiments, as compared to the latter. In
 563 terms of foulant composition, it appears that the accumulation of humic acid in PES-
 564 PSHF-TFC membrane was much more significant. This observation confirmed that
 565 the use of TFC membrane with smaller pore size on the shell side could effectively
 566 reduced the deposition of high to medium molecular weight organic foulants in the
 567 membrane.

568



569

570 **Figure 9.** Compositions of organic foulants from both FO membranes.

571

572 **4. Conclusions**

573 This study developed a novel double-skinned (tight UF outer skin and RO inner skin)
 574 hollow fiber TFC FO membrane preparation process, i.e., fabricating a dual-layer PES
 575 substrate in one step, followed by interfacial polymerization of the inner-selective layer.
 576 This membrane exhibited better performance in concentrating humic acid and
 577 activated sludge in the AL-DS orientation as compared to most of the commercial
 578 membranes and some self-prepared membranes reported so far. The tight UF-like
 579 outer layer has proven to reject greater-sized molecules, for example, reducing the
 580 accumulations of biopolymers, humic acids, and building blocks inside of the FO
 581 membranes in sludge thickening processes, and thus helps to suppress irreversible
 582 organic fouling of the membranes.

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587

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