

## Predicting the number of printed cells during inkjet-based bioprinting process based on droplet velocity profile using machine learning approaches

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1 **Predicting the number of printed cells during inkjet-based**  
2 **bioprinting process based on droplet velocity profile using machine**  
3 **learning approaches**

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12 **Abstract:**

13 In this work, our proof-of-concept study can be used to predict the  
14 number of cells within printed droplets based on droplet velocity at two  
15 different points along the nozzle-substrate distance using machine learning  
16 approaches. A novel high-throughput contactless method that combines the  
17 use of an optical system and machine learning algorithms was utilized for  
18 various applications such as cell detection within single droplets  
19 (presence/absence of cells) and prediction of the total number of printed  
20 cells within multiple droplets by measuring the droplet deceleration between  
21 two positions along the nozzle-substrate distance. The proposed method in  
22 this work has demonstrated good accuracy in cell prediction within single  
23 droplet (presence/absence of cells) and low prediction error in determining  
24 number of cells within multiple droplets by reducing the error by a factor of  
25  $\sqrt{N}$  for N droplets measured in a batch. The performance of five different

26 machine learning algorithms such as linear regression, support vector  
27 regression, decision tree regressor, random forest regression, and extra tree  
28 regression were compared to determine the best algorithm for each type of  
29 application. The random forest regressor algorithm demonstrated the  
30 highest accuracy at 80% in cell prediction (presence/absence of cells) within  
31 single droplets, while the extra tree regressor demonstrated the lowest mean  
32 error of 12% in predicting the number of printed cells within multiple  
33 droplets (e.g., 20 droplets on same spot). By incorporating these models in a  
34 droplet monitoring system, live assessment of the number of printed cells  
35 during an inkjet-based bioprinting process can be achieved.

36 **Keywords:** 3D bioprinting; 3D printing; Biofabrication; Machine learning;  
37 Drop-on-demand bioprinting; living cells

## 38 **1. Introduction**

39 Over the years, there is an increased interest in the use of 3D bioprinting  
40 technologies for tissue engineering, regenerative medicine, and biomedical  
41 applications due to its exceptional ability to precisely deposit highly viable  
42 cells during the bioprinting process to fabricate biomimetic 3D tissue-  
43 engineered constructs (He et al., 2021; Levato et al., 2020; Ng, Chan, et al.,  
44 2020; Ng et al., 2019; W. L. Ng et al., 2016; Sun et al., 2020). These 3D  
45 bioprinting technologies can be categorized into 3 distinct groups according  
46 to the ASTM standards - jetting-based (Choe & Kim, 2020; X. Li et al., 2020;  
47 Wei Long Ng et al., 2016), extrusion-based (Meng et al., 2020; Ng, 2014;  
48 Ozbolat & Hospodiuk, 2016) and vat photopolymerization-based (W. Li et al.,  
49 2020; Ng, Lee, et al., 2020). Each bioprinting technology has its distinctive  
50 advantages and limitations and the selection of an appropriate bioprinting  
51 technology should be dependent on its intended application (Lee et al., 2019).  
52 The key advantages of each bioprinting technology are as follows: the jetting-  
53 based bioprinting approach facilitates contactless on-demand bioprinting of  
54 different living cells and biomaterials to enhance cell-cell and cell-matrix  
55 interactions (Ng, Lee, et al., 2017; W. L. Ng et al., 2018), the extrusion-based  
56 bioprinting approach facilitates printing highly viscous bio-inks with fast  
57 fabrication speed (Yang et al., 2022; Zhuang et al., 2019), and lastly the vat  
58 polymerization-based bioprinting approach can achieve high printing  
59 resolution and printable cell concentration (Nieto et al., 2020).

60 For bioprinting applications, the living cells are usually encapsulated  
61 within hydrogel-based bio-inks and printed using different bioprinting  
62 technologies which have their own specific bio-ink requirements. The

63 printed cells in jetting-based bioprinting approach are usually encapsulated  
64 within un-crosslinked bio-inks of low viscosity (Ng et al., 2022; Ng et al.,  
65 2023), whereas the printed cells in extrusion-based and vat polymerization-  
66 based bioprinting approaches are usually encapsulated within highly-viscous  
67 crosslinked bio-inks (W.L. Ng et al., 2016; Suntornnond et al., 2022). As  
68 compared to the other 3D bioprinting approaches, the jetting-based  
69 bioprinting approach facilitates higher degree of control over printed cell  
70 density by manipulating the number of printed cell-laden droplets at specific  
71 positions (Ng et al., 2021; Takagi et al., 2019; Xu et al., 2013). Hence, the  
72 jetting-based bioprinting approach facilitates precise deposition of multiple  
73 types of living cells and biomaterials by manipulating the spatial positions of  
74 these functional living cells and biomaterials for fundamental studies that  
75 aim to elucidate the cell-cell and cell-biomaterial interactions (Gudupati et  
76 al., 2016; Wei Long Ng et al., 2018; Ng & Yeong, 2019).

77 Traditional methods for cell detection include the use of microfluidics,  
78 image-based analysis and laser induced fluorescence (LIF). The main  
79 limitations of these traditional methods include challenges to automate the  
80 image processing process using advanced image analysis algorithms which  
81 lead to low throughput monitoring for real-time applications (Köster et al.,  
82 2008; Mary et al., 2011; Schoeman et al., 2014), and the need to stain the  
83 printed cells with fluorescence dyes (Chen et al., 2022). For microfluidics,  
84 the cell count can be quantified through microflow cytometry which utilizes  
85 multiple techniques to count the cells such as optical (Piyasena & Graves,  
86 2014), acoustic (Chen et al., 2014), or impedance measurement (Tang et al.,  
87 2017). Although the microflow cytometer can measure cell count in

88 microfluidic flow channel with high throughput, the cell quantification  
89 usually occurs before the droplet is ejected from the nozzle. Hence, it may  
90 not be representative of the number of printed cells within the droplets. For  
91 image-based analysis, a high-speed camera is utilized to capture the frames  
92 with cells within the droplet and provide detailed information on the shape  
93 of the cells (Köster et al., 2008; Mary et al., 2011; Schoeman et al., 2014).  
94 However, it is challenging to process the images with automated image  
95 analysis algorithms and hence manual cell counting of images results in low  
96 throughput rates. Laser induced fluorescence (LIF) provides higher  
97 throughput by reading fluorescence signal from a droplet excited by lasers  
98 (Sciambi & Abate, 2015). With this method, since at least 95% of droplets  
99 containing cells would contain a single cell at low cell occupancy ( $< 0.1$  cell  
100 per droplet) (Klein et al., 2015; Macosko et al., 2015), therefore the number  
101 of cells can be calculated by assuming that all ejected droplets with cells  
102 were detected as a single cell droplet. These traditional methods, despite  
103 their efficacy, cannot be implemented for real-time cell counting and no  
104 existing method can monitor the number of printed cells at high throughput  
105 rates. There is existing work that utilizes high speed camera to capture the  
106 images of fluorescence-labelled cells excited by multiple class 3b and class  
107 4 lasers at various incidence angles and perform the calculation of average  
108 cell count over multiple droplets in real time at a high throughput (Chen et  
109 al., 2022). This method calculates the average cell count from the area with  
110 fluorescence over many droplets. However, the high intensity from the lasers  
111 damaged the encapsulated cells within the droplets ( $\sim 69\%$  cell viability after  
112 laser excitation) and the use of fluorescence-labelled cells may not be  
113 desirable for some bioprinting applications. The ability to accurately monitor

114 the number of printed cells is critical for fabrication of *in-vitro* 3D cell-laden  
115 tissue constructs in a scalable and reproducible manner.

116 Hence, the goal of this study is to utilize machine learning approaches on  
117 high-speed images captured to predict the number of encapsulated cells  
118 during the droplet bioprinting process. Machine learning is a subset of  
119 artificial intelligence which can identify the relationship between large  
120 datasets efficiently, resulting in a model that is useful in the prediction of  
121 new inputs (Xames et al., 2022). Machine learning is useful when statistical  
122 relationships exist in the dataset but the model for the dataset cannot be  
123 determined analytically, such as optimizing printing parameters (Bonatti et  
124 al., 2022; Fu et al., 2021; Law et al., 2023), predicting the cell viability from  
125 the process parameter and identifying the significant process parameters for  
126 high cell viability (Xu et al., 2022). There are three basic approaches to apply  
127 machine learning for different situations; supervised learning (Caruana &  
128 Niculescu-Mizil, 2006), unsupervised learning (Ghahramani, 2004; Hastie et  
129 al., 2009), and reinforcement learning (Arulkumaran et al., 2017; Kaelbling  
130 et al., 1996; Wiering & Van Otterlo, 2012). Supervised learning creates a  
131 model from labeled data to predict the output from input, unsupervised  
132 learning extracts features out of unlabeled data, while reinforcement  
133 learning deduces the best step to maximize a reward function. Supervised  
134 learning is the most common form of machine learning, and it requires a  
135 large dataset of accurately labelled images. This model would undergo  
136 training iteration to compute the error between the predicted probabilities  
137 and ground-truth labels while internal weights were adjusted to minimize  
138 the error value. On the other hand, unsupervised learning averts the

139 laborious step of data annotation such that the model can extract features  
140 out of unlabelled data and learn useful representations from the data. Lastly,  
141 reinforcement learning involves a neural network (agent) that is trained to  
142 maximize the value of a reward through a trial-and-error process.

143 In this work, supervised learning is the approach used to predict the cell  
144 count in droplets from various inputs. The relationship between the change  
145 in the velocity profile and the cell count of the printed droplet will be studied  
146 with machine learning (ML). The 2 main methods in ML to predict a number  
147 from input are regression and decision tree. In regression, the algorithm  
148 tries to fit each coefficient in a formula to maximize the accuracy or minimize  
149 the loss. In decision tree, the algorithm create a tree of decision that decide  
150 the output based on the input (Quinlan, 1987). Regression-based ML  
151 algorithm used in this work are linear regression and support vector  
152 regression (SVR) (Smola & Schölkopf, 2004), while the decision tree-based  
153 ML algorithm used are decision tree regressor, and ensemble method (Bauer  
154 & Kohavi, 1999) such as random forest regressor (Breiman, 2001) and extra  
155 tree regressor (Geurts et al., 2006). The mentioned ML technique is  
156 graphically depicted in **Figure 1**. Linear regression is the simplest regressor  
157 where the coefficient is fitted to minimize the loss for a best fit line, this  
158 method is fast and easy to implement, but is not accurate when the statistical  
159 relationship between data is not linear. Support vector regressor maximize  
160 the number of data point in its hyperplane area, SVR perform better than  
161 linear regression when there is outlier in data. Ensemble method combines  
162 multiple decision trees and predict the output by averaging the output from  
163 the multiple decision tree, which increase the efficiency of decision tree and

164 prevent overfitting. Random forest regressor and extra tree regressor are  
165 both tree ensemble method with similar approach, but with a slight  
166 difference in which extra tree regressor use the whole original sample for  
167 training to reduce bias and choosing the split node randomly to reduce  
168 variance. The performance of these ML techniques on our samples are  
169 compared in this work to determine the optimal algorithm for cell prediction  
170 in both single and multiple droplets during jetting-based bioprinting process.

171

172 **Figure 1:** Graphic representation of various machine learning techniques.

## 173 **2. Materials and Methods**

### 174 **2.1. Preparation of Cell-laden Bio-inks**

175 The primary human dermal fibroblasts (HDFs) used in this work were  
176 purchased from CellnTec Advanced Cell Systems and routinely passaged in  
177 tissue culture flasks (Passages 3 - 5). Fibroblasts were cultured in CnT-Prime  
178 Fibroblast Proliferation Medium (CnT-PR-F, 1% serum medium  
179 supplemented with fully defined growth factors and co-factors) at a

180 temperature of 37°C, 5% CO<sub>2</sub> and the culture medium were changed once  
181 every three days. The adherent fibroblasts were harvested using CnT  
182 Accutase cell detachment solution (CnT-Accutase 100) at 90% confluency.  
183 Based on our previous work, the use of 2% PVP-based bio-inks improved the  
184 droplet printing quality and cell viability during the inkjet bioprinting  
185 process (Ng, Yeong, et al., 2017). Hence, the detached fibroblast cells were  
186 suspended in 2% w/v PVP-based bio-inks to obtain different concentrations  
187 of cell-laden bio-inks (1 - 3 million cells/ml).

## 188        **2.2.        Bioprinting process**

189        A thermal inkjet printer (HP D300e Digital Dispenser) and non-  
190        commercial prototype cassettes with 8 embedded thermal inkjet print-heads  
191        with large nozzle orifice diameter to enable cell printing were used for cell  
192        bioprinting. The thermal inkjet print-head dispensed discrete droplets of  
193        constant volume of  $\sim 0.345$  nL at a printing frequency of 1 kHz. The desired  
194        volume can be controlled by manipulating the number of printed droplets at  
195        the same spot.

## 196        **2.3.        Experimental Setup**

197        Cell-laden bio-inks (2% w/v PVP of varying cell concentrations from 1 - 3  
198        million cells/ml) were ejected onto glass slides using a thermal inkjet printer  
199        (HP D300e Digital Dispenser). Multiple single, discrete cell-laden droplets  
200        were dispensed and captured using a Photron FASTCAM Nova S12 (high-  
201        speed camera) at 120,000 frames per second (fps) as shown in **Figure 2a**.  
202        The image of the experimental setup is presented in **Figure A** of  
203        Supplementary Information. During the printing process, the underlying  
204        substrate translated along the x-axis to obtain single, discrete deposited cell-  
205        laden droplets. These deposited droplets were then counted using a light  
206        microscope to determine the number of printed cells within each deposited  
207        droplet. The droplet velocity profile of the cell-laden bio-inks at different  
208        positions (0.7 mm, 1.0 mm, 1.5 mm, 2.0 mm, 2.5 mm, and 3.0 mm) along the  
209        nozzle-substrate distance was analyzed using the high-speed camera images.  
210        The number of printed cells within each deposited droplet and its  
211        corresponding droplet velocity profile were used as training data in the  
212        machine learning model.

## 213        2.4.        Image Processing

214        As depicted in **Figure 2b**, the captured high-speed image is first filtered  
215        with the seeded threshold method to isolate the main droplet and remove  
216        the noise from the image for further processing (Xi, 2021). In the seeded  
217        threshold method, a seed threshold is applied to the image on top of the  
218        usual threshold. The pixels filtered out by the first threshold will be grouped  
219        into their connected area respectively. A connected area that is below the  
220        threshold and contain pixel below the seed threshold will be identified as the  
221        droplet, whereas the connected area that is below the threshold but without  
222        a pixel below the seed threshold will be removed as noise. This method  
223        removes noises by assuming the real droplets will always have a pixel that is  
224        darker than all noises or negligible satellite droplets.

225        By using a multiple object centroid tracker, the displacement of each  
226        droplet at each frame is identified. The centroid tracker calculates the  
227        centroid of each droplet and adds the droplet's velocity to the coordinate of  
228        the centroid to predict the droplet's position at the next subsequent frame  
229        (assuming an initial droplet velocity of 20 m/s). Each droplet is compared to  
230        the predicted droplet position from the previous frame, and the droplet with  
231        the smallest displacement from the predicted droplet position is matched as  
232        the same droplet. The droplet velocity is then tagged to every matched  
233        droplet, and the displacement data of all discrete droplets at every frame are  
234        recorded.

235        Displacement data is converted to velocity with the formula  $v_{i+1} = (d_{i+1} -$   
236         $d_i)/T$ , where  $v_{i+1}$  and  $d_{i+1}$  is the velocity and displacement of current frame  
237        respectively, and  $T$  is the time interval between each frame (1/120000

238 second). The velocity at the displacement of 0.7 mm, 1.0 mm, 1.5 mm, 2.0  
239 mm, 2.5 mm, and 3.0 mm is interpolated from the previous velocity-  
240 displacement data.

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## 241        **2.5.        Sample Selection**

242        The distribution of the samples acquired are as shown in **Figure 2c**. More  
243        samples at concentration of 3 million/ml were included to increase the  
244        sample with higher cells count. We had selected 67 droplets with 0 cell, 61  
245        droplets with 1 cell, and 28 droplets with 2 cells. The sample with 3 cells or  
246        above is not included in the machine learning sample set as the sample count  
247        is too low and can be seen as outlier. The sample set has a train-test split of  
248        60 to 40. The training set contains 91 samples, and the testing set contains  
249        65 samples. The split is done completely random from the sample set.

## 250        **2.6.        Training Algorithm**

251        The cell count of the sample was trained against the velocity of the sample  
252        at 2 different positions with 5 different algorithms to determine the  
253        efficiency of each algorithm in determining the availability of cells in single  
254        droplet and cell count in multiple droplets. The architecture for the training  
255        of model and the usage of the model for prediction is detailed in **Figure 2d**.

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256

257 **Figure 2:** (a) Schematics of experimental setup. (b) Seeded threshold  
258 method and centroid tracker to extract displacement from image. M1 is the  
259 main droplet to be detected while the S1 is the satellite droplet to be ignored.  
260 (c) Distribution of samples by cell counts and cell concentration of the  
261 solution. (d) Flowchart of the training and usage of the machine learning  
262 model for cell prediction.

263 The 5 algorithms being used are linear regression (LR), support vector  
 264 regression (SVR), decision tree regressor (DTR), random forest regression  
 265 (RFR), and extra tree regression (ETR). LR and SVR are both regression-  
 266 based model, where LR assumes a linear model while SVR assumes a non-  
 267 linear model by using the radial basis function (RBF) kernel. DTR, RFR, and  
 268 ETR are decision tree-based model, in which their suitability for predicting  
 269 the cell count will be compared against regression-based model. DTR is the  
 270 basic for the decision tree-based technique, while the RFR and ETR are both  
 271 decision tree-based method that utilized the ensemble method to improve  
 272 the accuracy of decision tree with the trade-off of having low readability and  
 273 high computational cost. The machine learning models are implemented with  
 274 Scikit-learn (Pedregosa et al., 2011). A brief introduction for the models and  
 275 the tuning parameters used will be detailed in **Section 2.6.1** to **Section**  
 276 **2.6.5**.

### 277 **2.6.1. Linear regression**

278 The LR model was chosen as the control to compare with other machine  
 279 learning models, as it is easy to understand and has the highest readability  
 280 out of all machine learning method. This model assume that the dataset is a  
 281 linear model. LR fit the model with ordinary least square method,  $y = \omega_0 +$   
 282  $\omega_1x_1 + \omega_2x_2 + \dots + \omega_px_p$ , where  $y$  is the predicted cell count,  $x_i$  is the  
 283 independent variables (velocity of droplet at different positions), and  $\omega_i$  is  
 284 the coefficient to be fitted. The model is fitted by minimizing the residual  
 285 sum of squared error between the observed and predicted cell count in the  
 286 dataset,  $J(\omega_0, \omega_1, \dots, \omega_n) = \frac{1}{n} \sum_{i=1}^n \epsilon_i^2$ , where  $J(\omega_0, \omega_1, \dots, \omega_n)$  is the residual sum

287 of the squared error, and  $\varepsilon_i$  is the residual error for the prediction for the  $i^{th}$   
288 data point.

### 289 **2.6.2. Support vector regression**

290 The SVR model is a commonly used ML regression model and is used to  
291 compare with the accuracy of LR model as they are both regression-based  
292 method. SVR maximize the number of data points in the hyperplane area,  
293 thus performing better than LR when there is outlier in data (Smola &  
294 Schölkopf, 2004). The kernel function used is RBF for a non-linear regression,  
295  $K(x_1, x_2) = \exp(-\gamma \|x_1 - x_2\|^2)$ , where  $x_1$  and  $x_2$  are 2 points in the dataset, and  
296  $\gamma$  is the tuneable parameter gamma. The tuneable gamma value used is  
297 'scale',  $\gamma = 1/[\text{number of features} * X.\text{var}()]$ . The regularization parameter C  
298 is set to 1.0, epsilon as 0.1, and the tolerance for stopping criterion is 0.1.  
299 The solver is iterated with no limit until the stopping criterion is achieved.

### 300 **2.6.3. Decision tree regressor**

301 The DTR learns to approximate the value of target variable with a set of  
302 if-else-then functions (Quinlan, 1987). The advantage of DTR is that it is easy  
303 to visualize and interpret a dataset with good fit, but it came with the  
304 disadvantage where it is easy to overfit. The DTR is chosen as it is the most  
305 basic technique for decision tree based regressor. The criterion for splitting  
306 used in this work is mean squared error, where the strategy used by the  
307 splitter is best split approach. The maximum feature for best split is the  
308 square root of the total feature. The hyperparameters used are determined  
309 by grid search method, with a maximum depth of 5, minimum sample splits  
310 of 10, and minimum sample in leaf node of 4.

#### 311 **2.6.4. Random forest regression**

312 RFR creates multiple DTR using subset of the samples, followed by  
313 averaging the output of the multiple trees for the prediction of the target  
314 (Breiman, 2001). RFR is better than DTR in term of accuracy and avoiding  
315 overfitting. However, it is very difficult to visualize or interpret a RFR model.  
316 The criterion for splitting used is mean squared error. The maximum feature  
317 for best split is the square root of the total feature. The number of trees in  
318 the forest is set as 300 with a maximum depth of 5, minimum sample splits  
319 of 10, and minimum sample in leaf node of 4.

#### 320 **2.6.5. Extra tree regression**

321 ETR is similar to RFR in term of approach, with the difference in usage  
322 of entire original sample set instead of bootstrap replica to reduce bias, and  
323 choosing the sample cut point randomly instead of optimally to reduce  
324 variance (Geurts et al., 2006). The criterion for splitting used is mean  
325 squared error. The maximum feature for best split is the square root of the  
326 total feature. The number of trees in the forest is set as 300 with a maximum  
327 depth of 5, minimum sample splits of 10, and minimum sample in leaf node  
328 of 4.

### 329 **3. Results and discussions**

330 The velocity profile of ejected ink with 3 million cells/ml in **Figure 3a**  
331 shows some obvious variation between different droplets, with some of  
332 droplets sharing similar velocity profiles to ink with no cells. We have  
333 attributed this variation to the number of cells in a droplet. The presence of  
334 cells in a droplet changes the mass distribution of the droplet, affecting  
335 droplet shape, which alters drag force on the droplet as the shape and area

336 of the droplet with respect to the velocity direction change. As shown in  
337 **Figure 3b**, a change in droplet shape is observed with increasing cell  
338 concentration. It is observed that most droplets at higher cell concentration  
339 (3 million cells/ml) exhibited slimmer droplet head as compared to those  
340 droplets without cells (0 million cell/ml). The slimmer droplet head at higher  
341 cell concentration lowers the drag force, which reduces the droplet  
342 deceleration. There is a huge variability in the average velocity profiles of  
343 different bio-inks (0 to 3 million cells/ml) as shown in **Figure 3c**; whereby  
344 the R-squared value of the best-fit line decreases with increasing cell  
345 concentration (from  $R^2 = 0.9191$  in 0 million cell/ml to  $R^2 = 0.5009$  in 3  
346 million cells/ml). A higher variance in the number of printed cells (0 - 0  
347 million cell/ml, 0.13 - 1 million cells/ml, 0.41 - 2 million cells/ml and 1.16  
348 cells - 3 million cells/ml) is observed with increasing cell concentration.  
349 Furthermore, an increase in the cell concentration also leads to a higher  
350 variance in the droplet velocity profile. Hence, there is a strong correlation  
351 between the number of printed cells and droplet velocity profile.

352 Spearman correlation ranks the monotonic relation between variables,  
353 with the correlation being 1 when the two variables are a perfect monotonic  
354 relation of each other. The closer the correlation is to 0, the less the two  
355 variables are monotonic related. The Spearman correlation between  
356 variables in the dataset, as shown in **Figure 3d**, reveals that a low monotonic  
357 relationship exists between number of cells and other variables in the  
358 dataset, with the highest rank being cell concentration, with the value of  
359 0.53, and 0.12 to 0.23 for velocity at various positions. This is understandable  
360 as more cells will be ejected with higher cell concentration in the ink solution.

361 This can be confirmed from **Figure 3e**, where the droplet is plotted on a  
362 graph of velocity at 2 mm against the velocity at 1 mm. When the deceleration  
363 of the droplet is higher, there are more droplets without cells compared to  
364 droplets with cells. In **Figure 3f**, deceleration of droplets from a  
365 displacement of 1 mm to 3 mm are plotted against the cell count in the  
366 droplet. Although the average droplet deceleration is lower with higher cell  
367 counts, there is no statistical distinction between both type of droplets as the  
368 datasets still overlaps with each other. This means that a more complex  
369 relationship exists between these variables. Machine learning approaches  
370 can be utilized to understand if a connection exists between velocity at  
371 different positions and hence cell count in the droplet when the relationship  
372 between the variables is difficult to be interpreted manually. In the following  
373 sections, we present two machine learning methods for cell count prediction  
374 in single droplet and cell count prediction in multiple droplets respectively.

376 **Figure 3:** (a) Droplet velocity profile of different droplets with cells (black  
377 dot - 3 million cells/ml) and no cell (red dot - 0 million cell/ml) plotted against  
378 the displacement from the nozzle. (b) Influence of cell concentration on the  
379 shape of droplet head - wider droplet head at 0 million cell/ml and slimmer  
380 droplet head at 3 million cells/ml. (c) Average velocity profile of cell-laden  
381 bio-inks (best fitted from velocity profile of 6 droplets) with cell  
382 concentration of 0 to 3 million cells/ml. (d) Spearman correlation of all the  
383 variables in the dataset. (e) Droplet with cell (black dot) and no cell (red dot)  
384 plotted with their velocity at 1 mm and 3mm from the nozzle. (f) Deceleration  
385 of droplet from 1 mm to 3 mm plotted against cell count.

### 386 **3.1. Cell availability prediction in single droplet**

387 The cell count in the training set is fitted against the velocity of the  
388 droplet at 2 positions chosen from 0.7 mm, 1.0 mm, 1.5 mm, 2.0 mm, 2.5  
389 mm, and 3.0 mm. The optimized model is used to predict the number of cells  
390 in the testing set. The value acquired is rounded and compared against the  
391 actual value in the testing set. The accuracy in predicting the availability of  
392 cell in a single droplet of different model is shown in **Figure 4**. The random  
393 forest regression model provides the best accuracy, up to 80% accuracy in  
394 predicting the availability of cells in a single droplet, when velocity of the  
395 droplet at 1.0 mm and 2.0 mm is used as the input for the model.

396

397 **Figure 4:** Accuracy in determining if the droplets contain cells. The value in  
398 bracket is the best accuracy among the matrices of different detection  
399 position. (a) Linear regression (0.77) (b) SVR (0.77) (c) Decision Tree (0.77)  
400 (d) Random Forest Regression (0.80) (e) Extra Tree Regression (0.79).

401 LR has the highest accuracy at 77% when the position used are 0.7 mm  
402 and 2.0 mm. The other 4 methods, SVR, DTR, RFR, and ETR, have the highest  
403 accuracy when the position used are 1.0 mm and 2.0 mm. Both SVR and DTR  
404 has the highest accuracy at 77%, while RFR and ETR has the highest  
405 accuracy of 82% and 80% respectively. Although the accuracy of the models  
406 might be improved with droplet velocity taken from more than 2 points, it is  
407 more desirable to have droplet velocity taken at lesser points to reduce the  
408 complexities in optimizing the desired position for velocity detection. **Figure**  
409 **5** demonstrates the confusion matrix prediction for the best 2 positions. The  
410 accuracy of the confusion matrix is the ratio of the correct prediction to all  
411 other predictions. Precision is the ratio of the true positive of the category

412 to both the true positive and false positive of that category. This metric is  
413 indicative of how good the model is at predicting a particular category.  
414 Recall is the ratio of the true positive of the category to the sum of the true  
415 positive and false negative of that category, this is the percentage in which  
416 the model can detect a particular category. Accuracy is the ratio of the sum  
417 of true positive and true negative of the category to the sum of all results, it  
418 is indicative of the overall prediction accuracy.

419  
420 **Figure 5:** Confusion matrix for single droplet detection with the best 2  
421 features as input. (a) Linear regression (b) SVR (c) Decision Tree (d) Random  
422 Forest Regression (e) Extra Tree Regression.

423 The velocity at the position of 1 mm and 2 mm gives the highest accuracy  
424 in determining the availability of the cell in droplets for 4 out of 5 machine  
425 learning algorithms. The deviation in accuracies of five different type of  
426 algorithm is relatively small (77% to 82%). This indicates that there exists a  
427 linear relationship between the number of printed cells and the velocity of

428 the droplet at 2 positions. From the linear regression, the cell count (number  
429 of printed cells) can be estimated as

$$430 \quad \text{Cell Count} = -0.0862V_{0.7} + 0.1430V_{2.0} - 0.5873$$

431 where  $V_{0.7}$  and  $V_{2.0}$  are the velocity of the droplet at 0.7 mm and 2.0 mm  
432 respectively in m/s. By defining acceleration,  $a = (V_{2.0} - V_{0.7})/t$ , and the time  
433 taken for the droplet to travel from 0.7 mm to 2.0 mm,  $t = 0.0013/V_a$ , where  
434  $V_a$  is the average velocity between 0.7 mm and 2.0mm, the model can be  
435 rearranged to

$$436 \quad \text{Cell Count} = \left( \frac{1.1206 \times 10^{-4}}{V_a} \right) a + 0.0568V_{2.0} - 0.5873$$

437 The linear model shows that the previous assumption on lower cell count will  
438 result in higher deceleration (lower acceleration) is indeed true.

439 Among all the models, LR has the highest precision at 100% when  
440 predicting droplet with no cells, while ETR has the highest precision of 91%  
441 for droplet with cells. This implies that ETR gives the best prediction if the  
442 user wished to ensure that the droplet contain cells, and LR gave the best  
443 prediction for droplet without cells. For a balanced precision between  
444 droplet containing cells and no cells, RFR has the highest accuracy of 82%  
445 as compared to other 4 models.

446 Predicting the availability of a cell in a single droplet is useful in  
447 determining the number of cells in droplets with low cell occupancy (<0.1  
448 cell per droplet). At low cell occupancy, 95% of the non-empty droplet  
449 contain only a single cell (Klein et al., 2015; Macosko et al., 2015). Therefore,  
450 a binary output of yes or no can be approximated to 1 cell or 0 cell

451 respectively. This provides a contactless high throughput method to  
452 determine the cell count in individual printed droplet for ink with low cell  
453 concentration.

### 454 **3.2. Cell number prediction in multiple droplets**

455 While the method from last section works well in predicting cell count in  
456 single droplet at low cell occupancy, it has a very low accuracy for the sample  
457 used in this work as seen in **Figure 6a**, with a large 79% mean error for ETR  
458 method. This is likely due to the sample used for this work which has a high  
459 cell occupancy at 0.76 cell per droplet (117 cells in 154 droplets). At such a  
460 high error rate, this method is not feasible to predict the cell count in cell-  
461 laden ink with high cell concentration. To improve the accuracy of the  
462 predictor, a multiple droplet approach was taken. The cell count in the  
463 training set was fitted against the velocity in 2 positions (Position 1 = 1.0  
464 mm and Position 2 = 2.0 mm) with the best accuracy from **Figure 4**. The  
465 optimized model was then used to predict the cell count in the testing set. 1  
466 to 40 random droplets were chosen from the testing set, in which the actual  
467 cell counts in the chosen droplets were summed up and compared with the  
468 summed predicted cell count (**Figure 6a**). This process is replicated 10 times  
469 for each droplet count. The mean error and the standard deviation of the  
470 prediction at 5, 10, 20, and 40 droplets for each model is shown in **Figure**  
471 **6b**.

472

473 **Figure 6:** (a) Mean error in determining the total cell count in multiple  
474 droplets of 1 to 40 droplets. (b) Mean error and standard deviation in  
475 determining the total cell count in multiple droplets of 5, 10, 20, 40 droplets.

476 At 20 droplets, ETR has the best accuracy with a mean error of 17%,  
477 followed by SVR with a mean error of 19%, DTR with a mean error of 20%,  
478 RFR with a mean error of 22%, and LR with the worst accuracy at a mean  
479 error of 31%. The confusion matrix for the cell count prediction of 20  
480 droplets is shown in **Figure 7**. 20 droplets were selected instead of other  
481 droplet numbers for comparison as it represents the optimized number of  
482 droplets to balance accuracy and low number of droplets. A higher droplet  
483 count per prediction provides more accuracy but will increase the cell count  
484 deposited per batch of prediction and therefore reduce the resolution for cell  
485 count control. At 20 droplets, mean error drops below 20% for some of the  
486 algorithms. For further reduction in the mean error, the droplet counts  
487 required will be growing exponentially and is not realistic for real application.

488  
489 **Figure 7:** Confusion matrix for cell prediction in 20 droplets. (a) Linear  
490 regression (b) SVR (c) Decision Tree (d) Random Forest Regression (e) Extra  
491 Tree Regression

492 From the confusion matrix (**Figure 7**), the regression-based method (LR  
493 and SVR) generally tries to predict the average of the cell count in the entire  
494 dataset. As example, the range of LR prediction is from 13 to 16 while the  
495 actual range of cell count is from 9 to 18. Meanwhile, the decision tree-based  
496 method (DTR, RFR and ETR) avoided this tendency. This shows that for the  
497 cell number prediction, a decision tree-based method should be used instead  
498 of a regression-based method to prevent the model reliance on average  
499 values.

500 The reduction in error by increasing number of droplets can be explained  
501 by the summation of normal distributed variables. The error in the prediction  
502 of the cell count in a single droplet of extra tree regressor is shown in **Figure**  
503 **9a**. Assuming that the error of the prediction is normally distributed with a

504 mean of 0 and a variance of  $\sigma^2$ , the error of a single prediction will be  $\pm 2\sigma$   
505 with a 95% confidence. With N droplet count, the variance of the error  
506 distribution,  $\sigma_N^2$  is

$$507 \quad \sigma_N^2 = N\sigma^2$$

508 The standard deviation of the prediction of N droplets is

$$509 \quad \sigma_N = \sqrt{N\sigma^2}$$

$$510 \quad \sigma_N = \sqrt{N}\sigma$$

511 Thus, the error of the prediction with N droplets will be  $\pm 2\sqrt{N}\sigma$  with 95%  
512 confidence. The distribution of error is reduced by a factor of  $\sqrt{N}$ . From this  
513 calculation, the mean error can be halved by increasing the droplet count  
514 with a factor of 4 from 5 to 20 for ETR. The mean error for ETR at 5 droplets  
515 is 29%, while the mean error at 20 droplets is 17%, which is a 41% reduction  
516 in mean error. This value is close to the predicted error reduction, which  
517 confirms that increasing the droplet count reduces the prediction error. The  
518 deviation in the error reduction is a result of the mean prediction error being >  
519 0 as assumed, and the distribution of the error is skewed.

520 The source of prediction error is mainly due to the lack of the data for the  
521 cell diameter and the spatial positioning of the printed cells in each  
522 individual droplet. The velocity profile of a droplet is affected by the bio-ink  
523 properties, number of printed cells in the droplet, cell diameter, and the  
524 spatial positioning of the printed cells in the droplet. Although the  
525 rheological properties of the bio-inks are assumed to be uniformly constant,  
526 it is important to note that there is a variation in the cell diameter ( $18.9 \pm$   
527  $1.3 \mu\text{m}$  for human fibroblast cells used in this work) and the spatial

528 positioning of cells within each individual droplet varies even for the same  
529 cell count (e.g., the printed cells could be closer to the core in one droplet,  
530 whereas the printed cells in another droplet could be closer to the edge).  
531 The measurement of these data in real-time is challenging and hence an  
532 increased sampling count is used to reduce the random errors.

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### 533 3.3. Model validation for cell number prediction

534 The effectiveness of the optimized models in real application is validated  
535 on a completely new set of samples. In the validation set, 10 droplets are  
536 printed consecutively on the same location with the cell-laden bio-inks (3  
537 million cells/ml), in which the total number of cells in that location is  
538 measured with a microscope. The velocity of each droplet at 1.0 mm and 2.0  
539 mm displacement from the nozzle is analyzed with a high-speed camera at  
540 50,000 fps. This lower fps will be helpful in testing the robustness of the  
541 model when the velocity measured has lower accuracy. The total number of  
542 cells in 2 consecutive locations are combined, such that each data point  
543 consists of total cell count and velocities of 20 droplets. 8 data points (160  
544 droplets) are used to validate the random forest regressor and the extra tree  
545 regressor models.

546 The confusion matrix for the cell count prediction of the validation set is  
547 given in **Figure 8**. The RFR has a mean error of 13% while the ETR has a  
548 mean error of 12%. From the previous sample set, the cell occupancy of the  
549 bio-ink with 3 million cells/ml is 1.29, therefore the average cell count in 20  
550 droplets are 25.8 cells. Using the average cell count as prediction will give  
551 an error of 23% for the validation set. Therefore, these results show that the  
552 model is an improvement over using the average cell count as prediction for  
553 the total cell count in 20 consecutive droplets, even when the velocity  
554 measured has lower accuracy.

555

556 **Figure 8:** Confusion matrix for the validation set. (a) Random Forest  
557 Regression (b) Extra Tree Regression

### 558 3.4. Model optimization by removing unusable data

559 It may seem logical to include the cell concentration of the solution as an  
560 input variable given it shows the highest Spearman correlation value with  
561 respect to cell count in droplet. However, the performance of the model is  
562 reduced when the cell concentration is included alongside the velocity at 2  
563 positions from the nozzle. Using the ETR model as comparison in **Figure 9d**,  
564 the mean error at 20 droplets is increased to 27% as compared to 17% of the  
565 original model. This could be due to the overfitting of data points when the  
566 concentration is included. This same problem occurs when velocity at more  
567 than 2 points is included, and performance is degraded as compared to just  
568 2 points. Therefore, for a robust machine learning model, only the velocity  
569 at 1 mm and 2 mm should be used as the input variables.

570 The droplet velocity for bio-ink containing 0 million cell/ml is expected  
571 to be similar to those droplets containing 0 cell and the inclusion of these  
572 droplet velocity data for 0 million cell/ml should improve the accuracy of ML  
573 algorithm. However, the generated result as shown in **Figure 9d** and **Figure**  
574 **9e** suggested otherwise when 25 samples of bio-ink with 0 million cell/ml is  
575 included in the training. The mean error of the model is significantly higher  
576 than the original model, with a mean error of 49% at 20 droplets. This large  
577 deterioration in the accuracy could not be explained by overfitting alone  
578 unlike the case when including concentration data as part of the input  
579 variable. This indicates that the velocity profile at 1 mm and 2 mm for bio-  
580 ink with 0 million cell/ml is very different from droplet containing no cells  
581 ejected from cell-laden bio-ink. It can be concluded that the bio-ink with no

582 cells is not compatible as the sample for the training of ML models to detect  
583 cells from velocity at different points.

584  
585 **Figure 9:** (a) Histogram of prediction error for the cell count in a single  
586 droplet through extra tree regressor, fitted with gaussian distribution. (b)  
587 Confusion matrix for extra tree regression model when concentration is  
588 included. (c) Confusion matrix for extra tree regression model when ink with  
589 no cells is included. (d) Mean error in determining the cell count when  
590 concentration or ink with no cells are included as a variable for extra tree  
591 regression model 1 to 40 droplets. (e) Mean error and standard deviation in  
592 determining the cell count when concentration or ink with no cells are  
593 included as a variable for extra tree regression model at 5, 10, 20, 40  
594 droplets.

### 595 3.5. Laser detection system for velocity measurement

596 These results can be utilized to build an *in-situ* droplet monitoring system  
597 where the deposited cell count is predicted from the velocity of the droplets  
598 at 2 positions, as depicted in **Figure 2d**. The velocity of droplets can be  
599 detected using a high-speed camera or a laser. High speed cameras will

600 provide a better accuracy for the measurement of the droplet velocity but  
601 come with several disadvantages including expensive equipment, bulky  
602 incorporation in a 3D bioprinting process, requirement of a strong light  
603 source that may degrade the bio-ink, and intensive computational  
604 requirements for the image processing of droplet's image. By contrast, a  
605 laser-based measurement system is cheap and is easy to incorporate in an  
606 existing 3D bioprinter as shown in **Error! Reference source not found.**  
607 The D300e Digital Dispenser used in this schematic is a thermal inkjet  
608 printer and the proposed setup is applicable for most droplet-based printers.  
609 A weaker laser, such as a Class 2 laser at 1mW with a wavelength of 532 nm  
610 should be used to minimize the laser-induced damage to the printed cells  
611 within droplets. The laser would be directed to 1 mm and 2 mm below the  
612 nozzle to be detected by the photodiode sensor across the printer. When a  
613 droplet passes the laser line, the light will be scattered or absorbed by the  
614 droplet, which lowers the intensity count in the sensor. By utilizing both the  
615 firing timing and the time where the droplet is detected by the laser, the  
616 velocity of the droplets at 2 different displacements from the nozzle can be  
617 calculated. This value can either be used to train a model or be fed into the  
618 trained model to predict the cell counts per batches of droplets (such as 20  
619 droplets for the model in this work). Once the system determines that the  
620 total number of cells in a particular position is sufficient, the cell printing  
621 could be halted.

622

623 **Figure 10:** Design schematic for laser detection system in a D300e Digital  
624 Dispenser to measure the velocity of the droplets.

625 Although the difference in the bio-ink properties (viscosity, surface  
626 tension and density), cell diameter, nozzle design, and printing parameters  
627 (e.g., pulse voltage) may affect the initial velocity and the deceleration rate  
628 of the droplets, the effect of these parameters on the accuracy of the models  
629 is not in the scope of this work. Furthermore, multiple fold of samples will  
630 be required to obtain a more robust model with increased inputs using the  
631 aforementioned parameters. Some of the experimental constraints include  
632 hardware limitations such as the need for huge data storage space to store  
633 the images captured using the high-speed camera (~16 GB of image data  
634 per 27 samples), lengthy processing time and potential human error while  
635 handling the massive amount of data. This work has proved that it is possible  
636 to predict the cell count by using droplet velocity at 2 different points along  
637 the nozzle-substrate distance, hence the laser detection system is suggested  
638 to eliminate the need for image processing in future work.

639 The main advantage of the laser detection system is that it will  
640 significantly reduce the processing complexity needed for a set of  
641 experiment. Capturing image with high-speed camera involve calibration of  
642 camera focus, backlight intensity, and parameters for image processing.  
643 These processes are time-consuming and will induce human errors. With this  
644 laser detection system, it is possible to avoid these processes to cut down  
645 the overhead time from hours to minutes per run. The sampling rate can be  
646 increased significantly, and the data quality will be improved as there is  
647 lesser error. This will allow us to train a deep neural network (DNN) model  
648 with the samples. While it is possible for DNN to perform better than the  
649 machine learning algorithm that is used in this work, the number of samples  
650 required is massive. We attempted to perform training a deep neural  
651 network with our samples, but the sample count was not sufficient for the  
652 network to achieve a good fit. This work demonstrates the relationship  
653 between the velocity of a droplet at multiple points and the cell count in the  
654 droplet. This data lends confidence to further exploration of predicting cell  
655 count with DNN by including more samples. Using a DNN for cell prediction  
656 is more attractive than the conventional machine learning due to the ability  
657 for transfer learning (Tan et al., 2018). Once a DNN model is trained for a  
658 specific cell laden solution, this model can be transferred to other solutions  
659 by retraining with a much smaller number of samples. Furthermore, the  
660 robustness of the DNN means that it is possible to come up with a universal  
661 model to predict the cell count by using the material properties of the fluid  
662 and the velocity of the droplet.

#### 663 4. Conclusion

664 The main goal of this work is to demonstrate the possibility of using  
665 droplet velocity at 2 different points along the nozzle-substrate distance to  
666 predict the number of printed cells within each ejected droplet during the  
667 inkjet-based bioprinting process. This work demonstrates two different  
668 applications such as cell detection within single droplets (presence/absence  
669 of cells) and prediction of the total number of printed cells within multiple  
670 droplets by comparing 5 machine learning algorithms to determine the  
671 performance of each model. The first method predicts the presence of a cell  
672 in a single droplet with an accuracy of 82% when the velocity of the droplet  
673 at 1.0 mm and 2.0 mm are taken as input through random forest regression.  
674 This method is suitable for droplets with low cell occupancy but is inaccurate  
675 for droplets with high cell occupancy (more than 0.1 cell per droplet). The  
676 second method reduced the error in the cell count prediction by a factor of  
677  $\sqrt{N}$  through cell count prediction in N droplets instead of single droplet. In  
678 the validation set, a mean error of 12% for cell counts in 20 droplets with  
679 high cell occupancy (1.29 cell per droplet) is achieved with the extra tree  
680 regression model, which is an improvement over the 23% error by predicting  
681 the cell count using the average cell per droplet. Cell count prediction with  
682 the decision tree-based algorithm performed better than the regression-  
683 based algorithm as the regression-based algorithm tends to underfit and  
684 generalize all prediction as a similar value. This work also demonstrates that  
685 cell concentration of a bio-ink should not be included as the input for the ML  
686 model given that bio-ink containing no cells significantly worsens accuracy  
687 of the models.

688 This work provides the basis for a method to control the localized cell  
689 concentration within printed droplets by live cell count monitoring. It would  
690 be interesting to study the relationship of other parameters such as the  
691 rheological properties of the bio-inks, average cell diameter, nozzle  
692 properties, and printing parameter for future work. The setup in **Figure 10**  
693 can help to reduce the data processing time needed for each set of samples  
694 from hours to minutes while improving the sample quality. The massively  
695 increased sample count can be fed into a DNN for a more robust model to  
696 elucidate their complex interactions.

#### 697 **Author Contribution**

698 **Xi Huang:** Methodology, Investigation, Writing. **Wei Long Ng:**  
699 Conceptualization, Methodology, Investigation, Writing, Review & Editing  
700 **Wai Yee Yeong:** Funding acquisition, Review.

#### 701 **Data Availability**

702 The raw data for the training and the validation of the models, and the  
703 trained models for validation is available at  
704 <https://github.com/huangxi90/Cell-prediction-by-velocity-raw-data/>.

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### 713 **Conflict of interest**

714 The authors declare no potential conflicts of interest.

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